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3 **A New Look into the Impacts of the Dust Radiative Effects on the**  
4 **Energetics of Tropical Easterly Waves**

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14  
15 **Keywords**

16 Saharan Air Layer, dust, aerosol radiative effect, wave activity, eddy kinetic energy,  
17 tropical Atlantic Ocean, African easterly jet, African easterly waves, MERRA-2, MODIS

18  
19 **Abstract**

20 Saharan dust aerosols are often embedded in tropical easterly waves, also known  
21 as African easterly waves, and are transported thousands of kilometers across the tropical

1 Atlantic Oceans, reaching the Caribbean Sea, Amazon Basin, and the eastern U.S.  
2 However, due to the complex climate dynamics of West Africa and the eastern tropical  
3 Atlantic Ocean, there is still a lack of understanding of how dust particles may influence  
4 the development of African easterly waves, which are coupled to deep convective systems  
5 over the tropical Atlantic Ocean and in some cases may seed the growth of tropical  
6 cyclones. Here we used 22 years of daily satellite observations and reanalysis data to  
7 explore the relationships between dust in the Saharan air layer and the development of  
8 African easterly waves. Our findings show that dust aerosols are not merely transported by  
9 the African easterly jet and the African easterly waves system across the tropical Atlantic  
10 Ocean, but also contribute to the changes in the eddy energetics of the African easterly  
11 waves.

12         The efficiency of dust radiative effect in the atmosphere is estimated to be a  
13 warming of approximately  $20 \text{ Wm}^{-2}$  over the ocean and  $35 \text{ Wm}^{-2}$  over land. This diabatic  
14 heating of dust aerosols in the Saharan Air Layer acts as an additional energy source to  
15 increase the growth of the waves. The enhanced diabatic heating of dust leads to the  
16 increase in meridional temperature gradients in the baroclinic zone, where eddies extract  
17 available potential energy from the mean-flow and convert it to eddy kinetic energy. This  
18 suggests that diabatic heating of dust aerosols can increase the eddy kinetic energy of the  
19 African easterly waves and enhance the baroclinicity of the region. Our findings also show  
20 that dust outbreaks over the tropical Atlantic Ocean precede the development of baroclinic  
21 waves downstream of the African easterly jet, which suggests that the dust radiative effect  
22 has the capability to trigger the generation of the zonal and meridional transient eddies in  
23 the system comprising the African Easterly Jet and African easterly waves.

## 1 **1 Introduction**

2 African Easterly Waves (AEWs), also known as tropical Atlantic easterly waves,  
3 are synoptic-scale atmospheric disturbances with a preferred wavelength in the 2000-  
4 4000km range that often develop into tropical Atlantic cyclones (Dunn, 1940). The basic  
5 characteristics and behavior of the AEWs have been described in previous studies (Charney  
6 and Stern, 1962; Chang, 1993; Kiladis et al., 2006; Diaz and Aiyyer, 2013). Local heating  
7 is a dominant factor in determining the growth of AEWs over West Africa (Norquist et al.,  
8 1977), such that the presence of diabatic heating near the entrance of the African Easterly  
9 Jet (AEJ) is a favorable factor in generating AEWs (Thorncroft et al., 2008; Russell et al.,  
10 2020). The localized mid- to lower-tropospheric heating generates vortices in the vicinity  
11 of the AEJ core, which is the genesis of the AEWs (Thorncroft et al., 2008; Berry and  
12 Thorncroft, 2012). AEWs can be initiated by convective triggers over the highlands of  
13 eastern Africa and forcing from the subtropical Atlantic storm track (Cornforth et al. 2009).

14 Several studies have shown that AEWs are intensified in the presence of convective  
15 systems where the mesoscale convection and synoptic-scale AEWs are dynamically  
16 coupled (Kiladis et al., 2006; Hsieh and Cook, 2005&2007; Berry and Thorncroft, 2012).  
17 A large portion of tropical Atlantic cyclones and hurricanes evolve from the AEWs (Avila  
18 and Clark, 1989; Avila and Pasch, 1992; Pasch and Avila, 1994) during the boreal summer  
19 seasons, which is the season when the amplitude of AEWs peaks (e.g., Roundy and Frank,  
20 2004).

21 Numerous studies addressed the dynamics of the AEWs; however, the impacts of  
22 aerosol radiative effects on the energy of the AEWs are poorly understood. The Sahara  
23 Desert in North Africa is the largest source of dust in the world, where over sixty million

1 tons of dust particles (e.g., Prospero and Lamb, 2003; Lau and Kim, 2007) are lifted  
2 annually and transported within the Saharan Air Layer (SAL) across the Atlantic Ocean  
3 (Carlson and Prospero, 1972) and reaches the Caribbean Sea, the Gulf of Mexico, Amazon  
4 Basin and the United States (e.g., Perry et al., 1997; Liu et al., 2008, Francis et al., 2020).  
5 Dust particles in the SAL have a robust influence on regional and global climate through  
6 their impacts on radiation, clouds, hydrological cycle, and atmospheric circulation  
7 (Colarco et al., 2003; Lau et al., 2009; Wilcox et al., 2010; Kim et al., 2010). In particular,  
8 among aerosol species, dust is known for having a strong shortwave radiative effect by  
9 both efficiently scattering, as well as absorbing, incoming radiation and leading to a heating  
10 of the dust layer and strong cooling of the surface (Myhre et al., 2004; Mamun et al., 2021,  
11 Francis et al., 2022). The shortwave radiative effect is slightly counteracted by the  
12 longwave radiative effect of dust which causes warming at the surface and cooling within  
13 the atmosphere (Meloni et al., 2018).

14 A limited number of studies have focused on the impacts of Saharan dust plumes  
15 on the dynamics of the AEWs (Jones et al., 2003; Ma et al., 2012; Hosseinpour and Wilcox,  
16 2014). Jones et al. (2004) suggested that dust optical and radiative properties have  
17 significant impacts on the AEWs. They showed that the low-level temperature anomalies  
18 associated with the AEWs are modulated by the dust radiative effect and suggested that  
19 dust loading in the SAL precedes the maximum geopotential height at 700-hPa by about  
20 1-2 days. Model sensitivity studies have also shown that the intensification of AEWs can  
21 be induced by dust (Ma et al., 2012; Grogan et al., 2019; Bercos-Hickey and Patricola,  
22 2021; Grogan et al., 2022). Using an idealized numerical model. The analytical and  
23 numerical study of Grogan et al. (2016) found that the presence of dust enhances the

1 development of AEWs by providing a buoyancy source. They also showed that dust can  
2 affect the propagation of AEWs by changing the wind shear and stability of the atmosphere.  
3 Using a regional climate model coupled with a dust model, Bercos-Hickey et al. (2017)  
4 found that Saharan dust causes AEJ to shift northward, upward, and westward, and this  
5 results in westward expansion and the northward shift of both the northern and southern  
6 tracks of the AEWs. Satellite observations support this notion by showing that a similarity  
7 exists between the pattern of temperature and wind anomalies of the AEWs and those  
8 associated with the dust outbreaks (Hosseinpour and Wilcox, 2014).

9 Saharan dust is not the only contributor to aerosol radiative effect over Africa and  
10 the Atlantic Ocean. Previous studies showed that smoke transport from biomass burning  
11 can reach up to ~ 3-5 km altitude, which is above the stratocumulus clouds over the Sahel  
12 region, and may affect the radiation through aerosol direct and indirect effects (Redemann  
13 et al., 2021). Biomass burning in Africa is closely related to seasonal rainfall variability  
14 and the location of the Intertropical Convergence Zone (ITCZ); thus, the emissions from  
15 biomass burning in North Africa occur in boreal spring and winter, when ITCZ is south  
16 of the equator (e.g., Cahoon et al., 1992; Barbosa et al., 1999; Ramo et al., 2020). During  
17 the boreal winter, smoke aerosols are maximized over the Sahel region (Figure 1,  
18 Haywood et al., 2008), where the northward transport of smoke merges with dry  
19 southward and westward transport of dust aerosols. This leads to the co-existence of dust  
20 and smoke, as smoke is dominated on the top of the dust layer (Haywood et al., 2008).  
21 However, during the boreal summer, biomass burning mainly occurs in South Africa,  
22 where the air circulations transport smoke plumes toward the South-East Atlantic off-  
23 coasts of Namibia and Angola (Zuidema et al., 2016; Cochrane et al., 2022). To study the

1 effects of Saharan dust aerosols on AEWs with avoiding the major impact of smoke  
2 transport from biomass burning in South Africa, we focus our study on the region above  
3  $5^{\circ}$  N latitude in West Africa and the eastern Atlantic Ocean in boreal Summer, where the  
4 contribution of aerosols from biomass burning is less than 15% by mass over this region  
5 (Matsuki et al., 2010). This study focuses on the boreal summer season, because during  
6 this season, the amplitude of AEWs peaks (e.g., Roundy and Frank, 2004), and Saharan  
7 dust storms are active with less simultaneous transport of smoke from South Africa  
8 biomass burning.

9 While previous studies showed the impacts of dust aerosols on climate (Ming and  
10 Ramaswamy, 2011; Hosseinpour and Wilcox, 2014; Chen et al., 2021; Liang et al., 2021;  
11 Grogan et al., 2022), hydrological cycle (Konare et al., 2005; Kim et al., 2010; Bercos-  
12 Hickey et al., 2020) and cloud properties (Weinzierl et al., 2017; Haarig et al., 2019),  
13 these elements of the climate system in this region exhibit strong variability due to AEWs.  
14 To understand the details of interactions between dust aerosols and climate over the  
15 Atlantic Ocean, it is essential to understand how the evolution of AEWs is determined by  
16 both diabatic heating, as well as exchanges of eddy kinetic energy (EKE) within the jet-  
17 wave system and how dust may contribute to the energy driving AEWs. Toward this goal,  
18 we apply eddy energetic concepts to further analyze the relationships between dust and  
19 the AEJ-AEWs system to gain insight into the impacts of the dust aerosol radiative effects  
20 on the development of AEWs and the distribution of kinetic energy from the source of  
21 instability (i.e., AEJ). Section 2 summarizes the data and methodology. Section 3  
22 discusses the summary of results: the climatology and variability of the AEJ-AEWs  
23 system from an energy point of view (3.1), climatology and variability of Saharan dust

aerosols across West Africa and the eastern tropical Atlantic Ocean (3.2), and the impacts of dust on the AEJ-AEWs system (3.3). Conclusions are presented in Section 4.

## 2 Data and methodology

This study focuses on the relationships of Saharan dust aerosols and AEWs in boreal summer, because during this season, the amplitude of the AEW peaks (e.g., Roundy and Frank, 2004). We used a 22-year time series of NASA's satellite observations and reanalysis for the boreal summer seasons from June to August (JJA) 2000-2021 to calculate the variability of energy components of the system comprising the AEJ, the AEWs, and the aerosol radiative effect.

### 2.1 MODIS and MERRA-2 data

To study the climatology of West Africa and the eastern tropical Atlantic Ocean, the successor to the Modern Era Retrospective-analysis reanalysis (MERRA; Rienecker et al., 2008; 2011), the 3-hourly MERRA-2 (Randles et al., 1980, 2017; Buchard et al., 1980; Gelaro et al., 2017) were used to provide more reliable assessments of climatic and meteorological variables from 1980 to the present. The MERRA-2 reanalysis has a 3-hourly temporal resolution and a spatial resolution of  $0.5^\circ$  latitude by  $0.625^\circ$  longitude with 72 vertical levels, extending from the surface up to 0.01-hPa.

We used the MERRA-2 ~~atmospheric radiative effect that is~~ broad band shortwave flux across the visible spectrum to study the aerosol radiative effect as described in Section 2.2, as well as the meteorological variables, including wind components, temperature, pressure, and humidity from the 3-hourly MERRA-2 reanalysis for the boreal summer

1 (JJA) from 2000 to 2021, to calculate the eddy energetic terms of the AEW-AEJ system  
2 as described in Section 2.3.

3 The reason for choosing the MERRA-2 analysis for this study is as follows: An  
4 essential aspect of MERRA-2 is the assimilation of bias-corrected aerosol optical depth  
5 (AOD) from the various ground- and space-based remote sensing platforms (e.g., Randles  
6 et al., 2017). In particular, AOD is simulated in MERRA-2 with a radiatively coupled  
7 version of the Goddard Chemistry, Aerosol, Radiation, and Transport (GOCART; Colarco  
8 et al., 2010) aerosol model. In this manner, the MERRA-2 system provides an estimate of  
9 the atmosphere state historically from the present day back to 1980.

10 It is important to note that the dust and the circulation are fully coupled in MERRA-  
11 2. A limitation of using such an empirical tool, is that it is not possible to directly  
12 compare a complete representation of the circulation without dust to the circulation with  
13 dust. However, the benefit of using MERRA-2 is that it offers a more realistic  
14 representation of the circulation, including AEWs, than an unconstrained model because  
15 of the data assimilation ties the simulated circulation more closely to the properties of the  
16 observed atmosphere. It is our intention with this study to evaluate the empirical  
17 relationships between the dust radiative effect and the energetics of AEWs in a reanalysis  
18 constrained by observations, to determine whether the relationships observed are consistent  
19 with the inferences about the role of dust aerosols on tropical dynamics from prior  
20 modeling work, including the studies cited in section 1 above. Furthermore, we aim to  
21 show how an analysis of the eddy energetics of tropical easterly waves can be applied to  
22 studies of dust aerosols and their interaction with tropical dynamics which can be applied  
23 to model simulation experiments to further test the hypothesis that dust radiative effects



1 ~~contribute to eddy energetics. We also perform a time lag analysis between variations in~~  
 2 ~~the dust radiative effect and the eddy kinetic energy of AEWs, as well as other~~  
 3 ~~supplementary analyses, to build confidence that the observed relationships presented here~~  
 4 ~~are consistent with our hypothesis, which can be compared with the results of a follow-on~~  
 5 ~~examination of a controlled experiment in an unconstrained atmospheric general~~  
 6 ~~circulation model comparing simulations with dust radiative effects to simulations without~~  
 7 ~~dust radiative effects.~~

8 To evaluate the MERRA-2 reanalysis with satellite observations, we used the  
 9 entire record of the daily AOD (level 3) from two independent algorithms and well-  
 10 calibrated sensors: (I) the 550-nm Moderate Resolution Imaging Spectro-radiometer dark-  
 11 target retrieval (MODIS, MOD08\_D3; Remer et al., 2021 with a 1° spatial resolution on  
 12 Terra since 2000 for the dust domains over the Atlantic Ocean, and (II) the 470-nm Deep  
 13 Blue (Sayer et al., 2019; Hsu et al., 2019) retrievals of MODIS AOD available with a 1°  
 14 spatial resolutions for the dust source regions over the land in boreal summer (JJA, 2000-  
 15 2021). The summary of the information about MODIS and MERRA-2 data product name,  
 16 variables, spatial and temporal resolutions are provided in Table 1.

## 18 2.2 Aerosol radiative effect in the atmosphere

19 We used the components of aerosol radiative effect at the surface and top of the  
 20 atmosphere (TOA) from the 3-hourly MERRA-2 reanalysis datasets to calculate the  
 21 radiative effect of dust in the atmosphere (i.e., TOA minus surface) as follows:

$$22 F_{aerosol} = (SWF_{TOA_{tot}} - SWF_{TOA_{clean}}) - (SWF_{sfc_{tot}} - SWF_{sfc_{clean}}) \quad \text{Eq. (1)}$$

1 where  $SWF_{TOA_{tot}}$  refers to the net downward shortwave radiation flux at the TOA,  
2  $SWF_{TOA_{clean}}$  is the net downward shortwave flux at TOA under clean-sky conditions,  
3  $SWF_{sfc_{tot}}$  is the net downward shortwave flux at the surface, and  $SWF_{sfc_{clean}}$  is net  
4 downward shortwave flux at the surface under clean-sky conditions.

5 To show the variability of dust, the time-longitude Hovmöller diagrams of daily  
6 anomalies of aerosol radiative effect are provided to represent the dust transport within  
7 SAL across the tropical Atlantic Ocean. The daily values of the radiative effect are  
8 calculated by time averaging the 3-hourly data. The daily anomalies of the radiative effect  
9 were calculated with respect to the seasonal time-average of the radiative effect for each  
10 year. These anomalies were latitudinally averaged over the latitudes of dust domains, 12-  
11 22° N.

12 To investigate the relationship between dust and the AEJ-AEWs system over the  
13 Atlantic Ocean, we focused on the dust variability over the ocean; therefore, we consider  
14 the location of the SAL over the tropical Atlantic Ocean, the so-called OSAL domain,  
15 where dust is significant from -28° to -16° E Longitude and from 12° to 22° N latitude in  
16 the climatology of boreal summer seasons.

### 17 **2.3 Energetics of the AEJ-AEWs system**

18 We used MERRA-2 meteorological variables as described in Section 2.1, to  
19 calculate the eddy energetic terms associated with the distribution of kinetic energy across  
20 the AEJ-AEWs system for the boreal summer from 2000 to 2021. While the MERRA-2  
21 data is 3 hourly, we averaged them for each day to be consistent with the daily temporal

1 resolution of MODIS AOD data. We provided daily MERRA-2 data to apply them for the  
2 calculation of the eddy energetics terms.

3 From an energy point of view, the kinematics of the atmosphere is a combination  
4 of mean kinetic energy (MKE) of the background mean flow and eddy kinetic energy  
5 (EKE) representing transient eddies (Lorenz, 1954). The MKE associated with the AEJ is  
6 calculated as below, where  $u$  and  $v$  are horizontal components of wind and bar represents  
7 the time-averaged over the long-term daily time series of the wind components:

$$8 \quad MKE = \frac{1}{2}(\overline{u^2} + \overline{v^2}) \quad \text{Eq. (2)}$$

9 To detect the 2-6 day and 6-11 day variations associated with the AEWs, we used  
10 the methodology following Wu et al. (2013). While many studies have focused exclusively  
11 on 2-6 day period AEWs, several studies have found evidence that AEWs exist on two  
12 distinct time scales of 2-6 and 6-11 day periods, as the structure of the AEWs differs  
13 substantially between these two different time windows (Mekonnen et al. 2006; Wu et al.,  
14 2013). The time-filtering method described below was applied to decompose EKE of the  
15 AEWs at different time-scale: 2-6 day and 6-11 day filtered variations.

16 We provide the daily times series of wind components by time averaging over the  
17 3-hourly MERRA-2 datasets. We further used the Lanczos bandpass filtering techniques  
18 described in Duchon's (1979) study to filter the 2-6 and 6-11 day disturbances from the  
19 daily time series of the zonal and meridional components of wind ( $u, v$ ). The daily  
20 anomalies ( $u', v'$ ) of wind components ( $u, v$ ) were calculated for each boreal summer season  
21 with respect to the average of that season ( $u' = u - \bar{u}$  and  $v' = v - \bar{v}$ ; primes indicate  
22 daily anomalies, and bars show seasonal averages). Finally, EKE was calculated as the  
23 average of the variances of  $u$  and  $v$  shown as follows:

$$EKE = 1/2 (\overline{u'^2} + \overline{v'^2}) \quad \text{Eq. (3)}$$

The bars indicate the average over the entire JJA, 2000-2021, and the primed quantities denote the deviation of wind components from the time-mean (daily anomalies) described above.

Baroclinic conversion (BCC) is one of the most important components in the eddy energy budget to distribute transient energy from the upstream baroclinic source across the storm tracks downstream of the jetstream (e.g., Orlanski and Katzfey, 1991; and Chang et al., 2002). The initiation of and the growth of the waves are significantly related to BCC, where the transient eddies extract energy from the mean-flow through BCC (e.g., Plumb, 1986). Following the approach described in Chang et al. (2002) study, we calculated the BCC term as below:

$$BCC = -\overline{\omega' \alpha'} \quad \text{Eq. (4)}$$

where  $\omega$  is the rate of pressure ( $\omega = \frac{dp}{dt}$ ) and  $\alpha$  is a scale to estimate the changes in the vertical profile of the gradient of geopotential height ( $\alpha = -\frac{\partial \phi}{\partial p}$ ). We investigate BCC to identify the locations favorable for developing EKE in the AEJ-AEWs.

16

## 17 2.4 Composite analysis

The composite analyses for 2-6-day and 6-11-day variations of the eddy energetics of the AEWs were conducted for the boreal summer seasons of 22 years, 2000-2021. Composite EKE was calculated by subtracting the EKE values associated with the lower-quartile radiative effect of dust from those EKE values associated with upper-quartile aerosol radiative effect. We find the upper- and lower-quartile aerosol radiative effect

1 offshore, where the dust load is significant over the OSAL domain (rectangle in Figure 2a).  
2 To determine the upper- and lower-quartile of aerosol effect, the aerosol effect over the  
3 OSAL box is averaged at each time to create a time series of OSAL aerosol effects. The  
4 daily time series of aerosol radiative effect of the grid points were spatially averaged over  
5 the OSAL domain, which provided one single value of aerosol radiative effect for each  
6 individual day in the long-term time series over the dust domain. For averaging over the  
7 OSAL domain, an area-weighted average is applied since the area of grid cells ~~are-is~~ not  
8 the same. These time series of aerosol radiative effect were used to select the days of the  
9 upper quartile and the lower quartile aerosol radiative effect for the summer season of each  
10 year. Hence, we selected 23 days of the highest aerosol concentration (upper-quartile) and  
11 23 days of the lowest aerosol concentration (lower-quartile) over each domain during the  
12 boreal summer of each year. From a climatology point of view, we used the upper quartile  
13 and lower quartile of dust over 22 years of data, such that there are 506 data points to  
14 represent the days with high values of dust concentration and 506 days with low values of  
15 dust over each domain of study.

16 Composite EKE is provided for each grid point by subtracting the EKE values  
17 corresponding to the upper-quartile dust days from those of the lower-quartile dust days.  
18 Using the method explained above, the composite of the variance of zonal wind ( $\overline{u'^2}$ ), the  
19 variance of meridional wind ( $\overline{v'^2}$ ), and the transient momentum fluxes ( $\overline{u'v'}$ ) were also  
20 calculated for boreal summer seasons, JJA, 2000-2001 (Figure 1).

## 21 2.5 Time-lag analysis

1           The time-lag analyses were conducted over each domain of the study to evaluate  
2 the temporal relationships between the radiative effect of dust outbreak events and the  
3 activity of AEWs. based on the following processes. Using the same methodology  
4 explained above (Section 2.4.), we used the time series of aerosol radiative effect spatially  
5 averaged over the dust domain to select the days in the upper quartile and the lower quartile  
6 aerosol radiative effect, such that there are 506 data points to represent the days with high  
7 values of dust concentration and 506 days with low values of dust concentration over each  
8 domain. For every 506 days of high dust concentration, we studied the time series for five  
9 days before and five days after the event to investigate the evolution of each individual  
10 dust storm. For each time series, we assigned each day of 506 days as follows:  $T = 0$  for  
11 the dust-peak,  $T = 1$  for one day after the dust-peak,  $T = -1$  for one day before the peak of  
12 dust, and continue this for five days before and after every 506 days. We used each of  
13 these time series for 22 years and averaged dust radiative effect individually for  $T = 0$ ,  $T =$   
14  $\pm 1$ ,  $T = \pm 2$ ,  $T = \pm 3$ ,  $T = \pm 4$ , and  $T = \pm 5$  to gain insight into the climatology of  
15 dust evolution five days before and five days after dust peaks over each domain. We  
16 repeated the steps explained above for the 506 data points of dust in the lower quartile to  
17 provide the long-term time series of low aerosol radiative effect over the dust domain.  
18 Finally, by subtracting the time series of the lower quartile from the upper quartile radiative  
19 effect, we provide the composite of dust over each domain to investigate the highest  
20 variability of dust (as  $T = 0$ , Figure 5) and its evolution five days before and after over dust  
21 domain. Using the same methodology, we analyzed the wave activity that coincides with  
22 the upper quartile (and lower quartile) aerosol radiative effect to investigate a possible  
23 time-lag between the dust and the development of kinetic energy over the northern and

1 southern track of the AEWs. The domains selected to investigate wave activity are shown  
2 in Table 2.

3

## 4 **3 Summary of the results**

### 5 **3.1 AEJ-AEWs system from an energy perspective**

6 Traditional studies have used the mid-tropospheric trough and ridge from unfiltered  
7 wind fields to diagnose the AEWs. In this manner, the AEWs trough was identified where  
8 the meridional wind at the vertical level of the AEJ is equal to zero, indicating that the wind  
9 shifts from northerlies to southerlies (Diedhiou et al., 1999). The existence of two distinct  
10 tracks of the AEWs: the northern and southern tracks (e.g., Diedhiou et al., 1999; Nitta and  
11 Takayabu, 1985; Reed et al., 1988; Wu et al., 2013) have been identified by examining the  
12 vorticity structure of the AEWs (e.g., Carlson 1969 a&b; Thorncroft and Hodges, 2001;  
13 Hopsch et al., 2007) and applying the reversal of the meridional gradient of potential  
14 vorticity (e.g., Norquist et al., 1977; Pytharoulis and Thorncroft, 1999; Kiladis et al., 2006).  
15 However, these methods are limited because of the overlapping scale of AEWs with other  
16 phenomena and the significant amount of manual intervention required to differentiate  
17 between synoptic-scale AEW trough axes and localized circulation centers. As a solution  
18 to this problem, here we applied the eddy energy budget to diagnose the growth and  
19 evolution of the AEWs.

20 Hosseinpour and Wilcox (2014) showed that the axis of the AEJ core resides at  
21 about 600-hPa during the boreal summer; thus, here we present the results for 600-hPa,  
22 where the activity of the AEJ-AEWs system is maximized. Figure 1a shows the mid-level  
23 AEJ in the climatology of boreal summer. The core of the jet is zonally located from 20°

1 E to 30° W between the Sahel and the Sahara and spans from Africa toward the Atlantic  
2 Ocean, where the jet axis is located at ~15° N latitude. The closed contours in Figure 1b-c  
3 represent the MKE of the AEJ. The MKE peaks at ~12-18° N, collocated with the core of  
4 the AEJ (Figure 1a). The long-term mean of the mid-level EKE for the 2-6-day (warm  
5 shades in Figure 1b) and 6-11-day (warm shades in Figure 1c) bandpass filtered EKE  
6 represents the kinetic energy of two distinct categories of the AEWs: The 2-6-day bandpass  
7 EKE peaks offshore, downstream and along the northern side of the jet core, while the 6-  
8 11-day bandpass EKE has a weaker signal over the northern side of the jet compared to 2-  
9 6-day EKE. The significant signal of the 2-6-day AEWs over the tropical Atlantic implies  
10 the significant contribution of 2-6-day transient eddies in transient disturbances over the  
11 Ocean.

12 In addition, both 2-6-day and 6-11-day bandpass EKE can develop at the higher  
13 latitudes above ~32° N toward the subtropics, which can be related to the impacts of the  
14 westerly Rossby waves of the subtropical storm track over North Africa. These are  
15 consistent with the previous studies, showing that after leaving the West coast of Africa,  
16 the majority of AEWs either (1) penetrate the subtropical Atlantic Ocean via an interaction  
17 with an extratropical trough, or (2) develop further downstream and are involved in tropical  
18 cyclogenesis (Berry et al., 2007; Chen et al., 2008).

### 19 **3.1.1 Behaviors of transient eddies of the AEWs**

20 In this Section, we further investigate the characteristics of the AEWs. Figures 1d  
21 and 1e show the climatology of transient eddies. The variance of zonal wind ( $\overline{u'^2}$ )  
22 represents the zonal transient eddies (Figure 1d), which peak at ~6-12° N and are elongated



1 downstream along the southern edge of the AEJ from approximately 15° W to 45° W.  
2 Comparing this with Figure 1b shows that the increase of 2-6-day bandpass EKE  
3 downstream of the jet core corresponds to the 2-6-day zonal transient eddies, whereas the  
4 core of the 2-6-day EKE over the northern track AEWs at ~18-24° N is related to the  
5 meridional wind variance ( $\overline{v'^2}$ ), which represents the 2-6-day meridional transient eddies  
6 (Figure 1e). These patterns suggest that transient eddies of the 2-6-day time-scale AEWs  
7 are elongated both zonally and meridionally.

8 Figure 1f gives further information about the structure and propagation of the 2-6-  
9 day eddies. The enhanced transient momentum flux ( $\overline{u'v'}$ ) of 2-6-day bandpass eddies over  
10 the northern and southern tracks of the AEWs indicates the orientation and the group  
11 velocity of the transient eddies relative to easterly mean-flow. The positive values of the  
12 transient momentum flux are dominant over the southern sides of the jet core, suggesting  
13 that the southern track transient eddies propagate with a NE-SW orientation, whereas the  
14 negative values of the transient momentum flux over the northern track suggest the NW-  
15 SE orientation of transient eddies relative to the mean-flow. The relatively tilted  
16 orientations of the eddies over the northern and southern track, fanning out or diverging  
17 downstream of the jet core, are signatures of the so-called downstream development, where  
18 transient eddy activity associated with 2-6-day AEWs is enhanced. The magnitude of the  
19 transient momentum flux shows the 2-6-day eddies over the northern and southern tracks  
20 of the AEWs propagate faster relative to the easterly mean-flow, whereas the values of  
21 transient momentum flux are negligible along the AEJ axis where the mean-flow is strong.  
22 To further investigate the behavior of the 2-6-day eddies, we discuss the baroclinic and  
23 barotropic instability of the waves in the following Section.

### 1 3.1.2 Baroclinic instability of the AEJ-AEWs system

2 Baroclinic instability is the dynamic cause for synoptic-scale storms as a result of  
3 vertical shear of the zonal wind, corresponding to meridional temperature gradients based  
4 on the thermal wind balance (e.g., Charney, 1947; Eady, 1949). The Meridional  
5 temperature gradient is also proportional to the available potential energy in the baroclinic  
6 instability mechanism (Hoskins et al., 1983; Grotjahn, 2003). Baroclinic zones are defined  
7 as the favored areas for strengthening and weakening of systems, where eddies extract  
8 available potential energy from the mean-flow and convert the eddy available potential  
9 energy to EKE through baroclinic conversion (BCC) of energy (Chang et al., 2002;  
10 Orlandi and Katzfey, 1991). The changes in meridional temperature gradient also  
11 contribute to the changes in EKE of the waves (e.g., Coumou et al., J., 2015; Gertler and  
12 O’Gorman, 2019).

13 Previous studies showed that  $\overline{u'v'}$  is an indicator of baroclinic instability at the exit  
14 region of the jet (e.g., Hoskins et al., 1983). Figure 1f represents the presence of baroclinic  
15 instability ( $\overline{u'v'}$ ) at the northern and southern tracks of the waves downstream of the jet  
16 core, showing the development of the 2-6-day transient eddy activity downstream of the  
17 AEJ corresponds to the presence of baroclinic instability in the region where eddies can  
18 extract energy from the easterly mean-flow through baroclinic conversion (as described in  
19 the following Section). These suggest that the northern and southern tracks of the AEWs  
20 are favorable areas for the potential growth of baroclinic transient eddies as the variations  
21 in baroclinic instability tend to extract energy from the jet and convert it to eddy energy  
22 downstream of the AEJ, where the jet weakens.

1           We further investigated the conversion of energy through BCC by studying the  
2 fraction of the total variance of BCC (Figure 1g) attributable to variations on less than 11-  
3 day time scales, which includes both the 2-6-day AEWs and 6-11-day AEWs. Figure 1g  
4 shows that these variations account for a significant fraction of BCC variations over land,  
5 where the AEJ core resides (Figure 1a), and this high fraction of BCC variance extends  
6 offshore over the northern and southern sides of the AEJ. This is consistent with the  
7 discussion above, suggesting the eddy activity occurs at the north and south sides of the  
8 AEJ (Figure 1f), where the transient zonal and meridional eddies (Figures 1d-e) extract  
9 energy from the MKE (contours in Figure 1b-c) and convert it to EKE (Figure 1b-c)  
10 through BCC.

11           In the next Section, we investigated the relationships between the African aerosols  
12 and the AEWs. Studying the time series of EKE and dust anomalies shows a similarity  
13 between the variability of dust radiative effect and the changes of the 2-6-day EKE over  
14 the northern and southern tracks of the AEWs (Figures S1 and S2), suggesting a possible  
15 impact of dust diabatic heating on the enhancement of the kinetic energy of the AEWs.  
16 Such a relationship between dust and AEWs is also seen over each individual JJA (Figures  
17 S1, S2, and S3). We explore Saharan dust variability (Section 3.2) and then investigate the  
18 possible impacts of aerosol radiative effect of dust concentration on the energy of AEWs  
19 (Section 3.3).

20

### 21 **3.2 Saharan dust plumes- climatology and variability**

22           The significant dust transport from the Saharan desert across the Atlantic Ocean is  
23 seen in the long-term mean of Saharan dust optical thickness and radiative effect vertically

1 integrated over the troposphere during boreal summer (Figures 2a-c). The inherent  
2 limitation of MODIS satellite observations is the lack of AOD data over the highly-  
3 reflective desert regions (Figure 2a) and the Deep Blue AOD over the Ocean (Figure 2b).  
4 Because of that, based on Eq. (1) we calculated the aerosol shortwave radiative effect from  
5 the MERRA-2 reanalysis as a complementary component (Figure 2c) to the satellite  
6 observations. This was further examined by the scatter plots of MODIS AOD over the  
7 Ocean (Figure 2d) and Deep Blue over the land (Figure 2e) with respect to the MERRA-2  
8 radiative effect, where daily data points were averaged over the oceanic and land dust  
9 domains (rectangle in Figures 2a and 2b, respectively). This shows that MERRA-2  
10 reanalysis is highly correlated with MODIS observations with R-values of 0.83 and 0.62,  
11 respectively, and statistically significant with P-values less than 0.05. From a climatology  
12 point of view, the maximum value of dust heating the atmosphere is approximately  $35 \text{ Wm}^{-2}$   
13 <sup>2</sup>, localized over the western and central Saharan Desert in JJA, 2000-2021 (Figure 2c). In  
14 addition, the radiative effect efficiency for atmospheric heating by Saharan dust inferred  
15 from these scatter plots (Figures 2d-e) is roughly  $20 \text{ Wm}^{-2}$  per unit AOD over the ocean  
16 and  $35 \text{ Wm}^{-2}$  per unit AOD over land.

17 We investigated dust variability by studying the changes in daily radiative effect  
18 during dust transport across the tropical Atlantic Ocean. The longitude-time Hovmöller  
19 diagrams of daily aerosol radiative effect anomalies are provided for each summer from  
20 2000 to 2021 (Figure 3). The aerosol radiative effect is meridionally averaged over the  
21 SAL, 12-22°N, where the dust concentration is high. The positive and negative anomalies  
22 show the increase and decrease of aerosol radiative effect within the SAL as dust  
23 propagates in transient dust plumes across the tropical Atlantic Ocean. Figure 3 shows that,

1 on average, dust transport may reach the Caribbean Sea in less than 11 days. To investigate  
2 the climatology of this, the fraction of total variance of dust radiative effect was calculated  
3 for less than 11-day and more than 11-day of dust variations during boreal summer seasons,  
4 2000-2021 (Figures 2f-g). The variations of aerosol radiative effect for less than 11-day  
5 timescale variations are significant over West Africa and the eastern tropical Atlantic  
6 Ocean and account for up to 70-80% of the total variance of aerosol radiative effect over  
7 these regions. In contrast, the variations of dust radiative effect longer than 11-day are a  
8 more significant fraction of the variance upstream, mainly over the dust sources in the  
9 Saharan Desert.

10 We conducted similar Hovmöller analyses as above, but for MODIS observations  
11 as a check on the variability of dust radiative effect in the MERRA-2 reanalysis and found  
12 that the results from MERRA-2 reanalysis were consistent with the MODIS AOD (Figure  
13 S3). Analyzing the dust storm events from 2000 to 2021 suggests a possible relationship  
14 between the dust transport and the variations of the AEJ-AEWs system. We  
15 hypothesize~~Our hypothesis is~~ that the variations of dust across the ocean during Saharan  
16 dust storms contribute to the growth of the waves over the ocean through diabatic heating  
17 from the dust radiative effect. To investigate this, we focus on the dust over the oceanic  
18 domain (i.e., OSAL; rectangle in Figure 2a). The steps to study this are described in the  
19 following sections.

### 20 **3.3 Impacts of dust radiative effect on the energy of the AEWs**

21 Previous studies have discussed the dynamics of the AEWs as summarized in the  
22 introductory Section; however, the relationships between dust radiative effect and the

1 kinetic energy of the AEWs are still unexplored. In this Section, we investigate the  
2 relationships between the dust radiative effect of the atmosphere (TOA minus surface) and  
3 the kinetic energy of the AEWs during the boreal summer from 2000 to 2021.

### 4 **3.3.1 Composite analysis of eddy energetics with respect to dust variability**

5 The composite analyses were conducted for the boreal summer seasons of 22 years.  
6 The composite of the 2-6-day and 6-11-day filtered EKE (Figures 4a and 4b, respectively)  
7 are based on the EKE values for the times that correspond to the upper-quartile dust  
8 radiative effect in the OSAL region (rectangle in Figure 2a) minus the EKE values of the  
9 times correspond to the lower-quartile dust radiative effect. The steps to calculate  
10 composite diagrams are explained in Section 2.

11 The positive anomalies in Figure 4a show the increase of the 2-6-day EKE at the  
12 southern track ( $\sim 6\text{-}12^\circ\text{N}$ ) of the AEWs and further downstream over the northern track ( $\sim$   
13  $18\text{-}24^\circ\text{N}$ ) coincide with the enhanced radiative effect of dust over the offshore region. The  
14 dipole pattern of the positive and negative anomalies may also imply a possible southward  
15 shift of the 2-6-day EKE at the southern edge of the AEJ during high dust concentrations.  
16 A similar dipole pattern can also be seen in Figure 4c.

17 Figure 4c shows the increase of the zonally elongated 2-6-day eddies at the southern  
18 edge of the jet, which suggests that the strengthening of the 2-6-day zonal transient eddies  
19 may lead to the amplification of EKE (Figure 4a) over the southern track of the waves  
20 during dust events when the aerosol radiative effect is significant offshore. Meanwhile, the  
21 increase of the meridional elongated transient eddies (Figure 4d) coincides with the high  
22 concentrations of dust. Comparing this with Figure 4a suggests that during high dust

1 concentration in OSAL, the amplification of the 2-6-day EKE further downstream in the  
2 northern track of the AEWs corresponds to the enhanced meridional elongated transient  
3 eddies. While the positive anomalies of 2-6-day  $\overline{u'v'}$  (Figure 4e) is a weaker signal at the  
4 northern and southern tracks of the waves, it is still statistically significant, which shows  
5 that the enhancement of the baroclinic instability over the northern and southern tracks of  
6 the AEWs occurs during high aerosol radiative effect in OSAL.

7 The negative composite along the AEJ axis at about 12-18°N (Figure 4) can be  
8 related to the fact that the 2-6-day and 6-11-day EKE are not significant along the AEJ  
9 axis, where the MKE and the horizontal shear of mean-flow are strong (Figure 1a-b-c). As  
10 described in Section 3.1., the growth of transient eddies is more likely over the south and  
11 north side of the jet, where the jet weakens and thus offers a greater chance for the  
12 development of baroclinic AEWs (Figure 1f-g). While the negative anomaly may seem  
13 like a reduction of eddy activity along the AEJ axis simultaneously at the time of dust  
14 enhancement, in the next Section (3.3.2), we have evidence that the amplification of 2-6-  
15 day EKE along the AEJ axis starts on average two days after the peak of dust offshore  
16 (Figures 5 d-e).

17 We conducted the same composite analysis using MODIS AOD, which shows that  
18 the results are consistent whether the MERRA-2 radiative effect metric or the MODIS  
19 AOD data are applied (Figure S4). Overall, these composite analyses suggest a mechanistic  
20 relationship between the kinetic energy of the AEJ-AEWs system over the ocean and the  
21 aerosol radiative effect during dust outbreaks in summer. The enhanced dust offshore  
22 coincides with the strengthening of the baroclinic instability and amplification of the 2-6-  
23 day AEWs downstream, where the jet weakens and gives a chance to strengthen the

1 propagation of the zonally and meridionally elongated transient eddies over the southern  
2 and northern tracks of the waves, respectively. In the following Section, we study a possible  
3 time lag between the occurrence of dust storms and the changes in the activity of the waves  
4 over various domains.

5 To evaluate the possibility that these relationships may simply reflect correlations  
6 of dust and the EKE of the waves with the flow of the AEJ, we repeated the composite  
7 analysis of the 2-6 day period AEWs shown in Figure 4a for the three terciles of the mean  
8 speed of the AEJ (Figure S5). The differences in the composite EKE for high and low dust  
9 radiative effects are shown for low, mid, and high mean wind speeds of the AEJ. The  
10 structure of the EKE differences vary somewhat with the AEJ wind speed, however, the  
11 main features of enhanced EKE during high dust loading conditions along the southern  
12 track of AEWs south of the AEJ core and in the outflow region to the west of the northern  
13 track of the AEWs discussed above (Figure 4a) are present for all three terciles of the AEJ  
14 wind speed, which suggests that these differences in EKE with dust amount are  
15 independent of the mean speed of the AEJ and less likely to be a result of a spurious  
16 correlation with the AEJ wind speed.

### 18 3.3.2 Time-lag between dust outbreaks and the development of the AEWs

19 In this Section, we investigate a possible lag between the changes of the EKE with  
20 respect to the variability of dust radiative effect over the OSAL. We divide the northern  
21 track waves (18° to 24° N) and southern track (6° to 12° N) of the AEWs into two separate  
22 regions: Eastern Atlantic (-15° to -30°E) and Central Atlantic (-30° to -45°E). We also  
23 study the possible lag between dust in OSAL and the eddy activity downstream of the jet



1 core ( $12^{\circ}$  to  $18^{\circ}$ N) over the eastern and central Atlantic domains (Table 2). The time lag is  
2 investigated between composite EKE over each wave domain with respect to the composite  
3 dust radiative effect in OSAL. The methodology for calculating time lag is described in  
4 Section 2.

5 The variability of dust radiative effect (i.e., composite for daily upper quartile  
6 aerosol radiative effect minus daily lower quartile aerosol radiative effect) in Figure 5a  
7 represents the daily variations of radiative effect five days before and after the peak of dust  
8 in the OSAL region for the 22 years of boreal summer seasons. This shows the variability  
9 of the dust radiative effect associated with the dust outbreaks over the OSAL region is  
10 significant for about six days, as it starts three days before ( $T = -3$ ) and ends three days  
11 after ( $T = +3$ ) the peak of dust ( $T = 0$ ), which is consistent with the timescale of the 2-6-  
12 day AEWs. Similar analyses are conducted using the upper quartile radiative effect only to  
13 investigate such relationships for the days with high dust concentration (Figure S65). The  
14 results are consistent with the patterns shown in Figure 5.

15 Figures 5b represents the time evolution and changes in 2-6-day EKE of the  
16 northern track AEWs further downstream over the eastern Atlantic Ocean. The changes in  
17 EKE seem negligible at  $T < 0$  before starting the high variations in dust in OSAL; however,  
18 the growth of EKE occurs on average at  $T = 0$ , coinciding with the peak of dust, and then  
19 continues growing and reaches its maximum about three days ( $T = +3$ ) after the peak of  
20 dust variations. In contrast, although a slight decrease and increase of EKE are seen  
21 respectively before and after dust peaks, the variations of the northern track EKE over the  
22 eastern Atlantic (Figure 5c) seem weaker compared to those further downstream.  
23 Comparing Figure 5b with the composite analysis in Figure 4a suggests that the

1 enhancement of the northern track 2-6-day EKE, further downstream over the central  
2 Atlantic, coincides with the peak of dust and is even more significant on average three days  
3 after dust peaks in OSAL.

4 The negative variations of the EKE in Figures 5d and 5e at  $T = 0$  are consistent with  
5 the negative composite of the EKE along the AEJ axis in Figure 4. This means that the  
6 decay of EKE along the jet axis over the Central Atlantic (Figure 5d) is initiated before  
7 dust activity; however, the rapid growth of EKE starts on average two days ( $T = +2$ ) after  
8 the peak of dust and is maximized about three to four days ( $T \sim +3$  to  $+4$ ) after the peak of  
9 dust in OSAL. A similar, but weaker pattern, is seen across the jet axis over the eastern  
10 Atlantic (Figure 5e).

11 Figures 5f and 5g show that the changes in EKE are maintained positive before and  
12 after dust activity. Comparing Figure 5f with Figure 5a suggests that the activity of both  
13 dust plumes in OSAL and the southern EKE anomalies over the central Atlantic is initiated  
14 about three days ( $T = -3$ ) before dust peaks, and then amplification of EKE continues and  
15 reaches its maximum on average two days ( $T = +2$ ) after dust peaks.

16 Over the eastern tropical Atlantic (Figure 5g), the EKE variations seem negligible  
17 during dust storms. The weaker signal of the southern track EKE variations over the eastern  
18 Atlantic can be explained by the dynamic and energy of the AEJ-AEWs system (Figure 1),  
19 as this is the region where the southern edge of the jet is dominant, and the MKE and  
20 conversion of energy to EKE through BCC are significant. This suggests that while the  
21 positive anomalies of EKE over this region coincide with the enhancement of dust in  
22 OSAL, the influence of dust radiative effect on changes in EKE could be weak  
23 quantitatively over the eastern tropical Atlantic compared to the amount of energy

1 exchange between the components of the AEJ-AEWs system at the southern edge of the  
2 jet core.

3 Comparing Figures 5b, 5d, and 5f reveals evidence of the mechanistic relationship  
4 between variability of dust radiative effect offshore and the changes in the 2-6-day EKE  
5 further downstream over the Central tropical Atlantic, where the easterly flow weakens at  
6 the exit region of the jet over the central Atlantic. On average, the peak of dust load in  
7 OSAL occurs a few days before the amplification of the EKE downstream of the AEJ; a  
8 similar pattern is also seen with a weaker signal over the eastern tropical Atlantic. The lag  
9 analyses, summarized in Table 3, suggest that the peak of dust aerosols loading offshore  
10 over the OSAL region precedes the amplification of EKE further downstream of the AEJ  
11 over the central Atlantic Ocean. This evidence is consistent with our hypothesis on the  
12 influence of dust radiative effect, fueling the EKE of the 2-6-day AEWs downstream of the  
13 AEJ over the tropical Atlantic Ocean, where tropical cyclogenesis and hurricane activity  
14 occur. We further investigated our analyses by selecting various dust domains (e.g., 12° to  
15 22°N and -38° to -28°E, shown in Figure S76) and showed that our findings are consistent  
16 regardless of the location of dust domain in SAL across the tropical Atlantic Ocean.

17

#### 18 **4 Conclusions**

19 While previous studies showed the ~~impact of AEJ relationship between Saharan~~  
20 dust transport ~~and AEJ and AEWs~~ across the Atlantic Ocean (Perry et al., 1997; Liu et al.,  
21 2008; Francis et al., 2020; Francis et al., 2021) the feedback of dust to AEJ-AEW is not  
22 well understood. A few recent studies showed that dust affects the atmospheric dynamics  
23 of the Atlantic Ocean by enhancing ~~AEJ and AEW~~ strength (e.g., ~~Bereos-Hickey et al.~~

1 ~~2017~~; Jones et al., 2003; 2004; Ma et al., 2012; Hosseinpour and Wilcox, 2014; Grogan et  
2 al., 2016; 2019; Bercos-Hickey et al., 2017; 2020) (Table 4 is provided for more details).  
3 However, the mechanisms of such effects are still ~~unclear an open questions~~. Moreover, to  
4 the best of our knowledge, the mechanistic effects of dust on the eddy energetics of the  
5 waves have not been addressed in previous studies. This has motivated us to explore  
6 relationships between dust outbreaks and metrics that quantify the production of eddy  
7 kinetic energy in AEWs toward a deeper understanding of the role that the dust radiative  
8 effect may play in the production of eddy kinetic energy of AEWs.

9 This study shows mechanistic relationships between the radiative effect of dust  
10 aerosols in SAL and the kinetic energy of the AEWs across the tropical Atlantic Ocean  
11 using 22 years of daily satellite observations, as well as reanalysis data based on satellite  
12 assimilation. Dust plumes across the Atlantic are not only merely transported by the AEJ-  
13 AEWs system but also contribute to increasing the kinetic energy of the baroclinic AEWs  
14 through diabatic heating. The enhanced dust contributes to an increase in meridional  
15 temperature gradients (Hosseinpour and Wilcox, 2014), which leads to an increase in  
16 baroclinicity and amplification of the EKE of the AEWs.

17 The efficiency of dust radiative effect in the atmosphere is a heating of roughly 20  
18  $\text{Wm}^{-2}$  per unit AOD over the ocean and 35  $\text{Wm}^{-2}$  per unit AOD over land (Figure 2c). This  
19 agrees with in-situ measurements (Soupiona et al., 2020) and regional climate modeling  
20 (Saidou Chaibou et al., 2020) of the Saharan dust radiative effect. This radiative effect of  
21 dust aerosols in the SAL contributes to the diabatic heating of the atmosphere in the regions  
22 (Hosseinpour and Wilcox, 2014) where the increase in temperature gradients leads to the  
23 growth of baroclinic waves through the conversion of energy to EKE in the AEJ-AEWs

1 system. Outbreaks of high dust concentrations in the SAL coincide with the growth of the  
2 meridionally elongated 2-6-day transient eddies over the northern track of AEWs (~18-  
3 24°N) and zonally elongated eddies over the southern track of AEWs (~6-12°N) ([Figure](#)  
4 [4](#)). This leads to amplifying the EKE of the AEWs, particularly at the exit region of the  
5 AEJ, where the MKE and the horizontal shear of mean flow are weakened. This offers the  
6 chance for downstream development of the AEWs, associated with enhanced dust. The  
7 dust-induced enhancement of AEW through a buoyancy source was shown by Grogan et  
8 al. (2016), albeit with a different methodology (i.e., analytical and regional modeling  
9 analyses). In addition, our results agree with a case study of the Saharan dust event by a  
10 regional climate model (Bercos-Hickey et al., 2017) that showed that Saharan dust causes  
11 AEW to shift northward and expand westward.

12 The growth of the baroclinic transient eddies, and the corresponding EKE of the 2-  
13 6-day AEWs, is amplified at the exit region of the AEJ, on average, two to four days after  
14 the enhancement of dust upstream in the OSAL region ([Figure 5](#)). Our findings show that  
15 dust activity precedes the amplification of EKE, suggesting that the diabatic heating from  
16 [the](#) dust radiative effect can fuel the development of the AEWs. This mechanistic impact  
17 of dust radiative effect on ~~to~~ AEW development is consistent across the tropical Atlantic  
18 Ocean.

19 This study further supports a hypothesis ~~between that the~~ dust radiative effect  
20 [contributes to the EKE of and](#) transient wave dynamics, ~~that may be tested in sensitivity~~  
21 ~~studies with dynamical climate models to explore further the cause and effect of such~~  
22 ~~relationships. An advantage of using the MERRA-2 reanalysis for this study is that ~~T~~the~~  
23 data assimilation in MERRA-2 provides a [more](#) realistic representation of circulation.

1 including the AEWs, than an unconstrained atmospheric model. However, one limitation,  
2 while one caveat of using MERRA-2 alone is that it is not possible to compare a dust-free  
3 circulation to a dusty circulation to an equivalent dust-free circulation. The goal of this  
4 study was to determine if the observed relationships are consistent with a role for dust  
5 radiative effects, which has been argued in some prior modeling studies, and to advance a  
6 methodology to explore in more detail the mechanisms by which EKE is generated in  
7 AEWs and their relationships to dust radiative effects which can be applied either to  
8 reanalysis or output from model sensitivity studies. To account for the possibility that our  
9 observed relationships might result from a coincident response of dust and the EKE of  
10 AEWs to variations in the speed of the AEJ, we have determined that our results showing  
11 enhanced EKE following the passage of dust radiative effect events occur during periods  
12 of relatively weak AEJ speeds as well as during periods of moderate and strong AEJ speeds  
13 (Figure S5). Furthermore, we have performed temporal lag analyses to demonstrate the  
14 enhancement of EKE is observed in the days following the peak in the dust radiative effect,  
15 as would be expected if the EKE is responding to the diabatic heating by dust (Figures 5,  
16 S6, and S7). Although a few studies (e.g., Bercos-Hickey et al., 2017; 2020) have used  
17 regional models, to the best of our knowledge, there is no global climate model study that  
18 explicitly quantifies the impact of dust on AEWs in a coupled system. The empirical  
19 relationships apparent from this study will be examined in a follow-on study of atmospheric  
20 general circulation model simulations using the Community Earth System Model (CESM)  
21 with and without the dust radiative effect to further explore the hypothesis linking dust  
22 radiative effects to AEW dynamics.

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7

## 8 **Data availability**

9 MERRA-2 aerosol, radiation, and meteorological datasets can be obtained from  
10 <https://disc.gsfc.nasa.gov/datasets>. MODIS AOD retrievals are accessible through  
11 <https://modis.gsfc.nasa.gov/data/dataproduct/mod04.php>. Numerical codes developed to  
12 conduct data extraction, analysis, and visualization will be provided upon request.

13

14

## 15 **Author contributions**

16 FH and EW originated this study. FH formulated, developed, and implemented the codes,  
17 and analyzed the results. FH drafted and finalized the paper, and EW provided edits and  
18 revisions.

19

## 20 **Competing interests**

21 The authors have no competing interests.

22

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1 **Table 1** MODIS and MERRA-2 data information applied in this study

Dataset	Product Name	Variables	Spatial Resolution	Temporal Resolution	Data Reference
MODIS	MOD08_D3	550-nm AOD, Deep-blue AOD	1°×1°	daily	Platnick (2015)
MERRA-2	M2I6NPANA	U, V, T, H	0.5°×0.625°	3-hourly (averaged to daily)	GMAO (2015a)
	M2T1NXRAD	SWF <sub>TOA<sub>tot</sub></sub> , SWF <sub>TOA<sub>clean</sub></sub> , SWF <sub>sfc<sub>tot</sub></sub> , SWF <sub>sfc<sub>clean</sub></sub>	0.5°×0.625°	1-hourly (averaged to daily)	GMAO (2015b)
	M2I3NPASM	Omega	0.5°×0.625°	3-hourly (averaged to daily)	GMAO (2015c)

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**Table 2** The coordinates of domains of transient changes across the tropical Atlantic

6 Ocean:

AEW domains		
Description	Central Atlantic	Eastern Atlantic
Northern track waves	18° to 24°N -45° to -30°E	18° to 24°N -30° to -15°E
Downstream of jet-axis	12° to 18°N -45° to -30°E	12° to 18°N -30° to -15°E
Southern track waves	6° to 12°N -45° to -30°E	6° to 12°N -30° to -15°E

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1 **Table 3** Summary of lag analyses showing AEWs evolution before and after dust peaks in

2 OSAL:

Downstream development of eddy activity – Central Atlantic			
	Before Dust-peak	Simultaneously at Dust-peak	After Dust-peak
Northern track AEWs	T < 0 Negligible changes in EKE	T = 0 EKE starts increasing	T = +3 Max EKE
Along the AEJ axis	T < 0 Negligible changes in EKE	T = 0 Decrease of EKE	T = +2 EKE starts increasing  T ~ +3 to +4 Max EKE
Southern track AEWs	T = -3 EKE starts increasing	T = 0 Increase of EKE	T = +2 Max EKE

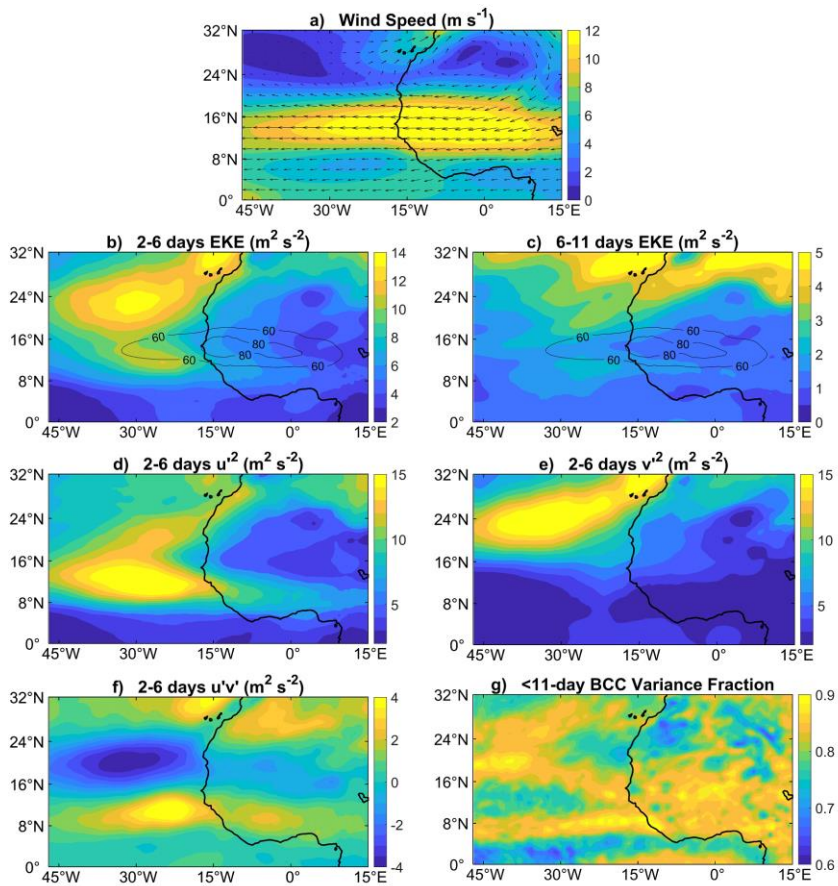
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5 **Table 4.** Summary of relevant previous studies focused on the impact of dust on  
6 AEJ/AEWs.

<u>Study type</u>	<u>Publication</u>	<u>Highlights</u>
<u>Data analysis</u>	<u>Jones et al. (2003; 2004)</u>	<u>Using 22-year reanalysis data and the outputs of a dust model, they showed that dust is associated with the enhancement of AEWs.</u>
	<u>Hosseinpour and Wilcox (2014)</u>	<u>Using 13-year reanalysis and satellite data, they showed that dust radiative forcing is correlated with meteorological features of AEWs.</u>
<u>Modeling</u>	<u>Ma et al. (2012)</u>	<u>By conducting regional numerical simulations of WRF for dust outbreaks and modifying heating rates within the model as a way to account for dust, they showed that dust heating has a weak positive impact on AEWs via promoting convection.</u>
	<u>Grogan et al., (2016; 2019)</u>	<u>Using an idealized version of WRF coupled with a dust model and with a supercritical background flow, they found that dust enhances AEWs through a buoyancy source.</u>
	<u>Bercos-Hickey et al. (2017; 2020)</u>	<u>They performed numerical simulations using WRF radiatively coupled with a dust model, and showed that both AEJ/AEWs shift northward and westward by dust.</u>

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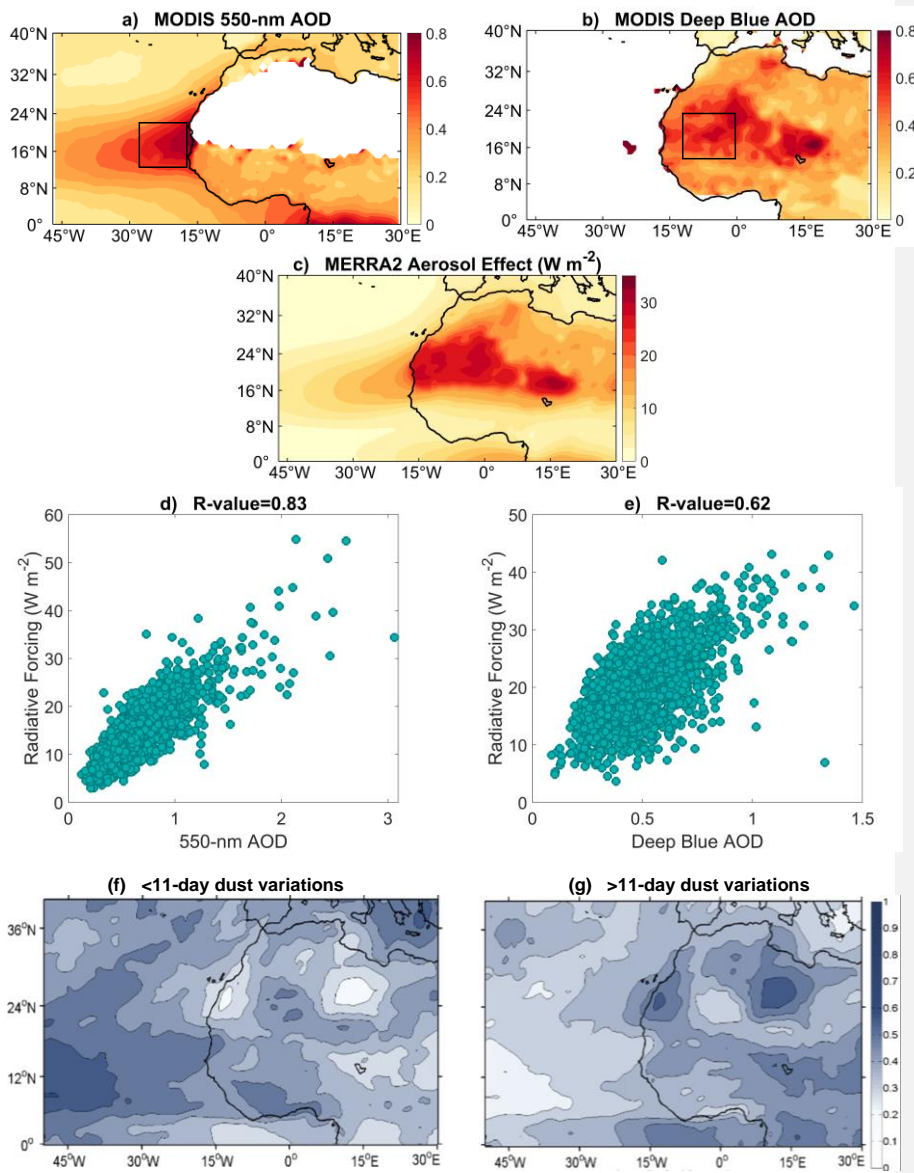
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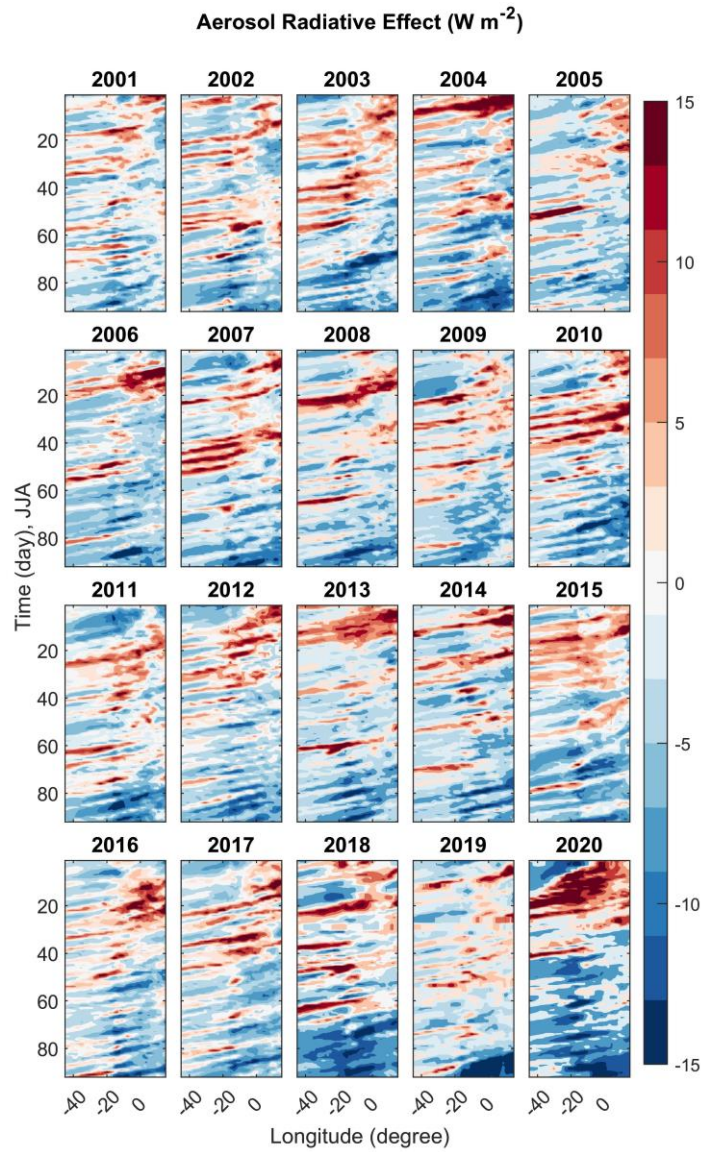
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**Figure 1** (a) Long-term mean of 600-hPa wind speed ( $\text{m s}^{-1}$ ) from MERRA-2 reanalysis over JJA, 2000-2021. (b) Same as (a) but for 2-6-day bandpass filtered EKE ( $\text{m}^2 \text{s}^{-2}$ ) at 600-hPa. (c) Same as (b) but for 6-11-day bandpass filtered EKE. (d) Same as (b) but shows the 2-6-day variance of zonal wind,  $\overline{u'^2}$ , ( $\text{m}^2 \text{s}^{-2}$ ). (e) Same as (b) but shows the 2-6-day variance of meridional wind,  $\overline{v'^2}$ , ( $\text{m}^2 \text{s}^{-2}$ ). (f) Same as (b) but for the 2-6-day filtered transient momentum fluxes,  $\overline{u'v'}$ , ( $\text{m}^2 \text{s}^{-2}$ ). (g) Fraction of less than the 11-day variance of 600-hPa Baroclinic Conversion (BCC) with respect to the total variance of BCC in JJA, 2000-2021.

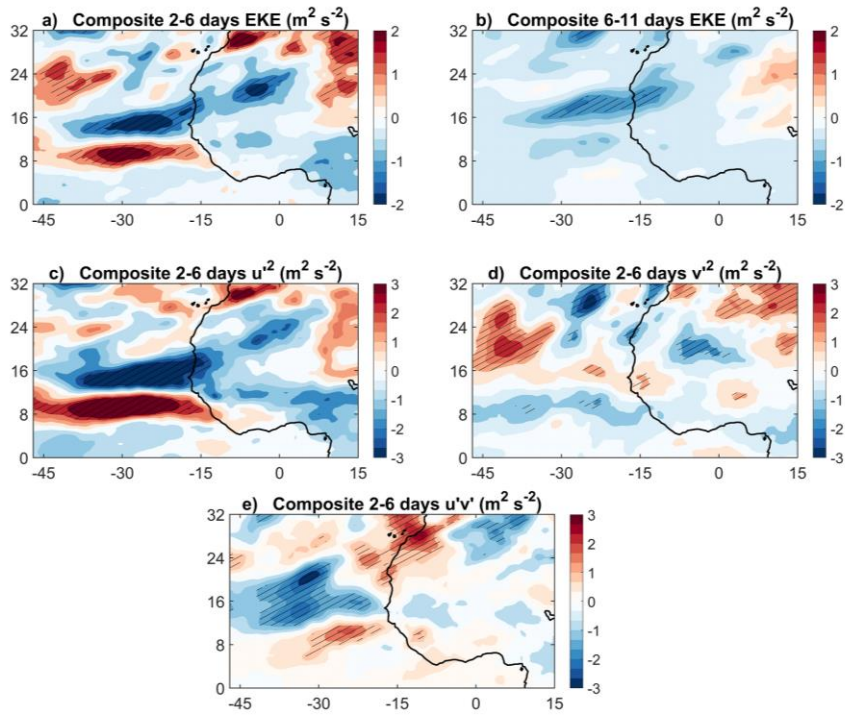


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2 **Figure 2** (a) Long-term mean of 550-nm aerosol optical depth (AOD) from the MODIS over JJA, 2000-  
3 2021. (b) Same as (a) but for 470-nm MODIS deep-blue AOD. (c) Same as (a) but for aerosol shortwave  
4 radiative effect ( $Wm^{-2}$ ) in the atmosphere (TOA minus surface) from the MERRA-2 reanalysis. (d)

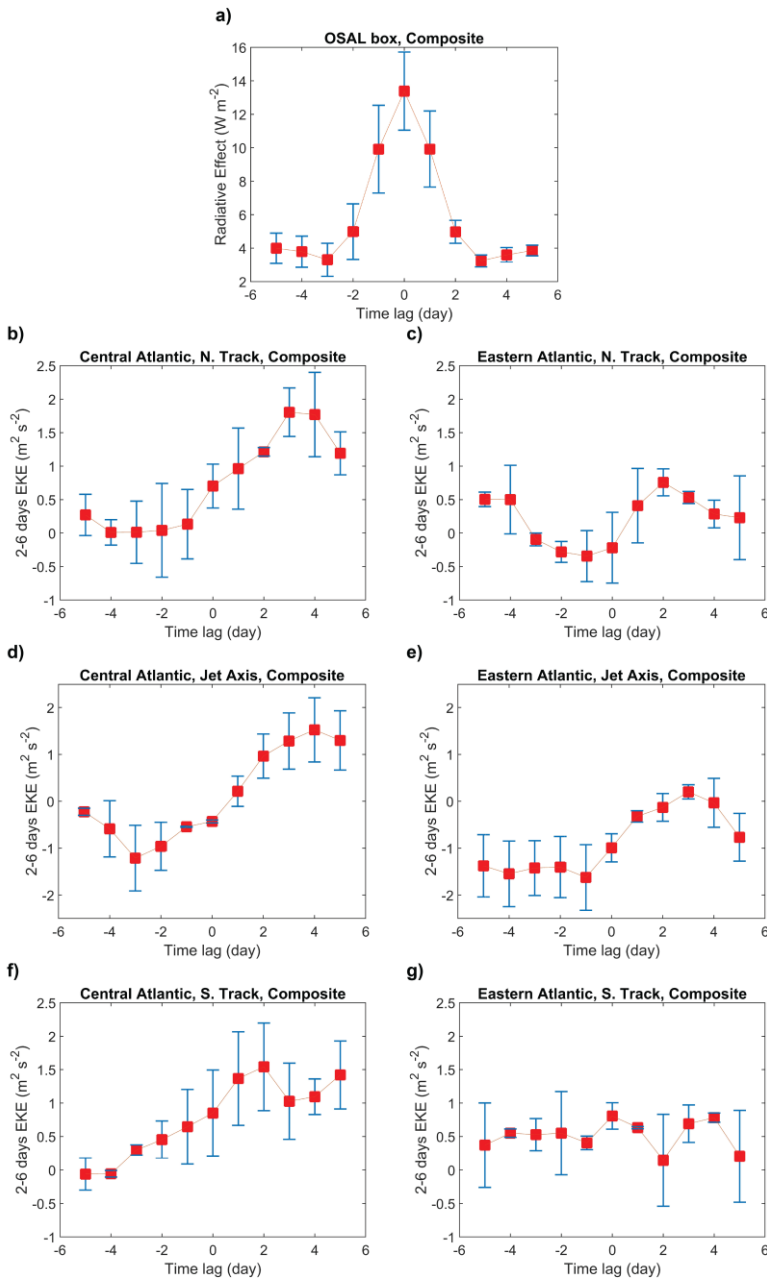
1 Relationship between MODIS AOD and MERRA-2 radiative effect for JJA, 2000-2021. Each data point  
2 shows daily data averaged over the OSAL region (rectangle in 2a). The results are statistically significant  
3 with P-value  $< 0.05$ . (e) Same as (d), but for MODIS deep blue AOD over the land (rectangle in 1b). (f)  
4 Fraction of variations of less than 11-day for the variance of aerosol radiative effect with respect to the total  
5 variance using the long-term mean of aerosol radiative effect in the atmosphere (TOA minus surface) from  
6 the MERRA-2 reanalysis over JJA, 2000-2021. (g) Same as (f) but for variations of more than 11-day.  
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 2 **Figure 3** Time-longitude Hovmöller diagrams of aerosol radiative effect daily anomalies ( $Wm^{-2}$ ) using the  
 3 MERRA-2 reanalysis for all individual boreal summer seasons, JJA from 2000 to 2021, meridionally  
 4 averaged ( $12-22^{\circ} N$ ) over the OSAL domain (rectangle in Figure 2a). Daily anomalies of aerosol radiative  
 5 effect are calculated with respect to the seasonal time average of radiative effect for each year.



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 2 **Figure 4** (a) Composite 600-hPa 2-6-day filtered EKE ( $\text{m}^2\text{s}^{-2}$ ) values for the times corresponding to the upper  
 3 quartile aerosol radiative effect minus the EKE values of the times corresponding to the lower quartile aerosol  
 4 radiative effect over the OSAL domain (rectangle in Figure 2a). The calculations are conducted using the  
 5 MERRA-2 reanalysis for JJA, 2000-2021. (b) Same as (a) but for 6-11-day filtered EKE ( $\text{m}^2\text{s}^{-2}$ ). (c) same as  
 6 (a) but for the 2-6-day variance of zonal wind,  $\overline{u'^2}$ , ( $\text{m}^2\text{s}^{-2}$ ). (d) As in (a) but for 2-6-day the variance of  
 7 meridional wind,  $\overline{v'^2}$ , ( $\text{m}^2\text{s}^{-2}$ ). (e) Same as (a) but for the 2-6-day filtered momentum fluxes,  $\overline{u'v'}$ , ( $\text{m}^2\text{s}^{-2}$ ).





1 **Figure 5** (a) Daily time series of composite aerosol radiative effect for the days in the upper quartile minus  
2 those days in the lower quartile radiative effect, spatially averaged over the OSAL domain (rectangle in  
3 Figure 2a).  $T = 0$  is assigned for the days with the highest variability of aerosol radiative effect in the  
4 OSAL.  $T = +/- 1$ ,  $T = +/- 2$ ,  $T = +/- 3$ ,  $T = +/- 4$ , and  $T = +/- 5$  are assigned for five days before and five  
5 days after each individual dust event, averaged over for 22 years, JJA, 2000-2021. (b) Same as (a) but for  
6 the composite 2-6 day filtered EKE at 600-hPa, spatially averaged over the northern track AEWs in the  
7 central Atlantic ( $18^{\circ}$  to  $24^{\circ}$ N,  $-45^{\circ}$  to  $-30^{\circ}$ E). (c) Same as (b) but for the eastern Atlantic ( $18^{\circ}$  to  $24^{\circ}$ N,  $-$   
8  $30^{\circ}$  to  $-15^{\circ}$ E). (d) Same as (b) but spatially averaged over the domain, downstream of the AEJ in the central  
9 Atlantic ( $12^{\circ}$  to  $18^{\circ}$ N,  $-45^{\circ}$  to  $-30^{\circ}$ E). (e) same as (d) but for the eastern Atlantic ( $12^{\circ}$  to  $18^{\circ}$ N,  $-30^{\circ}$  to  $-$   
10  $15^{\circ}$ E). (f) same as (b) but spatially averaged over the southern track of the AEWs in the central Atlantic  
11 ( $6^{\circ}$  to  $12^{\circ}$ N,  $-45^{\circ}$  to  $-30^{\circ}$ E). (g) Same as (f) but for the eastern Atlantic ( $6^{\circ}$  to  $12^{\circ}$ N,  $-30^{\circ}$  to  $-15^{\circ}$ E). The  
12 domains of the wave activity are listed in Table 3.