

1 Changes in global teleconnection patterns under global warming and stratospheric aerosol  
2 intervention scenarios

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16  
17  
18 **Abstract**

19 We investigate the potential impact of Stratospheric Aerosol Intervention (SAI) on the  
20 spatiotemporal behavior of large-scale climate teleconnection patterns represented by the North  
21 Atlantic Oscillation (NAO), Pacific Decadal Oscillation (PDO), El Niño/Southern Oscillation (ENSO)  
22 and Atlantic Multidecadal Oscillation (AMO) indices using simulations from the Community Earth  
23 System Models (CESM1 and CESM2). The leading Empirical Orthogonal Function of sea surface  
24 temperature (SST) anomalies indicates that greenhouse gas (GHG) forcing is accompanied by  
25 increases in variance across both the North Atlantic (i.e., AMO) and North Pacific (i.e., PDO) and a  
26 decrease over the tropical Pacific (i.e., ENSO); however, SAI effectively reverses these global  
27 warming-imposed changes. The projected spatial patterns of SST anomaly related to ENSO show no  
28 significant change under either global warming or SAI. In contrast, the spatial anomaly patterns  
29 pertaining to AMO (i.e., in the North Atlantic) and PDO (i.e., in the North Pacific) changes under global  
30 warming are effectively suppressed by SAI. For AMO, the low contrast between the cold-tongue  
31 pattern and its surroundings in the North Atlantic, predicted under global warming, is restored under  
32 SAI scenarios to similar patterns as in the historical period. The frequencies of El Niño and La Niña  
33 episodes modestly increase with GHG emissions in CESM2, while SAI tends to compensate for them.

34 All climate indices' dominant modes of inter-annual variability are projected to be preserved in both  
35 warming and SAI scenarios. However, the dominant decadal variability mode changes in the AMO,  
36 NAO, and PDO induced by global warming are not suppressed by SAI.

37

38 **Keywords:** Ocean-atmosphere teleconnection patterns; GLENS; SSP5-8.5; Stratospheric Aerosol  
39 Intervention; Global warming

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#### 41 **500-character non-technical text**

42 Teleconnection patterns are important characteristics of the climate system, well-known examples  
43 include the El Niño and La Niña events driven from the tropical Pacific. We examined how  
44 spatiotemporal patterns that arise in the Pacific and Atlantic Oceans behave under stratospheric  
45 aerosol geoengineering and greenhouse gas (GHG)-induced warming. In general, geoengineering  
46 reverses trends, however, the changes in decadal oscillation for the AMO, NAO, and PDO imposed by  
47 GHG are not suppressed.

48

#### 49 **1. Introduction**

50 Although the Paris agreement and accompanying international commitments to decrease carbon  
51 emissions are an essential step forward, current nationally contributions have only about a 50%  
52 chance to restrict global mean temperature increase to 2°C above preindustrial (Meinshausen et al.,  
53 2022). Exceeding 2°C will lead to severe consequences and societal disruption worldwide as  
54 humanity is critically dependent on ecosystems, food, fresh water, and health systems which face  
55 rapidly challenging adaptation pressure above 2°C of global warming (Field and Barros, 2014).

56 In parallel with emissions reductions, solar radiation modification (SRM) has been suggested to limit  
57 global temperature increases and consequent climate impacts from anthropogenic greenhouse gas  
58 (GHG) emissions. A naturally occurring analog of SRM is the well-known global surface cooling  
59 following large volcanic eruptions, albeit over relatively short periods. Simulations have shown that  
60 SRM decreasing total solar irradiance by about 2%, would roughly compensate for global warming  
61 from a doubling of CO<sub>2</sub> concentrations (Dagon and Schrag, 2016).

62 Oceans act as major drivers of climate variability worldwide (e.g., Shukla, 1998; Cai et al., 2021), and  
63 more than 90% of the excess energy balance of the earth arising from GHG emissions ends up heating  
64 the ocean (Cheng et al., 2015). Variations in sea surface temperatures (SSTs) and the global climate  
65 are linked through ocean-atmosphere energy exchanges that can be helpfully summarized by climate  
66 indices that characterize large-scale climate teleconnection patterns. That is recurring and

67 persistent, large-scale anomaly patterns of pressure and circulation across large geographical  
68 regions. Some of the most referred to are El Niño/Southern Oscillation (ENSO), Pacific Decadal  
69 Oscillation (PDO), Atlantic Multidecadal Oscillation (AMO), and North Atlantic Oscillation (NAO). The  
70 dominant inter-annual feature of climate variability on the planet is ENSO, and its state produces  
71 widespread climatic and environmental outcomes (Latif and Keenlyside, 2009). The PDO modulates  
72 marine ecosystems and global climate on decadal time scales (Mantua et al., 1997), impacts ENSO  
73 onset and frequency (Fang et al., 2014), and is useful for short- to long-term climate forecast (An and  
74 Wang, 1999). The AMO has broader hemispheric impacts beyond North American and European  
75 climates (Enfield et al. 2001), influencing the monsoons across North African, East Asia, and India  
76 (Zhang and Delworth 2006). The NAO is among the dominant climate variability modes in the  
77 northern hemisphere (Simpkins, 2021).

78 Several studies have explored how climate indices, particularly ENSO, respond to global warming  
79 and increasing GHG concentrations. Statistically significant systemic changes have occurred in ENSO  
80 dynamics and the evolution of El Niño and La Niña events since the 1960s (Moron et al., 1998;  
81 Capotondi and Sardeshmukh, 2017). ENSO may favor more severe events under global warming  
82 (Fedorov and Philander, 2001), and Cai et al. (2015) found that ENSO-associated disastrous weather  
83 consequences tend to arise more frequently under unabated CO<sub>2</sub> emissions. Cai et al. (2021) found  
84 an inter-model consensus on increases in forthcoming ENSO rainfall and temperature fluctuations  
85 under increasing GHG concentrations. The PDO, which is essentially the extra-tropical manifestation  
86 of ENSO, is simulated with a similar spatial pattern as at present under various future climates but  
87 with reduced amplitude and a shorter characteristic time scale (e.g., Zhang and Delworth, 2016). The  
88 North Atlantic is a key ocean for investigating global climate changes (Wang and Dong, 2010), and  
89 acts as a major carbon dioxide sink (Watson et al., 2009). Atmospheric CO<sub>2</sub> concentrations vary with  
90 the phase of the AMO with the warm phase associated with lowered atmospheric CO<sub>2</sub> (Wang and  
91 Dong, 2010). The two NAO action points in the Icelandic low and the Azores high have been projected  
92 to significantly intensify and shift northeastward by 10-to-20° in latitude and 30-to-40° in longitude  
93 in response to global warming (Hu and Wu, 2004).

94 Stratospheric Aerosol Intervention (SAI), is a type of SRM that has been widely simulated by many  
95 global climate models (e.g., Kravitz et al., 2013), which is accompanied by changing in global  
96 circulations such as the NAO teleconnection pattern (Moore et al., 2014), and is known in various  
97 models to partially offset the decline in the Atlantic Meridional Overturning Circulation (AMOC; Xie  
98 et al., 2022). Undorf et al. (2018) simulated the North Atlantic SST cooling accompanied by the  
99 historical rise of stratospheric sulfate aerosol from North America and Europe dating back to 1850-

100 1975. Gabriel and Robock (2015) is the only study to date that explores the effects of SAI in multiple  
101 models on the possible amplitude and frequency changes of El Niño/Southern Oscillation (ENSO).  
102 They concluded that changes in ENSO in the SAI simulations were either not present or not large  
103 enough to be captured by their approach, given the across-model variability issue. Thus, little is  
104 known about possible changes that future global climate change scenarios with artificial cooling may  
105 have on ocean-atmosphere climate indices. Recently, a novel set of SRM models have been globally  
106 complete with the state-of-the-art climate models: Community Earth System Model versions 1 and  
107 2 (CESM1 and CESM2). These models have improved planetary boundary layer turbulence, aerosols,  
108 radiation, and cloud microphysics which should enable more reliable for the forthcoming global  
109 climate change projections (Mills et al., 2017).

110 We use the Geoengineering Large Ensemble Simulation (GLENS) with 20 members from a single  
111 model, the Community Earth System Model 1 (CESM1) with Stratospheric Aerosol Intervention  
112 (GLENS-SAI), to explore the possible changes in climate teleconnection patterns under future climate  
113 change scenarios. The models use the Representative Concentration Pathway (RCP) 8.5 high GHG  
114 emissions forcing state (Riahi et al., 2011) as a baseline and increase stratospheric sulfur injections  
115 through the century, to maintain global surface temperatures at 2020 levels. This produces an  
116 increasingly large signal-to-noise ratio through the 21<sup>st</sup> century. In addition, we use recent  
117 simulations (SSP5-8.5-SAI) with an updated model version (CESM2). For these simulations, the SSP5-  
118 8.5 GHG emissions scenarios were used as the GHG baseline on which SAI was performed. The two  
119 different model experiments show some surprising differences in the required sulfur injections and  
120 climate outcomes with and without SAI applications (Fasullo et al., 2020, Tilmes et al., 2020). Thus,  
121 even models from different generations in the same family can produce sufficiently different climates  
122 to explore a range of plausibly real climate impacts. The goal of this study is to identify robust features  
123 across the two model versions in the response of climate indices (ENSO, PDO, AMO and NAO) to GHG  
124 induced global warming and its compensation by SAI.

125 We employed empirical orthogonal functions and wavelet transforms to decompose time series and  
126 study the differences in the climate teleconnection patterns between the SSP5-8.5 and SSP5-8.5-SAI  
127 scenarios. Since teleconnection patterns are emergent features of the non-linear, chaotic climate  
128 system (Ghil et al., 2002), their underlying physical causes are complex and not necessarily the same  
129 in any model as on the real planet. Hence, we assess the potential changes in temporal and spatial  
130 characteristics of climate indices of AMO, NAO, ENSO, and PDO under both extreme warming GHG  
131 scenarios and with SAI employed to mitigate those warmings while maintaining extreme GHG  
132 concentration trajectories.

## 133 2. Data and Methods

### 134 2.1. Models and scenarios

135 We used two SAI models and scenarios: (1) CESM1 for GLENS-SAI and (2) CESM2 for SSP5-8.5-SAI.  
136 The GLENS simulations were done by the Community Earth System Model version 1 (CESM1) with  
137 the Whole Atmosphere Community Climate Model (WACCM) as the atmospheric system integrated  
138 to land, ocean, and sea ice models (Mills et al., 2017). The resolution of atmospheric component is  
139  $1.25^\circ$  in longitude and  $0.9^\circ$  in latitude. A 20-member reference simulation for the RCP8.5 scenario  
140 (Riahi et al., 2011) over the 2010–2030 period with three ensemble members (001 to 003)  
141 continuing up to the end of the 21<sup>st</sup> century. GLENS-SAI is a 20-member ensemble of stratospheric  
142 sulfur dioxide (SO<sub>2</sub>) injection simulations, spanning 2020-2099. Each ensemble member was begun  
143 in 2010 with small differences in their initial air temperatures, while their ocean, sea-ice, and land  
144 temperatures were the same. Even before the start of the SAI injections in 2020, the fully coupled  
145 model produced variability between the ensemble members due to its chaotic nature. Here, we use  
146 all available members of the RCP8.5 and GLENS-SAI simulations, which extend until the end of the  
147 21<sup>st</sup> century. For the analysis, we used monthly SST and sea-level pressure (PSL) from CESM1 with  
148 length of 1980-2009 for the historical period, 2010-2099 for global warming, and 2020-2099 for SAI.  
149 We also analyzed output from the NCAR Community Earth System Model version 2- Whole  
150 Atmosphere Community Climate Model Version 6 (CESM2(WACCM6)). This model version was used  
151 for performing the Coupled Model Intercomparison Project Phase 6 (CMIP6; Eyring et al., 2016)  
152 simulations. Like GLENS, this SAI experiment is according to the high GHG emissions scenario, called  
153 SSP5-85 in CMIP6, (SSP5-8.5-SAI) and limits mean global temperatures to 1.5°C above 1850–1900  
154 conditions, which without SAI, is exceeded around the year 2020 in CESM2(WACCM6) under SSP5-  
155 8.5. The experiment used sulfur injection locations at the same four latitudes as in GLENS to  
156 accomplish the same three temperature goals (Tilmes et al., 2020). We used the monthly SST and PSL  
157 data from all five members (r1 to r5) of the SSP5-8.5 scenario (covering 2015-2100) and the three  
158 available ensemble members of SSP5-8.5-SAI that cover the period of 2020-2100. For the analysis,  
159 we also applied a one-member historical simulation based on the specific CESM1(WACCM) version  
160 used for GLENS between 1980-2009 (denoted as “historical” in the following). All three  
161 corresponding members (r1 to r3) from the CESM2(WACCM6) version were used for the historical  
162 period. For wavelet analysis modes in Sections 2.3 and 3.2, we used the entire length (1850-2014) of  
163 the available historical outputs from CESM2, but for spatial changes patterns in Section 3.1, the data  
164 that cover the 1980-2009 historical period were used for consistency with CECM1.

165 The SAI scenarios using both CESM1 and CESM2 inject SO<sub>2</sub> at four predefined points (30°N, 30°S,  
166 15°N, and 15°S) at ~5 km above the tropopause using a feedback controller to maintain not just the  
167 global mean temperature, but the interhemispheric and equator-to-pole temperature gradients.  
168 Fasullo and Richter (2022) explain the inter-model differences in the aerosol mass latitudinal  
169 distributions between the SAI experiments using CESM1 and CESM2. CESM2 SAI utilizes the CMIP6  
170 SSP5-8.5 experiment as a baseline which has been used by various modeling teams (Tilmes et al.,  
171 2020) while CESM1 SAI uses the well-known RCP8.5 scenario. In GLENS-SAI, most of the aerosols  
172 were injected at 30°N and 30°S with much smaller injection mass at 15°N and a tiny amount at 15°S  
173 while for SSP5-8.5-SAI, the highest concentrations were released at 15°S, modest mass at 15°N and  
174 30°S, and a small amount at 30°N. These differences in the SO<sub>2</sub> distributions across the two SAI  
175 scenarios for CESM1 and CESM2 produce a range of variability in shortwave radiation and cloud  
176 responses to CO<sub>2</sub> concentration increases (Fasullo and Richter, 2022). Additionally, Fasullo and  
177 Richter (2022) identified that changes in the spatial salinity and density patterns in the Atlantic  
178 Ocean, and in turn, the Atlantic Meridional Overturning Circulation (AMOC), are very different under  
179 GLENS-SAI compared to SSP5-8.5-SAI experiment. These differences between SAI simulations  
180 represent part of the system variability.

181 The equilibrium climate sensitivity (ECS) of CESM2-WACCM is 4.75 °C and lies in an ECS range of 1.83  
182 to 5.67 °C from 41 different CMIP6 GCMs (IPCC AR6, 2021). The absolute mean surface temperature  
183 difference between CESM2-WACCM and historical records (0.89 °C) and is also within the range of  
184 0.38-1.23 °C from 37 different CMIP6 models (Scafetta, 2021). CESM2 is one of the best nine models  
185 for simulating precipitation worldwide when measured by the Hellinger distance between bivariate  
186 empirical densities of 34 CMIP6 models and the historical data from Global Precipitation Climatology  
187 Centre (GPCC; Abdelmoaty et al., 2021). Additionally, the global-mean values of SST, summer land  
188 temperatures, precipitation, and ECS simulated by CESM1 and CESM2 are roughly similar to each  
189 other as well as compatible with the historical values over the 1985-2014 period (Danabasoglu et al.,  
190 2020; Table S1).

191 Relative to the preindustrial 1851-1850 period, CESM2-WACCM projects global mean surface air  
192 temperature rises of ~6.25 °C by the 2071-2100 period under SSP5-8.5 which compares with the  
193 range of ~3.3-6.6 °C from 35 ensembles of 12 CMIP6 models (Cook et al., 2020).

194

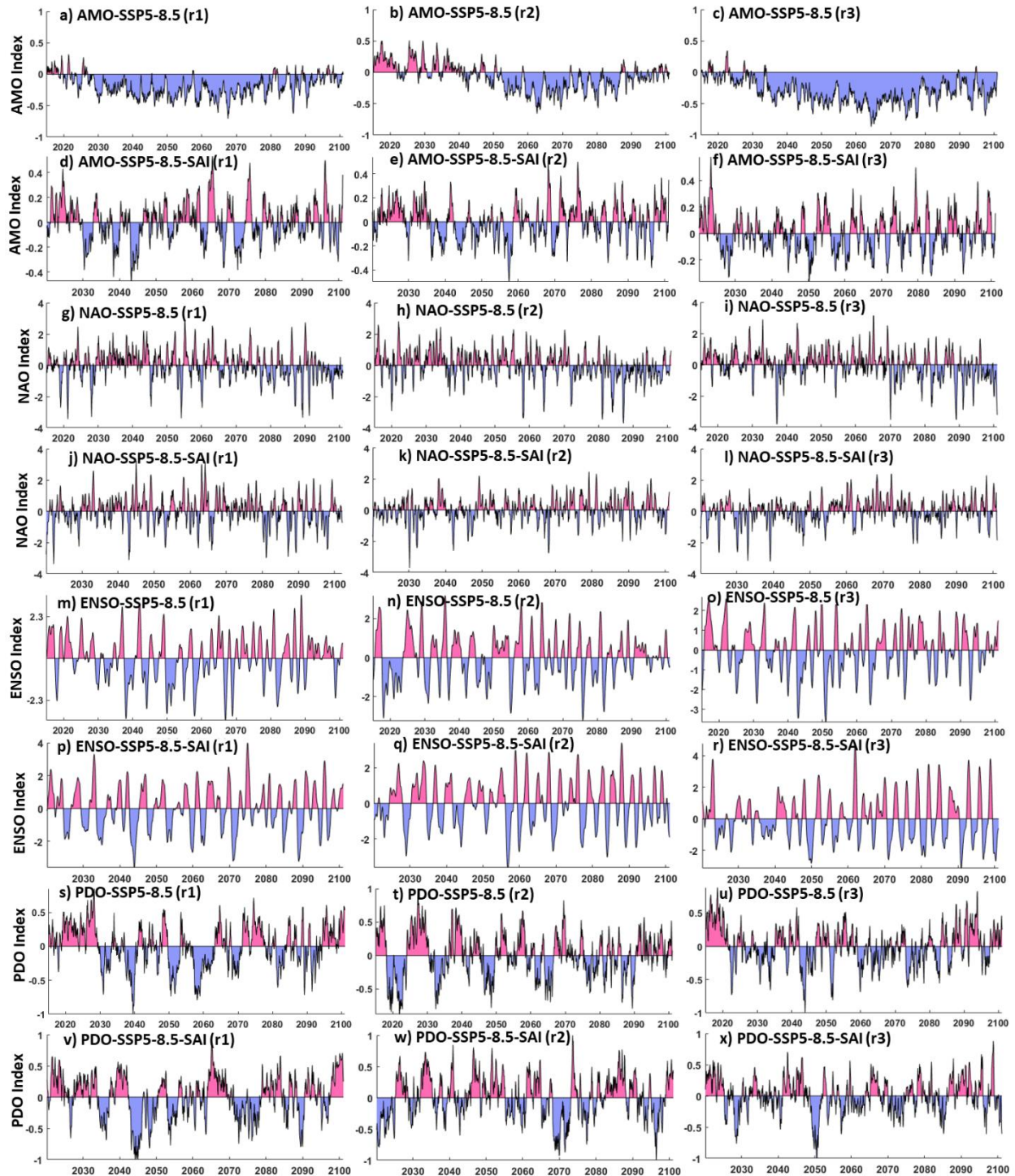
## 195 **2.2. Climate indices**

196 The AMO was calculated from the area-weighted average of SSTs across the northern Atlantic from  
197 0-70° N. The NAO was computed from the PSL time series at two stations: Gibraltar (to the south of

198 Spain; around 36.1°N and 5.3°W) and Reykjavik (in the southwest of Iceland; around 64.1°N and  
199 22.0°W). The ENSO index follows the definition proposed by Trenberth (1997). Here, we used SSTs  
200 at the Niño 3.4 region (east-central equatorial Pacific between 5°N-5°S, 170°W-120°W) as a proxy  
201 for ENSO. After removing the global mean SST anomaly, the leading Empirical Orthogonal Function  
202 (EOF) of monthly SST anomalies across the North Pacific (20°–70°N) is termed PDO following Mantua  
203 et al. (1997). All these computations were analyzed through the Climate Data Toolbox prepared by  
204 Greene et al. (2019). As an example, Fig. 1 compares AMO, NAO, ENSO, and PDO indices obtained  
205 from SSP5-8.5 and SSP5-8.5-SAI scenarios.

206 We characterized ENSO by El Niño and La Niña episodes. The ENSO index positive and negative  
207 episodes correspond to El Niño and La Niña, respectively. Consistent with Gabriel and Robock (2015),  
208 ENSO episodes were identified as departures of at least 0.5 standard deviations from zero in a five-  
209 month running averaged ENSO time series. Each episode was characterized by its duration (years),  
210 the extreme peak excursion (°C), and the width at half extreme height (years).

211



212

213 **Figure 1.** AMO (panels a-f), NAO (panels g-l), ENSO (i.e., NINO3.4, panels m-r), and PDO (panels s-x)

214 indices obtained from ensemble members r1(left column), r2 (middle column), and r3 (right

215 column) of the SSP5-8.5 (odd rows) and SSP5-8.5-SAI (even rows) scenarios.

216

217



### 218 2.3. Spatiotemporal analyses

219 Analyses in both space and time as well as modes of variability ranging from **the inter-annual to**  
220 **decadal changes** were used to identify the possible changes in the large-scale climate circulations  
221 resulting from global warming and SAI scenarios. EOF analysis is commonly used to extract the  
222 climate variability space-time modes (e.g., Chen and Tung, 2018; Joyce, 2002). We applied EOF to  
223 extract the first (dominant) modes of de-trended non-seasonal-SST and its corresponding variance  
224 across the North Atlantic and North Pacific, which are related to the AMO and PDO respectively. As  
225 ENSO is the primary indicator of global climate variability, we used the leading EOF of global SST  
226 anomalies in the study of ENSO.

227 The continuous wavelet transform (CWT) is commonly used to capture the primary characteristics  
228 of signals (Addison, 2018). For a time series  $(x_n, n=1, \dots, N)$  having regular time intervals  $\delta t$ , the  
229 CWT is computed as the convolution of  $x_n$  with the scaled and normalized wavelet (e.g., here we use  
230 the Morlet wavelet which gives reasonably equal weighting and resolution in time and period space;  
231 Grinsted et al., 2004):

$$232 \quad W_n^X(s) = \sqrt{\frac{\delta t}{s}} \sum_{n'=1}^N x_{n'} \psi_0 \left[ (n' - n) \frac{\delta t}{s} \right] \text{ where } \psi_0(\eta) = \pi^{-1/4} e^{i\omega_0 \eta} e^{-0.5\eta^2} \quad (1)$$

233 where  $s$  is the wavelet scale,  $\psi_0$  the Morlet wavelet,  $\omega_0$  dimensionless frequency,  $[\ast]$  the complex  
234 conjugate, and  $\eta$  dimensionless time. The noise spectrum assigned to generate significance testing  
235 is a key issue in time series analysis. We concurred with the widely-used red-noise null hypothesis  
236 methodology based on 1000 synthetic series with the same mean, standard deviation and first-order  
237 autoregressive coefficient as the target time series produced by Monte Carlo approaches to estimate  
238 the significance of the CWT (Grinsted et al., 2004). Additionally, for each time series, CWT's global  
239 power spectrum was calculated as a function of time. The global power spectrum provides insight  
240 into the dominant temporal modes of variability of each climate index within each ensemble member  
241 for the reference GHG and SAI scenarios. The wavelet method cone of influence automatically shows  
242 where the periods analyzed are being influenced by the end of the time series. Thus, the longest  
243 periods can only be reliably assessed for the middle of the time series.

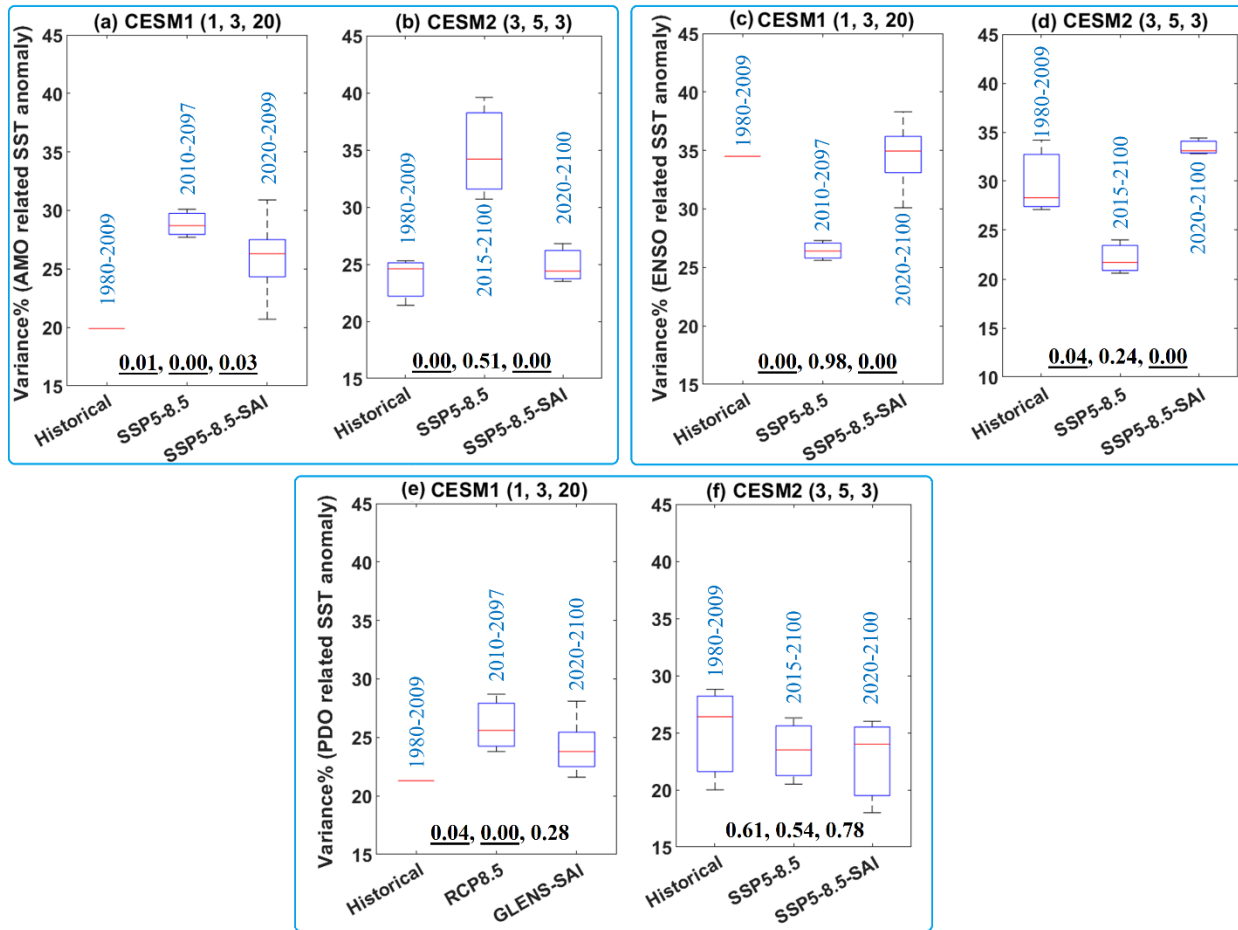
244 The individual ensemble members are treated as independent of each other in calculating the  
245 statistics of the ensembles. The CWT was conducted on monthly ENSO time series, and the 12-month  
246 moving averaged low-pass filtered signals of AMO, NAO, and PDO. We always use the longest  
247 available record length in every ensemble member to gain maximum statistical power to establish  
248 significant differences between experiments.

249 **3. Results:**

250 **3.1. Changes in the spatial patterns**

251 Figure 2 reveals the projected changes in the variance of the SST anomalies related to the AMO (i.e.,  
252 across the North Atlantic), ENSO (i.e., global scale), and PDO (i.e., across the North Pacific) based on  
253 CESM1 and CESM2 results. Figure S1 shows three different plots for the CESM1 as the time period of  
254 the 20-member ensemble for RCP8.5 differ: ensembles 001 to 003 (2010-2097) are longer than the  
255 other 17 ensemble members (2010-2030). For RCP8.5 and SSP5-85 using CESM1 and CESM2,  
256 respectively, the strong GHG forcing and global warming to the end of the 21<sup>st</sup> century increases the  
257 variance of the first EOF SST anomaly in the North Atlantic and North Pacific (representing AMO and  
258 PDO), but reduces the variance of the leading EOF in global SST anomaly (related to ENSO). Based on  
259 the statistical t-test results, the changes in the means imposed by global warming relative to historical  
260 are all significant except one case (Fig. 2f). Differences between SAI and historic in CESM2 values of  
261 the leading EOF variance of AMO and ENSO are not significant, showing that the significant changes  
262 under GHG forcing are effectively reversed by SAI. In contrast, the changes in PDO variance imposed  
263 by global warming using CESM1 relative to historical remain significant under SAI. Using CESM2,  
264 there is no significant changes in the PDO variance from historical to global warming, or to SAI.

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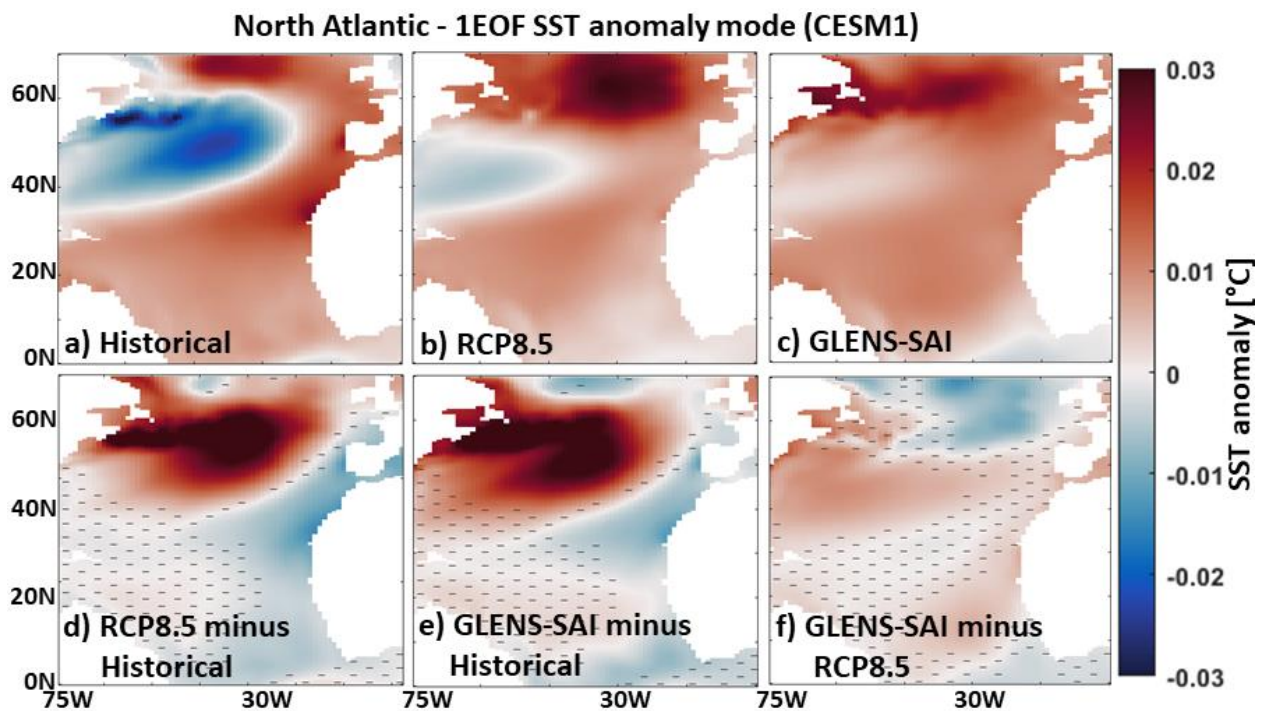


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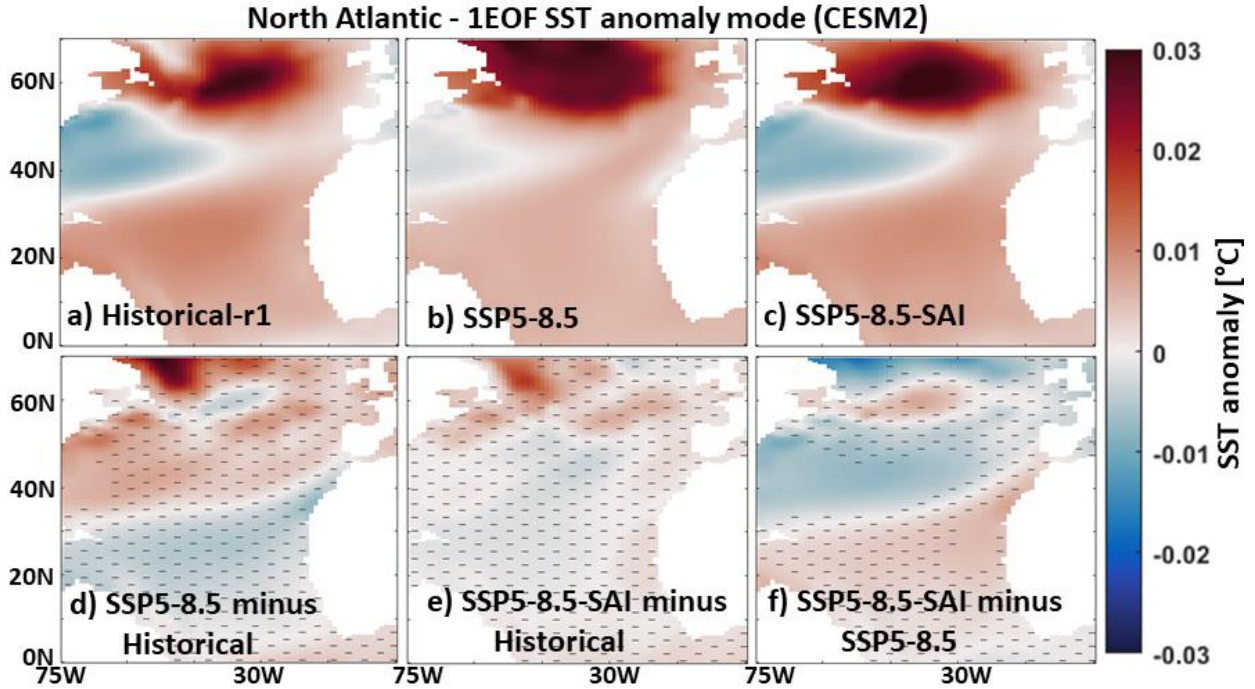
267 **Figure 2.** Box and whiskers plot of the variance in the leading EOFs, representing AMO, PDO, and  
 268 ENSO, relative to the total variance of the SST fields: AMO across the North Atlantic (top-left panel);  
 269 ENSO (top-right panel) global SST; and PDO across the North Pacific (bottom panel). The values in  
 270 blue on each column box show the period of the data for historical, GHG (i.e., RCP-8.5 and SSP5-8.5),  
 271 and climate intervention (GLENS-SAI and SSP5-8.5-SAI) scenarios. The titles of each subplot refer to  
 272 the CESM version and the number of ensembles used in the historical, GHG (RCP8.5 and SSP5-8.5),  
 273 and SAI (GLENS-SAI or SSP5-8.5-SAI) scenarios, respectively. The median for each experiment is  
 274 denoted by the red line, the upper (75<sup>th</sup>) and lower (25<sup>th</sup>) quartiles by the top and bottom of the box  
 275 and ensemble limits by the whisker extents. The three values shown at bottom of each sub-plot  
 276 refer to the p-values obtained from the statistical t-test between historical and global warming,  
 277 historical and SAI, and global warming and SAI, respectively. Values underlined are significant (i.e.,  
 278  $p < 0.05$ )  
 279

280 Figures 3-6 and S2-S3 show the spatial anomalies of the leading EOF mode of the SST in the North  
 281 Atlantic, North Pacific, and tropical pacific under both the CESM1 and CESM2. For the historical  
 282 period, there is a cold-tongue pattern in the North Pacific broadens from the western to the eastern  
 283 parts surrounded by warm water, particularly to the north. GHG related global warming lowers the  
 284 contrast between the cold-tongue pattern and its surroundings and increases the water temperature  
 285 inside the cold-tongue-pattern, and also leads to a substantial expansion of a warm-pattern in the

286 north. The same patterns (Fig. 4) are also obtained under SSP5-8.5 using CESM2. SAI effectively  
 287 shrinks the warm pattern in the northern Atlantic under the RCP8.5 and SSP5-8.5 through a  
 288 significant SST decrease, particularly using CESM1 (bottom row in Figs. 3 and 4). The SSP5-8.5-SAI  
 289 experiment increases the temperature contrast in the cold-tongue pattern, while the GLENS-SAI does  
 290 not. The projected changes in the spatial SST patterns across the North Atlantic, observed under  
 291 global warming, are significantly suppressed under SAI (Figs. 3f and 4f). This response of AMO to SAI  
 292 is compatible with the observed changes in AMO imposed by anthropogenic and volcanic aerosols  
 293 reported by Masson-Delmotte et al. (2021). Anthropogenic and volcanic aerosols are understood to  
 294 have impacted the timing and magnitude of the cold (negative) episode in the historical AMO record  
 295 between the mid-1960s and mid-1990s and succeeding warming (Masson-Delmotte et al., 2021).  
 296 Anthropogenic aerosols have also been suspected as impacting historical SSTs elsewhere,  
 297 particularly the decadal ENSO variability (e.g., Sutton and Hodson, 2007; Westervelt et al., 2018).  
 298



299  
 300 **Figure 3.** The first EOF (1EOF) patterns of SST anomaly across the North Atlantic relate to the AMO  
 301 index simulated by CESM1 for the historical data (a) and the mean of the available ensemble  
 302 members outputs under the RCP8.5 (b) and GLENS-SAI (c) scenarios. The maps at the bottom row  
 303 show RCP8.5 minus historical (d), GLENS-SAI minus historical (e), and GLENS-SAI minus RCP8.5 (f)  
 304 where the hatched patterns are not statistically significant ( $p > 0.05$ ), based on p-values from t-test  
 305 analysis.



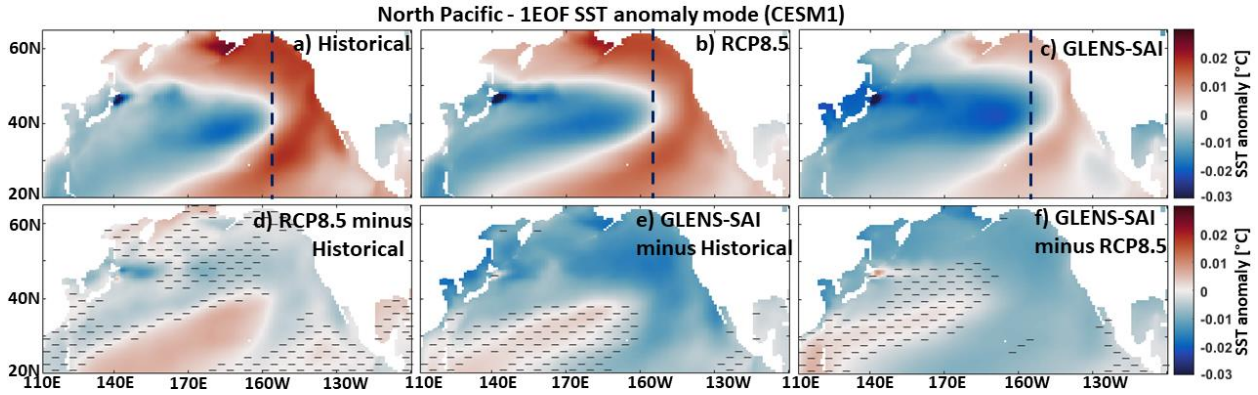
**Figure 4.** As in Fig. 3, but for CESM2 and SSP5-8.5.

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309 The leading EOF of monthly global SST anomalies corresponding to the ENSO mode (Figs. S2 and S3),  
310 and is seen as a warm-tongue pattern over the tropical Pacific, that exhibits very similar patterns  
311 under both global warming and SAI scenarios as in the historical period. However, Fig. S4 shows that  
312 the warm-tongue pattern in CESM1 and CESM2 has an excessive westward extension relative to  
313 observations, which is compatible with the findings of Capotondi et al. (2020).

314 While the first EOF SST anomaly across the North Pacific under both global warming and SAI  
315 scenarios in CESM1 and CESM2 (Figs. 5 and 6) exhibits a similar cold-tongue pattern (typical of the  
316 North Pacific) as in the historical period. A lower contrast between the cold-tongue pattern and its  
317 surroundings is observed under SSP5-8.5 (Fig. 6b), which is effectively compensated by the  
318 geoengineering scenarios of SSP5-8.5-SAI through a significant SST decrease over middle North  
319 Pacific (Fig. 6c and 6f) since there is no significant change between SAI and historical maps (Fig. 6e).  
320 There is an excessive eastward expansion of the cold-tongue pattern with cooler temperatures under  
321 the SAI scenario as simulated by the CESM1 (Fig. 5c), which is due to the significant cooling of the  
322 water in the outside of the cold-tongue pattern imposed by the SO<sub>2</sub> injection (Fig. 5e-f).

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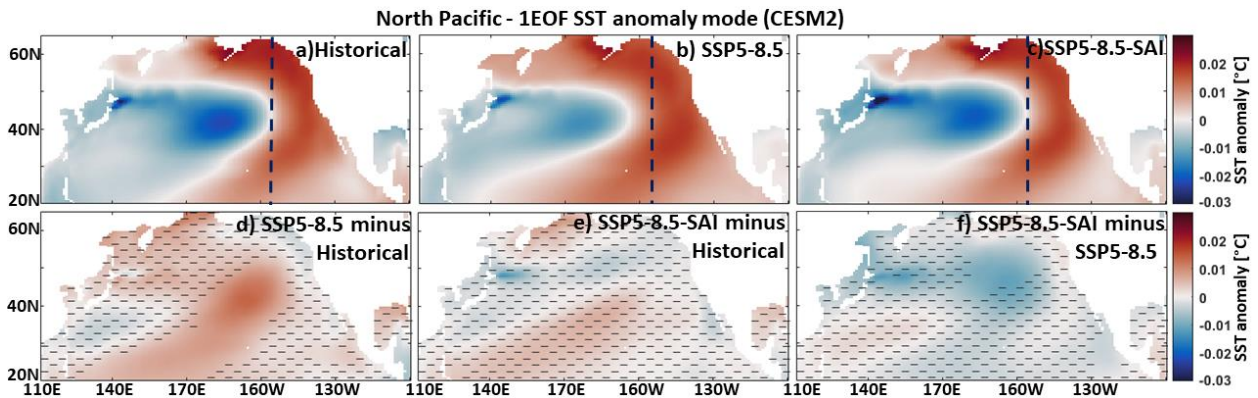


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**Figure 5.** As Fig. 3 but across the North Pacific relates to the PDO index.

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329

**Figure 6.** As in Fig. 5, but for CESM2.

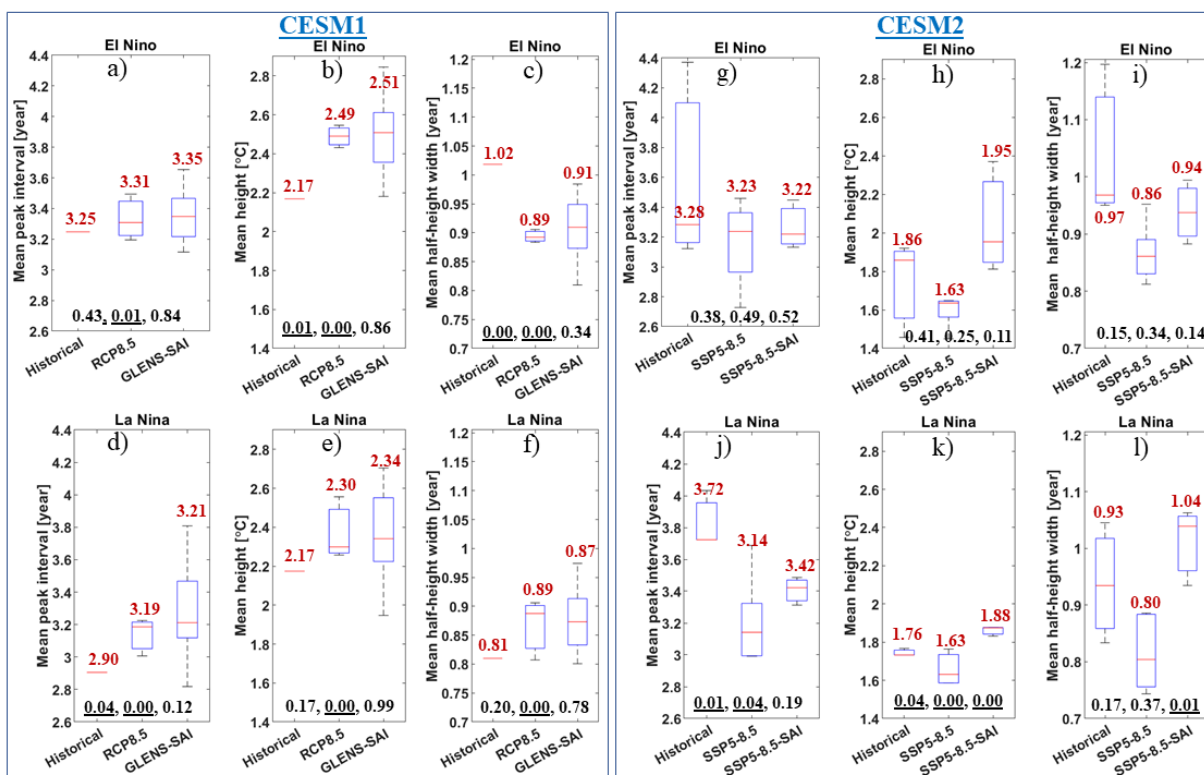
330

### 331 3.2. Temporal evolution of indices

332 Figure 7 displays the projected changes in the El Niño and La Niña episodes in the ENSO index under  
 333 global warming and SAI. The global warming scenario simulated by CEMS2 tends to reduce the time  
 334 between, as well as the intensity and duration of the La Niña episodes compared to the historical  
 335 conditions, but El Niño shows no significant changes. Frequency increases in both El Niño and La  
 336 Niña episodes were suggested in earlier climate simulations e.g., Fredriksen et al. (2020), Cai et al.  
 337 (2014) and Yun et al. (2021) for El Niño, and Cai et al. (2015) for La Niña. In contrast, using CESM1,  
 338 the characteristic changes of El Niño are stronger than that of La Niña and the El Niño intensity  
 339 significantly increases while its duration decreases relative to historical period. The La Niña intensity  
 340 significantly increases but other characteristics show no significant changes under RCP8.5.

341 For CESM2, although the SAI is mostly accompanied by a slight decrease in the median of El Niño/La  
 342 Niña characteristics towards their historical value, its effect on global warming imposed-changes is  
 343 only statistically significant for the intensity and duration of La Niña events. For the CESM2 SAI

344 experiment, there are no significant differences in El Niño characteristics as with the GHG forcing  
 345 experiment. In contrast La Niña peak intervals, height (i.e., intensity), and width (i.e., duration)  
 346 characteristics are significantly different from GHG forcing and reverse the direction of changes  
 347 imposed by GHG. For CESM1, there are no significant differences between the results from RCP8.5  
 348 and GLENS-SAI scenarios.  
 349



350  
 351 **Figure 7.** The projected changes in the mean peak interval, height, and half-height width of El Niño  
 352 and La Niña events for global warming (RCP8.5 and SSP5-8.5) and SAI (GLENS-SAI and SSP5-8.5-  
 353 SAI) scenarios simulated by CESM1 (panels a-f) and CESM2 (panel g-l). The median for each  
 354 experiment is denoted by the red line, the upper (75<sup>th</sup>) and lower (25<sup>th</sup>) quartiles by the top and  
 355 bottom of the box and ensemble limits by the whisker extents. The values labeled in red on each  
 356 box show their median. The three values shown at bottom of each sub-plot refer to the p-values  
 357 obtained from the statistical t-test between historical and global warming, historical and SAI, and  
 358 global warming and SAI, respectively. Values underlined are significant (i.e.,  $p < 0.05$ ).  
 359

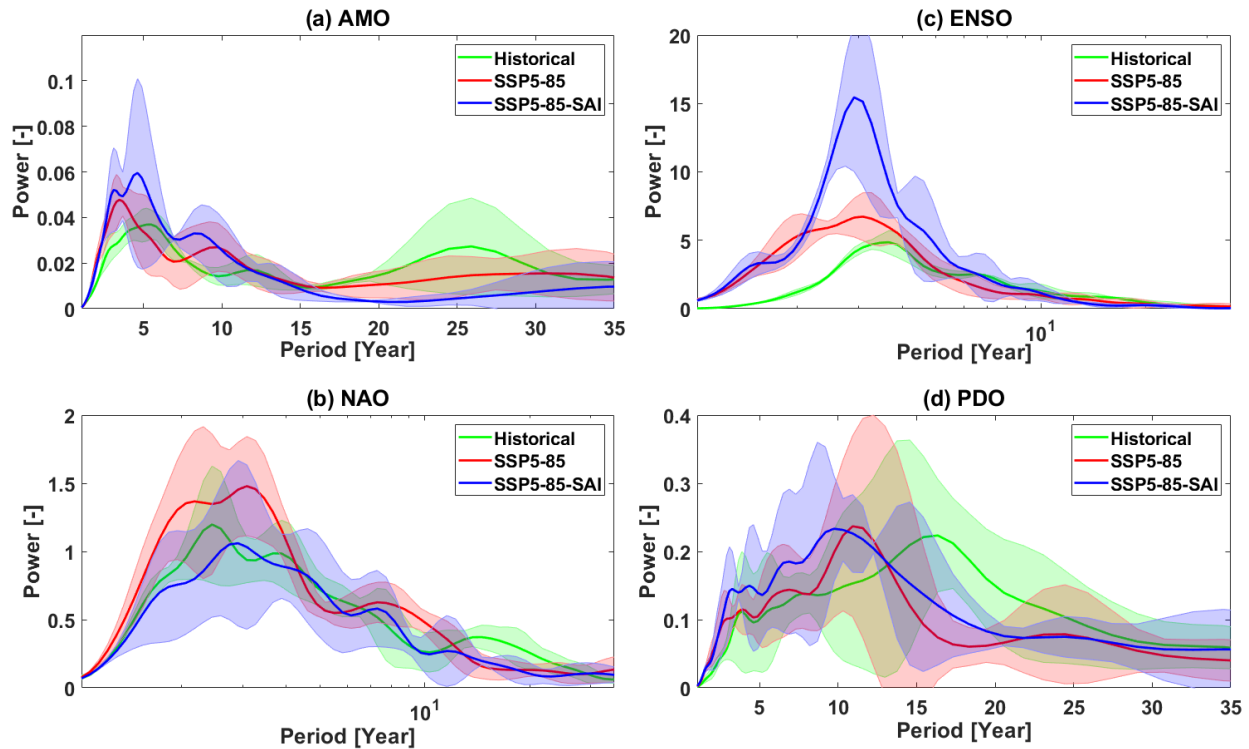
360 Another way to illustrate the temporal evolution of signals is by using the power spectrum. Figures  
 361 8 and S6 compare the changes in temporal variability of each climate indices (AMO, NAO, ENSO, and  
 362 PDO) using the global power spectrums of CWTs under the global warming and SAI scenarios  
 363 simulated by CESM2, excluding CESM1 outputs as there is just a single ensemble member for CESM1  
 364 historical data over a short 1980-2009 period. In CESM1, the signals longer than decadal, which are  
 365 the most energetic modes in observations of the PDO (Mantua and Hare, 2002) and AMO (Enfield et

366 al., 2001), cannot be captured in the historical simulations owing to their short simulation period  
367 (1980-2009). As an example, Fig. S5 shows the ENSO CWTs and their global power spectrums for  
368 historical, SSP5-8.5, and SSP5-8.5-SAI scenarios.

369 The inter-annual modes of AMO, NAO, and ENSO are preserved under both global warming and SAI.  
370 For the decadal periodicities, SAI accentuates AMO changes induced by GHG (Fig. 8a). For example,  
371 the dominant modes at 20-30-year of the AMO, observed during the historical period, show no  
372 significant changes under global warming; however, they vanish under SAI. The decadal 10-20-years  
373 mode of the historical NAO is not preserved in the global warming scenario nor with SAI (Fig. 8b).  
374 For ENSO, the dominant historical inter-annual modes show no significant change under both global  
375 warming and SAI, except that its power under SAI is stronger (Fig. 8c). The dominant modes at 10-  
376 20-years, observed in historical PDO, are not present in both the SSP5-8.5 and SAI simulations, and  
377 the latter two are similar to each other (Fig. 8d). In contrast with the historical period in which the  
378 dominant modes of PDO occur in the 10-20-year band, the dominant modes under global warming  
379 (i.e., SSP5-8.5) and SAI (i.e., SSP5-8.5-SAI) shifts to a lower mode at the ~8-13-year period. The PDO  
380 shift to a higher frequency with decadal variability weakness, observed under global warming, was  
381 also earlier demonstrated by Fang et al. (2014) with a previous generation of the climate model, the  
382 Fast Ocean Atmosphere Model (FOAM) used in IPCC AR4 experiments. Likewise, the PDO timescale  
383 has been simulated to decrease from ~20 to ~12 years under global warming (Fedorov et al., 2020),  
384 possibly because of changes in the phase speed of internal Rossby waves and ocean stratification  
385 (Zhang and Delworth, 2016).

386 We further analyzed the concatenated series from the available members for each scenario using  
387 CESM2 to statistically capture the low frequency cycles with better reliability. Figure S6 summarizes  
388 the CWT global power spectrums for AMO, NAO, ENSO, and PDO. The results, on the whole, are  
389 compatible with those shown in Fig. 8.





390

391 **Figure 8.** The CWT global power spectra obtained for the indices of AMO (a), NAO (b), ENSO (c),  
 392 and PDO (d) under SSP5-8.5 and SSP5-8.5-SAI relative to the historical results based on CESM2 for  
 393 the periods of 1850-2014. Shading in each curve shows the across-ensemble range. The x-axis in  
 394 NAO and ENSO graphs is on logarithmic scale.

395

## 396 4. Discussion

### 397 4.1. Caveats to interpretation

398 Caution is required when interpreting the results from this study with regard to real-world  
 399 variability. Although CESM2 is highly rated among existing climate models, large model-observation  
 400 differences are nonetheless present (Fasullo, 2020). Model-observation differences are larger in the  
 401 earlier CESM1 version than in CESM2. For example, CESM1 exhibited a Subtropical (Azores) high  
 402 anomaly (related to NAO) that was too weak but its representation is improved in CESM2 (Simpson  
 403 et al., 2020). We also find large differences in amplitude and variance of climate indices simulated by  
 404 both CESM1 and CESM2 relative to the observations over the 1980-2009 period. The amplitude of  
 405 the dominant EOF of the ENSO-related SST-anomaly modeled in both CESM1 and CESM2 is about  
 406 twice the observations for the historical (1980-2009) period (Figs. S4 and S7). Figure S7 further  
 407 shows NAO and PDO dominant mode amplitudes are lower in the model projections than in  
 408 observations over the historical period. Additionally, the ENSO-associated SST anomaly pattern in  
 409 the tropical Pacific shows an excessive westward extension under both CESM1 and CESM2 (Fig. S4).

410 These limitations mirror those by Capotondi et al. (2020) for CESM2 in simulating the ENSO, who  
411 suggested further work to illuminate how the physical parameterizations impact the key ENSO  
412 feedback. Additionally, although CESM2 simulates the pattern of the summer and winter NAO well  
413 over the historical period 1979-2014, the large uncertainties in specific members and in the historical  
414 observations mean it is difficult to be quantitative about this (Simpson et al., 2020). However, CESM1  
415 tends to underestimate the observed SST fluctuations in the Atlantic, leading to an underestimation  
416 of the forced response (Undorf et al., 2018).

417 CMIP models tend to systematically underestimate the low-frequency signals (i.e., PDO) in the North  
418 Pacific (Fasullo et al., 2020), owing in part to an imperfect modeling of decadal-scale structures in  
419 these simulations (Masson-Delmotte et al., 2021). Compared to observational estimates, the decadal  
420 variability in the subpolar North Atlantic SST appears to be slightly intensified through CMIP6  
421 (Masson-Delmotte et al., 2021). How well we, therefore, can potentially capture forthcoming changes  
422 in climate indices' variability will be restricted by how good each model simulations are (Gabriel and  
423 Robock, 2015).

424 The second limitation is disparities in the length of records (30 (165) years for the historical period  
425 from CESM1 (CESM2), roughly ~90 years for GHG emissions, and ~80 years for SAI scenarios) may  
426 hinder the direct comparison of climate indices behavior between historical and future climate  
427 scenarios of global warming and SAI; and thus, the number of El Niño/La Niña events as well as the  
428 significance of the longer periodicities (i.e., decadal and inter-decadal) in power spectrums.  
429 Furthermore, these records explore variability within the statistical assumptions of the methods,  
430 which may not be robust for non-stationary time series where the normality and independence  
431 assumptions inherent in the wavelet and t-tests would not strictly hold. We are limited to the  
432 available simulations, and a 3-member ensemble for SAI under CESM2 is inherently weaker than 20-  
433 member ensembles under CESM1. CESM1 has a shorter 30-year historical period from 1980 to 2009  
434 which could not capture the longer than decadal variability modes of the teleconnection patterns. Yet  
435 another limitation arises from the relatively low spatial resolution of the models which may affect  
436 the spatial SST anomaly patterns. Furthermore, Holmes et al. (2019) pointed out the models are too  
437 low resolution to resolve ocean eddies, which substantially contribute to ENSO irregularity and  
438 predictability. The absence of the eddy process may also be associated with bias in spatial patterns  
439 and other ENSO characteristics (Bellenger et al., 2014) in the CMIP models (Cai et al., 2021). Global  
440 high-horizontal resolution climate models have been indicated to significantly improve the ocean-  
441 atmosphere circulations such as ENSO (Masson et al., 2012). As an example, Haarsma et al. (2016)  
442 pointed out that the High Resolution Model Intercomparison Project for CMIP6 improves the

443 understanding of the climate teleconnection patterns of large-scale circulations such as ENSO, NAO,  
444 and PDO, which suggests that running these high-resolution models with SAI scenario would be  
445 worthwhile.

446

#### 447 **4.2. Implications for climate stability**

448 Teleconnection signals represent emergent properties of the non-linear climate system. The  
449 behavior of the climate teleconnection patterns can be characterized via its oscillations. In its  
450 simplest form, a stable pattern would represent a fixed point or a periodic oscillation, but with real  
451 non-linear systems, a quasi-periodic oscillation over specific frequency bands is more likely (e.g., Ghil  
452 et al., 2002). These quasi-periodic characteristic frequencies may change smoothly over time in a  
453 linear system but may proceed towards chaotic solutions via frequency doubling in non-linear  
454 systems. Moron et al. (1998) suggested that ENSO crossed a threshold in the early 1960s, and the  
455 periodicity of the seasonally forced climatic oscillator increased abruptly. **Notably, a concomitant  
456 increase in the variance of the decadal band is consistent with abrupt frequency doubling.** This can  
457 be expected in non-linear systems as the energy in the system is raised, progressing along the  
458 pathway towards chaotic behavior and hence less predictability on decadal timescales.

459 The impact of SAI on the energetics of the coupled system are to offset the GHG increases by design.  
460 Hence, we might expect that SAI could therefore reduce or stop the progression towards chaotic  
461 behavior. However, the real climate system is far more complex than a simple energy balance  
462 calculation. SAI increases stratospheric heating (Visoni et al., 2020), and this leads to tropospheric  
463 changes, especially in winds (Gertler et al., 2020), and tropical circulation (Cheng et al., 2022).  
464 Furthermore, the large heat reservoir of the global ocean has been out of equilibrium with the  
465 atmosphere for centuries of anthropogenic GHG emissions, and this excess heat cannot be dissipated  
466 by SAI within the timeframe in the simulations. So, we may expect SAI to, at best, imperfectly reverse  
467 the effects of GHG on teleconnections.

468 Ocean stratification (ocean buoyancy frequency) and the baroclinic Rossby wave in the North Pacific  
469 play significant roles in SST amplitude and PDO cycles since enhanced ocean buoyancy frequency  
470 speeds up the Rossby waves, and so the decadal and longer cycle weakening accompanies higher PDO  
471 frequency (Fang et al., 2014). Ocean stratification changes predominantly in response to changes in  
472 surface temperature and salinity (Fang et al., 2014). The North Atlantic and the northeast Pacific are  
473 projected to be among those areas with the greatest stratification changes under global warming in  
474 the second-half of the 21<sup>st</sup> century (Capotondi et al. 2012).

475 While SAI effectively reverses the changes in the spatial patterns under GHG forcing across the North  
476 Atlantic (i.e., AMO) and North Pacific (i.e., PDO) and compensates for modest changes in the  
477 characteristics of the El Niño and La Niña episodes (related to the tropical Pacific), it does not  
478 effectively suppress the projected **changes in decadal (~10-20-year) variability** of circulations  
479 imposed by global warming. Anthropogenic aerosols intensify the inter-annual variability  
480 (particularly in ENSO) but weaken the longer than 10-year signals of the ocean-atmosphere  
481 circulations, compatible with the multiyear to decadal variations in PDO (Hua et al., 2018). SAI  
482 involves aerosols in the stratosphere not the troposphere, so the effects will be different, not least  
483 because of stratospheric heating (Visoni et al., 2020). The cold-tong patterns in the mid-latitude of  
484 both North Atlantic and North Pacific tend to have an excess eastward extension under SAI, in line  
485 with the second-phase of the North Pacific response to large volcanic eruptions (Wang et al., 2012),  
486 which are better analogues for SAI.

487 Whether the climate system in the model is representative of the earth can be diagnosed to some  
488 extent by comparison of the historical simulation with observations. As noted in Section 4.1 both  
489 CESM versions do present differences from observations, so they are not perfect. All climate models  
490 are unavoidably uncertain (Knutti et al., 2002), mostly because of the imperfect understanding of  
491 many of the interplays and feedbacks within the climate system (Jun et al., 2008). Previous analysis  
492 of ENSO under SAI found no significant changes (Gabriel & Robock, 2015), but they used different  
493 models with widely varying fidelity of modeled ENSO to observations, and much smaller simulated  
494 quantities of SO<sub>2</sub> with the relatively modest RCP4.5 emissions scenario as a baseline. Furthermore,  
495 in the only previous assessment of ENSO under SAI, by Gabriel and Robock (2015), SAI simulations  
496 may not have been long enough to detect changes. The large 20-member ensemble of GLENS used in  
497 this study may overcome this limitation, especially for short-period indices, since this represents  
498 ~1600 model-years.

499 Changes in climate teleconnection patterns can indicate significant changes in the forcing. Such  
500 changes are seen in time series analysis of teleconnection indices in the real world that coincide with  
501 increased GHG (Tsonis et al., 2007; Wang et al., 2009). Wang et al (2009) note that regime shifts in  
502 system behavior in the observations occurred when North Pacific and North Atlantic patterns  
503 increase their coupling, and the key instigator is the NAO. **The historical NAO's decadal mode which  
504 vanished under global warming in our analyses is not restored by the simulated SAI.**

505 The North Atlantic is an atypical region under SAI. The declines in heat transported northwards by  
506 the AMOC under GHG forcing are, to great extent, reversed under all kinds of SRM including SAI (Xie  
507 et al., 2022). Thus, great differences exist in SST and air/ocean heat flux between SAI and GHG

508 climates in the North Atlantic (Yue et al., 2021). If regime shifts occur when North Atlantic and Pacific  
509 oceans increase their coupling, and if the decline in AMOC under GHG forcing decreases coupling  
510 between the basins, then SAI may act to promote regime shift by reversing a decline in AMOC.  
511 Many authors have noted that explosive volcanism, in some ways a natural analogue for SAI, is  
512 accompanied by a positive episode of the NAO (e.g., Robock, 2000). Furthermore, in the extreme  
513 scenario of SAI being done such that temperatures are actually decreased then projected  
514 strengthening of AMOC occurs (Tjiputra et al., 2016). However, it is also possible that regime shifts  
515 induced by GHG forcing and the large temperature feedbacks they induce may dominate impacts over  
516 those fairly subtle regime shifts in climate teleconnection patterns.

517

## 518 **5. Conclusions**

519 This study delivers a first overview of SAI response on the large-scale ocean-atmosphere circulations  
520 of AMO, NAO, ENSO, and PDO using experiments based on CESM1(WACCM) and CESM2(WACCM6)  
521 that apply stratospheric aerosol intervention through the injection of sulfur into the stratosphere,  
522 GLENS-SAI and SSP5-8.5-SAI, respectively. The impacts of these interventions are assessed against  
523 historical (1980-2009 for both the models and 1850-2014 for CESM2 in some analyses) and  
524 projections under RCP8.5 and SSP5-85 (for the GLENS-SAI and SSP5-8.5-SAI, respectively). We found  
525 that SAI effectively reverses the global warming-imposed changes in the variance of the leading EOF  
526 SST anomaly associated with AMO, ENSO, and PDO. The SAI also effectively suppresses the changes  
527 in the spatial patterns of the EOF SST anomaly across the North Atlantic (i.e., AMO) and North Pacific  
528 (i.e., PDO). A decrease in the contrast between the cold-tongue pattern and its surroundings in the  
529 North Pacific is further projected under GHG induced global warming, which the SAI successfully  
530 restored.

531 CESM2 simulations suggest that increasing GHG emissions are accompanied by a modest increase in  
532 the frequency of the El Niño and La Niña episodes but a modest decrease in their intensity and  
533 duration. The SAI scenario effectively compensates for these changes.

534 In contrast to the impact of the SAI on the spatial patterns of the climate indices of AMO, PDO, and  
535 ENSO, the SAI scenario does not effectively suppress the projected changes in decadal (~10-20-year)  
536 variability imposed by global warming. The decadal variability modes of all the historical climate  
537 indices (except for Atlantic-based indices under SSP5-8.5) are not preserved in the GHG warming  
538 scenario and the SAI does not restore them.

539 Furthermore, compared to the historical 1850-2014 period in CESM2, SAI is projected to accentuate  
540 AMO and have no effective impact on NAO at decadal frequencies. Unlike the historical period in

541 which the long-period dominant modes of PDO occur in the 10-20-year band, the dominant modes  
542 under global warming are reduced to ~8-13-years, and the SAI does not restore them.  
543 The results exhibited here are particular to these types of future global warming scenarios and the  
544 details of the SAI application, which deal with an extreme scenario of GHG emissions and continuous  
545 increases in sulfur emissions. Furthermore, the findings are from ensemble members from just two  
546 closely related models. Caution is warranted due to the model-observation differences, disparities in  
547 the record length of the historical period compared to future climate scenarios, and the low spatial  
548 resolution of the models. Nevertheless, our study does detect changes in climate teleconnection  
549 signals, and hence underlying climate system dynamics under SAI when decomposed using EOF and  
550 wavelet analyses.

551

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556

557 **Competing interests:**

558 We confirm that there is no conflict of interest among the authors of this manuscript.

559

560 **Data availability:**

561 The data for CESM1 and CESM2 simulations are publicly available via their websites:  
562 <http://www.cesm.ucar.edu/projects/community-projects/GLENS/> (DOI: 10.5065/D6JH3JXX) and  
563 <https://esgf-node.llnl.gov/search/cmip6/>.

564

565

566 **Author contribution:**

567 A. R.: Coordinated to analysis and the graphics of various figures and the manuscript preparation; Kh.  
568 K. and S. T.: conceptualization and preparing the data; J. M. conceptualized and coordinated the  
569 interpretation and discussion for various sections. All authors contributed to the discussion and  
570 writing.

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