Feasibility of Retrieving Arctic Sea Ice Thickness from <u>the</u> Chinese 删除[Editor 2]: The HY-2B Ku-band Radar Altimeter

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	Abstract. With the continuous development of the China Ocean Dynamic Environment Satellite Series (Haiyang-2, HY-2), it		
	is urgent to explore the potential application of HY-2B in Arctic sea ice thickness retrievals. In this study, we first derive the		删除[Editor 2]: the
10	Arctic radar freeboard and sea ice thickness during two cycles (from October 2019 to April 2020 and from October 2020 to		
	April 2021) using the HY-2B radar altimeter and compare the results with the Alfred Wegener Institute (AWI) CryoSat-2		
I	(CS-2) products. We evaluate our HY-2B sea ice freeboard and thickness products using Operation IceBridge (OIB) airborne		
	data and ICESat-2 products. Finally, we estimate the uncertainties in the HY-2B sea ice freeboard and sea ice thickness. Here,		
	we derive the radar freeboard by calculating the difference between the relative elevation of the floe obtained by subtracting		删除[Editor 2]: -
15	the mean sea surface height (MSS) and sea surface height anomaly (SSHA) determined by an average of the 15 lowest points	/ ,	刪除[Editor 2]: have
I	method. The radar freeboard deviation between HY-2B and CS-2 is within 0.02 m, whereas the sea ice thickness deviation		
	between HY-2B and CS-2 is within 0.2 m. The HY-2B radar freeboards are generally thicker than AWI CS-2, except in		删除[Editor 2]: of
	spring (March and April). A spring segment likely has more floe points than an early winter segment. We also find that the		删除[Editor 2]: is
	deviations in radar freeboard and sea ice thickness between HY-2B and CS-2 over MYI are larger than those over FYI. The		1
20	correlation between HY-2B (CS-2) sea ice freeboard retrievals and OIB values is 0.77 (0.84), with a root mean square error		删除[Editor 2]: is
	(RMSE) of 0.13 (0.10) m and a mean absolute error (MAE) of 0.12 (0.081) m. The correlation between HY-2B (CS-2) sea	/ /	删除[Editor 2]: a
	ice thickness retrievals and OIB values is 0.65 (0.80), with an RMSE of 1.86 (1.00) m and an MAE of 1.72 (0.75) m. The	(副除[Editor 2], is
1	HY-2B sea ice freeboard uncertainty values range from 0.021 m to 0.027 m, while the uncertainties in the HY-2B sea ice		
	thickness range from 0.61 m to 0.74 m. The future work will include reprocessing the HY-2B L1 data with a dedicated sea		删除[Editor 2]: a
25	ice re-tracker, and using the radar waveforms to directly identify leads to release products that are more reasonable and	$\langle \rangle$	刪除[Editor 2]: is
	suitable for polar sea ice thickness retrieval		Anthree -1. 19
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1 Introduction

Arctic sea ice is an important factor in the global climate system and plays an important role in maintaining its energy balance. By reflecting most of the solar shortwave radiation, sea ice reduces the absorption of solar shortwave radiation by seawater and blocks <u>outwards</u> longwave radiation from leaving the ocean, thus regulating the overall radiation budget of the 发置格式[LENOVO]: 字体: (中文)宋体,字距调整: 1 磅, (中文)中文(简体)

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Earth. Sea ice also regulates the exchanges of heat, momentum and water vapour between the polar atmosphere and oceans (Thomas et al., 2010; Xu et al., 2017). Due to the special air-ice-sea feedback mechanism, the Arctic has exhibited warming temperatures at more than twice the global average increasing rate. This phenomenon is known as "Arctic amplification"

- 35 (Serreze et al., 2009). Studies have shown that global warming has led to decreases in the extent and thickness of Arctic sea ice and that the ice age of multiyear ice has gradually decreased (Comiso et al., 2008; Lindell et al., 2016; Kwok, 2018; IPCC, 2019; Meier et al., 2022). Models predict that the Arctic will be ice-free in summer by the middle of the 21st century (Notz et al., 2020). The predicted decrease in Arctic sea ice will also change the living environment of Arctic mammals, and these changes will not be conducive to the survival or development of Arctic mammals, such as polar bears and walruses
- 40 (IPCC, 2019). Due to the rapid retreat of sea ice, trans-Arctic shipping routes have become increasingly navigable (Stephenson et al., 2015; Cao et al., 2022). In addition, the reduction in Arctic sea ice has improved the convenience of exploiting natural resources in the Arctic, and these activities will have an important impact on the economy of the Arctic and on regions beyond the Arctic.

Sea ice thickness, as the third dimension of sea ice, can be combined with sea ice extent to calculate sea ice volume to better

- 45 understand changes in sea ice. However, sea ice thickness is also a difficult parameter to measure. The recent development of satellite altimeters has made it possible to obtain sea ice thickness over continuous and large ranges. To date, the available international altimeter satellites that obtain polar sea ice thickness observations include the European Remote Sensing Satellite (ERS)-1, ERS-2, Envisat, Ice, Cloud and land Elevation Satellite (ICESat), CryoSat-2 (CS-2), Saral, Sentinel-3A, Sentinel-3B and ICESat-2 (IS-2). Laxon et al. (2003) derived Arctic sea ice thickness for the first time with the ERS-1/2
- 50 altimeter and verified their findings with submarine sonar data, thus confirming the feasibility of using satellite altimeters to retrieve sea ice thickness. Kwok et al. (2004) derived the Arctic sea ice thickness for the first time in 2004 using the Geoscience Laser Altimeter System (GLAS) on the ICESat satellite, further demonstrating the advantage of altimeter data in estimating Arctic sea ice thickness. Giles et al. (2008) estimated the Arctic sea ice thickness using the Envisat altimeter and analysed its variation pattern in winter from 2002 to 2007; the authors found that the area where the sea ice thickness showed
- 55 a decreasing and thinning trend was mainly in the Beaufort Sea. Tilling et al. (2016) released near-real-time CS-2 sea ice thickness products with time periods of 2, 14 and 28 days. Additionally, based on CS-2 data, Ricker et al. (2014) set threshold ranges for the pulse peak (PP), stack standard deviation (SSD) and stack kurtosis (K) terms to separate the lead, sea ice and open water, compared and analysed the effects of different retracking thresholds on the sea ice thickness, and estimated the uncertainties of the sea ice freeboard and sea ice thickness. Shen et al. (2020) used Sentinel-3A to retrieve the
- 60 Arctic sea ice freeboard and analysed the difference and consistency between Sentinel-3A and CS-2. The results showed that the Sentinel-3A sea ice freeboard was generally lower than that retrieved by CS-2. The differences between Sentinel-3A and CS-2 are mostly a result of the processing chain of Sentinel-3 not having included zero-padding or Hamming-weighting. The study of Lawrence et al. (2019), in which these processing steps were applied, showed greater consistency. Petty et al. (2020) generated monthly IS-2 sea ice thickness products and compared them with various monthly sea ice thickness estimates
- 65 obtained from the European Space Agency (ESA)'s CS-2 satellite mission, with IS-2 showing consistently lower thicknesses.

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With the continuous progress of Arctic sea ice remote sensing technologies, a wide variety of sea ice thickness products have become available to the scientific community (Sallila et al., 2019). CS-2 radar altimeters, ICESat and IS-2 laser altimeters cover almost the entire Arctic Ocean due to their large orbital inclinations and are thus the main data sources for estimating sea ice thicknesses. However, few reports have explored the retrieval of sea ice thickness by Chinese altimeters among

- recent studies of polar sea ice thickness. Jiang et al. (2022) preliminarily estimated the Arctic radar freeboard with HY-2B
 L1 from October 2020 to April 2021, and compared it with radar freeboard products from the Alfred Wegener Institute (AWI). They noted the average difference between Haiyang-2B (HY-2B) radar freeboard estimates and AWI data to be
 0.088±0.057 m. They generally observed higher radar freeboards for HY-2B than CS-2. Therefore, Jiang et al. (2023) used the AWI CS-2 sea ice thickness products to calibrate the HY-2B thickness estimates. With the continuous development of
- 75 China's Marine Dynamic Environment Satellite, the feasibility of using the HY-2B satellite to map polar sea ice must be explored. It is important to note in this study, however, that we are aiming to investigate whether HY-2B can be used for sea ice but that we are limited to already provided higher-level (Sensing Geophysical Data Records (SGDR)) products and that it is not within the scope of the study to derive freeboard products using our own retracker from the HY-2B SGDR product. In this study, we use the HY-2B radar altimeter to retrieve the Arctic radar freeboard and sea ice thickness and compare the
- 80 results with the CS-2 products released by the AWI during the same period. Finally, we compare the results with Operation IceBridge (OIB) airborne data and IS-2 laser altimeter data. In Section 2, we introduce the data used in this study. In Section 3, we introduce the determination method of the sea surface height anomaly (SSHA) and the retrieval process of sea ice thickness in detail. In Section 4, we compare the Arctic HY-2B radar freeboard and sea ice thickness with AWI CS-2 products and IS-2 products. In Section 5, we discuss the influence of different SSHA determination schemes on the HY-2B
- 85 radar freeboard and estimate the uncertainties in the HY-2B sea ice freeboard and sea ice thickness. Finally, in Section 6, we summarize the conclusions.

2 Data

2.1 HY-2B radar altimeter

The HY-2B satellite was launched on October 25, 2018. It is China's second polar-orbiting marine dynamic environmental satellite and the second marine operational satellite in China's civil space infrastructure program. Its main mission is to monitor and survey the marine environment and obtain a variety of marine dynamic environmental parameters, including sea surface winds, wave heights, sea surface heights, sea surface temperatures and other elements, as well as the parameters of polar sea ice. The HY-2B satellite integrates both active and passive microwave remote sensors and carries loads such as radar altimeter, microwave scatterometer, scanning microwave radiometer, correction radiometer, ship identification system

95 and data collection system. The HY-2B satellite adopts an orbit with a repeat cycle of 14 days in the early stage and an orbit / with a repeat cycle of 168 days in the late stage. Currently, the repeat cycle of HY-2B is 14 days. The HY-2B radar altimeter adopts the same reference ellipsoid as the TOPEX/Poseidon and Jason-1/2/3. The HY-2B radar altimeter is a dual-band

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pulse-limited radar altimeter that <u>comprises</u> the Ku band and C band to remove the impacts of ionospheric delays. Table 1 lists the main parameters of the HY-2B radar altimeter (Jiang et al., 2019; National Satellite Ocean Application Service,

100 2019).

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The National Satellite Ocean Application Service (NSOAS) has released level-1, level-2 and fusion data products compiled through the preprocessing, data retrieval and statistical averaging of the HY-2B altimeter level-0 data. The level-2 products are divided into Interim Geophysical Data Records (IGDR), SGDR, and Geophysical Data Records (GDR). The SGDR products contain waveform data and have been retracked using the Brown model (Zhang et al., 2022). The HY-2B altimeter

105 will switch between suboptimal maximum likelihood estimation (SMLE) tracking mode and offset <u>centre_of gravity (OCOG)</u> tracking mode according to terrain changes. The SMLE tracking mode is suitable for areas with slower changes in terrain height, such as ocean and large areas of flat sea ice. The OCOG tracking mode is used for areas with dramatic changes in topographic height, such as land and sea ice areas. The HY-2B Level-2 altimetry products (SGDR products) we used do not have OCOG data. Fig. 1 illustrates the spatial coverage of <u>the</u> HY-2B SGDR data in April 2019.

110 2.2 CryoSat-2 radar altimeter

CS-2 was launched by the ESA in April 2010 with an orbital altitude of approximately 717 km, an orbital inclination of 92° and a repeat cycle period of 369 days. It has a 30-day <u>subcycle</u> and can realize monthly observations of the Arctic with a coverage of 88°N/S. CS-2 carries a Ku-band synthetic aperture interferometric radar altimeter (SIRAL) that can obtain the surface elevations of ground objects. This SIRAL uses delayed Doppler radar altimeter technology to reduce the satellite

Currently, there are five main kinds of CS-2 sea ice thickness products: those from the ESA, the Centre for Polar Observation and Modelling (CPOM) (Laxon et al., 2003; Tilling et al., 2017), the AWI (Ricker et al., 2014; Hendricks et al., 2020), the National Snow and Ice Data Center (NSIDC) (Kurtz et al., 2014; Kurtz et al., 2017) and the ESA Climate Change Initiative (CCI) (Paul et al., 2017). These products are constructed using different retrack algorithms. Furthermore, the

observation footprint to approximately 0.3 km along-track and 1.5 km across-track.

120 upcoming releases of CryoTEMPO are expected to be a <u>favourable product to be used in the future by the scientific</u> community. We mainly used level-2 (L2) along-track data published by the ESA (processor baseline-D) and monthly average products published by the AWI.

2.3 ICESat-2 laser altimeter

The Advanced Terrain Laser Altimeter System (ATLAS) onboard IS-2 is a low-pulse energy laser (operating wavelength:

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125 532 nm) that uses photon-counting technology to emit pulses at a repetition rate of 10 kHz (Degnan, 2002). The photon detector accurately calculates the round-trip time of these photons from the satellite to the ground and back to obtain distance measurements. We used the snow freeboard data of ATL20 products in the study (version 003 (Petty et al., 2021)); these products were provided by the National Aeronautics and Space Administration (NASA). The ATL20 snow freeboard was calculated by subtracting the local sea surface height (SSH) from the sea ice elevation. The average value of the specular

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- reflected elevation of the <u>interice</u> channel collected in the 10-km segment where the measurement point was located was used as the SSH estimation value (Kwok et al., 2021). The 10-km segments were selected to minimize the impact of the sea surface slope on the sea ice freeboard height estimations, as SSHs are generally constant within 10-km segments in polar regions north of 60°N. If SSH data were not available within a segment, the total freeboard estimate was not provided, thus assuring the reliability of the total freeboard estimates. Finally, the total freeboard height was gridded into a 25-km spatial
- 135 grid, and the average value of the total freeboard height of all observation points in the grid was used as the total freeboard height of that grid. Assuming hydrostatic equilibrium, we used IS-2 snow freeboard products to calculate sea ice thicknesses with AWI snow depth products and compared them with HY-2B and CS-2.

2.4 OIB airborne data

The airborne OIB experiment is an aerial remote sensing polar-region observation project started by NASA in 2009. Its

- 140 initial purpose is to compensate for the data gaps that arise during the operation of ICESat and IS-2 satellites and to carry out large-scale sea ice detection experiments in the Arctic from March to May and in the Antarctic from October to November every year. Fig. 1 shows the flight path of the OIB in the Arctic in April 2019. In this study, we used IceBridge Level-4 data (IDCSI4) to evaluate the sea ice freeboard and sea ice thickness retrieved by HY-2B and CS-2. In addition, we gridded the OIB data to a 25-km polar stereographic grid and set no fewer than 100 observation points inside each grid to optimally
- 145 solve the limited representation problem of the OIB data.

2.5 Auxiliary data

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We used auxiliary data, including sea ice concentration (SIC), sea ice type, mean sea surface (MSS) height, snow depth, and snow density₁ in this study. The SIC (version OSI-401-b) and sea ice type (version OSI-403-b) data were released by the European Organization for Meteorological Satellites (EUMETSAT) Ocean and Sea Ice Satellite Application Facility (OSI-SAF). The MSS data were released by the Technical University of Denmark (DTU).

2.5.1 Sea ice concentration

Tonboe et al. (2016) used the brightness temperatures of the 19-V, 37-V and 37-H channels in the Special Sensor Microwave-Imager/Sounder (SSMIS) scanning radiometer to retrieve SICs with a hybrid algorithm constructed from the Bristol algorithm and bootstrap algorithm. To ensure optimum performances over both marginal and consolidated ice and to

155 retain the virtues of each algorithm, the Bristol algorithm is given low weights at low concentrations, while the opposite is the case for high-ice-concentration regions (Tonboe et al., 2016). The SIC data are provided as a daily average grid product with the 10-km Lambert azimuthal grid. We used these SIC data to screen the altimeter data, and altimeter observations corresponding to areas with SICs greater than 70% were used in the sea ice freeboard calculations.

2.5.2 Sea ice type

160 We used sea ice type data to distinguish first-year ice (FYI) from multiyear ice (MYI). Aaboe et al. (2021) used the gradient ratio (GR) of 19/37 in Advanced Microwave Scanning Radiometer-2 (AMSR-2) microwave radiometer data and the scattering coefficient in Advanced Scatterometer (ASCAT) microwave data to calculate the ice type probability. The sea ice type data are provided as a daily average grid product with a 10-km Lambert azimuthal grid.

2.5.3 MSS height

165 In this study, we employed the DTU18 MSS model to eliminate errors due to unresolved gravity features, intersatellite biases and remaining satellite orbit errors. After subtracting the MSS, we are able to precisely determine the instantaneous elevation of lead (Skourup et al., 2017). The DTU18 MSS model is fused with the data of several satellite altimeters, such as TOPEX/Poseidon (T/P), Jason-1 (J1), Jason-2 (J2), ERS-1, ERS-2, ENVISAT, ICESat, Geosat, Geosat Follow-On (GFO) and CryoSat-2 (Andersen et al. 2018a; Andersen et al. 2018b).

170 **2.5.4 Snow depth**

Hendricks et al. (2020) obtained a composite snow depth product (hereafter referred to as the AWI snow depth product) by fusing climatology snow depths from Warren et al. (1999, hereinafter W99) with the daily average AMSR-2 snow depths of the University of Bremen. To merge these two datasets, the authors created a monthly average AMSR-2 snow depth product to match the W99 climatology snow depths from October to April. They then low-pass filtered the monthly average AMSR-

- 175 2 snow depths with a Gaussian filter with a size of 8 grid cells, removed negative snow depth values and limited the upper range to 60 cm. Finally, they created a regional weighting factor to ensure a smooth transition between the two types of data in the borderline area. Since the W99 climatology snow depths on FYI are higher, they had to be corrected by a coefficient of 0.5 (Kwok et al. 2015). However, the AMSR-2 snow depths on FYI did not need to be modified, so the authors introduced a total scaling factor to correct the contribution of W99 (Hendricks et al., 2020). The AWI snow depth products are provided
- 180 as monthly averaged grid products using the Equal Area Scalable Earth Grid version 2 (EASE-2) for the Northern Hemisphere with a spatial resolution of 25 km.

2.5.5 Snow density

To minimize differences in sea ice thicknesses at the beginning of the sea ice growing season, we used the evolving snow density values proposed by Mallett et al. (2020). These values are consistent with the snow densities used in the AWI CS-2

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185 sea ice thickness product. The density equation of snow is shown in Equation (1).

$$\rho_s = 6.50t + 274.51 \tag{1}$$

where *t* represents the number of months since October.

3 Method

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In this section, we describe the sea ice thickness retrieval method applied for the SGDR data of the HY-2B pulse-limited

删除[Editor 2]: described 190 radar altimeter in detail. The technical process of retrieving sea ice thickness based on HY-2B SGDR data is shown in Fig. 2. The specific retrieval process is as follows:

(1) Nan values in the SGDR data and data south of 60°N were eliminated. Due to the influence of instrument noise, atmospheric factors and tidal factors during the propagation of pulse signals, it was necessary to consider the dry and wet tropospheric delay correction (National Centers for Environmental Prediction, NCEP), inverse barometric correction (NCEP),

195 ionospheric correction (GIM), ocean tidal correction (Goddard Space Flight Center, GSFC, GOT4.10c), ocean load tidal correction (GSFC, GOT4.10c), earth tidal correction (Cartwright et al., 1973) and polar tidal correction (Wahr, 1985) when calculating the surface elevation (Zhang et al., 2022).

(2) The SICs of data points in all HY-2B orbits were obtained using nearest interpolation. We used altimeter observations to calculate the radar freeboard for areas with SIC greater than 70%. Sea ice was classified into FYI, MYI and ambiguous ice

- using sea ice type data, and ambiguous ice was not considered for the subsequent sea ice thickness retrievals. (3) The MSS height product DTU18 (Andersen et al. 2018a; Andersen et al. 2018b) was subtracted from the geolocated surface elevations to remove geoid fluctuations, that is, the derived relative elevations of ground objects h (Ollivier et al., 2012; Zhang et al., 2021). The estimation error does not include the modelled portion of the sea surface height but includes all the unexplained static and time-varying components of the sea surface as well as noise introduced by our estimation
- 205 process, including the errors of orbit determination and different tracking algorithms (Kwok et al., 2007). The estimation error of sea surface height was eliminated by subtracting the average value of every 25 km (h_{25km}) along the track (Kwok et al., 2007; Zhang et al., 2021), as shown in Eq. (2). In addition, the relative surface elevations, h_r , outside the range ± 1.0 m to -1.0 m are removed from processing, as shown in Fig. 3 (a) and (b). Eq. (2) can be expressed as follows:

$$h_r = h - h_{25km},$$

210 where h_r is the relative surface elevation after eliminating residuals, unit: m, h is the relative elevation of ground objects, unit: m, and h_{25km} is the average value every 25 km, unit: m.

(4) If more than or equal to 15 observation points were available per 25 km in the track data, the average of the 15 lowest values was taken as the SSHA. Otherwise, the SSHA was considered to be nan, and nearest interpolation was performed along the track. The SSHA was subtracted from the observed values h_r inside each 25-km segment to obtain the radar

215 freeboard height, as shown in Eq. (3) and Fig. 3 (b) and (c). Since the HY-2B SGDR product has been retracked for the Brown model (Zhang et al., 2022), we are simply using the range terms from the satellite to the ground already provided in the SGDR product. Eq. (3) can be expressed as follows:

$$f_r = h_r - SSHA ,$$

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(3)

(2)

where f_r is the radar freeboard, unit: m, h_r is the relative surface elevation after eliminating the residual, unit: m, and SSHA

220 is the sea surface height anomaly, unit: m.

(5) Due to the attenuation of electromagnetic waves when they pass through snowpack, it is necessary to correct <u>the</u> radar freeboard based on the AWI snow depth, as shown in Eq. (4) (Hendricks et al., 2020; Glissenaar et al., 2021). Several studies have found that radar freeboard uncertainty also pertains to inconsistent knowledge on how far the radar signal penetrates into the overlying snow cover (Nandan et al., 2020; Willatt et al., 2011; Willatt et al., 2010; Drinkwater, 1995). The general

- 225 assumption is that the radar return primarily originates from the snow-sea ice interface at the Ku-band. While this may be applicable to cold, dry snow in a laboratory (Beaven et al., 1995), scientific evidence from observations and modelling, indicates that this assumption may not be valid even for a cold, homogeneous snowpack (Nab et al., 2023; Nandan et al., 2020; Willatt et al., 2011; Willatt et al., 2010; Tonboe et al., 2010). Moreover, field campaigns have revealed that dominant radar scattering actually occurs within the snowpack or at the snow surface rather than at the snow-ice interface (Stroeve et)
- 230 al., 2020; Willatt et al., 2011; Willatt et al., 2010; Giles et al., 2007). Since we do not currently have methods that can take into account this change, in the scattering horizon within the snowpack, we have assumed that the radar pulses penetrate 删除[Editor 2]: the through any snow cover on ice floes and scatter from the snow-ice interface.

$$f = f_r + \left(\frac{c}{c_s} - 1\right) \bullet h_s,\tag{4}$$

where f is the sea ice freeboard, unit: m, f_r is the radar freeboard, unit: m, h_s is the AWI snow depth, unit: m, c is the speed of light in vacuum, and c_s is the speed of light through snow, parameterized by Eq. (5) (Ulaby et al., 1986).

$$c_{S} = c \cdot (1 + 5.1 \cdot 10^{-4} \rho_{S})^{-1.5}, \tag{5}$$

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where ρ_{sx} is the snow density (Mallett et al., 2020).

(6) The sea ice freeboard data were converted to sea ice thickness data by assuming hydrostatic equilibrium, as shown in Eq.

(6). To obtain monthly grid values, we averaged all thickness measurements within a 25 km radius of the centre of each grid cell, with all points receiving equal weighting:

$$T = \frac{\rho_w}{\rho_w - \rho_i} \bullet f + \frac{\rho_s}{\rho_w - \rho_i} \bullet h_s, \tag{6}$$

where T is the sea ice thickness, unit: m, ρ_w is the water density, and ρ_i is the sea ice density. We used <u>a</u> fixed FYI density estimate of 916.7 kg m⁻³ and <u>an</u> MYI density estimate of 882 kg m⁻³ (Alexandrov et al., 2010).

4 Results

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In this section, we used the method proposed in Section 3 to retrieve the HY-2B radar freeboard and sea ice thickness during the two periods of interest (from October 2019 to April 2020 and from October 2020 to April 2021). First, we compared the 删除[Editor 2]: Firstly parameters involved in the retrieval process with those in the CS-2 L2 along-track data released by the ESA. <u>Second</u>, we also compared the results with the CS-2 radar freeboard and sea ice thickness released by the AWI during the same periods and analysed the differences between the HY-2B and CS-2 products with <u>regard</u> to different sea ice types. Finally, we used airborne and satellite laser altimetry as a reference.

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4.1 Comparison of along-track freeboard estimates

The orbit settings for HY-2B and CS-2 are different in that it is impossible to compare their radar freeboard estimates from the same position at the same time, so we compare the radar freeboard estimates of HY-2B and CS-2 on adjacent tracks within the Beaufort Sea, as shown in Fig. 4. Table 2 summarizes the mean and standard deviation values of the relative

- surface elevation, SSHA, and radar freeboard estimates based on HY-2B and CS-2. We selected two instances of different time for comparison acquired on April 4, 2020 and March 13, 2020, respectively, as shown in Fig.4 (a) and (e). For each date denote the time of CS-2 and HY-2B tracks. Both of them cover the Beaufort Sea and the northern Canadian Archipelago In addition, both orbits cover the FYI (grey) and MYI (black) regions. Fig. 4 (b), (c), (f) and (g) show that the mean relative surface elevations of HY-2B and CS-2 in these two periods are 0 m/0 m and 0.081 m/0.087 m, respectively. We find that the
- 260 relative surface elevations of HY-2B are slightly lower than those of CS-2, which may have been caused by the fact that not all points used to estimate the SSHA within the 25 km segments originate from leads. The mean SSHAs of HY-2B and CS-2 in the two periods are -0.21 m/-0.11 m and -0.051 m/-0.069 m, respectively. We find that the SSHAs estimated by HY-2B are lower than those estimated by CS-2, and the SSHA dispersions estimated by HY-2B are larger than those estimated by CS-2. These differences may be caused by the error of orbit determination, different tracking algorithms and different
- derivation methods of SSHA. Fig. 4 (d) and (h) show the radar freeboard estimates of HY-2B and CS-2 in the two periods, respectively. We find that the radar freeboard estimates of HY-2B are larger than those of CS-2. The anomalous radar freeboards are directly related to the SSHAs and the relative surface elevation of the ice floes. In addition, the selected tracks from HY-2B and CS-2 are not fully coincident; hence, freeboard differences are also induced by the location and time period differences between the two products.

270 4.2 Comparison with AWI CS-2 radar freeboard data

Based on the HY-2B SGDR data, we analyse the HY-2B monthly average radar freeboard data collected from October 2019 to April 2020 while also comparing them with the AWI CS-2 radar freeboard recorded during the same period, as shown in Fig. 5. The spatial patterns of the HY-2B and CS-2 data are in broad agreement; that is, thicker radar freeboards occur north of the Canadian Archipelago, while thinner radar freeboards occur in other seas. Since the height of the lead is usually lower

than the height of the adjacent floes, our method is reasonable where there are more leads in the 25 km segment. Despite this good spatial consistency, the HY-2B radar freeboards are generally thicker than those of AWI CS-2, except in spring (March and April). In spring, more of the lowest 15 points within the 25 km segment are likely to originate from floes, while in early winter, more points may originate from leads. Therefore, the radar freeboards in spring are lower than those of CS-2. The

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删除[LENOVO]: Fig. 4 (a) and (e) show the orbit positions of HY-2B and CS-2 obtained on April 4, 2020, and March 13, 2020, covering the Beaufort Sea and the northern Canadian Archipelago, respectively, to compare the relative surface elevation, SSHA, and radar freeboard estimates.

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mean deviations of the radar freeboard between HY-2B and AWI CS-2 range from -0.035 m to 0.016 m from October 2019
to April 2020. The HY-2B radar freeboards are generally higher than those of AWI CS-2 in the FYI region, and lower than those of AWI CS-2 in the MYI region. More of the lowest 15 points within the 25 km segment are likely to originate from floes in the MYI region, and more points may originate from leads in the FYI region. Therefore, the radar freeboard in the MYI region is lower than that of CS-2. The HY-2B spatial coverage is limited to 81° N/S, while the CS-2 coverage is limited to 88° N/S, so the monthly average radar freeboard of HY-2B retrievals lacks observation data in the Arctic central region.
285 Therefore, the HY-2B radar freeboard results are sparse in early winter (October 2019 to December 2019).

Table 3 shows the mean and modal radar freeboards of HY-2B and AWI CS-2 from October 2019 to April 2020 and from October 2020 to April 2021. For comparison, only the overlapping data points in the two satellite products are considered. The AWI CS-2 mean freeboards are larger than the CS-2 modal freeboards in all months (Schwegmann et al., 2016). The HY-2B mean freeboards are also thicker than the HY-2B modal freeboards in all months. However, despite the similarities

290 between the two satellite products, there are also clear differences between them. The mean freeboard differences and modal freeboard differences in spring between HY-2B and CS-2 are both larger than <u>those</u> in early winter. Table 3 also indicates that the spring radar freeboard retrieved by our method is lower than that of CS-2. Moreover, the HY-2B radar freeboard has a smaller linear growth rate than <u>CS-2</u>, which is also reflected in Fig. 7 (a).

To assess the deviations between the HY-2B and AWI CS-2 radar freeboards on various sea ice types, we list the differences

- 295 in FYI, MYI and total sea ice between the two satellite products in Table 4. The radar freeboard deviation between HY-2B and AWI CS-2 over MYI is larger than that over FYI, with deviations of approximately 3 cm on FYI (positive) and 5 cm on MYI (negative). In addition, the mean deviations of the radar freeboard between HY-2B and AWI CS-2 change from positive to negative over time. In March and April, the deviations between HY-2B and AWI CS-2 are negative for FYI, MYI and total sea ice, indicating that the HY-2B radar freeboards are smaller than those of AWI CS-2. In general, the HY-2B
- 300 radar freeboards exhibit a mean absolute error (MAE) of approximately 0.02 m with respect to CS-2 (Table 4). We think that the MAEs may have been caused by the error of orbit determination, retracking algorithm and the accuracy of the extracted HY-2B SSHAs.

4.3 Comparison of sea ice thickness with AWI CS-2 data

Fig. 6 shows the spatial comparison of Arctic <u>SIT</u> between HY-2B and AWI CS-2 from October 2019 to April 2020. The 305 spatial patterns of the two sea ice thickness products exhibited broad agreement; thicker sea ice <u>occurred</u> north of the Canadian Archipelago, while thinner sea ice <u>occurred</u> in the Eurasian continental marginal sea and Baffin Bay. Both products show similar seasonal changes in which the Arctic sea ice thickness gradually <u>thickens</u>. Although the spatial

distributions are consistent, the HY-2B sea ice thicknesses are thicker than <u>those</u> of <u>CS-2</u>, except in spring (March and April). This is mainly due to the thicker HY-2B radar freeboards than those of <u>CS-2</u>. The mean deviations <u>in</u> sea ice thickness between HY-2B and AWI CS-2 range from -0.259 m to 0.230 m from October 2019 to April 2020. Due to the lower radar

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freeboards in spring than those of CS-2, the sea ice thicknesses are also lower in spring than those of CS-2. The HY-2B sea

	ice thicknesses are generally higher than those of AWI CS-2 in the FYI region, and lower than those of AWI CS-2 in the		删除[Editor 2]:	
1	MYI region. In all months, the MAEs of sea ice thickness between HY-2B and AWI CS-2 are within 0.9 m. The HY-2B sea	1		,
	ice thickness has a smaller linear growth rate than CS-2, which is also reflected in Fig. 7 (b).		删除[Editor 2]:	the
315	Table 5 lists monthly mean and modal sea ice thickness values derived from HY-2B and AWI CS-2 from October 2019 to	1	Maatra,[].	
	April 2020 and from October 2020 to April 2021. For comparison, only the overlapping data points in the two satellite			
	products are considered. The AWI CS-2 mean thicknesses are larger than the modal thicknesses in all months. The HY-2B	4		
	mean thicknesses are also thicker than the modal thicknesses, except in December 2019 and November 2020. Because the		删除[Editor 2]:	Due to
320	distribution of the HY-2B sea ice thickness is close to a Gaussian distribution, the modal may be close to the mean or even	1	删除[Editor 2]:	maybe
	slightly greater than the mean. The monthly mean sea ice thicknesses of HY-2B are thicker than, those of CS-2 in early	\leq		
	winter, while the CS-2 sea ice thicknesses are greater than those of HY-2B in spring. The modal thicknesses of HY-2B are	$\langle \rangle$	删际[Editor 2]:	,
	thinner than those of AWI CS-2, except in December 2019, November 2020 and December 2020. These results are related to	Ì	删除[Editor 2]:	
I	the accuracy of the extracted HY-2B SSHAs.	4	删除[Editor 2].	the
	To assess the deviations between the HY-2B and AWI CS-2 sea ice thicknesses among various sea ice types, we list the			the
325	deviations in FYI, MYI, and total sea ice, as listed in Table 6. On FYI, the HY-2B sea ice thicknesses are thicker than those		删除[Editor 2]:	on
	of AWI CS-2, except in March and April. In MYI, the HY-2B sea ice thicknesses are thinner than those of AWI CS-2 in all		删除[Editor 2]:	On
	months. In addition, the mean deviations in sea ice thickness between HY-2B and AWI CS-2 change from positive to	\leq		
	negative over time. In general, the HY-2B sea ice thicknesses exhibit an MAE of approximately 0.2 m with respect to CS-2		删除[Editor 2]:	
	(Table 6). The MAEs are directly affected by the accuracy of the retrieved radar freeboard values.	Ì.	删除[Editor 2]:	of
330	Fig. 7 shows the seasonal variation trends of the HY-2B and AWI CS-2 radar freeboards and sea ice thicknesses during two	Ň	删除[Editor 2]。	0
	sea ice growing cycles averaged over the overlapping regions. We calculate the average radar freeboard and sea ice thickness		加际[Editor 2]:	a
	over the common area. The growth trend of the HY-2B radar freeboards is slower than that of the AWI CS-2. As shown in		删除[Editor 2]:	those
	Fig. 7 (a), the HY-2B radar freeboards are higher than the AWI CS-2 in winter, while the opposite pattern is observed in	/	删除[Editor 2]:	observation
	spring. The seasonal trend of sea ice thickness is also similar to that of the radar freeboard. The growth rate of AWI CS-2 sea		//////[].	
335	ice thickness is approximately twice that of HY-2B, as shown in Fig. 7 (b).		删除[Editor 2]:	is
	4.4 Comparison with OIB and IS-2 data		删除[Editor 2]:	a
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I	We use the HY-2B SGDR data collected in April 2019 to retrieve sea ice freeboard and sea ice thickness and compare the	//1		
	OIB airborne <u>observations</u> with HY-2B and AWI CS-2, as shown in Fig. 8. Because the HY-2B radar altimeter can cover		删除[Editor 2]:	a
a (a)	only the 81°N/S region, only 13 grids could be evaluated when overlapped with the OIB airborne data collected in the same	'A	删除[Editor 2]:	a
340	period. The correlation between the HY-2B sea ice freeboard and OIB is 0.77, with a root mean square error (RMSE) of 0.13	/		
	m and an MAE of 0.12 m. The correlation between the AWI CS-2 sea ice freeboard and OIB is 0.84, with an RMSE of 0.10	/13	删际[Editor 2]:	a
	m and an MAE of 0.081 m. Based on hydrostatic equilibrium, we use the AWI snow depth data to convert sea ice freeboard	1	删除[Editor 2]:	a
1	into sea ice thickness, which is verified against OIB sea ice thickness, as shown in Fig. 8 (c) and (d). The correlation between		血() (ロボチャック)	allagest
	HY-2B and OIB is 0.65, with an RMSE of 1.86 m and an MAE of 1.72 m suggesting that this underestimation of sea ice		m际[Editor 2]:	suggest

345	thickness could be attributed not only to sea ice freeboard but also to snow depth or other parameters. The correlation		删除[Editor 2]: be attributed
	between AWI CS-2 sea ice thickness and OIB is 0.80, with an RMSE of 1.00 m and an MAE of 0.75 m. The majority of the	\square	Annal [Barron 2]. Se attributed
	spread (shown by RMSE or MAE) in our HY-2B evaluation is caused by the underestimation of thickness over thick ice,		删除[Editor 2]: maybe
	which may have been caused by the fact that not all points used to estimate the SSHA within the 25 km segments originate		删除[Editor 2]: a
250	from leads.		删除[Editor 2]: a
350	IS-2 laser altimeters have a range that reaches the snow surface on sea ice and therefore are not impacted by the uncertain		
	scattering horizons within snow layers (Magruder et al., 2020). The spatial resolutions (approximately 11 m of the		删除[Editor 2]:
	measurement footprint (Fons et al., 2021)) of these altimeters are much higher than those of CS-2 (approximately 0.3 km		删除[Editor 2]: resolution
	along-track and 1.5 km across-track) and HY-2B (approximately 1.9 km across-track), thus providing independent all-Arctic	l	
	snow freeboard data that can be compared with the HY-2B and CS-2 retrievals. The AWI snow depths are subtracted from		
355	the IS-2 snow freeboards to obtain the sea ice freeboards. To compare these values with the IS-2 sea ice freeboard, we use		
	the AWI snow depth to perform a wave propagation speed correction for the HY-2B and AWI CS-2 radar freeboards (see		删除[Editor 2]: freeboard
	Section 3). Fig. 9 shows monthly comparisons of sea ice freeboard between HY-2B and IS-2 and between CS-2 and IS-2		Anna [Bartor 2]. Hoossand
	from October 2019 to April 2020 and from October 2020 to April 2021, respectively. The RMSEs obtained between HY-2B	I	删除[Editor 2]: the
	and IS-2 range from 0.13 m to 0.16 m, and the MAEs range from 0.09 m to 0.12 m. The RMSEs between CS-2 and IS-2		
360	range from 0.09 m to 0.12 m, and the MAEs range from 0.07 m to 0.10 m. We observe that HY-2B generates a significantly		删除[Editor 2]: to generate some
	thicker sea ice freeboard than IS-2. The abnormal values from HY-2B may be caused by the error of orbit determination, the		
	tracking algorithm of the Brown model and the determination algorithm of SSHA. In addition, the differences between HY-		
	2B and IS-2 may be caused by inconsistent measurement modes and footprint sizes.		
	Assuming hydrostatic equilibrium, HY-2B and CS-2 sea ice freeboards are converted to sea ice thicknesses using AWI snow		
365	depth, and the results are compared with IS-2 sea ice thicknesses. Fig. 10 shows comparisons of the HY-2B and CS-2 sea ice		
	thicknesses with IS-2. The RMSEs of sea ice thickness derived between HY-2B and IS-2 range from 1.21 m to 1.48 m, and		删除[Editor 2]: respectively
	the MAEs range from 0.79 m to 1.00 m. The RMSEs derived between CS-2 and IS-2 range from 0.77 m to 0.93 m, and the		Assistant Electron 2]. , respectively
	MAEs range from 0.56 m to 0.74 m. The RMSE and MAE of sea ice thickness are thus related not only to sea ice freeboard		
	and snow depth but also to sea ice type and snow density (Ricker et al., 2014).		

370 5 Discussion

In this section, we first compared the effects of the SSHAs extracted under different parameter schemes on the HY-2B radar freeboard retrievals. We then discussed the uncertainties of the HY-2B sea ice freeboard and sea ice thickness.

5.1 Influence of different SSHA determination schemes on the HY-2B radar freeboard

Ricker et al. (2014) believed that the random uncertainty of <u>the</u> radar freeboard can be determined by the speckle noise and actual accuracy of SSHAs. Therefore, it is crucial to accurately extract SSHAs in the HY-2B radar freeboard retrievals in

this work. We adopt 8 schemes to determine these SSHAs and apply them to retrieve the HY-2B radar freeboard. The 删除[Editor 2]: applied specific parameter schemes are listed in Table 7. Moreover, the HY-2B radar freeboard retrievals are compared to the AWI CS-2 radar freeboard collected during the same period. The mean deviation, MAE and SSHA values retrieved between the two satellites under different schemes from October 2019 to April 2020 and from October 2020 to April 2021 are listed in

- 380 Table 8. As the table shows (Schemes 1-8), the mean deviation and MAE values first decrease and then increase with the gradual increase in SSHA, indicating that an increase in SSHA does not necessitate a linear reduction in mean deviation or MAE, The SSHA values of Scheme 8 are largest, both are greater than -0.1 m. The mean deviations of gridded radar freeboard between HY-2B and CS-2 are all less than 0, indicating that the HY-2B radar freeboard retrievals are generally lower than the AWI CS-2 radar freeboards. In addition, the MAE of Scheme 8 is larger than that obtained under Scheme 7.
- 385 Finally, according to the mean deviation and MAE values, we use Scheme 7 to extract SSHAs to retrieve the HY-2B radar freeboards. The cumulative probability of measuring points greater than or equal to 15 within each 25 km segment is 43.4%. It is worth noting that the HY-2B radar freeboard and sea ice thickness retrieved by Scheme 7 result in slower growth rates compared to CS-2. In spring, more of the lowest 15 points within the 25 km segment are likely to originate from floes, while more points may originate from leads in early winter. As a result, the errors of the retrieved HY-2B radar freeboard and sea
- 390 ice thickness are smaller in winter than in spring. Therefore, the HY-2B sea ice freeboard and sea ice thickness values are lower than those of CS-2 in spring, especially in March and April, as shown in Tables 3 and 5.

5.2 Uncertainty of HY-2B sea ice freeboard and sea ice thickness data

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The speckle noise caused by instrument system errors is found to be $\sigma_{SGDR} = 0.02 m$ (National Satellite Ocean Application Service, 2019), and the SSHA uncertainty is assumed to be determined by the standard deviation of SSHAs within a moving 25-km window. The gridded uncertainty of the radar freeboard can be expressed as shown in Eq. (7):

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$$\hat{\sigma}_{l3,rf} = \sqrt{\frac{\sigma_{SSA}^2 + \sigma_{SGDR}^2}{n}},\tag{7}$$

where $\sigma_{SGDR} = 0.02 m$, σ_{SSA} is the standard deviation of these SSHAs, weighted by the number of SSHAs within a 25-km moving window, $\hat{\sigma}_{l3,rf}$ is the gridded uncertainty of radar freeboard and n is the number of SSHAs within a 25-km grid cell, 删除[Editor 2]: The sea ice freeboard is calculated after a wave propagation speed correction has been applied to the radar freeboard. The gridded uncertainty of the sea ice freeboard can be expressed as shown in Eq. (8): 删除[Editor 2]: $\sigma_{l3,f} = \sqrt{\left(\left(\frac{c}{c_{s}} - 1\right) \cdot \bar{\sigma}_{h_{s}}\right)^{2} + \left(\hat{\sigma}_{l3,rf}\right)^{2}}$ (8) 删除[Editor 2]: where $\sigma_{l_{3,f}}$ is the gridded uncertainty of sea ice freeboard and $\overline{\sigma}_{h_s}$ is *the* gridded uncertainty of snow depth. 删除[Editor 2]:,

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Finally, we calculated the partial derivative of Eq. (6) to obtain the weights of the single-variable variances to obtain the contribution of each variable to the thickness uncertainty, as shown in Eq. (9)-(12).

$$405 \quad \frac{\partial T}{\partial f_r} = \frac{\rho_w}{\rho_w - \rho_i},\tag{9}$$

$$\frac{\partial T}{\partial \rho_i} = \frac{f \cdot \rho_w + h_s \cdot \rho_s}{\left(\rho_w - \rho_i\right)^2},\tag{10}$$

$$\frac{\partial T}{\partial h_s} = \frac{\rho_s}{\rho_w - \rho_i},\tag{11}$$

$$\frac{\partial T}{\partial \rho_s} = \frac{h_s}{\rho_w - \rho_i},\tag{12}$$

The sea ice thickness uncertainty can be divided into random uncertainty and systematic uncertainty. The speckle noise and sea surface height interpolation uncertainty are both defined as random error contributions (Hendricks et al., 2020). Ricker et al. (2014) hypothesized that the uncertainties of the modified W99 snow depth and snow density resulting from interannual variabilities are systematic and cannot be regarded as random uncertainty. However, the AWI snow depth product is a composite snow depth product obtained by integrating the W99 climatology snow depths and the daily average AMSR-2 snow depths of Bremen University. Therefore, we assumed that the uncertainties in the AWI snow depth and snow density

415 products are systematic <u>uncertainties</u>. In addition, the <u>densities</u> of snow and sea ice are also treated as systematic errors. Due to the variability in seawater density, the contribution of its uncertainty is ignored (Kurtz et al., 2014; Ricker et al., 2014).
 We calculated the mixed uncertainty of the sea ice thickness via Gaussian error propagation, as shown in Eq. (13):

$$\sigma_{l3,T} = \sqrt{\left(\frac{\overline{\rho}_{w}}{\overline{\rho}_{w} - \overline{\rho}_{i}} \bullet \sigma_{l3,f}\right)^{2} + \left(\frac{\overline{f} \bullet \overline{\rho}_{w} + \overline{h}_{s} \bullet \overline{\rho}_{s}}{(\overline{\rho}_{w} - \overline{\rho}_{i})^{2}} \bullet \overline{\sigma}_{\rho_{i}}\right)^{2} + \left(\frac{\overline{\rho}_{s}}{\overline{\rho}_{w} - \overline{\rho}_{i}} \bullet \overline{\sigma}_{h_{s}}\right)^{2} + \left(\frac{\overline{h}_{s}}{\overline{\rho}_{w} - \overline{\rho}_{i}} \bullet \overline{\sigma}_{\rho_{s}}\right)^{2}, \tag{13}$$

where $\sigma_{l3,T}$ is the gridded uncertainty of sea ice thickness, $\overline{\sigma}_{\rho_i}$ is the gridded uncertainty of sea ice density, $\sigma_{\rho_{FYI}} = 35.7 \ kg/$ 420 m^3 , $\sigma_{\rho_{MYI}} = 23 \ kg/m^3$, and $\sigma_{\rho_s} = 50 \ kg/m^3$ (Alexandrov et al., 2010).

Fig. 11 shows the comparison of HY-2B sea ice freeboard uncertainty and AWI CS-2 sea ice freeboard uncertainty from October 2019 to April 2020 and October 2020 to April 2021. The spatial distributions of HY-2B sea ice freeboard uncertainty are similar to those of CS-2. The sea ice freeboard uncertainties over MYI are greater than those over FYI for HY-2B and CS-2, as the FYI snow depth and uncertainty values have been halved. In Table 9, we summarize the averages of

425 sea ice freeboard uncertainty derived from HY-2B and CS-2 over the common area. The HY-2B sea ice freeboard

uncertainty values range from 0.021 m to 0.027 m, while the CS-2 sea ice freeboard uncertainty values range from 0.022 m to 0.028 m.

Fig. 12 shows the comparison of the HY-2B sea ice thickness uncertainty and AWI CS-2 sea ice thickness uncertainty from October 2019 to April 2020 and from October 2020 to April 2021. The spatial distributions of the HY-2B sea ice thickness 430 uncertainty are also similar to those of CS-2. The sea ice thickness uncertainties over MYI are greater than those over FYI 删除[Editor 2]: with for HY-2B and CS-2. In addition, the total error in the HY-2B and CS-2 sea ice thickness estimates increases as ice thickness 删除[Editor 2]: on increases over the growth season. Snow depth is a major contributor to this growth in sea ice thickness error, as snow accumulates and the associated standard deviation of depth anomalies increases (Tilling et al., 2019). Over FYI in October, 删除[Editor 2]: anomaly the sea ice thickness uncertainty generated by SSHA is a dominant contributor to the error budget for HY-2B and CS-2. As 435 the growth season progresses, its influence decreases as more measurements become available, and snow depth uncertainties become more significant. Over MYI, snow depth is the dominant contributing factor to the ice thickness error throughout the growth season for both HY-2B and CS-2 (Tilling et al., 2019). Table 10 summarizes the HY-2B sea ice freeboard uncertainty and CS-2 sea ice freeboard uncertainty over the common area. The HY-2B sea ice thickness uncertainties range from 0.61 m to 0.74 m, while the CS-2 sea ice thickness uncertainties range from 0.42 m to 0.69 m $_{\bullet}$ 删除[Editor 2]: 440 However, the uncertainties estimated in this study for both CS-2 and HY-2B are in the lower range when compared with 删除[Editor 2]: comparing other studies (Ricker et al. 2014, Landy et al. 2020). This is because we only calculate the statistics of uncertainty over the common area for CS-2 and HY-2B. Other studies do the statistics of CS-2 uncertainty with the upper limitation range of 删除[Editor 2]: just make a 88°N. In addition, Landy et al. (2020), also considered the following principal sources of systematic uncertainty: (i) partial 删除[Editor 2]: wave penetration into the snowpack on MYI, for instance, due to metamorphic snow features; (ii) partial penetration into the 445 snowpack on FYI, for instance, due to brine wicking-induced snow basal salinity; and finally, (iii) sea ice surface roughness. They revealed sea ice surface roughness as a key overlooked feature of the conventional retrieval process (Landy et al. 2020). 删除[Editor 2]: And they It is important to note that these key uncertainties limit the accuracy of the radar-based freeboard retrieval, which then propagates into the freeboard-to-thickness conversion. 删除[Editor 2]: propagate

6 Conclusion

- 450 In this study, we first used <u>the</u> Chinese HY-2B radar altimeter to estimate Arctic sea ice freeboard and sea ice thickness with a new retrieval method and then compared the results to the AWI CS-2 products recorded during the same period. The accuracy of the findings was verified with independent data sources, including NASA OIB airborne data and IS-2 laser altimeter data. Finally, the uncertainties in the HY-2B sea ice freeboard and sea ice thickness were estimated. The main conclusions are as follows:
- (1) The spatial distributions of the HY-2B radar freeboard and AWI CS-2 radar freeboard have good consistency, but there are still some differences in the numerical values and temporal evolution. The HY-2B radar freeboards are generally thicker
 than those of AWI CS-2, except in spring (March and April). A spring segment likely has more floe points than an early

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	winter segment. Therefore, the radar freeboards in spring are lower than those of CS-2. The mean deviations of the radar		
	freeboard between HY-2B and AWI CS-2 range from -0.035 m to 0.016 m from October 2019 to April 2020. The HY-2B		刪除[Editor 2]: the
460	radar freeboards are generally higher than AWI CS-2 in the FYI region, and lower than AWI CS-2 in the MYI region. More		Antra Lator 2]. the
	of the lowest 15 points within the 25 km segment are likely to originate from floes in the MYI region, and more points may		删除[Editor 2]: the
	originate from leads in the FYI region. Therefore, the radar freeboard in the MYI region is lower than that of CS-2. Overall,		删除[Editor 2]:,
	the HY-2B radar freeboard deviation between HY-2B and		
	AWI CS-2 over MYI is larger than that over FYI, with deviations of approximately 3 cm on FYI (positive) and 5 cm on MYI		删除[Editor 2]: are
465	(negative). In addition, the growth trend of the HY-2B radar freeboard is slower than that of AWI CS-2.		
	Similarly, the spatial distributions of the HY-2B sea ice thickness and AWI CS-2 data exhibited good consistency, but we	I	
	still identified some differences in their numerical and temporal evolution patterns. The mean deviations in sea ice thickness		删除[Editor 2]; of
	between HY-2B and AWI CS-2 range from -0.259 m to 0.230 m from October 2019 to April 2020. Due to the lower radar	I	
	freeboards in spring than those of CS-2, the sea ice thicknesses are also lower in spring than those of CS-2. In the FYI region,		
470	the HY-2B sea ice thicknesses are generally higher than those of AWI CS-2, and lower than those of AWI CS-2 in the MYI		则你IFE ditor 21.
	region. The sea ice thickness deviation between HY-2B and AWI CS-2 over MYI is larger than that over FYI, with		
	deviations of approximately 0.3 m on FYI (positive) and 0.4 m on MYI (negative). The HY-2B sea ice thickness also has a	I	
	smaller linear growth rate than CS-2.		
	(2) Comparisons with the OIB obtained in April 2019 showed that the correlation between HY-2B sea ice freeboard	/	刪除[Editor 2]: a
475	retrievals and OIB values is 0.77, with an RMSE of 0.13 m and an MAE of 0.12 m. The correlation between HY-2B sea ice		
	thickness retrievals and OIB values is 0.65, with an RMSE of 1.86 m and an MAE of 1.72 m. The majority of the spread in		删除[Editor 2]: is
	our HY-2B evaluation is caused by HY-2B underestimating sea ice thickness compared with OIB over thick ice. Moreover,		删除[Editor 2]: a
	the RMSEs between our HY-2B radar freeboard estimates and IS-2 range from 0.13 m to 0.16 m, and the MAEs range from		
	0.09 m to 0.12 m. The RMSEs between our HY-2B sea ice thickness estimates and IS-2 range from 1.21 m to 1.48 m, and		删除[Editor 2]: is
480	the MAEs range from 0.79 m to 1.00 m. The abnormal values from HY-2B may be caused by the error of orbit		删除[Editor 2]: a
	determination, the Brown tracking algorithm and the determination algorithm of SSHA.		则险[[] 北
	(3) Based on Gaussian error propagation theory, we estimate the uncertainties in the HY-2B sea ice freeboard and sea ice		ml际[Editor 2]: 18
	thickness. The HY-2B sea ice freeboard uncertainty values range from 0.021 m to 0.027 m, while the uncertainties in the		删除[Editor 2]: a
	HY-2B sea ice thickness range from 0.61 m to 0.74 m. The HY-2B sea ice freeboard uncertainties over MYI are greater than	$\left(\right)$	刪除[Editor 2]: is
485	those over FYI, as the FYI snow depth and uncertainty values have been halved. The total error in the HY-2B sea ice	$ \rangle$	
	thickness estimates increases as the ice thickness increases over the growth season. Snow depth is a major contributor to this		删除[Editor 2]: the
	growth in sea ice thickness error, as snow accumulates and the associated standard deviation of depth anomaly increases.		删除[Editor 2]: on
	However, we are aiming to investigate whether HY-2B can be used for sea ice, but that we are limited to already provided		
	higher-level (SGDR) product, and that it is not within the scope of the study to derive freeboard product using own retracker		删除[Editor]: re-t
490	from the HY-2B SGDR product. The deficiency of this work is that we did not accurately distinguish between floes and lead.	' /	设置格式[LENOVO]: 字体: (中文) 黑体, (中文) 中文
	Moreover, the discrepancies between this study and Jiang et al. (2023) are mainly due to retrieval methods and data sources.	Y	(简体)

The discrepancies of the methods are reflected in the re-tracking method, the estimation method of SSHA and whether the subsequent results need to be calibrated with AWI CS-2. The discrepancies of the datas are reflected in product levels of HY-2B and DTU MSS models. Jiang et al. (2023) used the lowest 3 points per 25 km to estimate SSHA with HY-2B L1

- 495 product, resulting the retrieval of sea ice thickness is thicker than AWI CS-2. So the retrieval of sea ice thickness need to be calibrated with AWI CS-2. It is worth noting that this study uses SGDR data, which only includes the SMLE re-tracking data. We don't deny that the L1 data Jiang et al. (2023) used is much more extensive in the Arctic. In this study, we try to explore the application of SGDR data released to the public in polar sea ice, but it can be seen from our study that it seems difficult to obtain reasonable results by using conventional methods. So we use 15 lowest points per 25 km to estimate SSHA to
- 500 retrieve more reasonable Arctic radar freeboard and thickness. Through this study, we can see that the relative surface height after substracting MSS is relatively low compared with CS-2, which may be caused by the re-tracking algorithm and precision orbit determination. This is what we need to avoid when reprocessing HY-2B L1 data, which also provides reference for reprocessing L1 data. We will develop a higher-accuracy classification algorithm to classify floes and lead and use this improved algorithm to retrieve sea ice freeboard and sea ice thickness. We will use an implementation of the
- 505 threshold first maximum retracker algorithm (TFMRA) to estimate the range to the main scattering horizon for each waveform. In addition, the HY-2B SGDR data used in this work retained only the measurements of the suboptimal maximum likelihood estimation (SMLE) retracking algorithm, which is applicable only to the ocean surface. Although the offset centre of gravity (OCOG) retracking algorithm is applicable to nonocean surfaces, including land and sea ice, it is not saved in SGDR data and thus needs to be obtained from HY-2B L1 data. It is necessary to recalculate the satellite altitude
- using fine-orbit determination data and recalculate various geophysical correction terms, including the wet and dry troposphere correction, ionospheric correction, ocean tidal correction, polar tide correction and earth tide correction terms.
 We hope to release products that are more reasonable and suitable for polar sea ice thickness retrieval, so as to better evaluate the potential application of HY-2B in polar sea ice.
- 515 Data availability. The HY-2B SGDR data are available at <u>ftp://osdds-ftp.nsoas.org.cn/</u>, provided by the NSOAS (last access:
 30 June 2022). If you <u>have not</u> registered before, <u>you will</u> need to create an account to access the FTP server at this website (https://osdds.nsoas.org.cn/register). Then, you can enter your account and password to log in to the official website to access the FTP folder with SDGR HY-2B data using filezilla (ftp://osdds-ftp.nsoas.org.cn/). The SGDR HY-2B data can also be accessed through <u>https://osdds.nsoas.org.cn/MarineDynamic/</u>. The radar freeboard and sea ice thickness data
- 520 corresponding to CryoSat-2 Level 2I are available at <u>ftp://science-pds.cryosat.esa.int/</u>, provided by the ESA (last access: 30 June 2022). CryoSat-2 radar freeboard, sea ice thickness, and snow depth data are available at <u>ftp://ftp.awi.de/sea_ice/</u>, provided by the AWI (Ricker et al., 2014; Hendricks et al., 2020) (last <u>accessed</u>: 30 June 2022). The ATL20 products (version 003) for the ICESat-2 laser altimeter are available at <u>https://nsidc.org/data/ATL20/versions/3</u>, provided by the NSIDC (Petty et al., 2021) (last access: 30 June 2022). The IceBridge L4-level data (IDCSI4) are available at the provided by the the provided by the the provided by the available at the provided by the the provided by the provid
- 525 <u>https://nsidc.org/data/NSIDC-0708/versions/1/</u>, provided by the NSIDC (Kurtz et al., 2013) (last <u>accessed</u>: 30 June 2022).

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The sea ice concentration and sea ice type data are available at <u>https://osi-saf.eumetsat.int</u>, provided by the OSI-SAF (Tonboe et al., 2016; Aaboe et al., 2021) (last access: 30 June 2022). The DTU18 MSS data are available at <u>ftp://ftp.space.dtu.dk/pub/</u>, provided by the DTU (Andersen et al. 2018a; Andersen et al. 2018b) (last access: 30 June 2022).

530 *Author contributions.* Data curation, Z.D. Y.J. and L.S.; writing, Z.D. and L.S.; methodology, Z.D. L.S. M.L. Y.J. T.Z. and S.W.; validation, Z.D. T.Z. and S.W.; funding acquisition, L.S. and M.L. All authors have read and agreed to the published version of the manuscript.

Competing interests. The authors declare that they have no conflicts of interest.

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Figure 2. A flowchart of the sea ice thickness retrieval algorithm.



Figure 3. A sample of the HY-2B elevation profile obtained for track number 14418 on April 4, 2020. The green points in panel (a) are the relative elevation (h) values; the blue points in panel (a) are the h_{25km} values, defined as the 25-km running mean of h; the black points in panel (b) are the modified relative elevation (h_r) values; the red points in panel (b) are the sea surface height anomaly (SSHA) values; and the black points in panel (c) are the radar freeboard values.



Figure 4. (a) (e) Cryosat-2 (blue) and HY-2B (red) tracks (acquired on April 4, 2020, and March 13, 2020, respectively) selected for comparison. FYI regions: light shading, MYI regions: dark grey shading. (b) (f) HY-2B relative surface elevations of floes (black

785 dots) and SSHAs (red dots) corresponding to the tracks shown in panels (a) and (e), respectively. (c) (g) Cryosat-2 relative surface elevations of floes (black dots) and SSHAs (red dots) corresponding to the tracks shown in panels (a) and (e), respectively. (d) (h) Cryosat-2 (blue) and HY-2B (red) radar freeboard values corresponding to the tracks shown in panels (a) and (e), respectively.



 Figure 5. Comparisons and differences between the_HY-2B radar freeboard and AWI CS-2 radar freeboard recorded from

 October 2019 to April 2020: (a) HY-2B radar freeboards, (b) CS-2 radar freeboards, (c) spatial differences between HY-2B and

 CS-2 radar freeboards, and (d) a histogram of differences between HY-2B and CS-2 radar freeboards.





Figure 6. Comparisons and differences between HY-2B sea ice thickness and AWI CS-2 sea ice thickness from October 2019 to April 2020, (a) HY-2B sea ice thicknesses, (b) CS-2 sea ice thicknesses, (c) spatial differences between HY-2B and CS-2 sea ice thicknesses, and (d) a histogram of the differences between HY-2B and CS-2 sea ice thicknesses.



Figure 7. Seasonal variation trends of HY-2B and CryoSat-2 radar freeboard and sea ice thickness from October 2019 to April 2020 and from October 2020 to April 2021. (a) radar freeboard, (b) sea ice thickness, HY-2B: red, CS-2: blue.





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Figure 9. Monthly comparisons between HY-2B sea ice freeboard and ICESat-2 sea ice freeboard and between CryoSat-2 sea ice freeboard and ICESat-2 sea ice freeboard values: panel (a) shows comparisons between HY-2B and ICESat-2 in red points, and panel (b) shows comparisons between CS-2 and ICESat-2 in blue points.

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Figure 10. Monthly comparisons between HY-2B sea ice thickness and ICESat-2 sea ice thickness and between CryoSat-2 sea ice thickness and ICESat-2 sea ice thickness: <u>panel</u> (a) shows comparisons between HY-2B and ICESat-2 in red points, and <u>panel</u> (b) shows comparisons between CS-2 and ICESat-2 in blue points₄

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panel (b) shows the CS-2 sea ice freeboard uncertainties.

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Figure 12. Monthly comparisons between HY-2B sea ice thickness uncertainties and CS-2 sea ice thickness uncertainties from October 2019 to April 2020 and from October 2020 to April 2021: panel (a) shows the HY-2B sea ice thickness uncertainties, and 删除[Editor 2]: panels panel (b) shows the CS-2 sea ice thickness uncertainties.

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Table 1: HY-2B radar altimeter main parameters.

Parameter	Va	lue
Band	Ku	С
Centre frequency	13.58 GHZ	5.25 GHz
Chirp signal bandwidth	320/80/20 MHz	160/40/10 MHz
Footprint diameter	1.9 km	10 km
Bandwidth	102	.4 us
Waveform bin number	12	28
Range accuracy	< 2	cm
Spatial coverage	81°	N/S

Table 2: Comparison of the mean and standard deviation values of the relative surface elevation (h_r) , sea surface height anomaly

$(35\pi A)$, and radar interpolate estimates (f_r) from $\pi 1-2D$ and $Cryosat-$	radar freeboard estimates (f _r) from HY-2B and Cry	osat-2.
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I laite an	HY	-2B	Cryos	Sat-2
Unit: m	13 March 2020	4 April 2020	13 March 2020	4 April 2020
h_r	0±0.25	0±0.31	0.087±0.25	0.081 ± 0.17
SSHA	-0.11±0.10	-0.21±0.079	-0.069 ± 0.066	-0.051 ± 0.029
f_r	0.11±0.27	0.20±0.32	$0.16{\pm}0.27$	0.13±0.18

Table 3: Mean and modal radar freeboard values of HY-2B and CryoSat-2 over the common area.

	Mean/mode (Unit: m)					
Month	2019.10-	-2020.04	2020.10-	-2021.04		
	HY-2B	CryoSat-2	HY-2B	CryoSat-2		
October	0.084/0.066	0.083/0.059	0.085/0.079	0.067/0.051		
November	0.087/0.048	0.093/0.074	0.097/0.035	0.077/0.036		
December	0.097/0.052	0.081/0.042	0.095/0.064	0.089/0.052		
January	0.091/0.049	0.087/0.049	0.096/0.046	0.091/0.049		
February	0.097/0.072	0.095/0.061	0.092/0.075	0.098/0.060		
March	0.098/0.059	0.110/0.092	0.097/0.048	0.109/0.090		
April	0.095/0.072	0.130/0.106	0.090/0.056	0.121/0.102		

Month	2019.10-2020.04			2020.10-2021.04		
Unit: m	FYI	MYI	ALL	FYI	MYI	ALL
10	0.024	-0.016	0.0015	0.072	0.0031	0.018
11	0.016	-0.053	-0.0061	0.047	-0.011	0.020
12	0.035	-0.035	0.016	0.027	-0.040	0.0056
01	0.016	-0.023	0.041	0.022	-0.032	0.0058
02	0.017	-0.039	0.0022	0.0089	-0.036	-0.0062
03	-0.0024	-0.050	-0.013	-0.0042	-0.036	-0.013
04	-0.022	-0.11	-0.035	-0.023	-0.062	-0.032
mean	0.012	-0.047	0.00094	0.021	-0.031	-0.00026
MAE	0.019	0.047	0.016	0.029	0.031	0.014

Table 4: Differences in the monthly mean radar freeboard values of HY-2B and CryoSat-2 on FYI, MYI and total sea ice.

Table 5: Mean and modal sea ice thickness values of HY-2B and CryoSat-2 in the common area.

		Mean/mod	e (Unit: m)	
Month	2019.10	-2020.04	2020.10	-2021.04
	HY-2B	CryoSat-2	HY-2B	CryoSat-2
October	1.348/0.765	1.337/1.023	1.332/1.280	1.217/1.313
November	1.440/0.892	1.423/1.292	1.504/1.638	1.286/0.551
December	1.583/1.638	1.353/0.891	1.539/1.108	1.445/0.968
January	1.571/1.034	1.475/1.081	1.603/1.095	1.521/1.150
February	1.716/1.261	1.618/1.290	1.637/1.487	1.650/1.189
March	1.752/1.268	1.797/1.794	1.704/1.031	1.790/1.542
April	1.711/1.190	1.970/1.824	1.656/1.328	1.911/1.862

Unit: m	20	19.10-2020.04			2020.10-2021.04	
month	FYI	MYI	ALL	FYI	MYI	ALL
October	0.38	-0.21	0.011	0.76	-0.066	0.12
November	0.24	-0.41	0.017	0.48	-0.11	0.22
December	0.42	-0.29	0.23	0.29	-0.35	0.094
January	0.21	-0.22	0.096	0.23	-0.31	0.082
February	0.22	-0.32	0.098	0.11	-0.33	-0.013
March	0.030	-0.42	-0.044	-0.015	-0.35	-0.086
April	-0.17	-0.91	-0.26	-0.20	-0.57	-0.25
mean	0.16	-0.40	0.021	0.24	-0.30	0.024
MAE	0.24	0.40	0.11	0.30	0.30	0.12

Table 6: Differences in the monthly mean sea ice thicknesses of HY-2B and CryoSat-2 on FYI, MYI and total sea ice.

Table 7: Schemes for determining SSHAs.

Number	Scheme
	If there are more than 3 observation points per 25-km segment in every track, the average of the 3
1	lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest interpolation is
	performed along track.
	If there are more than 5 observation points per 25-km segment in every track, the average of the 5
2	lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest interpolation is
	performed along track.
	If there are more than 7 observation points per 25-km segment in every track, the average of the 7
3	lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest interpolation is
	performed along track.
	If there are more than 9 observation points per 25-km segment in every track, the average of the 9
4	lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest interpolation is
	performed along track.
	If there are more than 11 observation points per 25 km segment in every track, the average of the
5	11 lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest
	interpolation is performed along track.
	If there are more than 13 observation points per 25-km segment in every track, the average of the
6	13 lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest
	interpolation is performed along track.
	If there are more than 15 observation points per 25-km segment in every track, the average of the
7	15 lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest
	interpolation is performed along track.
	If there are more than 17 observation points per 25-km segment in every track, the average of the
8	17 lowest values is taken as the SSHA. Otherwise, the SSHA is set to nan and nearest
	interpolation is performed along track.

	determination schemes.												
	Oct 2019-Apr 2020 Oct 2020-Apr 2021												
Unit: m	Mean deviation	MAE	SSHA	Mean deviation	MAE	SSHA							
1	0.1524	0.1524	-0.2775	0.1489	0.1489	-0.2696							
2	0.0972	0.0972	-0.2235	0.0956	0.0956	-0.2176							
3	0.0661	0.0661	-0.1867	0.0670	0.0670	-0.1830							
4	0.0410	0.0410	-0.1582	0.0424	0.0424	-0.1556							
5	0.0213	0.0244	-0.1357	0.0241	0.0265	-0.1346							
6	0.0071	0.0149	-0.1184	0.0102	0.0172	-0.1181							
7	-0.0043	0.0111	-0.1042	-0.00008	0.0144	-0.1049							
8	-0.0142	0.0162	-0.0923	-0.0089	0.0155	-0.0936							

Table 9: Mean sea ice freeboard uncertainties of HY-2B and CryoSat-2 on FYI, MYI and total sea ice.

	Oct 2019-April 2020							Oct 2020-April 2021					
Unit: m	HY-2B			CS-2			HY-2B			CS-2			
	FYI	MYI	ALL	FYI	MYI	ALL	FYI	MYI	ALL	FYI	MYI	ALL	
Oct	0.025	0.028	0.027	0.026	0.028	0.028	0.021	0.027	0.025	0.026	0.028	0.028	
Nov	0.019	0.028	0.022	0.021	0.027	0.023	0.018	0.026	0.022	0.020	0.026	0.023	
Dec	0.020	0.030	0.023	0.021	0.028	0.023	0.018	0.029	0.022	0.020	0.028	0.023	
Jan	0.019	0.029	0.022	0.021	0.028	0.023	0.018	0.028	0.021	0.020	0.027	0.022	
Feb	0.021	0.033	0.024	0.022	0.030	0.024	0.019	0.032	0.023	0.021	0.030	0.024	
Mar	0.022	0.036	0.025	0.023	0.033	0.025	0.021	0.036	0.025	0.022	0.033	0.025	
Apr	0.023	0.037	0.025	0.022	0.033	0.024	0.022	0.039	0.025	0.022	0.034	0.024	
mean	0.021	0.032	0.024	0.022	0.030	0.024	0.020	0.031	0.023	0.022	0.029	0.024	

Table 10: Mean sea ice thickness uncertainties of HY-2B and CryoSat-2 on FYI, MYI and total sea ice.

	20	Oct 2020-April 2021										
Unit: m	HY-2B			CS-2			HY-2B			CS-2		
	FYI	MYI	ALL	FYI	MYI	ALL	FYI	MYI	ALL	FYI	MYI	ALL
Oct	0.81	0.58	0.67	0.45	0.51	0.49	0.80	0.56	0.61	0.46	0.47	0.47
Nov	0.64	0.68	0.65	0.44	0.50	0.46	0.62	0.66	0.64	0.40	0.45	0.42
Dec	0.69	0.73	0.70	0.44	0.51	0.46	0.61	0.72	0.65	0.47	0.54	0.49
Jan	0.66	0.76	0.69	0.48	0.54	0.50	0.63	0.73	0.66	0.51	0.54	0.52
Feb	0.71	0.80	0.73	0.55	0.58	0.56	0.67	0.77	0.70	0.57	0.58	0.57
Mar	0.71	0.88	0.74	0.63	0.65	0.63	0.70	0.84	0.73	0.63	0.64	0.63

Apr	0.71	0.88	0.73	0.68	0.77	0.69	0.68	0.87	0.71	0.68	0.72	0.69
mean	0.70	0.76	0.70	0.52	0.58	0.54	0.67	0.74	0.67	0.53	0.56	0.54