1	The underappreciated impact of emission source profiles on the simulation of
2	PM2.5 components: New evidence from sensitivity analysis The effect of emission
3	<u>source chemical profiles on simulated PM2.5 components: sensitivity analysis</u>
4	with CMAQv-5.0.2
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### 21 Abstract

22 The chemical transport model (CTM) is an essential tool for air quality prediction 23 and management, widely used in air pollution control and health risk assessment. 24 However, the current models do not perform very well in reproducing the observations of some major chemical components, for example, sulfate, nitrate, ammonium and 25 organic carbon<del>simulating PM<sub>2.5</sub> components</del>. Studies suggested that the uncertainties 26 27 of model chemical mechanism, source emission inventory and meteorological field can cause inaccurate simulation results. Still, the emission source profile (used to create 28 29 speciated emission inventories for CTMs) of PM<sub>2.5</sub> has not been fully taken into account in current numerical simulation. This study aims to answer (1) Whether the variation of 30 31 source profile adopted in CTMs has an impact on the simulation of PM<sub>2.5</sub> chemical 32 components? (2) How much does it impact? (3) How does the impact work? Based on the characteristics and variation rules of chemical components in typical PM<sub>2.5</sub> sources, 33 34 different simulation scenarios were designed and the sensitivity of simulated PM<sub>2.5</sub> 35 components components simulation results to PM2.5 sources chemical profile was explored. Our findings showed that the influence of source profile changes on simulated 36 PM<sub>2.5</sub> concentration was insignificant, but its impact on simulated PM<sub>2.5</sub> components 37 could not be ignored.. The variations of simulated components ranged from 8% to 167% 38 39 under selected different source profiles, and simulation \_ Simulation results of some components were sensitive to the adopted PM2.5-source profile in CTMs. Moreover, 40 there was a linkage effect, the variation of some components in the source profile would 41 42 bring changes to the simulated results of other components. These influences are 43 connected to the chemical mechanisms of the model since the variation of species 44 allocations in emission sources directly affected the can affect potential composition 45 and phase state of aerosols, chemical reaction priority and multicomponent chemical balance in thermodynamic equilibrium system. We also found that the perturbation of 46 the PM<sub>2.5</sub> source profile caused the variation of simulated gaseous pollutants, which 47 48 indirectly indicated that the perturbation of the source profile would affected the

- 49 simulation of secondary  $PM_{2.5}$  components. Given the vital role of air quality simulation
- 50 in environment management and health risk assessment, the representativeness and
- 51 timeliness of source profile should be considered.

# 52 Keywords

53 PM<sub>2.5</sub>; source profile; component; numerical simulation; chemical transport model

### 54 **1. Introduction**

Ambient fine particulate matter (PM<sub>2.5</sub>) pollution in some key regions of China 55 56 has attracted much attention (Liang et al., 2020; Huang et al., 2021). The chemical components of PM<sub>2.5</sub>, including elements (Al, Si, Fe, Mn, Ti, Cu, Zn, Pb, etc.), water-57 soluble ions (SO4<sup>2-</sup>, NO3<sup>-</sup>, Cl<sup>-</sup>, F<sup>-</sup>, NH4<sup>+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, etc.), and carbon-58 containing components (Organic Carbon, OC; Elemental Carbon, EC) (Yang et al., 59 60 2011; Li et al., 2013), have different physical and chemical properties, such as reactivity, thermal stability, particle size distribution, residence time, optical properties, health 61 hazards, etc (Seinfeld and Pandis, 2006; Tang et al., 2006). According to long-term 62 monitoring results, in most regions of China, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and OC are the most 63 important species in ambient PM<sub>2.5</sub> (Li et al., 2017a; Li et al., 2021), which has a certain 64 adverse impact on human health (Shi et al., 2018) and ecosystem, such as acid rain in 65 southwest China (Han et al., 2019), food security (Zhou et al., 2018), etc. 66

The chemical transport models (CTMs) play an important role in policy making 67 68 for regulatory purposes. Based on the scientific understanding of atmospheric physical and chemical processes, CTMs are built to simulate the transport, reaction and removal 69 of pollutants on a certain scale in horizontal and vertical directions. With the 70 development of CTMs, the simulation accuracy of PM2.5 concentration has been 71 significantly improved. Higher requirements have been put forward for the precise 72 simulation of PM<sub>2.5</sub> components so as to provide support for the use of CTMs in human 73 health risk assessment, climate effects, pollution sources apportionment, and so on 74 (Peterson et al., 2020; Lv et al., 2021). However, the current models perform not very 75 76 well in simulating some components (for example, PM2.5-bound sulfate, nitrate, ammonium, trace elements, etc.) (Zheng et al., 2015; Fu et al., 2016; Ying et al., 2018; 77 78 Cao et al., 2021). In the current literatures, the correlation coefficient (R) and normalized mean bias (NMB) are highly variable and inconsistent between the 79 simulated and the observed values (listed in Table S1). This is mainly attributable to the 80 uncertainties of model chemical mechanism, source emission inventory and 81

82 meteorological field simulation.

83 The chemical mechanisms involved in CTMs are derived from parameterized assumptions based on laboratory simulation and field observations. The actual 84 atmospheric chemical processes are very complex, and some reaction mechanisms are 85 still limitedly understood. In addition, the integration of chemical reactions and 86 simplified treatment methods in the model cannot fully reflect the correlation among 87 88 atmospheric pollutants. For example, in some model mechanisms, other-important 89 sulfate and nitrate formation pathways through new heterogeneous chemistry were 90 added through new heterogeneous chemistry, including the chemical reaction between SO<sub>2</sub> and aerosol, NO<sub>2</sub>/NO<sub>3</sub>/N<sub>2</sub>O<sub>3</sub> and aerosol (Zheng et al., 2015), nitrous acid oxidized 91 SO<sub>2</sub> to produce sulfate (Zheng et al., 2020), dust particles promoted the oxidation of 92 93 SO<sub>2</sub> (Yu et al., 2020), modified the uptake coefficients for heterogeneous oxidation of SO<sub>2</sub> to sulfate (Zhang et al., 2019), updated the heterogeneous N<sub>2</sub>O<sub>5</sub> parameterization 94 (Foley et al., 2010). Even though the aforementioned processes can significantly 95 improve the simulation of  $SO_4^{2-}$  and  $NO_3^{-}$ , there is still a gap between the modeled and 96 97 the actual atmospheric chemical processes.

98 The uncertainty of source emission inventory also significantly affects the 99 simulation results of PM<sub>2.5</sub> components (Shi et al., 2017; Sha et al., 2019). Due to 100 incomplete information or insufficient representativeness, pollutant emissions are 101 sometimes overestimated or underestimated, and the method for temporal and spatial 102 allocation also needs to be improved.

103 The uncertainty of meteorological field simulation is another crucial reason for the simulation deviation, especially on heavy pollution days, the variation trends of PM<sub>2.5</sub> 104 105 chemical components were not well-captured (Ying et al., 2018; Qi et al., 2019; Wang et al., 2022). Precipitation is the key meteorological factor determining wet removal of 106 pollutants; boundary layer height and wind speed are the main factors affecting 107 convection and transport of pollutants; solar radiation, temperature and relative 108 humidity are the key factors affecting the formation of secondary particles (Huang et 109 110 al., 2019; Chen et al., 2020). Some literature reported that deviation from precipitation and wind field simulation might lead to underestimation of  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^+$ (Cheng et al., 2015; Zhang et al., 2017). Devaluation of liquid water path and cloud cover cause a decrease of sulfate formation in cloud, and ultimately results in significantly underestimated components in simulation values (Sha et al., 2019; Foley et al., 2010). Underestimation of temperature and relative humidity may also cause adverse effects of temperature- and/or relative humidity-dependence chemical reaction in the simulation (Sha et al., 2019).

118 The uncertainty of source emission inventory also significantly affects the 119 simulation results of  $PM_{2.5}$  components (Shi et al., 2017; Sha et al., 2019). Due to 120 incomplete information or insufficient representativeness, pollutant emissions are 121 sometimes overestimated or underestimated, and the method for temporal and spatial 122 allocation also needs to be improved.

In particular, the emission source profile of PM<sub>2.5</sub> (Hereinafter referred to as 123 124 "source profile"), used to createing speciated emission inventories for CTMs (Hsu et 125 al., 2019), has not been fully taken into account in the current numerical simulation. In 126 the reported literatures, PM<sub>2.5</sub> species allocation coefficients of emission sources are commonly treated in the following ways: (1) allocated PM<sub>2.5</sub> components of source 127 emissions by referring to source profile data in published literature or database like the 128 US SPECIATE (Fu et al., 2013; Wang et al., 2014; Ying et al., 2018); (2) chemical 129 profiles come from local measurement (Fu et al., 2013; Appel et al., 2013). However, 130 with the development of production technology and the innovation of pollution 131 treatment technology in recent years, some source profiles have changed dramatically 132 (Bi et al., 2019), such as  $SO_4^{2-}$  from coal burning,  $SO_4^{2-}$  content in PM<sub>2.5</sub> is generally 133 low in coal-fired power plant without desulfurizing facilities, while existing coal-fired 134 power plants using limestone/gypsum wet desulphurization, the contents of SO42- in 135 PM<sub>2.5</sub> are significantly higher than that without desulfurization facilities (Zhang et al., 136 2020). The timeliness of  $PM_{2.5}$  species allocation coefficients in current CTMs also 137 138 needs to be considered.



This paper attempts to answer the following questions: (1) Whether the variation

140 of the source profile adopted in the air quality model has an impact on the simulated 141 results of PM<sub>2.5</sub> chemical components? (2) How much does it impact? (3) How does 142 the impact work? Aiming at these problems above, chemical composition and its variation law for typical PM2.5 emission sources are summarized, on this basis, 143 sensitivity tests are designed to identify whether PM2.5 source profiles and species 144 145 allocation in the model are important parameters that affect the simulation results of chemical components in PM<sub>2.5</sub>. We take CMAQ (one of the most widely used CTMs), 146 147 MEIC (a high-resolution inventory of anthropogenic air pollutants in China) as the carriers. The same kind of experiment is also applicable to other CTMs and emission 148 inventories. The aim of this study is to provide support for the effective utilization of 149 source profiles in the CTMs and improvement of the simulation schemes. 150

### 151 2. Model and Data

## 152 **2.1 Model configuration**

Weather Research and Forecasting model (WRF-3.7.1), the widely used 153 154 Community Multiscale Air Quality model (CMAQv5.0.2), and Multi-resolution Emission Inventory for China (MEICv1.3) have been used in this study. MEIC, 155 developed by Tsinghua University provided the emission inventory which is developed 156 by Tsinghua University, mainly tracked anthropogenic emissions in China including 157 158 coal-fired power plants, industry, vehicles, residents and agriculture (http://meicmodel.org/?page id=135) (Li et al., 2017b; Zheng et al., 2018). The WRF 159 model was used to generate meteorological inputs for the CMAQ model. Three nested 160 modeling domains consisting of 36 km×36 km (Dom1), 12 km×12km (Dom2), and 4 161 162 km×4km (Dom3) horizontal grid sizes were set, as shown in Fig. 1. The initial and boundary conditions for WRF were based on the North American Regional Reanalysis 163 data archived at National Center for Atmospheric Research (NCAR). In addition, 164 surface and upper air observations obtained from NCAR were used to further refine the 165 analysis data. The modeling was conducted from Oct. 1 to Oct.30 in 2018, The and 166 major configurations we used in CMAQ were illuminated as follows: Gas-phase 167

- chemistry was based on the CB05 mechanism and the aerosol dynamics/chemistry was 168
- based on the aero6 module (cb05tucl ae6 aq). The detailed model configurations were 169
- 170 shown in Table S2, and regional distribution of PM2.5 emission sources were shown in
- 171 Fig-ure <u>S2S1</u>.



172

173 Fig.1 Modeling domains of the CMAQ model. (a) The three-domain nested CMAQ domains; (b) 174 Land use and observation sites of Dom3 (Data source of Land use: GLOBELAND30, 175 www.globeland30.org, National Geomatics Center of China).

176

# 2.2 Selection and comparison of PM<sub>2.5</sub> emission source profile

The PM<sub>2.5</sub> emission source profiles from database of Source Profiles of Air 177 Pollution (SPAP) (http://www.nkspap.com:9091/), U.S. Environmental Protection 178 179 Agency's (EPA) **SPECIATE** database (https://www.epa.gov/air-emissionsmodeling/speciate) as well as from published literature were selected, respectively. The 180 SPAP was developed by the State Environment Protection Key Laboratory of Urban 181 Particulate Air Pollution Prevention, Nankai University, China. This database contains 182 more than 3000 size-resolved source profiles of stationary combustion sources, 183 184 industrial processes, vehicle exhaust, biomass burning, dust and cooking emissions and

other sources, collected from more than 40 cities in China since 2001. In addition to 185 inorganic elements, water-soluble ions, OC, EC and other conventional components, 186 187 some source profiles also encompass a series of tracer information, such as organic markers, isotopes, single particle mass spectrometry, VOCs and other gaseous 188 189 precursors. Based on species in the aerosol chemical mechanism (AERO6) of CMAQ (Appel et al., 2013; Chapel Hill, 2012), we selected 15 components in PM<sub>2.5</sub> source 190 profiles including Al, Ca, Cl, EC, Fe, K, Mg, Mn, Na, OC, Si, Ti, NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup>, 191 192 the remaining components are classified as "other". Emission sources are divided into four main categories referred to the classification in MEIC: coal combustion by power 193 plants (PP), industrial processes (IN), residential emission (RE) and transportation 194 sector (TR). In the database of Source Profiles of Air Pollution (SPAP) and U.S. 195 196 Environmental Protection Agency's (EPA) SPECIATE database, these four source categories (coal-fired power plant, industry process, transportation sector and 197 residential coal combustion) contain a series of sub-categories. But the MEIC emission 198 199 inventory does not include the corresponding sub-categories. So we take the average 200 values of source profiles in each source category as representing source profile, the details could also be seen in our previous work (Bi et al., 2019); Then multiply 201 inventory emissions by profile fraction to get emissions of specific chemical 202 203 components.

<u>To determine the similarity between the two groups of source profiles, Coefficient</u>
 <u>Divergence (CD) is calculated using the following formula (Wongphatarakul et al.,</u>
 <u>1998</u>):

207

208 Where  $CD_{jk}$  is the coefficient of divergence of source profile *j* and *k*, *p* was is the 209 number of chemical components in source profile,  $x_{ij}$  is the weight percentage for 210 chemical component *i* in source profile *j*,  $x_{jk}$  is the weight percentage for *i* in source 211 profile *k* (%). The CD value is in the range of 0 to 1, if the two source profiles are 212 similar, the value of CD is close to 0; if the two are very different, the value was is close 213 <u>to 1.</u>

Coal-fired power plant (PP). Coal-fired power plants -remain the main coal 214 consumers in China, which accounted for 50.2% of total coal consumption in 2019 215 (NBS, 2021) and gained much more attention, especially with the wide implementation 216 217 of the strictest ultralow emission standards, PM<sub>2.5</sub> emission characteristics have changed accordingly (Wu et al., 2020; Wu et al., 2022). There are obvious differences 218 in PM2.5 source profiles between SPAPPC (SPAP database and published source profiles 219 220 in China) and SPECIATE (U.S.EPA SPECIATE database), the CD value of these two groups lie between 0.34 and 0.92 ( $0.64\pm0.10$ ), detailed information is shown in Table 221 S3 and Figure S2. The percentages of species in PP source profiles are plotted in Fig. 222 2(a). The main components in SPAPPC are sorted by Si,  $SO_4^{2-}$ , OC, Ca with average 223 values of 8.7±6.8%, 8.5±11.5%, 6.8±9.1% and 6.5±6.9%, respectively; The SPECIATE 224 are enriched in SO42- (16.9%±20.0%), OC (12.7±21.8%), Si (9.6±5.0%) and Ca 225 (9.3±7.3%), higher than SPAPPC. Coal properties, burning conditions, pollution control 226 227 measures and emission sampling methods are the main reasons for those great 228 percentage fluctuations. Different treatment processes of flue gases, e.g. wet/dry limestone, ammonia and double-alkali flue gas desulfurization, will affect the 229 percentages of components in source profiles (Zhang et al., 2020). It has been reported 230 that the percentage of Ca, Mg, SO4<sup>2-</sup> and Cl<sup>-</sup> in PP profiles increased after the limestone-231 gypsum method was used in coal-fired power plants (Bi et al., 2019). Besides that, the 232 percentage of Cl<sup>-</sup> in SPAPPC is obviously higher than that in SPECIATE, which might 233 attribute to the generally higher Cl<sup>-</sup> content in raw coal in China (Guo et al., 2004). 234





Fig. 2 Chemical profiles for PM<sub>2.5</sub> emitted from (a) coal-fired power plants (PP), (b) industry
 processes (IN), (c) transportation sector (TR), (d) residential coal combustion (RE). Data obtained
 from SPAPPC (SPAP database and published source profiles in China) and SPECIATE (U.S. EPA
 SPECIATE database)

244 **Industrial process(IN)**. Industrial emissions are one of the major sources of PM<sub>2.5</sub> 245 (Hopke et al., 2020), the percentages of Ca, Fe, OC and  $SO_4^{2-}$  are relatively high both 246 in SPAPPC and SPECIATE-of industrial processes, but the shares in different source 247 profile database varied, their CD values vary from 0.45 to 0.94 (0.72±0.09) (Detailed 248 information were shown in Table S4~S7 and Figure S3). In SPAPPC, these four 249 components account for 16.4±14.9%, 10.4±14.4%, 6.9±6.1%, 6.2±6.4%, the 250 proportions in SPECIATE are 10.4±9.8%, 11.4±10.6%, 8.5±4.9%, 16.3±13.3%, 251 respectively (Fig. 32(b)). Large variations of components and their percentages in 252 industrial processes are attributed to the manufacturing processes, raw material, 253 pollution control measures and so on (Ji et al., 2017; Bi et al., 2019; Gao et al., 2022). 254 For example, Ca, Al, OC and  $SO_4^{2-}$  are found to have the highest percentage in cement 255 sources (Guo et al., 2021); Fe, Si and  $SO_4^{2-}$  are the most abundant species in steel 256 industry emission (Guo et al., 2017).





has relatively higher percentages of OC, EC,  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^+$  than honeycomb briquette (Wu et al., 2021; Song et al., 2021).



RE source profiles

301 <u>the following issues</u>as follows.

302 3 Whether the variation of source profile adopted in CTMs has an impact on the
 303 simulation of chemical components in PM<sub>2.5</sub>? Is there an impact of variation of
 304 source profile on the simulation results?

In this part, we separately selected source profiles from SPAPPC and SPECIATE databases and applied them in emission inventory for simulating PM<sub>2.5</sub> and its components with other modeling conditions unchanged, corresponding to case CMAQ\_SPA and CMAQ\_SPE. The detailed information of source profiles is shown in Figure S1S6. To determine the similarity between the two groups of source profiles, Coefficient Divergence (CD) is calculated using the following formula (Wongphatarakul et al., 1998):

$$CD_{jk} = \sqrt{\frac{1}{p} \sum_{i=1}^{p} \left( \frac{x_{ij} - x_{ik}}{x_{ij} + x_{ik}} \right)^2}$$
(1)

Where  $CD_{jk}$  is the coefficient of divergence of source profile *j* and *k*, *p* was the number of chemical components in source profile,  $x_{ij}$  is the weight percentage for chemical component i in source profile *j*,  $x_{ik}$  is the weight percentage for *i* in source profile *k* (%). The CD value is in the range of 0 to 1, if the two source profiles are similar, the value of CD is close to 0; if the two are very different, the value was close to 1.

By comparing the selected SPAPPC source profiles with the selected SPECIATE 319 source profiles, the coefficient divergences for the four main source categories were 320  $CD_{PP}(0.67) > CD_{RE}(0.62) > CD_{TR}(0.60) > CD_{IN}(0.60)$ , which meant the selected source 321 profiles in the two simulation cases were quite different. The average simulated 322 concentration of PM<sub>2.5</sub> and its components at <u>each10</u> ambient air quality monitoring 323 324 stations (Table S12) were extracted from CMAQ outputs. of the innermost simulation 325 domain. We selected one air quality monitoring station (Site 8, as the selected station here and any-one- site could be available) to explore the effect of emission source 326 chemical profiles on simulated PM2.5 componentsstudy the influence of PM2.5 source 327 328 profile on numerical simulation of PM2.5-bound components and to explore the relevant 329 laws in the atmosphere, then used the left 9 sites to further illustrate the conclusions 330 suggested.

The simulation results for PM2.5 species under CMAQ SPA and CMAQ SPE 331 332 cases also showed big differences (as shown in Fig. 6-3 and Table S13), ). in which the The largest difference in average simulated concentration was EC with CAMQ SPE 333 334 giving higher by 167% than CMAQ\_SPA; For OC and Mn, higher values were also given by CMAQ SPE than by CMAQ SPA (45% and 126% on average, respectively); 335 336 For the remaining other components of concern, the simulated concentration by 337 CMAQ SPE was lower than CMAQ SPA with Ti (58%), Na (55%), Mg (53%), Ca (51%), A1 (33%), C1 (30%), K (29%), Si (22%), Fe (16%), NH<sub>4</sub><sup>+</sup> (9%), SO<sub>4</sub><sup>2-</sup> (9%), NO<sub>3</sub><sup>-</sup> 338 (8%), separately. While the simulated PM<sub>2.5</sub> concentrations under the two cases were 339 quite close. The influence of source profile variation on the simulated PM2.5 340 concentration was not significant, but the influence on the simulation of chemical 341 components in PM<sub>2.5</sub> could not be ignored. 342





(PM<sub>2.5</sub> and its components) between CMAQ\_SPE and CAMQ\_SPA (relative to CAMQ\_SPA)
 during simulation period; PM<sub>2.5</sub> source profiles from SPAPPC and SPECIATE database were
 applied in emission inventory for simulating PM<sub>2.5</sub> and its componentsused to create speciated
 emission inventories for CMAQ, corresponding to case CMAQ\_SPA and CMAQ\_SPE, respectively.

### 350 4 How much did is the variation of source profile adopted in CTMs impact on

## 351 the simulation of chemical components in PM<sub>2.5</sub>? How much does it impact?

In order to <u>To</u> quantitatively characterize how much the source profiles affect the simulation results of PM<sub>2.5</sub> and its components, we selected the chemical composition of code 000002.5 (Variety of different categories, used for the overall average composite profiles (Hsu et al., 2019)) in the US EPA Speciate\_5.0\_0 database as-for species allocation of PM<sub>2.5</sub> components. The corresponding percentages of EC, OC, Mn, Fe, Ti, Al, Si, Ca, Mg, K, Na, Cl, NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> in PM<sub>2.5</sub> were shown in Fig. <del>7</del> <u>4</u> (SGL, base case simulation).



359

Fig. 7-4 The general roadmap of sensitivity tests (The histogram in each case were the speciation profile in CTMs; SNA represent  $SO_4^{2^-}$ ,  $NO_3^-$ , and  $NH_4^+$ , Non-SNA represent other components in  $PM_{2.5}$ ).

363

### Table 1 The content of sensitivity experiment cases

Experiment Cases	Description <sup>3</sup>
Case <del>S0 (</del> DBL <del>)</del> :	The percentage of all the listed components in the source
add perturbation to Non-SNA	profile of base case (SGL) were doubled, and the proportion
and SNA <sup>1</sup>	of unlisted components $(Other)^2$ decreased to 9%.
	The percentages of non-SNA were doubled and SNA( SO4 <sup>2-</sup> ,
Case <mark>S1 (</mark> DBP <del>)</del> :	$NO_{3}$ , $NH_{4}$ ) species stayed the same with that in SGL (the
add perturbation to Non-SNA	cumulative percentage of listed species was 85.3%), the
	proportion of unlisted components decreased to 14.7%.
	The percentage of $SO_4^{2-}$ was doubled (11%, DBS,
	represented Double Sulfate), tripled (16.5%, TPS,
Case S2 (DDS and TDS).	represented Triple Sulfate) and the other listed 14 species
add perturbation to $SO^{2}$	stayed the same with that in SGL (the cumulative percentage
add perturbation to SO4	of listed species was 51% and 57%, respectively), the
	proportion of unlisted components decreased to 49% and
	43%.
	The $NO_3^-$ content was raised up to 20 times (3.3%, TWN)
	and 40 times (6.6%, FON) of that in SGL (0.16%), the other
Case <del>S3 (</del> TWN and FON <del>)</del> :	14 species stayed the same with SGL (the cumulative
add perturbation to NO3 <sup>-</sup>	percentage of listed species was 48.6% and 51.9%,
	respectively), the proportion of unlisted components
	decreased to 51.4% and 48.1%.

	The $NH_4^+$ content was raised up to 100 times (2.2%, OHA),
	200 times (4.4%, THA) of that in SGL (0.02%), the other 14
Case <mark>S4 (</mark> OHA and THA <del>)</del> :	species stayed the same with SGL (the cumulative
add perturbation to $\mathrm{NH_4^+}$	percentage of listed species was 47.7% and 49.9%,
	respectively), the proportion of unlisted components
	decreased to 52.3% and 50.1%.

Note:

<u>1. SNA represent SO4<sup>2-</sup>, NO3<sup>-</sup>, and NH4<sup>+</sup>, Non-SNA represent other components in PM<sub>2.5</sub>.</u> <u>2. The listed components contain Al, Ca, Cl, EC, Fe, K, Mg, Mn, Na, OC, Si, Ti, NH4<sup>+</sup>, NO3<sup>-</sup> and SO4<sup>2-</sup>, unlisted components are classified as Other.</u>

<u>3.</u> The source profiles in all cases listed in the table were calculated based on the base case SGL. In the design of simulation cases, the reason why the disturbance amplitude of  $NH_4^+$  and  $NO_3^-$  were significantly higher than that of other components such as  $SO_4^{2-}$  and Non-SNA, was because the percentages of  $NH_4^+$  and  $NO_3^-$  in the base source profile (SGL, based on the chemical composition of code 000002.5 in the EPA Speciate\_5.0\_0 database ) were very low, while the percentage of  $NH_4^+$  and  $NO_3^-$  in SPAPPC exhibited in section 2.2 were orders of magnitude higher than those in SGL.

Given the large number and complex chemical composition of PM<sub>2.5</sub>, it is 364 advisable to classify it them reasonably before designing sensitivity experiments. The 365 366 Case DBLS0 was to double the percentage of the listed 15 components mentioned in the above (SGL) ) in PM2.5 species allocation for emission sources (DBL 367 case, the cumulative percentage was 91%, the details were are shown in Fig. 4 and Table 368 369 1). As the percentage of these components increased, the proportion of unlisted 370 components (represented by "Other") decreased to 9% in order to meet the requirement that the total percentage of all components is 100%. Then we compared the simulation 371 results before (SGL case) and after perturbation (DBL case) in species allocation of 372 373 PM<sub>2.5</sub> sources.

In the case DBL, when the percentage of all the components except "other" were 374 doubled in the source profile, the simulated concentrations of Al, Ca, Cl, EC, Fe, K, 375 376 Mg, Mn, Na, OC, Si and Ti doubled as well, while the simulated concentration of  $NO_{3,7}$ and  $SO_4^{2-}$  and  $NH_4^+$  only increased at about 3%, 10% and  $-NH_4^+$  decreased by 4%, 377 respectively, although the simulated concentration of PM<sub>2.5</sub> was not obviously changed 378 379 (Detailed simulation results were shown in Table S14). Through this Case S0DBL, we found that the The simulation test results for SNA (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup>) and Non-380 SNA were obviously different. Therefore, we divided the components in the source 381

profile into two groups (Non-SNA and SNA) and designed a series of sensitivity tests listed in next section to further explore how species allocation of  $PM_{2.5}$  in emission sources of CTMs would affect the simulation results. The sketch of sensitivity experiment design idea is shown in Figure S7.

# 386 4.1 Sensitivity tests design

387 Based on the Case S0 DBL results, sensitivity Sensitivity tests were designed by changing the percentages of the target components and related components in the base 388 389 case (SGL): add perturbation on each component of Non-SNA, perturbation on SO<sub>4</sub><sup>2-</sup>, perturbation on NO<sub>3</sub>, and perturbation on NH<sub>4</sub><sup>+</sup>. The general roadmap of sensitivity 390 tests was is shown in Fig. 4, and the illustration of each case was summarized in Table 391 1. The basic rules must be followed: a) perturbation on the percentage of each 392 component in source profile fell within the variation range of its measured value 393 described in section 2.2. b) The sum of the percentage of listed Non-SNA, SNA and 394 Other components in PM<sub>2.5</sub> source profile was 100%. 395

396 4.2 <u>Sensitivity of simulated components to changes in source profile</u>Evaluation

### 397 index for simulation result

398 In order to quantify the concentration changes of simulated  $PM_{2.5}$  components 399 caused by the perturbation in source profile, we <u>We</u> proposed the sensitivity coefficient 400 ( $\delta$ ) as evaluation index. The calculation formula is as follows:

401 
$$\delta_{i,p} = \frac{\frac{C_{i\_case}}{C_{PM_{25\_case}}} \times 100\% - \frac{C_{i\_base}}{C_{PM_{25\_case}}} \times 100\%}{P_{p\_case} - P_{p\_base}} \quad (For DBL and DBP, p = i; For other cases, p = j)$$

402

403

$$\delta_{i} = \begin{cases} \frac{C_{i\_case}}{C_{PM_{2.5\_case}}} \times 100\% - \frac{C_{i\_base}}{C_{PM_{2.5\_base}}} \times 100\% \\ P_{i\_case} - P_{i\_base} \\ \frac{C_{i\_case}}{C_{PM_{2.5\_case}}} \times 100\% - \frac{C_{i\_base}}{C_{PM_{2.5\_base}}} \times 100\% \\ P_{j\_case} - P_{j\_base} \\ \hline \end{array}$$
(For other cases)

<u>.....</u> (2)

404

Wherein,  $\delta_{i,\underline{p}}$  is the sensitivity coefficient of component <u>*i*</u> relative to component <u>p</u>,

405 representing the change of in the simulated value of its content in ambient PM<sub>2.5</sub> 406 corresponded to 1% perturbation in the source profiles.  $C_i$  case is the simulation result of component <u>*iI*</u> in <u>different each</u> sensitivity experiment cases,  $\mu g/m^3$ ;  $C_{i\_base}$  is the 407 simulation result of components i in base case,  $\mu g/m^3$ ;  $C_{PM_{2.5 case}}$  is the simulation 408 result of PM<sub>2.5</sub> in different each sensitivity experiment cases,  $\mu g/m^3$ ;  $C_{PM_{2.5 base}}$  is the 409 simulation result of PM<sub>2.5</sub> in base case,  $\mu g/m^3$ ;  $P_i P_p$  case is the percentage of component 410 411 *ip\_*in different source profile of sensitivity experiment cases, %; *P<sub>j\_case</sub>j* is the percentage 412 of perturbed component j in different source profile of sensitivity experiment cases,  $\frac{9}{2}$ ;  $P_{i}P_{p}$  base is the percentage of component i p in base case source profile of base case, %; 413  $P_{j \text{ base}}$  is the percentage of perturbed component j in base case source profile, %. 414

415 The positive value of  $\delta$  means the simulated concentration of PM<sub>2.5</sub> component 416 increases (decreases) with the increase (decrease) of the perturbation to on the 417 percentage of components in source profile, while the meaning of negative  $\delta$  is just the opposite. If the absolute value of  $\delta$  is less than or equal to 0.1, the simulated result of 418 419 PM<sub>2.5</sub> chemical component is considered to be insensitive to the corresponding 420 variation of source profile; If the absolute value of  $\delta$  falls between 0.1 and 0.4 (included), 421 the simulated results of PM<sub>2.5</sub> chemical component is considered to be sensitive to the 422 variation of source profile; If the absolute value of  $\delta$  is larger than 0.4, the simulated 423 results of PM<sub>2.5</sub> chemical component is very sensitive to the variation of source profile. The greater the absolute value of  $\delta$  is, indicates the variation of source profile adopted 424 in CMAQ has more obvious impact on the simulated results of PM2.5 chemical 425 components. 426

### 427 4.3 The response of simulated PM<sub>2.5</sub> components

Fig.8-5 listed the sensitivity coefficients of simulated ambient  $PM_{2.5}$  components to the perturbation of source profile under each test case. In case DBL (doubled the percentage of the listed components in the source profile of base case and decreased the proportion of unlisted other components to 9%-), (The percentage of all the listed components in the source profile of base case (SGL) was doubled), the sensitivity 433 coefficient ( $\delta$ ) of NH<sub>4</sub><sup>+</sup> was negative, and the absolute value was the highest, indicating 434 that the simulated proportion of NH<sub>4</sub><sup>+</sup> in ambient PM<sub>2.5</sub> decreased, and it was very 435 sensitive to the variation of source profile. Conversely, the sensitivity coefficient of 436 NO<sub>3</sub><sup>-</sup> was close to 1, which illustrated that the simulated proportion of NO<sub>3</sub><sup>-</sup> in ambient 437 PM<sub>2.5</sub> increased proportionally with the change in source profile. The <u>simulated</u> $\delta$ -of 438 SO<sub>4</sub><sup>2-</sup> also showed a very sensitive property. The simulated Non-SNA concentrations 439 were doubled when compared to the base case (SGL).



442Fig. 8-5 The sensitivity coefficients ( $\delta$ ) of simulated components to the perturbation of adopted443source profile in different cases. Note: Each small color box in the figure represented the sensitivity444level (indicated by the legend on the right) of PM<sub>2.5</sub> components (the x-coordinate) in different cases445(y-coordinate). The blank grids in DBP case indicated no perturbation to SNA in PM<sub>2.5</sub> source profile446under this case.

447 In case DBP, when the percentages of listed Non-SNA (Al, Ca, Cl, EC, Fe, K, Mg, Mn, Na, OC, Si and Ti) in the source profile were doubled, the simulated proportions 448 of Non-SNA (Al, Ca, Cl, EC, Fe, K, Mg, Mn, Na, OC, Si and Ti) in ambient PM2.5 449 450 synchronous increased, and were very sensitive to the change in the adopted source profile with a sensitivity coefficient ( $\delta$ ) of 0.5. Interestingly, the simulated concentration 451 of SNA in ambient PM2.5 also changed although the SNA in source profile did not 452 change, the concentration of  $NO_3^-$  and  $SO_4^{2-}$  increased by 2% and 3%, respectively, 453 NH4<sup>+</sup> decreased by 10% (Detail simulation results of different each cases were shown 454 455 on Table S15~S21).

Under SO<sub>4</sub><sup>2-</sup> perturbation cases (Case DBS and Case TPS), we found the simulated 456 457 results of Non-SNA and NO<sub>3</sub><sup>-</sup> had no obvious variation when compared with the base case. Either in Case DBS or in Case TPS, the  $\delta$  of Non-SNA and NO<sub>3</sub><sup>-</sup> were always 458 between -0.1 to 0.1. But when the percentage of  $SO_4^{2-}$  was doubled in PM<sub>2.5</sub>-source 459 profile (DBS), the simulated concentration of  $NH_4^+$  and  $SO_4^{2-}$  increased by 6% and 8%. 460 respectively. In Case TPS (the percentage of SO42- was tripled), the simulated 461 concentration of  $NH_4^+$  and  $SO_4^{2-}$  were increased by 11% and 16%, respectively. The  $\delta$ 462 of  $NH_4^+$  and  $SO_4^{2-}$  were 0.12 and 0.36, sensitive toward to positive direction with the 463 increase of  $SO_4^{2-}$  in the source profile. 464

In the situation of NO<sub>3</sub><sup>-</sup> perturbation in source profile (Case TWN and Case FON), 465 466 the simulated concentrations of Non-SNA hardly change when compared to the base case, while the changing characteristics patterns of simulated SNA concentrations were 467 different. In cases TWN and FON, the The simulation concentration of NH4<sup>+</sup> increased 468 by 2.6% and 5.4% when compared with the base case, the simulated NO<sub>3</sub><sup>-</sup> increased by 469 14% and 30%, the simulated  $SO_4^{2-}$  decreased slightly, even could be neglected in some 470 observation sites. The simulated concentrations of Non-SNA and SO<sub>4</sub><sup>2-</sup> were insensitive 471 to the perturbation of NO<sub>3</sub><sup>-</sup> in PM<sub>2.5</sub>-source profile; NH<sub>4</sub><sup>+</sup> was sensitive, and NO<sub>3</sub><sup>-</sup> was 472

473 very sensitive.

When we put perturbation to <u>on</u> NH<sub>4</sub><sup>+</sup> in the source profile (Case OHA and Case THA), the simulation results of Non-SNA were almost not changed, the simulated concentration of SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> increased <u>in OHA and THA</u>. The  $\delta$  of SNA to the variation of NH<sub>4</sub><sup>+</sup> in the source profile were positive and  $\delta_{SO_4^{2-},NH_4^+} \delta_{(SO42-)} > \delta_{NH_4^+,NH_4^+}$  $\delta_{(NH_4^+)} > \delta_{NO_3^-,NH_4^+} \delta_{(NO_3^-)}$ , SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> were sensitive to the NH<sub>4</sub><sup>+</sup> perturbation in the source profile, but NO<sub>3</sub><sup>-</sup> was not so sensitive.

In general, the simulation results of components in ambient PM<sub>2.5</sub> were affected in 480 one way or another by the change of source profiles adopted by CMAQ. Both of the 481 simulated Non-SNA and SNA were very sensitive to the perturbation of Non-SNA in 482 source profile. When the percentage of SNA changed in the source profile, simulated 483 484 concentrations of Non-SNA generally have little change, but the simulation results of SNA could change in different levelspatterns: the simulated  $SO_4^{2-}$  was very sensitive 485 and  $NH_4^+$  was sensitive to the perturbation of  $SO_4^{2-}$  in source profile,; simulated  $NO_3^{--}$ 486 was very sensitive and NH4<sup>+</sup> was sensitive to the perturbation of NO<sub>3</sub><sup>-</sup> in source profile,</sup> 487 ;  $SO_4^{2-}$  and  $NH_4^+$  were sensitive to the perturbation of  $NH_4^+$  in source profile. The 488 simulated component such as  $SO_4^{2-}$  was influenced not only by the change of  $SO_4^{2-}$ 489 itself but also by other components like some Non-SNA and NH<sub>4</sub><sup>+</sup> in the source profile. 490 In other words, there was a linkage effect, variation of some components in the source 491 profile would bring changes to the simulated results of other components. 492

# 493 5 How <u>does the variation of source profile adopted in CTMs impact on the</u> 494 simulation of chemical components in PM<sub>2.5</sub>? <u>How does the impact work?</u>

The variation of species allocation in emission sources <u>can</u> directly affect<del>ed</del> the composition of aerosol system in CTMs. In CMAQv5.0.2, the aerosol thermodynamic equilibrium process <u>was is</u> carried out according to ISORROPIA II, including a  $SO_4^{2-}$ NO<sub>3</sub><sup>-</sup>-Cl<sup>-</sup>-NH<sub>4</sub><sup>+</sup>-Na<sup>+</sup>-K<sup>+</sup>-Mg<sup>2+</sup>-Ca<sup>2+</sup>-H<sub>2</sub>O system which was established on the basis of ISORROPIA I by adding the effects of K<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup>-(Detailed equilibrium relations were shown in Table S22). Some assumptions had been made in the

ISORROPIA model to simplify the simulation system (Fountoukis and Nenes, 2007): 501 (1) Because the vapor pressure of sulfuric acid and metal salts (such as  $Na^+$ ,  $Ca^{2+}$ ,  $K^+$ , 502 Mg<sup>2+</sup>) were very low, it was assumed that all the sulfuric acid and metal salts in the 503 system existed in the aerosol phase; (2) For ammonia in the system, it was preferred to 504 have an irreversible reaction with sulfuric acid to produce ammonium sulfate. Only 505 506 when there was still surplus  $NH_3$  after the neutralization of  $H_2SO_4$ , can it have a reversible reaction with HNO3 and HCl to produce NH4NO3 and NH4Cl. (3) For sulfuric 507 acid in the system, if there were metal ions (such as Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, Na<sup>+</sup>) in the system, 508 sulfuric acid would react with metal ions to produce metal salts. Only in the case of 509 insufficient sodium, sulfuric acid would react with ammonia. Based on these 510 assumptions, the ISORROPIA model introduced the following three judgment 511 512 parameters (R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> were calculated by the following formulas) to determine the simulation subsystems, these parameters are calculated by the following formulas: 513

514

515

 $R_{1} = \frac{\left[ NH_{4}^{+} \right] + \left[ Ca^{2+} \right] + \left[ K^{+} \right] + \left[ Mg^{2+} \right] + \left[ Na^{+} \right]}{\left[ SO_{4}^{2-} \right]}$ (3)  $R_{2} = \frac{\left[ Ca^{2+} \right] + \left[ K^{+} \right] + \left[ Mg^{2+} \right] + \left[ Na^{+} \right]}{\left[ SO_{4}^{2-} \right]}$ (4)

516

$$R_{3} = \frac{\left[\operatorname{Ca}^{2+}\right] + \left[\operatorname{K}^{+}\right] + \left[\operatorname{Mg}^{2+}\right]}{\left[\operatorname{SO}_{4}^{2-}\right]} \dots (5)$$

517 Where [X] denotes molar concentration of component (mol·m<sup>-3</sup>),  $R_1$ ,  $R_2$  and  $R_3$ 518 are termed as "total sulfate ratio", "crustal species and sodium ratio" and "crustal 519 species ratio" respectively; The number of species and equilibrium reactions are 520 determined by the relative abundance of NH<sub>3</sub>, Na, Ca, K, Mg, HNO<sub>3</sub>, HCl, H<sub>2</sub>SO<sub>4</sub>, as 521 well as the ambient relative humidity and temperature. Guided by the value of  $R_1$ ,  $R_2$ 522 and  $R_3$ , 5 aerosol composition regimes in ISORROPIA are defined. (Detail rules are 523 shown in Table S27).

In this paper, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and the <u>potential aerosol species</u><del>corresponding solid phase</del> species-under different each perturbation sensitivity test cases on source profiles</del> were shown in Table 2. These components achieved thermodynamic equilibrium in the order 527 of preference for more stable salts, obviously, the simulation processes of these 528 components may influence each other.

$\mathcal{R}_{1} = \frac{\left[\mathrm{NH}_{4}^{+}\right] + \left[\mathrm{Ca}^{2+}\right] + \left[\mathrm{K}^{+}\right] + \left[\mathrm{Mg}^{2+}\right] + \left[\mathrm{Na}^{+}\right]}{\left[\mathrm{SO}_{4}^{2-}\right]}$	<del>. (3)</del>
$R_{2} = \frac{\left[Ca^{2+}\right] + \left[K^{+}\right] + \left[Mg^{2+}\right] + \left[Na^{+}\right]}{\left[SO_{4}^{2-}\right]}$	<del>. (4)</del>
$R_{3} = \frac{\left[Ca^{2^{+}}\right] + \left[K^{+}\right] + \left[Mg^{2^{+}}\right]}{\left[SO_{4}^{2^{-}}\right]}$	<del>. (5)</del>

Table 2 Potential aerosol species in ISORROPIA II under different cases					
Cases	<b>R</b> 4	<del>R</del> 2	<b>R</b> 3	Solid phase species*	
SGL, DBL	<del>2.53</del>	2 52	<del>1.9</del>	<del>CaSO4, MgSO4, K2SO4, Na2SO4, NaCl, NaNO3, -</del>	
TWN, FON		<del>2.32</del>		NH <sub>4</sub> Cl, NH <sub>4</sub> NO <sub>3</sub>	
DDC	<del>1.26</del>	<del>1.26</del>	<del>0.95</del>	<del>CaSO4, MgSO4, K2SO4, KHSO4, Na2SO4, NaHSO4, -</del>	
<del></del>				<del>(NH4)₂SO4, NH4HSO4, (NH4)₃H(SO4)</del> ₂	
TPS	<del>0.84</del>	<del>0.84</del>	<del>0.63</del>	<del>CaSO4, KHSO4, NaHSO4, NH</del> 4 <del>HSO</del> 4	
<del>DBP</del>	<del>5.0</del> 4	<del>5.03</del>	<del>3.79</del>	<del>CaSO4, MgSO4, K2SO4, CaCl2, Ca(NO3)2, MgCl2, -</del>	
<del>OHA</del>	<del>3.58</del>	<del>2.52</del>	<del>2.95</del>	<del>Mg(NO₃)₂, KCl, KNO₃, NaCl, NaNO₃, NH₄Cl, -</del>	
THA	<del>4.64</del>	<del>2.52</del>	<del>4.02</del>	NH <sub>4</sub> NO <sub>3</sub>	

Table 2 Potential aerosol species in ISORROPIA II under different cases

Cases	<u>R</u> 1	<u>R</u> 2	<u>R</u> 3	Solid phase species*
 SGL	2.53	2.52	1.9	<u>CaSO4, MgSO4, K2SO4, Na2SO4, NaCl, NaNO3,</u>
 			<u></u>	<u>NH4Cl, NH4NO3</u>
DRI	2 53	2 52	10	CaSO4, MgSO4, K2SO4, Na2SO4, NaCl, NaNO3,
	<u> 2.33</u>	<u>2.32</u>	1.2	<u>NH4Cl, NH4NO3</u>
				<u>CaSO4, MgSO4, K2SO4, CaCl2, Ca(NO3)2, MgCl2,</u>
<u>DBP</u>	<u>5.04</u>	<u>5.03</u>	<u>3.79</u>	<u>Mg(NO3)2, KCl, KNO3, NaCl, NaNO3, NH4Cl,</u>
				<u>NH<sub>4</sub>NO<sub>3</sub></u>
 DDC	<u>1.26</u>	<u>1.26</u>	<u>0.95</u>	<u>CaSO4, MgSO4, K2SO4, KHSO4, Na2SO4, NaHSO4,</u>
 <u>DB2</u>				<u>(NH4)2SO4, NH4HSO4, (NH4)3H(SO4)2</u>
 TPS	0.84	0.84	0.63	CaSO4, KHSO4, NaHSO4, NH4HSO4
TWN	<u>2.53</u>	<u>2.52</u>	<u>1.9</u>	<u>CaSO4, MgSO4, K2SO4, Na2SO4, NaCl, NaNO3,</u>
 <u></u>				<u>NH4C1, NH4NO3</u>
FON	2.52	2.52	1.0	<u>CaSO4, MgSO4, K2SO4, Na2SO4, NaCl, NaNO3,</u>
 <u>ron</u>	<u>2.33</u>	<u>2.32</u>	<u>1.9</u>	<u>NH4Cl, NH4NO3</u>
 OILA	<u>3.58</u>	<u>2.52</u>	<u>2.95</u>	CaSO4, MgSO4, K2SO4, CaCl2, Ca(NO3)2, MgCl2,
<u>ОПА</u>				<u>Mg(NO3)2, KCl, KNO3, NaCl, NaNO3, NH4Cl,</u>

				<u>NH4NO3</u>
				<u>CaSO4, MgSO4, K2SO4, CaCl2, Ca(NO3)2, MgCl2,</u>
<u>THA</u>	<u>4.64</u>	<u>2.52</u>	<u>4.02</u>	<u>Mg(NO3)2, KCl, KNO3, NaCl, NaNO3, NH4Cl,</u>
				<u>NH<sub>4</sub>NO<sub>3</sub></u>

535

\* The solid phase species were determined based on the research of (Fountoukis and Nenes, 2007)

In Non-SNA perturbation case, when the percentage of Non-SNA in source profile 536 doubled (Case DBP), meant there were more Na, K, Mg, Ca, Cl participated in aerosol 537 chemistry, the model system needed more SO42- and NO3- on the basis of charge balance 538 and the thermodynamic equilibrium shifted to the direction of consuming Ca Mg, K 539 and Na, which resulted in the increase of the simulated concentration of  $SO_4^{2-}$  and  $NO_3^{-}$ . 540 Meanwhile, according to the rule of anions preferentially binding with nonvolatile 541 cations in ISORROPIA, the increased cations Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup> directly leaded to 542 the decrease of anions binding with NH4<sup>+</sup>, there were less reaction dose between SO4<sup>2-</sup> 543 and NH4<sup>+</sup> to form (NH4)2SO4 or NH4HSO4, ultimately resulted in a decrease in 544 simulated concentration of NH4<sup>+</sup> when compared to with the base case. Because in this 545 case more anions such as  $SO_4^{2-}$  were passively needed, according to the principle of 546 chemical equilibrium mentioned above, the chemical conversion of SO<sub>2</sub> to SO<sub>4</sub><sup>2-</sup> was 547 promoted, the simulated secondary  $SO_4^{2-}$  increased, this could be proved by that the 548 sensitivity coefficient  $\delta$  of SO<sub>2</sub> in Case DBP was negative (shown in Fig. 96, details of 549 other monitoring stations were shown Table S24). 550





552

553 Fig. 9-6 The sensitivity coefficients ( $\delta$ ) of simulated gas pollutants to the change of adopted source 554 profile in different cases.

555 Similarly, with the increase of metal ions in the system to bond with anions, the number of anions which can bind to  $NH_4^+$  decreased. The system needed less  $NH_4^+$  and 556 weakened the need for conversion from NH<sub>3</sub> to NH<sub>4</sub><sup>+</sup>, the simulated NH<sub>4</sub><sup>+</sup> concentration 557 558 decreased while the  $\delta$  of NH<sub>3</sub> was positive and very sensitive. Different trends of simulated concentration of gaseous pollutants mirrored the rules mentioned above from 559 another aspect. The  $\delta$  of SO<sub>2</sub> and NO<sub>x</sub> was negative, NH<sub>3</sub> was positive. We could see 560 561 the same phenomena in DBL case (Fig. 96). When the percentages of Non-SNA in source profile increased, they not only affected the simulated concentration of Non-562 SNA, but also the secondary  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^{+}$ . 563

In  $SO_4^{2-}$  perturbation cases (Case DBS and TPS), as the percentage of  $SO_4^{2-}$  in 564 source profile increased, for the chemical reactions of sulfate radical consuming (as 565 566 shown in Table S22), the chemical equilibrium would move toward the products when compared to-with the base case. While for the chemical reactions of sulfate radical 567 formation (The equations were shown in Table S23), meant the product was added in, 568 the chemical equilibrium would be pushed toward the reactants. The chemical reactions 569 between SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> would shift to the direction of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> generation, we could 570 see the simulated concentrations of NH4<sup>+</sup> in DBS and TPS were both higher and NH3 571

were lower than those in the base case (SGL). In addition, when more  $SO_4^{2-}$  was added in the system, the conversion of  $SO_2$  to  $SO_4^{2-}$  was affected in some level and consumed less  $SO_2$  than the base case, simulated  $SO_2$  showed insensitive but positive trend (Fig.9). And from the potential solid phase species in ISORROPIA II under DBS and TPS cases (shown in Table 32), the solid phase species were mainly consisted of sulfate salts, so the simulated concentration of  $NO_3^-$  did not change apparently.

As the percentage of  $NO_3^{-1}$  in source profile increased (Case FON and TWN), the 578 associated chemical equilibrium shifted towards the consumption of NO<sub>3</sub><sup>-</sup>, such as NH<sub>4</sub><sup>+</sup> 579  $+ NO_3^- \rightarrow NH_4NO_3$ , which would also consume more  $NH_4^+$  and form more ammonium 580 salt, finally consumed more NH<sub>3</sub> because of NH<sub>3</sub>(gas) + H<sub>2</sub>O(aq)  $\rightarrow$  NH<sub>4</sub><sup>+</sup>(aq) + OH<sup>-</sup> 581 (aq). The simulation results also manifested that the concentration of  $NH_4^+$  increased 582 while that of NH<sub>3</sub> decreased. Based on the assumption of ISORROPIA, the cations like 583  $Na^+$ ,  $K^+$ ,  $Mg^{2+}$ ,  $Ca^{2+}$  and  $NH_4^+$  preferentially to react with  $SO_4^{2-}$ , only if there were 584 cations left after neutralized  $SO_4^{2-}$ , could they react with  $NO_3^{-}$  to form salts, so the 585 simulated concentration of SO4<sup>2-</sup> was not obviously changed. Accordingly, the 586 587 simulated concentration of NO<sub>x</sub> and SO<sub>2</sub> almost unchanged (The  $\delta$  of NO<sub>x</sub> and SO<sub>2</sub> was 588 displayed insensitive).

In the cases of  $NH_4^+$  perturbation (Case OHA and THA), when the percentage of NH<sub>4</sub><sup>+</sup> in source profile increased, the related chemical equilibrium shifted towards the direction of  $NH_4^+$  consumption, such as in  $2NH_4^+ + SO_4^{2-} \rightarrow (NH_4)_2SO_4$ , more  $SO_4^{2-}$ was consumed at the same time, which further promoted the conversion of  $SO_2$  to  $SO_4^{2-}$ . The increased  $NH_4^+$  in OHA and THA also would inhibit the conversion of  $NH_3$  to  $NH_4^+$ when compared to-with the base case. This, in turn appeared as the increase of the simulated secondary  $SO_4^{2-}$  and  $NH_3$ , and the decrease of the simulated  $SO_2$ .

In summary, the effects of source profile variation on the simulation results of different components were linked. When the percentages of Non-SNA,  $SO_4^{2^-}$ ,  $NO_3^{-}$  and  $NH_4^+$  in the source profile changed, they not only affected the simulated concentration of themselves, but also affected the simulation results of some other components. Both the simulation results of primary components and secondary components were affected by the change of source profile, the secondary  $SO_4^{2-}$  and  $NH_4^+$  were affected more than the secondary  $NO_3^-$ .

### 603 6 Conclusions

604 Although tThe influence of source profile variation on the simulated concentration of ambient PM25 is not significant, its influence on the simulated chemical PM25 605 components cannot be ignored-, The variation of simulated components ranges from 606 8% to 167% under selected different source profiles, and as the simulation results of 607 some components are sensitive to the adopted  $PM_{2.5}$ -source profile in CTMs, e.g., both 608 the simulated Non-SNA and SNA are sensitive to the perturbation of Non-SNA in 609 source profile, the simulated  $SO_4^{2-}$  and  $NH_4^+$  are sensitive to the perturbation of  $SO_4^{2-}$ , 610 simulated NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> are sensitive to the perturbation of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> are 611 sensitive to the perturbation of NH4<sup>+</sup>. These influences are not only specific to an 612 613 individual component, but also can be transmitted and linked among components, that is, the The influence path is connected to chemical mechanisms in the model since the 614 variation of species allocation in emission sources directly affect the thermodynamic 615 equilibrium system (ISORROPIA II, SO42-NO3-Cl-NH4+-Na+-K+-Mg2+-Ca2+-H2O 616 617 system).

It is generally believed that changes in source profile would have an impact on the 618 simulation result of primary PM<sub>2.5</sub>, Traditionally, the source profiles are regarded as a 619 primary emission, but interestingly, the simulation of secondary components could be 620 affected as well. their variation could affect the simulation result of secondary 621 components as well in CTMs. We found the perturbation of PM<sub>2.5</sub> source profile caused 622 623 the variation of simulation results of gaseous pollutants, simulated gaseous pollutants, and related by influencing -related chemical reactions like gas-phase chemistry of SO<sub>2</sub>, 624 NO<sub>x</sub> and NH<sub>3</sub>, which mirrored that the perturbation of source profile had an effect on 625 the simulation of secondary PM<sub>2.5</sub> components. Overall, the emission source profile 626 used in CTMs is one of the important factors affecting the simulation results of PM<sub>2.5</sub> 627 chemical components. Additionally, organic species are one of the most important 628

components in  $PM_{2.5}$  and gain much more attention on human health. While the number of organic species in source profile is relatively scarce which brings a challenge for simulation test designing, the influence of source profile on the simulation results of the variation of source profile adopted in CTMs has an impact on the simulation of organic species is not taken into account in this study.

With the change of fuel and raw materials, the development of production technology and the innovation of pollution treatment technology in recent years, some components have changed significantly in the source profiles. Given the important role of air quality simulation in <u>decision making for pollution control</u> environment management and health risk assessment, the representativeness and timeliness of the source profile should be considered.

640 Our study tentatively discussed the influenceimpact mechanism of PM<sub>2.5</sub> emission source profiles on the simulation results of PM2.5-components simulation results in 641 CTMs. In the next work, we will use different source profile for simulation, compare 642 643 the simulation results with local measured PM2.5 components and discuss the influence 644 of sub-source profiles variation on the simulation results. In addition, the The size 645 distribution, mixing state, aging and solubility for different aerosol components might have something to do with source profile, how much the influence of source profile 646 647 changes on the simulation of these physical and chemical process, is deserved to do in the future. 648

### 649 **Data availability**

The WRF simulation available input datasets for 650 are at 651 https://rda.ucar.edu/datasets/ds351.0/index.html (The National Center for Atmospheric Research (NCAR)). The Multi-resolution Emission Inventory for China (MEICv1.3) is 652 available at http://meicmodel.org/?page id=135. The PM<sub>2.5</sub> emission source profiles 653 database of Profiles of Air Pollution 654 from Source (SPAP) Nankai 655 (http://www.nkspap.com:9091/, university), SPECIATE database (https://www.epa.gov/air-emissions-modeling/speciate, U.S. Environmental Protection 656

Agency's (EPA)), Mendeley data repository (https://doi.org/10.17632/x8dfshjt9j.2, Bi
et al., 2019).

### 659 **Code availability**

The code for CMAQ 5.0.2 available 660 source version is at https://github.com/USEPA/CMAQ/tree/5.0.2 (last access: April 2014) 661 (https://doi.org/10.5281/zenodo.1079898, US EPA Office of Research and 662 Development, 2018). The source code for WRF version 3.7.1 is available at 663 https://www2.mmm.ucar.edu/wrf/src/WRFV3.7.1.TAR.gz. 664

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Supervision–Review & editing. Jianhui Wu: Supervision in source profile. Xiaohui Bi:
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Chen: Modification and editing. Xin Long: Supervision in modeling. Yinchang Feng:
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### 672 **Competing interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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