



1 Changes in global teleconnection patterns under global warming and stratospheric aerosol  
2 intervention scenarios

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15

## 16 **Abstract**

17 We investigate the potential impact of Stratospheric Aerosol Intervention (SAI) on the  
18 spatiotemporal behavior of large-scale climate teleconnection patterns represented by the North  
19 Atlantic Oscillation (NAO), Pacific Decadal Oscillation (PDO), El Niño/Southern Oscillation (ENSO)  
20 and Atlantic Multidecadal Oscillation (AMO) indices using simulations from the Community Earth  
21 System Models (CESM1 and CESM2). The leading Empirical Orthogonal Function of sea surface  
22 temperature (SST) anomalies indicates that greenhouse gas forcing is accompanied by increases in  
23 variance across both the North Atlantic (i.e., AMO) and North Pacific (i.e., PDO) and a decrease over  
24 the tropical Pacific (i.e., ENSO); however, SAI effectively reverses these global warming-imposed  
25 changes. The projected spatial patterns of SST anomaly related to ENSO show no significant change  
26 under either global warming or SAI. In contrast, the spatial anomaly patterns pertaining to AMO (i.e.,  
27 in the North Atlantic) and PDO (i.e., in the North Pacific) changes under global warming are effectively  
28 suppressed by SAI. For AMO, the low contrast between the cold-tongue pattern and its surroundings  
29 in the North Atlantic, predicted under global warming, is restored under SAI scenarios to similar  
30 patterns as in the historical period. The frequencies of El Niño and La Niña episodes increase with  
31 greenhouse gas emissions in the models, while SAI tends to compensate for them. All climate indices'  
32 dominant modes of inter-annual variability are projected to be preserved in both warming and SAI



33 scenarios. However, the dominant decadal and interdecadal variability mode changes induced by  
34 global warming are exacerbated by SAI, particularly in the Atlantic-based AMO.

35 **Keywords:** Ocean-atmosphere teleconnections; GLENS; SSP5-85; Stratospheric Aerosol  
36 Intervention; Global warming

37

### 38 **500-character non-technical text**

39 Teleconnections are important characteristics of the climate system, well-known examples include  
40 the El Niño and La Niña events driven from the tropical Pacific. We examined how patterns that arise  
41 in the Pacific and Atlantic Oceans behave under stratospheric aerosol geoengineering and  
42 greenhouse gas-induced warming. In general, geoengineering reverses trends, however in the  
43 Atlantic, the multidecadal oscillations that are shifted to higher frequencies by greenhouse gas are  
44 further strengthened.

45

### 46 **1. Introduction**

47 Although the Paris agreement and accompanying international commitments to decrease carbon  
48 emissions are an essential step forward, current nationally contributions have only about a 50%  
49 chance to restrict global mean temperature increase to 2°C above preindustrial (Meinshausen et al.,  
50 2022). Exceeding 2°C will lead to severe consequences and societal disruption worldwide as  
51 humanity is critically dependent on ecosystems, food, fresh water, and health systems which face  
52 rapidly challenging adaptation pressure above 2°C of global warming (Field and Barros, 2014).

53 In parallel with emissions reductions, solar radiation modification (SRM) has been suggested to limit  
54 global temperature increases and consequent climate impacts from anthropogenic greenhouse gas  
55 emissions. A naturally occurring analog of SRM is the well-known global surface cooling following  
56 large volcanic eruptions, albeit over relatively short periods. Simulations have shown that SRM  
57 decreasing total solar irradiance by about 2%, would roughly compensate for global warming from a  
58 doubling of CO<sub>2</sub> concentrations (Dagon and Schrag, 2016).

59 Oceans act as major drivers of climate variability worldwide (e.g., Shukla, 1998; Cai et al., 2021), and  
60 more than 90% of the excess energy balance of the earth arising from greenhouse gas emissions ends  
61 up heating the ocean (Cheng et al., 2015). Variations in sea surface temperatures (SSTs) and the  
62 global climate are linked through ocean-atmosphere energy exchanges that can be helpfully  
63 summarized by climate indices that characterize large-scale teleconnection patterns. That is  
64 recurring and persistent, large-scale anomaly patterns of pressure and circulation across large  
65 geographical regions. Some of the most referred to are El Niño/Southern Oscillation (ENSO), Pacific



66 Decadal Oscillation (PDO), Atlantic Multidecadal Oscillation (AMO), and North Atlantic Oscillation  
67 (NAO). The dominant inter-annual feature of climate variability on the planet is ENSO, and its state  
68 produces widespread climatic and environmental outcomes (Latif and Keenlyside, 2009). The PDO  
69 modulates marine ecosystems and global climate on decadal time scales (Mantua et al., 1997),  
70 impacts ENSO onset and frequency (Fang et al., 2014), and is useful for short- to long-term climate  
71 forecast (An and Wang, 1999). The AMO has broader hemispheric impacts beyond North American  
72 and European climates (Enfield et al. 2001), influencing the monsoons across North African, East  
73 Asia, and India (Zhang and Delworth 2006). The NAO is among the dominant climate variability  
74 modes in the northern hemisphere (Simpkins, 2021).

75 Several studies have explored how climate indices, particularly ENSO, respond to global warming  
76 and increasing greenhouse gas concentrations. Statistically significant systemic changes have  
77 occurred in ENSO dynamics and the evolution of El Niño and La Niña events since the 1960s (Moron  
78 et al., 1998; Capotondi and Sardeshmukh, 2017). ENSO may favor more severe events under global  
79 warming (Fedorov and Philander, 2001), and Cai et al. (2015) found that ENSO-associated disastrous  
80 weather consequences tend to arise more frequently under unabated CO<sub>2</sub> emissions. Cai et al. (2021)  
81 found an inter-model consensus on increases in forthcoming ENSO rainfall and temperature  
82 fluctuations under increasing greenhouse gas concentrations. The PDO, which is essentially the  
83 extra-tropical manifestation of ENSO, is simulated with a similar spatial pattern as at present under  
84 various future climates but with reduced amplitude and a shorter characteristic time scale (e.g.,  
85 Zhang and Delworth, 2016). The North Atlantic is a key ocean for investigating global climate  
86 changes (Wang and Dong, 2010), and acts as a major carbon dioxide sink (Watson et al., 2009).  
87 Atmospheric CO<sub>2</sub> concentrations vary with the phase of the AMO with the warm phase associated  
88 with lowered atmospheric CO<sub>2</sub> (Wang and Dong, 2010). The response of NAO to greenhouse gas  
89 warming is not yet conclusive, but sea surface temperature both in the North Atlantic and the  
90 conditions in the Arctic via the linkages between the NAO and the Arctic Oscillation and hence the  
91 stratosphere are important (Hamouda et al., 2021).

92 Stratospheric Aerosol Intervention (SAI), is a type of SRM that has been widely simulated by many  
93 global climate models (e.g., Kravitz et al., 2013), which is accompanied by changing in global  
94 circulations such as the NAO teleconnection pattern (Moore et al., 2014), and is known in various  
95 models to partially offset the decline in the Atlantic Meridional Overturning Circulation (AMOC; Xie  
96 et al., 2022). Undorf et al. (2018) simulated the North Atlantic SST cooling accompanied by the  
97 historical rise of stratospheric sulfate aerosol from North America and Europe dating back to 1850-  
98 1975. Gabriel and Robock (2015) is the only study to date that explores the effects of SAI in multiple



99 models on the possible amplitude and frequency changes of El Niño/Southern Oscillation (ENSO).  
100 They concluded that changes in ENSO in the SAI simulations were either not present or not large  
101 enough to be captured by their approach, given the across-model variability issue. Thus, little is  
102 known about possible changes that future global climate change scenarios with artificial cooling may  
103 have on ocean-atmosphere climate indices. Recently, a novel set of SRM models have been globally  
104 complete with the state-of-the-art climate models: Community Earth System Model versions 1 and  
105 2 (CESM1 and CESM2). These models have improved planetary boundary layer turbulence, aerosols,  
106 radiation, and cloud microphysics which should enable more reliable for the forthcoming global  
107 climate change projections (Mills et al., 2017).

108 We use the Geoenvironment Large Ensemble Simulation (GLENS) with 20 members from a single  
109 model, the Community Earth System Model 1 (CESM1) with Stratospheric Aerosol Intervention  
110 (GLENS-SAI), to explore the possible changes in teleconnection under future climate change  
111 scenarios. The models use the Representative Concentration Pathway (RCP) 8.5 high greenhouse gas  
112 emissions forcing state (Riahi et al., 2011) as a baseline and increase stratospheric sulfur injections  
113 through the century, to maintain global surface temperatures at 2020 levels. This produces an  
114 increasingly large signal-to-noise ratio through the 21<sup>st</sup> century. In addition, we use recent  
115 simulations (SSP5-8.5-SAI) with an updated model version (CESM2). For these simulations, the SSP5-  
116 8.5 greenhouse gas emissions scenarios were used as the greenhouse gas baseline scenario on which  
117 SAI was performed. The two different model experiments show some surprising differences in the  
118 required sulfur injections and climate outcomes with and without SAI applications (Tilmes et al.,  
119 2020, Fasullo et al., 2020). Thus, even models from different generations in the same family can  
120 produce sufficiently different climates to explore a range of plausibly real climate impacts. The goal  
121 of this study is to identify robust features across the two model versions in the response of climate  
122 indices (ENSO, PDO, AMO and NAO) to greenhouse gas induced global warming and its compensation  
123 by SAI.

124 We employed empirical orthogonal functions and wavelet transforms to decompose time series and  
125 study the differences in the teleconnection indices between the SSP5-8.5 and SSP5-8.5-SAI scenarios.  
126 Since teleconnection patterns are emergent features of the non-linear, chaotic climate system (Ghil  
127 et al., 2002), their underlying physical causes are complex and not necessarily the same in any model  
128 as on the real planet. Hence, we assess the potential changes in temporal and spatial characteristics  
129 of climate indices of AMO, NAO, ENSO, and PDO under both extreme warming greenhouse scenarios  
130 and with SAI employed to mitigate those warmings while maintaining extreme greenhouse gas  
131 concentration trajectories.



## 132 **2. Data and Methods**

### 133 **2.1. Models and scenarios**

134 We used two SAI models and scenarios: (1) CESM1 for GLENS-SAI and (2) CESM2 for SSP5-8.5-SAI.  
135 The GLENS (Geoengineering Large Ensemble) project used the RCP8.5 as a baseline scenario (Tilmes  
136 et al., 2018). Simulations were done by the Community Earth System Model version 1 (CESM1) with  
137 the Whole Atmosphere Community Climate Model (WACCM) as the atmospheric system integrated  
138 to land, ocean, and sea ice models (Mills et al., 2017). The resolution of atmospheric component is  
139 1.25° in longitude and 0.9° in latitude. A 20-member reference simulation for the RCP8.5 scenario  
140 (Riahi et al., 2011) over the 2010–2030 period with three ensemble members (001 to 003)  
141 continuing up to the end of the 21<sup>st</sup> century. GLENS-SAI is a 20-member ensemble of stratospheric  
142 sulfur dioxide (SO<sub>2</sub>) injection simulations, spanning 2020-2099. This experiment was designed to  
143 keep the mean surface temperature at 2020 global conditions, and also stabilize interhemispheric  
144 and equator-to-pole surface temperature gradients at 2020 values while forced by the RCP8.5  
145 greenhouse gas scenario. Stratospheric injections were performed at four different latitudes (15°N  
146 and 15°S at 25 km, 30°N and 30°S), at 22.8 km, and at 180° longitude using a feedback control  
147 algorithm (Kravitz et al., 2017; Tilmes et al., 2018). Each ensemble member was begun in 2010 with  
148 small differences in their initial air temperatures, while their ocean, sea-ice, and land temperatures  
149 were the same. Even before the start of the SAI injections in 2020, the fully coupled model produced  
150 variability between the ensemble members due to its chaotic nature. Here, we use all available  
151 members of the RCP8.5 and GLENS-SAI simulations, which extend until the end of the 21<sup>st</sup> century.  
152 For the analysis, we used monthly SST and sea-level pressure (PSL).  
153 We also analyzed output from the NCAR Community Earth System Model version 2- Whole  
154 Atmosphere Community Climate Model Version 6 (CESM2(WACCM6)). This model version was used  
155 for performing the Coupled Model Intercomparison Project Phase 6 (CMIP6; Eyring et al., 2016)  
156 simulations. Like GLENS, this SAI experiment is according to the high greenhouse gas emissions  
157 scenario, called SSP5-85 in CMIP6, (SSP5-8.5-SAI) and limits mean global temperatures to 1.5°C  
158 above 1850–1900 conditions, which without SAI, is exceeded around the year 2020 in  
159 CESM2(WACCM6) under SSP5-8.5. The experiment used sulfur injection locations at the same four  
160 latitudes as in GLENS to accomplish the same three temperature goals (Tilmes et al., 2020). We used  
161 the monthly SST and PSL data from all five members (r1 to r5) of the SSP5-8.5 scenario (covering  
162 2015-2100) and the three available ensemble members of SSP5-8.5-SAI that cover the period of  
163 2020-2100. For the analysis, we also applied a one-member historical simulation based on the  
164 specific CESM1(WACCM) version used for GLENS between 1980-2009 (denoted as “historical” in the



165 following). All three corresponding members (r1 to r3) from the CESM2(WACCM6) version were  
166 used for the historical period.

167

## 168 **2.2. Climate indices**

169 The AMO was calculated from the area-weighted average of SSTs across the northern Atlantic from  
170 0-70° N. The NAO was computed from the PSL time series at two stations: Gibraltar (to the south of  
171 Spain; around 36.1°N and 5.3°W) and Reykjavik (in the southwest of Iceland; around 64.1°N and  
172 22.0°W). The ENSO index follows the definition proposed by Trenberth (1997). Here, we used SSTs  
173 at the Niño 3.4 region (east-central equatorial Pacific between 5°N-5°S, 170°W-120°W) as a proxy  
174 for ENSO. After removing the global mean SST anomaly, the leading Empirical Orthogonal Function  
175 (EOF) of monthly SST anomalies across the North Pacific (20°-70°N) is termed PDO following Mantua  
176 et al. (1997). All these computations were analyzed through the Climate Data Toolbox prepared by  
177 Greene et al. (2019).

178 We characterized ENSO by El Niño and La Niña episodes. The ENSO index positive and negative  
179 episodes correspond to El Niño and La Niña respectively. Consistent with Gabriel and Robock (2015),  
180 ENSO episodes were identified as departures of at least 0.5 standard deviations from zero in a five-  
181 month running averaged ENSO time series. Each episode was characterized by its duration (years),  
182 the extreme peak excursion (°C), and the width at half extreme height (years).

183

## 184 **2.3. Spatio-temporal analyses**

185 Analyses in both space and time as well as modes of variability ranging from the inter-annual through  
186 decadal, to inter-decadal changes were used to identify the possible changes in the large-scale climate  
187 circulations resulting from global warming and SAI scenarios. EOF analysis is commonly used to  
188 extract the climate variability space-time modes (e.g., Chen and Tung, 2018; Joyce, 2002). We applied  
189 EOF to extract the first (dominant) modes of de-trended non-seasonal-SST and its corresponding  
190 variance across the North Atlantic and North Pacific, which are related to the AMO and PDO  
191 respectively. As ENSO is the primary indicator of global climate variability, we used the leading EOF  
192 of global SST anomalies in the study of ENSO.

193 The continuous wavelet transform (CWT) is commonly used to capture the primary characteristics  
194 of signals (Addison, 2018). For a time series  $(x_n, n=1, \dots, N)$  having regular time intervals  $\delta t$ , the  
195 CWT is computed as the convolution of  $x_n$  with the scaled and normalized wavelet (e.g., here we use  
196 the Morlet wavelet which gives reasonably equal weighting and resolution in time and period space;  
197 Grinsted et al., 2004):



$$198 \quad W_n^X(s) = \sqrt{\frac{\delta t}{s}} \sum_{n'=1}^N x_{n'} \psi_0 \left[ (n' - n) \frac{\delta t}{s} \right], \quad \psi_0(\eta) = \pi^{-1/4} e^{i\omega\eta} e^{-0.5\eta^2} \quad (1)$$

199 where  $s$  is the wavelet scale,  $\psi_0$  the Morlet wavelet,  $\omega_0$  dimensionless frequency, [ $*$ ] the complex  
200 conjugate, and  $\eta$  dimensionless time. The noise spectrum assigned to generate significance testing  
201 is a key issue in time series analysis. We concurred with the widely-used red-noise null hypothesis  
202 methodology based on 1000 synthetic series with the same mean, standard deviation and first-order  
203 autoregressive coefficient as the target time series produced by Monte Carlo approaches to estimate  
204 the significance of the CWT (Grinsted et al., 2004). Additionally, for each time series, CWT's global  
205 power spectrum was calculated as a function of time. The global power spectrum provides insight  
206 into the dominant temporal modes of variability of each climate index within each ensemble member  
207 for the reference greenhouse gas and SAI scenarios.

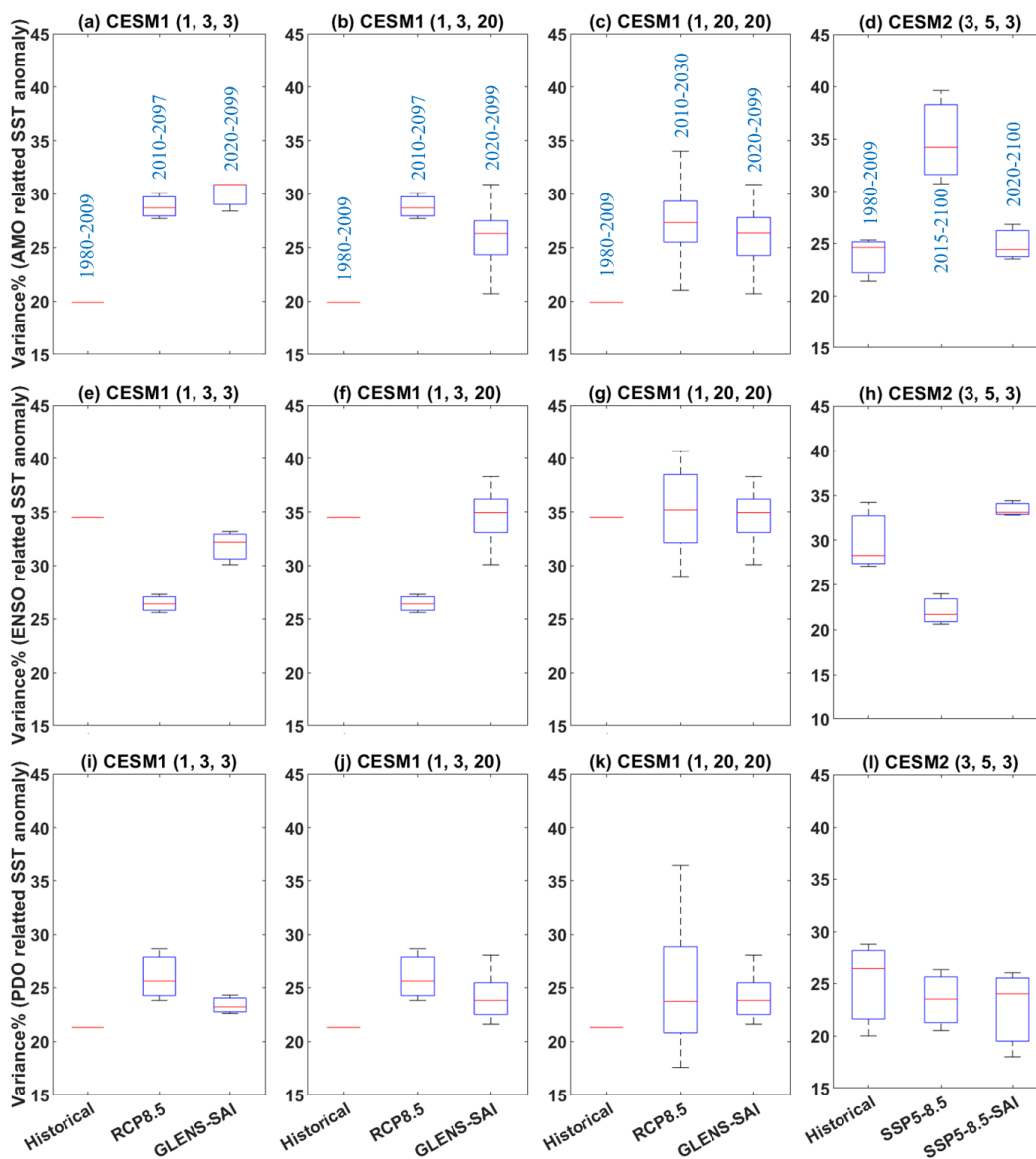
208 The individual ensemble members are treated as independent of each other in calculating the  
209 statistics of the ensembles. The CWT was conducted on monthly ENSO time series, and the 12-month  
210 moving averaged low-pass filtered signals of AMO, NAO, and PDO. We always use the longest  
211 available record length in every ensemble member to gain maximum statistical power to establish  
212 significant differences between experiments.

213

### 214 **3. Results:**

#### 215 **3.1. Changes in the spatial patterns**

216 Figure 1 reveals the projected changes in the variance of the SST anomalies related to the AMO (i.e.,  
217 across the North Atlantic), ENSO (i.e., global scale), and PDO (i.e., across the North Pacific) based on  
218 CESM1 and CESM2 results. There are three different plots for the CESM1 as the time period of the 20-  
219 member ensemble for RCP8.5 differ: ensembles 001 to 003 (2010-2097) are longer than the other  
220 17 ensemble members (2010-2030). For RCP8.5 and SSP5-85 using CESM1 and CESM2, respectively,  
221 the strong greenhouse gas forcing and global warming to the end of the 21<sup>st</sup> century increases the  
222 variance of the first EOF SST anomaly in the North Atlantic and North Pacific (representing AMO and  
223 PDO), but reduces the variance of the leading EOF in global SST anomaly (related to ENSO). SAI in  
224 CESM2 effectively restores the projected changes in the leading EOF variance of each index due to  
225 greenhouse gas forcing to its historical values. The lack of statistics for the CESM1 historical scenarios  
226 prevents firm conclusions for that model.



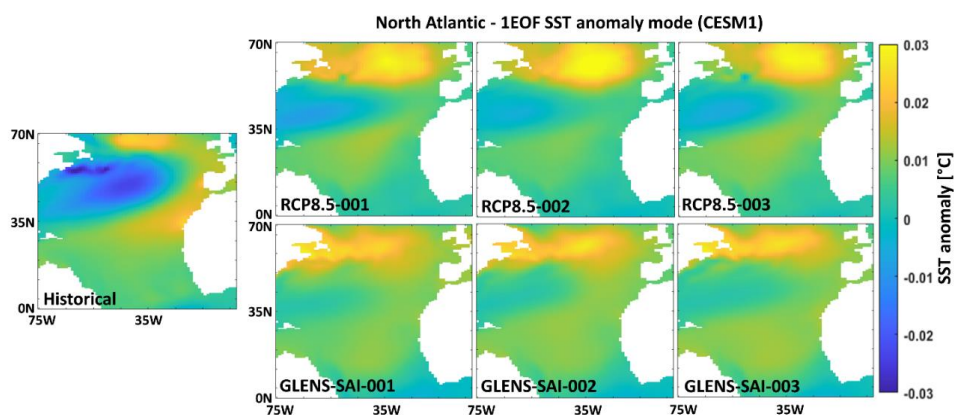
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228 **Figure 1.** Box and whiskers plot of the variance in the leading EOFs, representing AMO, PDO, and  
 229 ENSO, relative to the total variance of the SST fields: AMO across the North Atlantic (top row); ENSO  
 230 (middle row) global SST; and PDO across the North Pacific (bottom row). The values in blue on each  
 231 column box in the upper row show the period of the data for historical, greenhouse gas (i.e., RCP-  
 232 8.5 and SSP5-8.5), and climate intervention (GLENS-SAI and SSP5-8.5-SAI) scenarios. The titles of  
 233 each subplot refer to the CESM version and the number of ensembles used in the historical,  
 234 greenhouse gas (RCP8.5 and SSP5-8.5), and SAI (GLENS-SAI or SSP5-8.5-SAI) scenarios,  
 235 respectively. The median for each experiment is denoted by the red line, the upper (75<sup>th</sup>) and lower  
 236 (25<sup>th</sup>) quartiles by the top and bottom of the box and ensemble limits by the whisker extents.

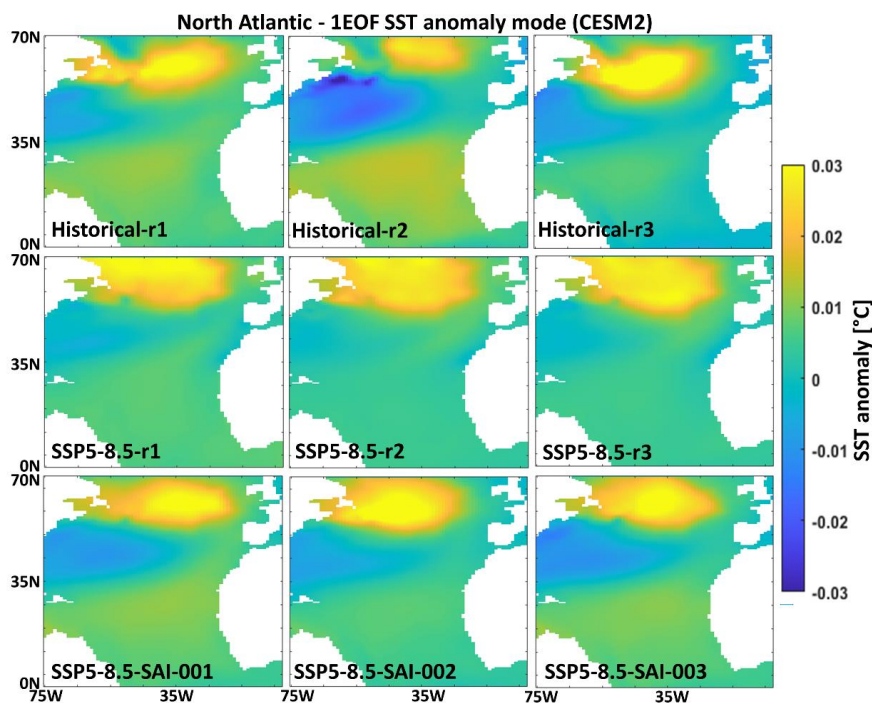




237 Figures 2-5 and S1-S2 (in Supporting Information) show the spatial anomalies of the leading EOF  
238 mode of the SST in the North Atlantic and North Pacific under both the CESM1 and CESM2. For the  
239 historical period, there is a cold-tongue pattern in the North Pacific broaden from the western to the  
240 eastern parts surrounded by warm water, particularly to the north. Greenhouse gas related global  
241 warming lowers the contrast between the cold-tongue pattern and its surroundings and increases  
242 the water temperature inside the cold-tongue-pattern, and also leads to a substantial expansion of a  
243 warm-pattern in the north. The same patterns (shown in Fig. 3) are also obtained under SSP5-8.5  
244 using CESM2. SAI effectively shrinks the warm pattern in the northern Atlantic under the RCP8.5 and  
245 SSP5-8.5. The SSP5-8.5-SAI experiment increases the temperature contrast in the cold-tongue  
246 pattern, while the GLENS-SAI does not. The projected changes in the spatial SST patterns across the  
247 North Atlantic, observed under global warming, are largely suppressed under SAI. This response of  
248 AMO to SAI is compatible with the observed changes in AMO imposed by anthropogenic and volcanic  
249 aerosols reported by Masson-Delmotte et al. (2021). Anthropogenic and volcanic aerosols are  
250 understood to have impacted the timing and magnitude of the cold (negative) episode in the  
251 historical AMO record between the mid-1960s and mid-1990s and succeeding warming (Masson-  
252 Delmotte et al., 2021). Anthropogenic aerosols have also been suspected as impacting historical SSTs  
253 elsewhere, particularly the decadal ENSO variability (e.g., Sutton and Hodson, 2007; Westervelt et al.,  
254 2018).  
255



256  
257 **Figure 2.** The first EOF (1EOF) patterns of SST anomaly across the North Atlantic relate to the AMO  
258 index simulated by CESM1 for the historical data and the three ensemble members (001 to 003)  
259 outputs under the RCP8.5 and GLENS-SAI scenarios.

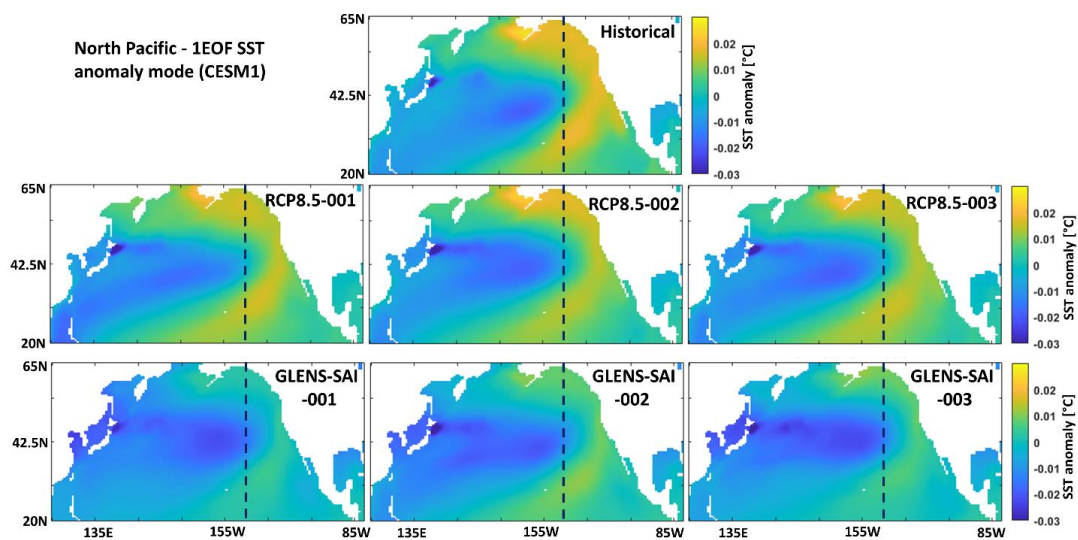


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261 **Figure 3.** As in Fig. 2, but for CESM2 and SSP5-8.5. r1 to r3 denote to the variant labels (i.e.,  
262 ensemble members).  
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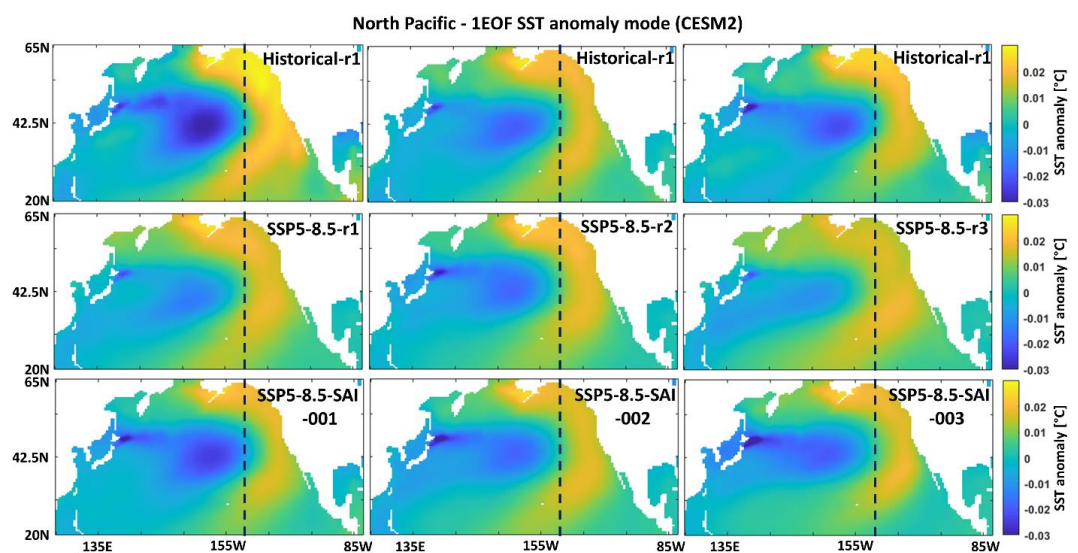
264 The leading EOF of monthly global SST anomalies corresponding to the ENSO mode (Figs. S1 and S2),  
265 and is seen as a warm-tongue pattern over the tropical Pacific, that exhibits very similar patterns  
266 under both global warming and SAI scenarios as in the historical period. However, Fig. S3 shows that  
267 the warm-tongue pattern in CESM1 and CESM2 has an excessive westward extension relative to  
268 observations, which is compatible with the findings of Capotondi et al. (2020).

269 While the first EOF SST anomaly across the North Pacific under both global warming and SAI  
270 scenarios in CESM1 and CESM2 (Figs. 4 and 5) exhibits a similar cold-tongue pattern (typical of the  
271 North Pacific) as in the historical period, a lower contrast between the cold-tongue pattern and its  
272 surroundings is observed under SSP5-8.5 (Fig. 5), which is effectively compensated by the  
273 geoengineering scenarios of SSP5-8.5-SAI. There is an excessive eastward expansion of the cold-  
274 tongue pattern with cooler temperatures under the SAI scenario as simulated by the CESM1 (Fig. 4),  
275 which is due to the cooling of the water imposed by the SO<sub>2</sub> injection.



276

277 **Figure 4.** The first EOF (1EOF) modes of SST anomaly across the North Pacific relate to the PDO  
278 index in CESM1 for the historical data and the three ensemble members (001 to 003) output from  
279 the RCP8.5 and GLENS-SAI scenarios.



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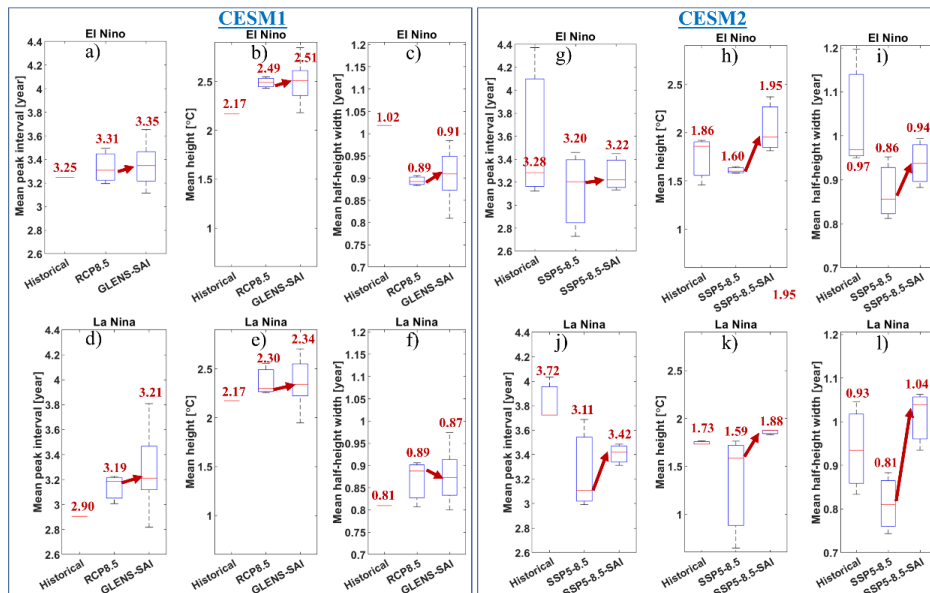
**Figure 5.** As in Fig. 4, but for CESM2.



286 **3.2. Temporal evolution of indices**

287 Figure 6 displays the projected changes in the El Niño and La Niña episodes in the ENSO index under  
 288 global warming and SAI. Although the global warming scenario simulated by CEMS2 tends to reduce  
 289 the time between, as well as the intensity and duration of the El Niño and La Niña episodes compared  
 290 to the historical conditions, this is less clear for CEMS1. CEMS2 results suggest that with greenhouse  
 291 gases risings, the frequency of the El Niño and La Niña episodes increases (right panel of Fig. 6), which  
 292 is supported by earlier research on climate simulations e.g., Fredriksen et al., (2020); Cai et al. (2014)  
 293 and Yun et al. (2021) for El Niño, and Cai et al. (2015) for La Niña. The mean peak interval in CEMS2  
 294 presented in Fig. 6j (6g) decreases from 3.72 (3.28) in the historical period to 3.11 (3.20) years for  
 295 the La Niña (El Niño) events in the SSP5-85 scenario. Nonetheless, for both SAI experiments using  
 296 CEMS1 and CEMS2, the peak intervals, height (i.e., intensity), and width (i.e., duration) of both the El  
 297 Niño and La Niña episodes are found to increase relative to the global warming scenarios without  
 298 SAI, except for La Niña under CECM1 which shows a small decrease (Fig. 6f). However, while CEMS2  
 299 provides more ensemble members over the historical period, SAI tends to compensate for the  
 300 changes in frequency, intensity, and duration of the El Niño and La Niña episodes.  
 301

302



303 **Figure 6.** The projected changes in the mean peak interval, height, and half-height width of El Niño  
 304 and La Niña events for global warming (RCP8.5 and SSP5-8.5) and SAI (GLENS-SAI and SSP5-8.5  
 305 -SAI) scenarios simulated by CEMS1 (left panel) and CEMS2 (right panel). The values

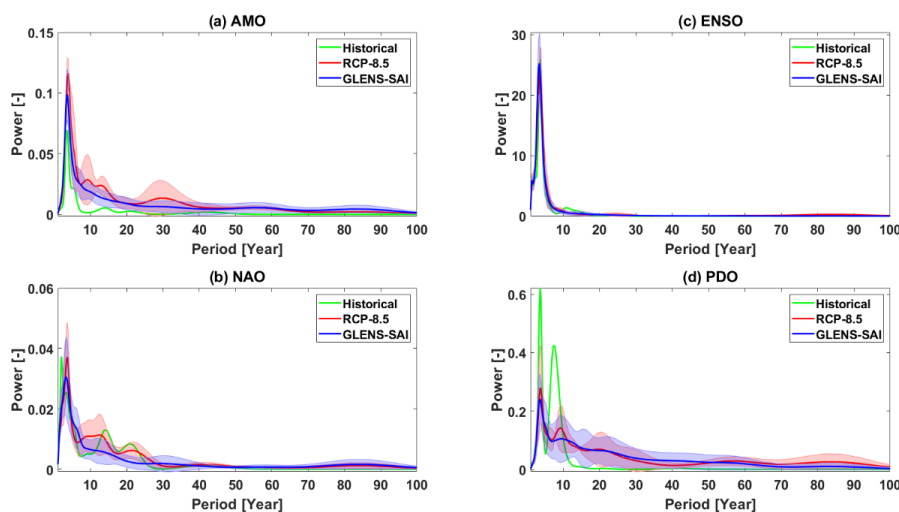


306 labeled in red on each box show their median. The red flashes are to highlight the effect that SAI has  
307 on El Niño and La Niña events relative to global warming conditions.

308

309 Another way to illustrate the temporal evolution of signals is by using the power spectrum. Figures  
310 7 and 8 compare the changes in temporal variability of each climate indices (AMO, NAO, ENSO, and  
311 PDO) using the global power spectrums of CWTs under the global warming and SAI scenarios  
312 simulated by both the CESM1 and CESM2.

313 In CESM1, the dominant mode of AMO in the historical simulation occurs in the 3-5-year band which  
314 shows no significant change at the 95% level under both global warming and SAI. The historical NAO  
315 has three dominant modes at 1.5 to 4 years (inter-annual), 14 years (decadal), and 21 years (inter-  
316 decadal) and which also show no significant change under global warming. The dominant inter-  
317 annual mode of NAO is simulated to be preserved under SAI, however, the decadal and interdecadal  
318 modes present in the historical simulation and RCP8.5 disappear. For ENSO, the dominant historical  
319 inter-annual modes show no significant change under both global warming and SAI. In contrast, the  
320 dominant decadal mode disappears under global warming, while SAI does not effectively restore it.  
321 Historical PDO also has two dominant modes, at inter-annual (i.e., 3 to 4.5 years) and near decadal (6  
322 to 10 years) scales, of which the inter-annual is preserved under both global warming and SAI, but  
323 the near decadal mode is weakened under global warming and SAI does not effectively restore it.



324

325 **Figure 7.** The CWT global power spectrums obtained for the indices of (a) AMO, (b) NAO, (c) ENSO,  
326 and (d) PDO under RCP8.5 and GLENS-SAI compared to the historical results based on CESM1.

327

Shading in each curve shows the across-ensemble range.



328

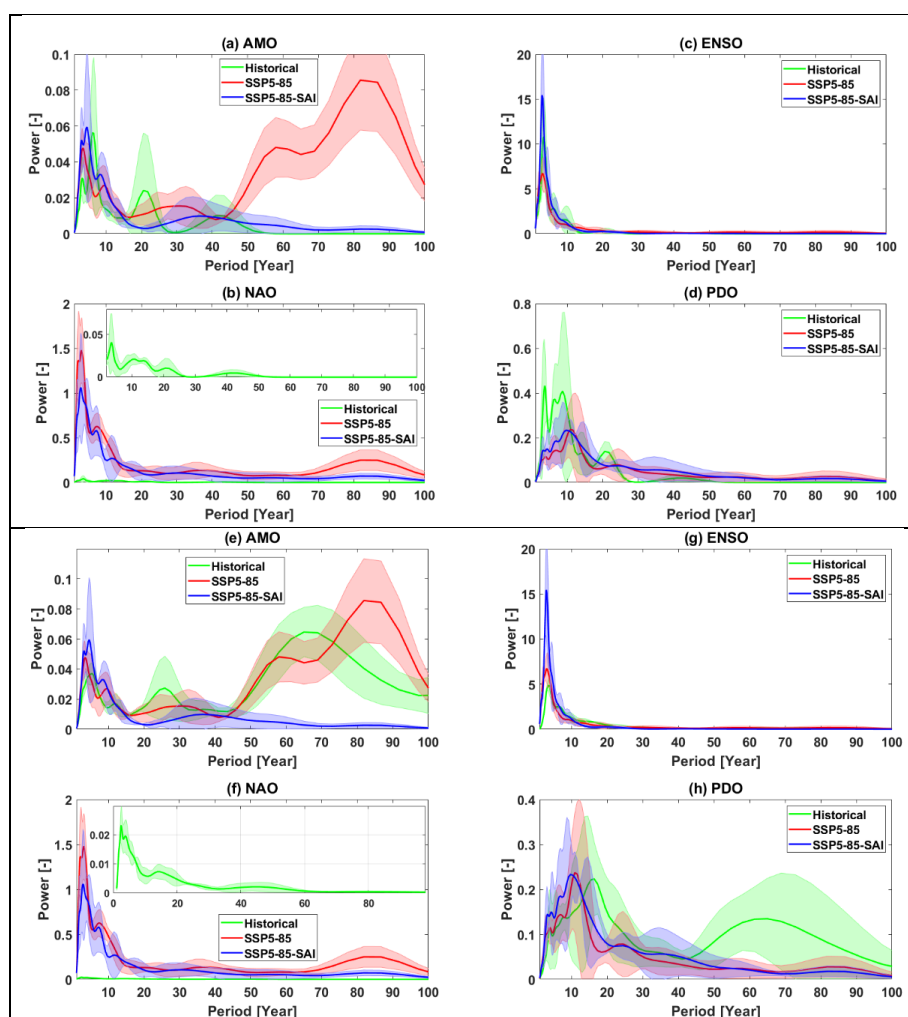
329 In CESM1 (Fig. 7), the signals longer than 25 years, which are the most energetic modes in  
330 observations of the PDO (Mantua and Hare, 2002) and AMO (Enfield et al., 2001), are not captured in  
331 the historical simulations owing to their short simulation period (1980-2009). The historical period  
332 simulated by CESM2 (Fig. 8) is long enough (1850-2014) to reasonably capture the low-frequency  
333 signals. We therefore consider two different scenarios for the historical period based on CESM2  
334 results: 1980-2009 shown in Figs. 8a-8d, upper panel (same as CESM1 (Fig. 7)) and 1850-2014  
335 shown in Fig. 8, lower panel.

336 For 1980-2009 (Figs. 8a-8d, upper panel), the inter-annual modes in the historical indices, which is  
337 the dominant mode in all climate indices, are preserved under both global warming and SAI, although  
338 the power of the historical NAO is considerably smaller than the SSP5-8.5 and SSP5-8.5-SAI NAO (8b).  
339 On the contrary, the decadal and inter-decadal signals of all the historical climate indices are not  
340 preserved in the global warming scenario nor with SAI. Notably, these findings are in agreement with  
341 those obtained from the CESM1 (Fig. 7).

342 In comparison with the longer 1850-2014 historical period (Figs. 8e-8h, lower panel), the inter-  
343 annual modes of AMO, NAO, and ENSO are preserved under both global warming and SAI, consistent  
344 with the 1980-2009 period. For the decadal and longer periodicities, SAI has a counter-productive  
345 impact on AMO and NAO changes induced by greenhouse gases (Figs. 8e and 8f). For example, the  
346 dominant modes at 20-30- and 55-85-year of the AMO, observed during the historical period, show  
347 no significant changes under global warming; however, they vanish under SAI (Fig. 8e). Furthermore,  
348 the dominant 35-55-year mode in historical NAO is roughly preserved under global warming forcing  
349 (but with greater power) while it disappears under SAI (Fig. 8f). The dominant modes at 10-20- and  
350 50-70-years, observed in historical PDO (consistent with the real PDO's dominant modes (Mantua et  
351 al., 1997)), are not preserved under global warming and SAI does not impact them (Fig. 8h). In  
352 contrast with the historical period in which the dominant modes of PDO occur in the 10-20- and 50-  
353 70-year bands, the dominant modes under global warming (i.e., SSP5-8.5) and SAI (i.e., SSP5-8.5-SAI)  
354 occur at the ~10-year period. The PDO shift to a higher frequency with decadal/multi-decadal  
355 variability weakness, observed under global warming, was also earlier demonstrated by Fang et al.  
356 (2014) with a previous generation of the climate model, the Fast Ocean Atmosphere Model (FOAM)  
357 used in IPCC AR4 experiments. Likewise, the PDO timescale has been simulated to decrease from ~20  
358 to ~12 years under global warming (Fedorov et al., 2020), possibly because of changes in the phase  
359 speed of internal Rossby waves and ocean stratification (Zhang and Delworth, 2016). Nonetheless,  
360 although PDO cycles between 30-50-year bands show slightly stronger power under SAI than global



361 warming, the 30-50-year is not the dominant PDO mode under SAI in contrast to Zhang and  
362 Delworth's (2016) results for cooler climates, which the PDO dominant variability shifts to lower  
363 frequency ( $\sim 34$  yr). They related this increase to weaker ocean stratifications accompanied by global  
364 cooling. However, Zhang and Delworth (2016) used a different model (Geophysical Fluid Dynamics  
365 Laboratory coupled model version 2.5 through the forecast-oriented low ocean resolution version)  
366 and experiments ( $2\text{CO}_2$  for global warming and  $0.5\text{CO}_2$  for cooling).  
367



368  
369 **Figure 8.** As Fig. 7 but for CESM2 under SSP5-8.5 and SSP5-8.5-SAI relative to the historical results  
370 for the periods of 1980-2009 (upper panel; a to d) and 1850-2014 (lower panel; e to h). The inset



371 figure in NAO (b and f) magnifies the historical data. Shading in each curve shows the across-  
372 ensemble range.

373

#### 374 **4. Discussion**

##### 375 **4.1. Caveats to interpretation**

376 Caution is required when interpreting the results from this study with regard to real-world  
377 variability. Although CESM2 is highly rated among existing climate models, large model–observation  
378 differences are nonetheless present (Fasullo, 2020). Model-observation differences are larger in the  
379 earlier CESM1 version than in CESM2. For example, CESM1 exhibited a Subtropical (Azores) high  
380 anomaly (related to NAO) that was too weak but its representation is improved in CESM2 (Simpson  
381 et al., 2020). We also find large differences in amplitude and variance of climate indices simulated by  
382 both CESM1 and CESM2 relative to the observations over the 1980-2009 period. The amplitude of  
383 the dominant EOF of the ENSO-related SST-anomaly modeled in both CESM1 and CESM2 is about  
384 twice the observations for the historical (1980-2009) period (Figs. S3 and S4). Figure S4 further  
385 shows NAO and PDO dominant mode amplitudes are lower in the model projections than in  
386 observations over the historical period. Additionally, the ENSO-associated SST anomaly pattern in  
387 the tropical Pacific shows an excessive westward extension under both CESM1 and CESM2. These  
388 limitations mirror those by Capotondi et al. (2020) for CESM2 in simulating the ENSO, who suggested  
389 further work to illuminate how the physical parameterizations impact the key ENSO feedback.

390 Additionally, although CESM2 simulates the pattern of the summer and winter NAO well over the  
391 historical period 1979-2014, the large uncertainties in specific members and in the historical  
392 observations mean it is difficult to be quantitative about this (Simpson et al., 2020). However, CESM1  
393 tends to underestimate the observed SST fluctuations in the Atlantic, leading to an underestimation  
394 of the forced response (Undorf et al., 2018).

395 CMIP models tend to systematically underestimate the low-frequency signals (i.e., PDO) in the North  
396 Pacific (Fasullo et al., 2020), owing in part to an imperfect modeling of decadal-scale structures in  
397 these simulations (Masson-Delmotte et al., 2021). Compared to observational estimates, the decadal  
398 variability in the subpolar North Atlantic SST appears to be slightly intensified through CMIP6  
399 (Masson-Delmotte et al., 2021). How well we, therefore, can potentially capture forthcoming changes  
400 in climate indices' variability will be restricted by how well each model simulates (Gabriel and  
401 Robock, 2015).

402 The second limitation is disparities in the length of records (30 years for the historical period, roughly  
403 90 years for greenhouse gas, and 80 years for SAI scenarios) may hinder the direct comparison of





404 climate indices behavior between historical and future climate scenarios of global warming and SAI;  
405 and thus, the number of El Niño/La Niña events as well as the significance of the longer periodicities  
406 (i.e., decadal and inter-decadal) in power spectrums.

407 Yet another limitation arises from the relatively low spatial resolution of the models which may affect  
408 the spatial SST anomaly patterns. Furthermore, Holmes et al. (2019) pointed out the models are too  
409 low resolution to resolve ocean eddies, which substantially contribute to ENSO irregularity and  
410 predictability. The absence of the eddy process may also be associated with bias in spatial patterns  
411 and other ENSO characteristics (Bellenger et al., 2014) in the CMIP models (Cai et al., 2021). Global  
412 high-horizontal resolution climate models have been indicated to significantly improve the ocean-  
413 atmosphere circulations such as ENSO (Masson et al., 2012). As an example, Haarsma et al. (2016)  
414 pointed out that the High Resolution Model Intercomparison Project for CMIP6 improves the  
415 understanding of the teleconnection of large-scale circulations such as ENSO, NAO, and PDO, which  
416 suggests that running these high-resolution models with SAI scenario would be worthwhile.

417

#### 418 **4.2. Implications for climate stability**

419 Teleconnection signals represent emergent properties of the non-linear climate system. The  
420 behavior of the teleconnection can be characterized via its oscillations. In its simplest form, a stable  
421 pattern would represent a fixed point or a periodic oscillation, but with real non-linear systems, a  
422 quasi-periodic oscillation over specific frequency bands is usual (e.g., Ghil et al., 2002). These quasi-  
423 periodic characteristic frequencies may change smoothly over time in a linear system but may  
424 proceed towards chaotic solutions via frequency doubling (the so-called “Devil’s staircase”) in non-  
425 linear systems. Moron et al. (1998) suggested that ENSO crossed a threshold in the early 1960s, and  
426 the periodicity of the seasonally forced climatic oscillator jumped from one stage of the Devil’s  
427 staircase to another. The notable decline in low-frequency multi-decadal band components of the  
428 wavelet spectra of the teleconnection indices we study, accompanied by a concomitant increase in  
429 the variance of the decadal band is consistent with abrupt frequency doubling. This can be expected  
430 in non-linear systems as the energy in the system is raised, progressing along the pathway towards  
431 chaotic behavior and hence less predictability on decadal timescales. Ocean stratification (ocean  
432 buoyancy frequency) and the baroclinic Rossby wave in the North Pacific play significant roles in SST  
433 amplitude and PDO cycles since enhanced ocean buoyancy frequency speeds up the Rossby waves,  
434 and so the decadal and longer cycle weakening accompanies higher PDO frequency (Fang et al.,  
435 2014). Ocean stratification changes predominantly in response to changes in surface temperature  
436 and salinity (Fang et al., 2014). The North Atlantic and the northeast Pacific are projected to be among



437 those areas with the greatest stratification changes under global warming in the second-half of the  
438 21<sup>st</sup> century (Capotondi et al. 2012). Historical records also show that volcanic sulfate aerosols have  
439 altered multi-decade SST variability in the North Atlantic and North Pacific (Birkel et al., 2018).

440 Whether the climate system in the model is representative of the earth can be diagnosed to some  
441 extent by comparison of the historical simulation with observations. As noted in Section 4.1 both  
442 CESM versions do present differences from observations, so they are clearly simulating a system that  
443 is different from real climate in some ways. Previous analysis of ENSO under SAI found no significant  
444 changes (Gabriel & Robock, 2015), but they used different models with widely varying fidelity of  
445 modeled ENSO to actuality, and much smaller simulated quantities of SO<sub>2</sub> with the relatively modest  
446 RCP4.5 emissions scenario as a baseline.

447 Changes in teleconnection patterns can indicate significant changes in the forcing conditions – that is  
448 define what forcing is large enough to change the basic response of the system. Such changes are seen  
449 in time series analysis of teleconnection indices in the real world that coincide with increased  
450 greenhouse gases (Tsonis et al., 2007; Wang et al., 2009). Wang et al (2009) note that regime shifts  
451 in system behavior in the observations occurred when North Pacific and North Atlantic patterns  
452 increase their coupling, and the key instigator is the NAO. The NAO and its long-period counterpart,  
453 the AMO, are seen in our simulations to change under SAI relative to greenhouse gas forcing at  
454 periods longer than a decade.

455 The North Atlantic is an atypical region under SAI. The declines in heat transported northwards by  
456 the AMOC under greenhouse gas forcing are, to great extent, reversed under all kinds of SRM  
457 including SAI (Xie et al., 2022). Thus, great differences exist in SST and air/ocean heat flux between  
458 SAI and greenhouse gas climates in the North Atlantic (Yue et al., 2021). If regime shifts occur when  
459 North Atlantic and Pacific oceans increase their coupling, and if the decline in AMOC under  
460 greenhouse gas forcing decreases coupling between the basins, then SAI may act to promote regime  
461 shift by reversing a decline in AMOC.

462 Many authors have noted that explosive volcanism, in some ways a natural analogue for SAI, is  
463 accompanied by a positive episode of the NAO (e.g., Robock, 2000), and this may then be associated  
464 with changes in multi-decadal AMO variability (Birkel et al., 2018). Furthermore, in the extreme  
465 scenario of SAI being done such that temperatures are actually decreased then the projected  
466 strengthening of AMOC occurs (Tjiputra et al., 2016). However, it is also possible that regime shifts  
467 induced by greenhouse gas forcing and the large temperature feedbacks they induce may dominate  
468 impacts over those from fairly subtle regime shifts in teleconnection patterns. Which one may be  
469 “preferable” for humanity and other species remains an open question.



## 470 5. Conclusions

471 This study delivers a first overview of SAI response on the large-scale ocean-atmosphere circulations  
472 of AMO, NAO, ENSO, and PDO using experiments based on CESM1(WACCM) and CESM2(WACCM6)  
473 that apply stratospheric aerosol intervention through the injection of sulfur into the stratosphere,  
474 GLENS-SAI and SSP5-8.5-SAI, respectively. The impacts of these interventions are assessed against  
475 historical (1980-2009) and projections under RCP8.5 and SSP5-85 (for the GLENS-SAI and SSP5-8.5-  
476 SAI, respectively). We found that SAI effectively reverses the global warming-imposed changes in the  
477 variance of the leading EOF SST anomaly associated with AMO, ENSO, and PDO. The SAI also  
478 effectively suppresses the changes in the spatial patterns of the EOF SST anomaly across the North  
479 Atlantic (i.e., AMO) and North Pacific (i.e., PDO). A decrease in the contrast between the cold-tongue  
480 pattern and its surroundings in the North Pacific is further projected under greenhouse gas induced  
481 global warming, which the SAI successfully restored.

482 CESM2 simulations suggest that increasing greenhouse gas emissions are accompanied by an  
483 increase in the frequency of the El Niño and La Niña episodes but a decrease in their intensity and  
484 duration. The SAI scenario effectively compensates for these changes.

485 In contrast to the impact of the SAI on the spatial patterns of the climate indices of AMO, PDO, and  
486 ENSO, the SAI scenario does not effectively suppress the projected changes in decadal and inter-  
487 decadal variability imposed by global warming. The decadal and inter-decadal variability modes of  
488 all the historical climate indices (except for Atlantic-based indices under SSP5-8.5) are not preserved  
489 in the greenhouse gas warming scenario and the SAI does not impact them.

490 Furthermore, compared to the historical 1850-2014 period in CESM2, SAI is projected to have a  
491 counter-productive impact on AMO and NAO at decadal and longer frequencies. Unlike the historical  
492 period in which the long-period dominant modes of PDO occur in the 10-20- and 50-70-year bands,  
493 the dominant modes under global warming are reduced to ~10-years, and the SAI does not restore  
494 them.

495 The results exhibited here are particular to these types of future global warming scenarios and the  
496 details of the SAI application, which deal with an extreme scenario of greenhouse gas emissions and  
497 continuous increases in sulfur emissions. Furthermore, the findings are from ensemble members  
498 from just two closely related models. Caution is due to the model-observation differences, disparities  
499 in the record length of the historical period compared to future climate scenarios, and the low spatial  
500 resolution of the models. To improve trust in the projected changes and effects of SAI on the ocean-  
501 atmosphere simulations, it is essential to further unravel the primary physical mechanisms behind



502 these changes. Nevertheless, our study does detect changes in teleconnection signals, and hence  
503 underlying climate system dynamics under SAI when decomposed using EOF and wavelet analyses.

504

#### 505 **Code/Data availability**

506 The SST and PSL data used in this study are from the CESM1 and CESM2 models and publicly available  
507 from <https://www.cesm.ucar.edu/projects/community-projects/GLENS/> and [https://esgf-](https://esgf-node.llnl.gov/search/cmip6/)  
508 [node.llnl.gov/search/cmip6/](https://esgf-node.llnl.gov/search/cmip6/).

509

#### 510 **Author contributions**

511 AR, KhK, ST, and JCM conceptualized the study. AR performed the analysis and visualization. AR, KhK,  
512 ST, and JCM wrote the original draft manuscript, edited the manuscript and provided feedback on the  
513 interpretation of the results.

514

#### 515 **Competing interests**

516 The authors declare that they have no conflict of interest.

517

#### 518 **Acknowledgments**

519 We appreciate the financial support from the Solar Radiation Management Governance Initiative  
520 (SRMGI; grant from DECIMALS Funds) and The World Academy of Sciences (TWAS) under grant no:  
521 4500443035. We further thank Gary Strand from NCAR for his help in accessing the CESM1 model  
522 outputs. Tan Mou Leong provided helpful comments and suggestions on the manuscript.

523

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