

Review of “Better constrained climate sensitivity when accounting for dataset dependency on pattern effect estimates”
by Modak and Mauritsen.

Note- Our responses to the reviewer’s comments are in red color text.

The authors present a new estimate of how much the “pattern effect” affects equilibrium climate sensitivity (ECS) inferred from 1871—2017 climate change. Many pattern-effect studies use the AMIPII sea-surface temperature (SST) dataset but there are unresolved inter-dataset differences in SST evolution. The submission shows how, in a single climate model, different SST datasets affect estimated ECS_hist. The paper is in scope and takes a sensible approach. Despite my review’s length, I picked minor revisions because I expect the main conclusions to be robust – with the caveat that all estimates of ECS_hist are expected to have issues.

Before supporting publication, I ask that the authors address a series of comments on several themes below, then end with minor comments.

I strongly suggest that panel Fig. A1(a) goes into the main manuscript. It allows identification of which periods matter for ECS. I was interested in WWII when ERSST and HadSST-based datasets have very different corrections, plus recent decades for which we have satellite-based constraints. Different communities will care about such results which are hard to interpret from Fig. 7(a).

We thank the reviewer for appreciating the approach of this study and for the constructive comments that helped improve the manuscript. The point by point responses are provided below.

Thanks for the suggestion on Figure A1(a). To update ECS estimates based on the historical warming, the entire long-term period is generally applied, in this case 1871-2017. In Figure 7 we show how the long-term slope evolves when we increase the regression length by a year derived from different SST datasets. Hence, we find it relevant for this study and decide to retain Figure 7 in the main text. We agree that the sliding 30-year evolution of climate feedback is useful as it helps to identify how the 30-year feedback varies and can be used to compare the datasets. However, we do not use the 30-year periods to update ECS where the start and end year of the regression changes, and so is not central to our work. Hence, we decide to keep it in the supplementary.

1. Discussion and results to contextualise this paper

I think your discussion of the causes of historical pattern effects is insufficient (e.g. “could be either externally forced or could be internal variability driven”). As I understand it, your ECS_hist correction is only valid if the pattern effect is internal variability and/or non-CO2 forced. If it’s CO2 forced, as proposed in Seager et al. (2019, doi: 10.1038/s41558-019-0505-x),

then your correction would be invalid. Please explicitly discuss.

This is an interesting point, although we are not entirely sure what the reviewer thinks is invalid. For a given model the forced pattern effect can be derived from an ensemble of historical simulations. This pattern effect is the result of all forcing agents applied in the respective historical simulation. Taking the same model and running it with prescribed SST patterns from reconstructions then gives us a model estimate of the total pattern effect. The difference may then be interpreted to be due to internal variability.

We now clearly state and discuss in the revised manuscript "We call it "total" to highlight the fact that the *observedSST-piForcing* AGCM simulations encapsulates the radiative effect of spatial distribution of temperature which depends on the all external forcings during the historical period as well as internal variability (Gregory et al., 2019; Seager et al., 2019; Watanabe et al., 2020; Lewis and Mauritsen, 2021). On the other hand, for a given model the forced pattern effect can be derived from an ensemble of historical simulations. This pattern effect is the result of all forcing agents applied in the respective historical simulation. The difference between the "total" and "forced" could then be interpreted to be due to internal variability (discussed in next section). It is worth mentioning that recent studies (e.g. Seager et al., 2019) while interpreting the temperature gradient in the equatorial Pacific proposed that rising greenhouse gases is the cause for the observed temperature gradient. However, other studies supports natural forcing internal variability are equally possible causes (e.g. Gregory et al., 2019; Watanabe et al., 2020; Olonscheck et al., 2020).

I believe you could also derive time-varying λ_{hist} as in Figs. 7(a) and A1(a) from the historical runs. Does that suggest a role for a time-varying forced pattern effect in this model?

Thanks for the suggestion. In the revised manuscript, we have now added the time varying climate feedback from the historical simulation Figure 7(a) and A3(a). We derive it from the mean of the 10 ensemble members of historical simulations. For a given model the forced pattern effect can be derived from an ensemble of historical simulations. This pattern effect is the result of all forcing agents applied in the respective historical simulation. So, yes, time-varying λ_{hist} from the historical simulations is the forced pattern effect.

It also seems obvious to compare to prior work. Can you reproduce Fig. 7(a) and Fig. A1(a) with the Lewis & Mauritsen (2021) CAM5.3 Green's function results for the in-common SST datasets? Both tests could go in the appendix with brief main-text commentary, unless results are particularly interesting.

Thanks. Instead of reproducing Figure 7(a) and Figure A1(a), in the revised manuscript, we add a figure (also shown below) which compares the total pattern effect estimated based on the *observedSST-piForcing* simulations of this study and the total pattern effect inferred from Table-2 of (Lewis and Mauritsen, 2021) which are derived based on CAM5.3 Green's function. We find that the pattern effect is substantially different between the two in the case of ERSSTv5, COBE-SST2, hadSST4-krig and had4-krig.

In the revised manuscript, we add "We inferred the total pattern effect from (Lewis and Mauritsen, 2021) for the in-common SST datasets which they calculated based on CAM5.3 Green's function (Figure A1). We find that the estimates of total pattern effect are substantially different from our estimates for some of the SST datasets."

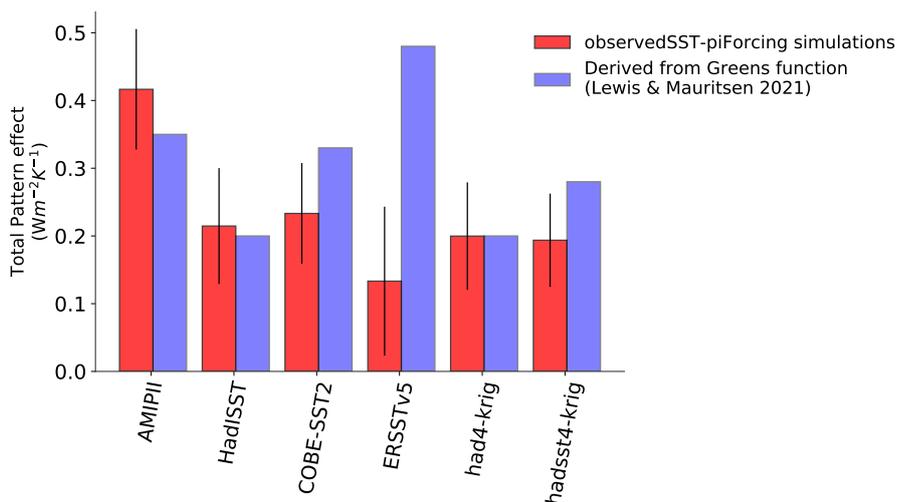


Figure 1

2. Method clarifications

Some methodology details confused me.

P5L108 paragraph. Did you remove piControl drift? Was preindustrial volcanism included in the piControl runs? Do these factors change N and therefore ECS_hist?

Thanks. We estimate the changes relative to the last 500 years of piControl simulation and do not remove the piControl drift. We have checked and find that removing the piControl drift instead does not change the estimate of ECS. Further, MPI-ESM1.2-LR has more than 10000 years spin-up *piControl* simulation and does not have a drift (Mauritsen et al. 2019).

MPI-ESM1.2 model do not have pre-industrial volcanic stratospheric aerosols prescribed in the spin-up and piControl simulations (Mauritsen et al. 2019).

For the standard error from SST datasets, is that just the Gaussian standard error on the mean, treating each SST result as independent?

We write in section 3. *Model, datasets and experiments* "Unless otherwise specified, throughout the text, the displayed results are based on the mean of the 5 ensemble simulations while the uncertainty denotes the standard error from the ordinary least square (OLS) regression and the ranges are the 5-95th percentiles." We now add in section 4.5 *An updated estimate of ECS* "IN this section, note that we consider the best estimate while the uncertainty (standard deviation) and ranges denotes the 5-95% confidence intervals."

How do you combine the errors in Sec. 4.5? I followed AR6 Fig. 7.6 to Table 7.SM.14 and the cited notebook (https://github.com/chrisroadmap/ar6/blob/main/notebooks/100_chapter7_fig7.6.ipynb). I don't see where error combination method is stated. Please clarify.

We took the 5-95% ranges of ERF for CO₂ and other well-mixed greenhouse gases from Table 7.6, estimated the standard deviations and have added them in quadrature to obtain the uncertainty. In the revised manuscript, we add "We deduced the uncertainty by taking the 5-95% ranges of ERF of the well-mixed greenhouse gases from Figure 7.6 of AR6 report and adding them in quadrature."

3. Robustness tests and some extra detail

How linear are things? Can you show (perhaps appendix?) annual N versus T by SST dataset? Can you check your lambda regression calculations with something more robust than OLS to outliers (e.g. Theil-Sen)? The results would help say something about robustness.

We include a figure in supplementary *Figure A1* that shows the OLS regression between annual N versus T by SST dataset. We redid the calculations with Theil-Sen regression and find that it considers the recent warming period as outliers, which is not particularly helpful. We do not include it in the manuscript. Both figures are shown below.

Linear regression

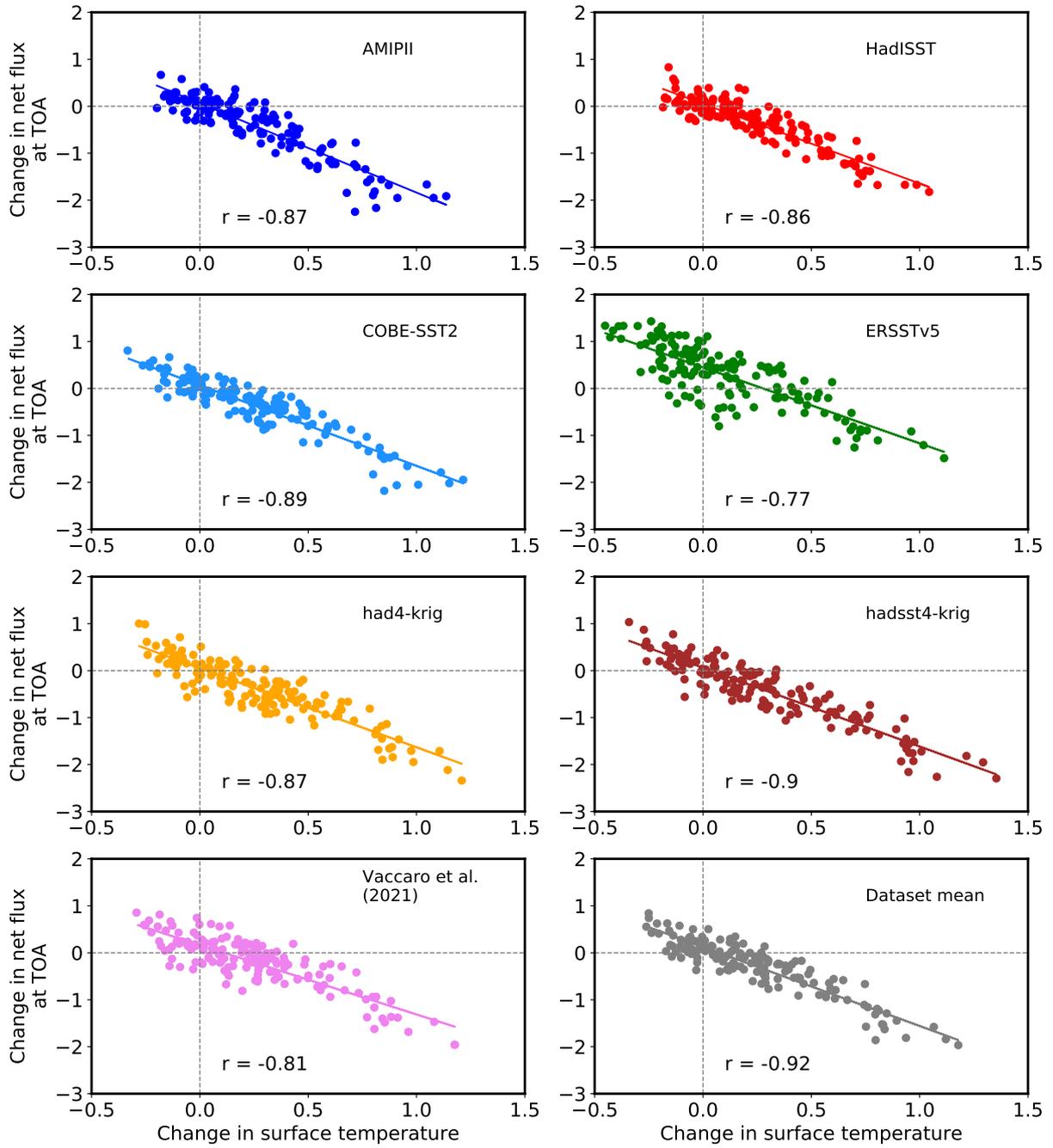


Figure 2

Theil-Sen regression

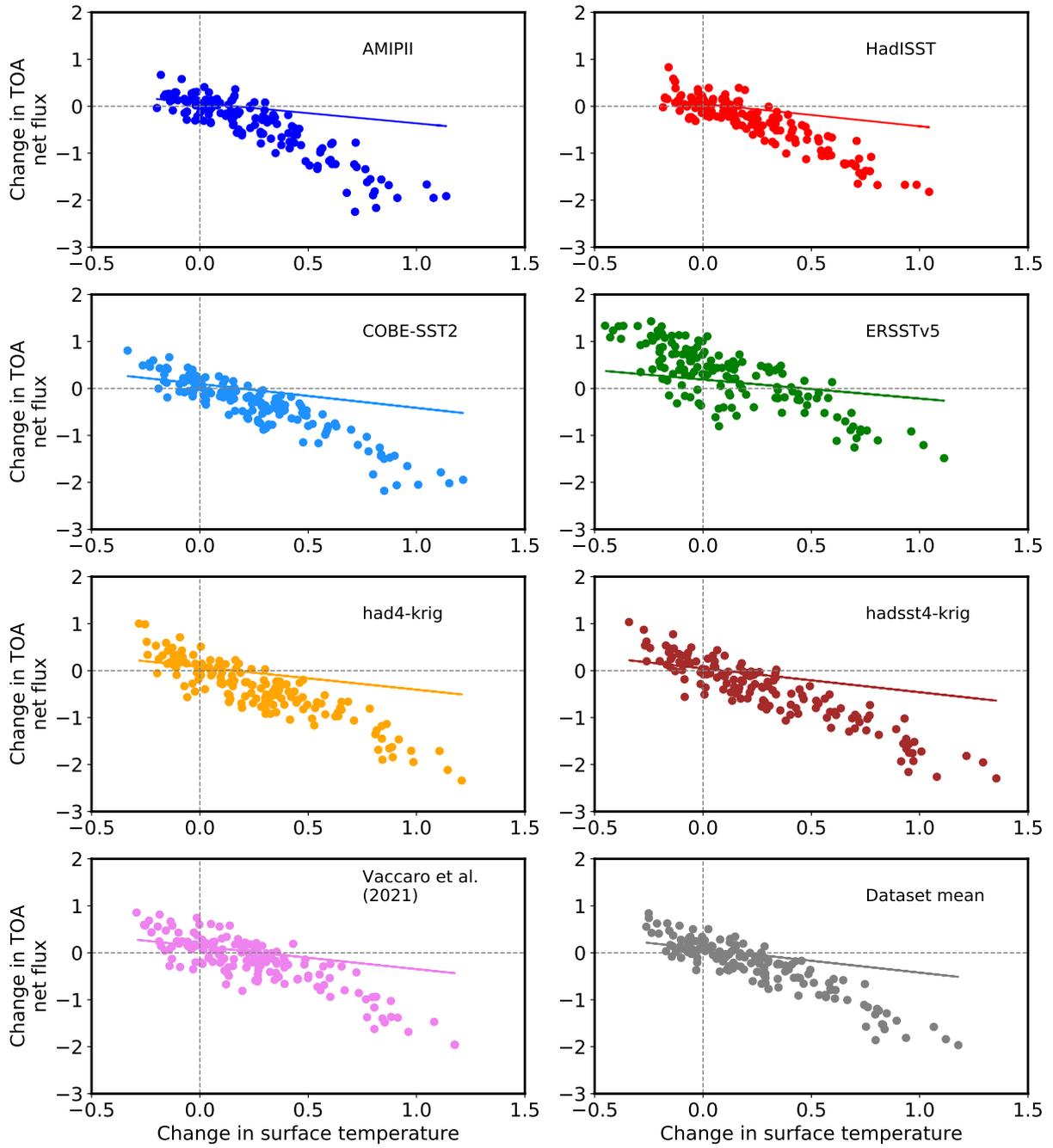


Figure 3

Long-term linear fits to SST(t) could be misleading. What if Fig. 3, 5-6 analysis were repeated with e.g. LOWESS or differences between start and end periods?

In agreement with Referee no. 2, we find a calculation of more relevance to feedback and patterns effects is the SST change per global-mean temperature change, rather than a trend in time. Hence, in the revised manuscript, we have updated the analysis of Figure 3, Figure 5 and Figure 6 by regressing $dT(\text{lat},\text{lon},t)$ against global-mean $dT(t)$ instead of temperature trends as a function of time. We find that the overall results do not change.

Are there periods (e.g. from the 30-year regressions) where the Fig. 5/6 regions seem more predictive of λ ? Only interesting results need to go into the paper or appendix. You might find times or locations that are particularly impactful.

For the Figs. 5/6 regional analysis – did you try any combinations e.g. a Pacific west-east difference? Is there no additional info from a larger Sc/trade Cu region? I understand you should limit paper content, but it would be nice to know you checked at least Pacific ascent minus descent region differences given the number of papers that have highlighted the east-west gradient.

We find that this additional analysis is beyond the scope of the current study. This can be addressed in a different study.

You report narrowed ECS uncertainty but to some it may be counter intuitive that adding SST source uncertainty shrinks the final errors. Your title emphasises the reduced uncertainty – but somewhere it should be stated that this just comes from the smaller ECS thanks to how the division-by-lambda works. Spelling this out would be helpful, as would mentioning some extra caveats that I did not see:

In the revised manuscript in the abstract we add "... which as a result of the weaker pattern effect is slightly lower and better constrained than in previous studies" and in the conclusion section we add "which is better constrained than that in the AR6 report as a result of the slightly weaker mean pattern effect".

- Are SST dataset lambdas treated as independent in the standard error calculation? If yes, are your standard errors too small since they're not actually independent?

When we apply our dataset dependent total pattern effect estimate to update the instrumental record constrained ECS estimate from AR6, we consider λ from the *observedSST-piForcing* simulations independent. We calculate the mean of $\Delta\lambda$ and the standard deviation is calculated as the deviation among the $\Delta\lambda$ from the *observedSST-piForcing* simulations.

In the revised we add more discussion.

We acknowledge in section 3. *Model, datasets and experiments* that the measurement sources of some of the SST datasets from where they are constructed from is common.

- Do you use model spread as an error? If yes, please add the usual comments about ensemble of opportunity etc and how that affects interpretation.

Yes, we apply the model spread from (Andrews et al., 2022) when we update the instrumental record constrained ECS estimate from AR6. Since this was done many times before, we do not see a reason to justify it here.

- You said that you “*had to assume that variations in the pattern effect as estimated among the models and across the datasets are independent of each other*”. It seems likely there is a correlation though, justifying your suggested next steps in the final sentence. You could do error propagation with assumed correlations between model and SST errors and a sentence or two could then say “strong correlation (r =whatever) does/doesn’t greatly affect these conclusions...”.

Basically your headline is “reduced uncertainty” and I’d like extra clarity on exactly what you’re claiming.

Indeed, we assume that the uncertainties from model- and dataset- dependencies are independent. But the method applied also assumes that the ECHAM/MPI-ESM model is similarly different to other models in all other SST datasets as it is in the amip-piForcing simulation. To account for this we adjusted the mean values.

In the revised manuscript, we have updated the calculations based on the results from (Andrews et al., 2022). We now explicitly discuss this. We add a figure (Figure A4, shown below) in supplement which also shows the comparison between $\Delta\lambda$ derived from amip-piForcing simulations and difference in $\Delta\lambda$ between AMIPII and HadISST forced simulations from Andrews et al. (2022). As can be clearly seen there is no obvious correlation.

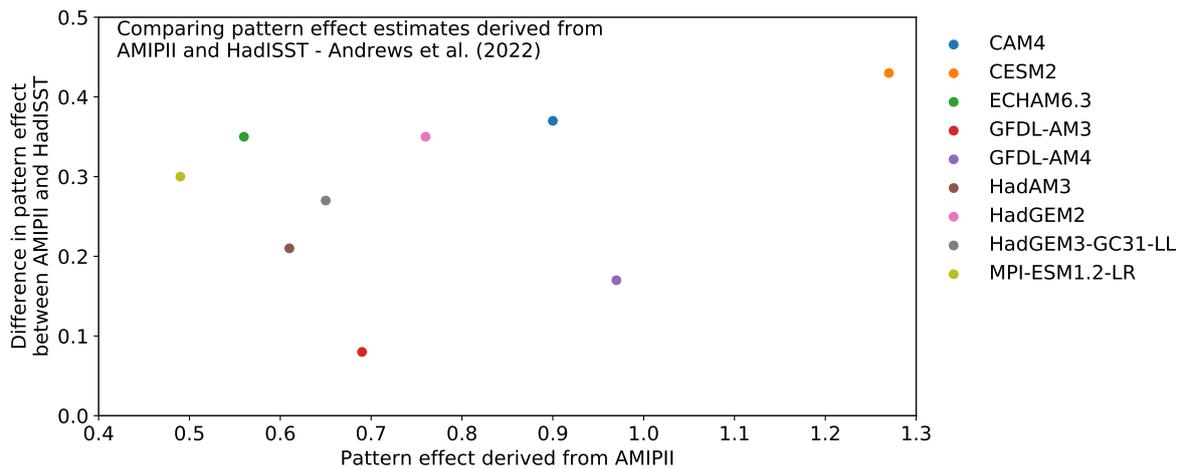


Figure 4

Minor/typos

Suggest extending subscripts to be absolutely clear, specifically “ECS_hist” (or “ECS_amip”) to discriminate as you do with your λ subscripts.

Thanks. We considered the suggestion. But since we clarify whenever stated in the manuscript that ECS is constrained based on the historical period we decided to retain “ECS”.

P1L11 – 95 percentile -> 95th percentile

Done.

P1L19—20: “*To know what is required to meet the Paris Agreement goal it is imperative to better quantify and understand the rate of global warming*” You aren’t really studying warming rates. Maybe something like “. . . it is imperative to better quantify and understand the ultimate amount of warming in response to a given forcing.”

Replaced.

P2 lines messed up but between 25 and 30: “*It can be framed as $N = F + \lambda T$, where N is the planetary energy imbalance which is generally measured as the net downward radiative flux at the top-of-the-atmosphere (TOA), F is the external radiative forcing, measured as the effective radiative forcing (ERF)*” I think you mean “defined” rather than “measured”, both for TOA flux (even CERES is pinned to Argo etc for the mean) and ERF is clearly not measured either.

Thanks. In the revised manuscript, we have changed it to “defined”.

P2 lines and equation: The equation uses ΔT and the text uses T both to denote changes in T . The same for N and F . I suggest using ΔT , ΔF and ΔN consistently to denote changes.

T , N , F in equation represents the change in the parameters “relative to an unperturbed equilibrium climate state where $N = F = 0$ ”. In the equation that gives ECS

$$\text{ECS} \approx \frac{F_{2\times} \Delta T}{\Delta F - \Delta N},$$

are the changes taken between two periods, in this case 1850-1900 and 2006-2019.

In the revised manuscript, to clarify we now add “...where the change in temperature, forcing and energy imbalance is taken between two periods, e.g. 1850-1900 and 2006-2019 denoted by ΔF , ΔT and ΔN .”

P2L32—33: “To reconcile this discrepancy the community looked into the concept of pattern effect which is not taken into account in the traditional energy balance framework.” This misses how changes in T data have reduced the apparent differences. Both Lewis Curry and Otto et al. used HadCRUT4. Pick some relevant citation – a recent e.g. is Clarke Richardson (2021, doi: 10.1029/2020EA001082) whose Table 2 shows how 1850—2019 ECS_hist estimates would increase relative to HadCRUT4. Cowtan Way infilling is worth about +10 %, while Berkeley Earth’s more substantial improvements (more stations, infilling, improved sea ice treatment) give +17 % to ECS_hist. The authors could persuade me otherwise, but updated global temperature datasets seem both important context for a study based on inter-dataset issues.

Thanks for pointing to Clarke and Richardson (2021). We added “... In addition there has been upward revisions to the temperature record through in-filling (e.g. Clarke and Richardson,

2021), downward revisions to total forcing and small revisions to estimates of energy imbalance (Bellouin et al., 2020; Von Schuckmann et al., 2020; IPCC, 2021)."

P2L42: "Atmosphere-Ocean General Circulation Model (AOGCM) simulations show that the long term climate response (response to *abrupt4xCO2*) resembles a temperature pattern similar to 'El-Nino Southern Oscillations' (ENSO)" This could be interpreted to mean that models have shown/proven the "real" long-term pattern and should be rephrased to something like: AOGCMs' simulated pattern resembles ENSO. I think you wanted to make this point in P3L47 when you said "assumed correct", but I wasn't sure. Change from: "... leads to more stabilizing feedback while an assumed correct opposite future temperature distribution would lead to a less stabilizing feedback" To something like: "... leads to more stabilizing feedback while the simulated future temperature distribution would lead to a less stabilizing feedback"

Thanks. It is changed now. Also, we modify to "Atmosphere-Ocean General Circulation Model (AOGCM) simulates a long term climate response (response to *abrupt4xCO2*) that resembles a temperature pattern similar to 'El-Nino Southern Oscillations' (ENSO)"

Figure 1 caption: are your fixed-SST simulations really "AOGCM" which you've defined as "atmosphere ocean GCMs"? I interpreted AOGCM as including coupling to an ocean model.

abrupt4xCO2, historical are AOGCM while *observedSST-piForcing* are Atmosphere-only GCM simulations is written in the caption.

P3L57—58: "Such estimates are model dependent, nevertheless most models yield a dampening pattern effect based on the AMIPII dataset." Alone, "dampening effect" could simply mean negative feedback in general. I assume you mean dampening relative to the long-term *abrupt4xCO2* pattern? If yes, please correct.

Thanks. We now write "Such estimates are model dependent, nevertheless most models yield a dampening pattern effect relative to the long-term *abrupt4xCO2* pattern based on the AMIPII dataset (Andrews et al., 2018)."

Fig. 3 – second row first column figure is labelled "HadSST" rather than "HadISST"

Corrected.

P5L113—115: "For λ_{hist} , we take the changes in the global annual mean N and T from 1851-2014 of historical simulation relative to the mean of 1851-1900 of the same. Since, there are 10 ensemble members present in the historical simulation, we use the mean of the ensembles in our calculation" Can you rephrase? I think this is saying you took the mean of 1851-2014 minus the mean of 1841-1900 but am not certain. Also, did you mean "ensemble mean" instead of "mean of ensembles"?

In the revised manuscript, we have rephrased to "For λ_{hist} , we take the changes between the global 'annual' mean N and T of the entire period 1851-2014 of *historical* simulation relative to the mean of first 50 years of the same. Since, there are 10 ensemble members present in the *historical* simulation, we use the ensemble mean in our calculation."

P8L142: is this the mean and standard error of the fits to each dataset, calculated using the standard Gaussian assumption, or something else?

The mean is the difference between λ_{hist} and λ from the *observedSST-piForcing* simulations. The standard error is calculated by the adding the errors from each in quadrature.

P8L145: rogue decimal point in error: 0.0.25

Done.

P8L151: typo “expectced” -> “expected”

Done.

P8L161: typo gap in 0 .59

Done.

P10Fig6: Are y axis units $W m^{-2} K^{-1}$? Please add axis labels or explain why not.

Updated with y-axis units.

P11L195—196: “while the uncertainty is deduced from Figure 7.6 of this report.” - “this report” could mean your paper, whereas I infer you mean “AR6 Figure 7.6”

Changed to "AR6 report".

P12L204: typo “bt” -> “by”

Done.

P14L245: Table A1 says that AMIPII = HadISST until Nov 1981, is that correct? If yes, then what are the slight differences in Fig. 1? If so, then is it surprising that there are such big, consistent differences in feedbacks in Fig. 7(a) when you’ve got multiple runs with only land/atmosphere variability?

Although before Nov 1981, AMIPII is same as HadISST1, re-basing the SST anomalies and subsequent adjustments changes the SST values prior to 1981. We have now modified with some details "Before Nov 1981, it uses HadISST1 while afterwards uses OI.v2 SST fields. The merging is done by first adding the HadISST1 anomalies relative to its own based period to OI.v2 climatology for the same base period and then adjusting that eliminates outliers and produce physically realistic SST values. The re-basing and adjustments changes relative temperature values prior to 1981 as well. "

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