

1 **Modeling of non-structural carbohydrate dynamics by the spatially**  
2 **explicitly individual-based dynamic global vegetation model SEIB-**  
3 **DGVM (SEIB-DGVM-NSC ver1.0)**

4  
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14  
15 **Abstract.** Forest dynamics need to be considered when estimating the global carbon budget. The  
16 alteration of forest structure and function under a changing climate and expanding human activity  
17 could lead to a reduction of forest canopy cover and a spread of lower-biomass ecosystems in  
18 warm and dry regions. Non-structural carbohydrate (NSC) acts as a storage buffer between carbon  
19 supplied by assimilation and carbon consumed by, inter alia, respiration, reproduction, and pests.  
20 Estimation of NSC concentrations in a tree is very important for accurate projection of future  
21 forest dynamics. We developed a new NSC module for incorporation into a spatially explicit,  
22 individual-based, dynamic global vegetation model (SEIB-DGVM) to validate the simulated NSC  
23 dynamics with observations. NSC pools were simulated in three plant organs: leaves, trunk, and  
24 roots. The seasonal dynamics of the NSCs varied among plant species, and the sizes of the NSC  
25 pools inferred from observations differed between the boreal, temperate, and tropical climates.  
26 The NSC models were therefore validated for each of the three climatic regions at both point and  
27 global scales to assess the performance of the models. The modeled NSCs showed good  
28 agreement in seasonality with the observed NSCs at four sites—Canada (boreal), Austria and  
29 Switzerland (temperate), and Panama (tropical)—and in mean values for three climate zones  
30 derived from the global NSC dataset. The SEIB-DGVM-NSCv1.0 is expected to enable  
31 simulation of biome shifts caused by the changes of NSC dynamics worldwide. These dynamics  
32 will contribute to changes of not only the global carbon cycle but also of forest structure and  
33 demography at a global scale.

## 36 **1 Introduction**

37

38 Permanent shifts in forest vegetation dynamics have already been observed and are expected to  
39 accelerate under future changes of climate globally (McDowell et al., 2020). Forest dynamics are  
40 changing due to anthropogenic drivers, such as rising temperatures and CO<sub>2</sub> partial pressures, and  
41 are affected by transient disturbances such as wildfires, droughts, biotic attacks, and land-use  
42 changes. The dependence of tree recruitment and growth on anthropogenic drivers and transient  
43 disturbances could lead to an increase of tree mortality rates in warm and dry regions (Stevens-  
44 Rumann et al., 2018; Xu et al., 2017). These changes will cause forests to become shorter and  
45 younger. The result will be a net reduction of forest canopy cover and a shift toward low-biomass  
46 ecosystems. Furthermore, higher tree mortality will have a negative impact on global ecosystem:  
47 lower biological diversity and altered hydrological and carbon cycles (Adams et al., 2013).  
48 Understanding the drivers of vegetation dynamics requires accurately simulating the effect of  
49 climate change on global terrestrial biogeochemistry.

50 To increase their chance of survival, trees control their carbon resources and strategically  
51 allocate them to growth, respiration, storage, reproduction, and defense (Hoch et al., 2003;  
52 Hartmann et al., 2018). When the atmospheric partial pressure of CO<sub>2</sub> increases, trees can allocate  
53 surplus carbon to either growth or carbon storage (Hoch et al., 2003; Huang et al., 2020). Changes  
54 in tree carbon allocation patterns have been shown to exert large effects on constituents of the  
55 terrestrial carbon cycle (Klein and Hoch, 2015). Clarification of the importance of carbon  
56 allocation has revealed that non-structural carbohydrates (NSCs) draw much from the other  
57 carbon resources because they are the most significant carbon compounds involved in the life  
58 processes of trees (He et al., 2020).

59 The NSC is comprised of starch and sugars, which are mobilized mainly for growth and  
60 metabolism when sink strength exceeds source activity (Gough et al., 2010; Richardson et al.,  
61 2013; Chuste et al., 2020; Herrera-Ramírez et al., 2020). During photosynthesis, freshly  
62 assimilated carbon is transported as triose phosphate from the chloroplast to the cytosol, where  
63 sucrose is synthesized from it. Some of the sucrose is then changed into starch in the chloroplast,  
64 and the starch is consumed to maintain growth and metabolism at times when recently assimilated  
65 carbon is not available to the plants (Dietze et al., 2014). Plants that seasonally shed leaves need  
66 to rely on stored carbon for maintenance during the leafless season. NSCs play an important role  
67 as substrates for the synthesis of compounds in plants and as energy sources for metabolic  
68 activities (Hartmann et al., 2018). Moreover, NSCs include key compounds that are used to buffer  
69 physiological stress when energy from photosynthesis does not satisfy metabolic demands  
70 (Gough et al., 2010; Sala et al., 2012) because carbohydrates such as starch can be easily  
71 mobilized and reallocated (Hartmann et al., 2018).

72 In long-lived plants, the ability to store carbon is a key to survival at times when  
73 photosynthetic rates are low because of shade, drought, and disturbance (Martínez-Vilalta et al.,  
74 2016). As a result, the amount of NSC storage or remobilization depends on the balance between  
75 the supply and demand of assimilated carbon and accounts for a large fraction of the annual carbon  
76 budget of plants (Richardson et al., 2013). When carbon allocation patterns favor storage over  
77 growth, tree growth is limited (Wang et al., 2021). Hence, the dynamics of stored carbon pools  
78 can be considered an indicator of the carbon balance of the plant.

79 The decline of stomatal conductance during a drought reduces photosynthetic carbon  
80 assimilation and thus decreases the amount of NSC (McDowell et al., 2008; Adams et al., 2017).  
81 Although an imbalance of the NSC pool could mechanistically trigger plant mortality, few  
82 ecological models predict tree mortality resulting from the role of NSC associated with climate  
83 change (Adams et al., 2013; McDowell, 2011). Simulations of the NSC dynamics of plants will  
84 elucidate the effects of different drivers on forested ecosystems (Gough et al., 2010).

85 Because the frequency, duration, and severity of droughts are expected to increase globally,  
86 the damage to plants through rising temperatures, water vapor pressure deficit, and associated  
87 water loss will also increase (IPCC, 2014; Sevanto and Dickman, 2015). Trees can be killed  
88 directly by drought, i.e. vial desiccation, or indirectly by associated increases of insect or pathogen  
89 attacks. Indirect effects that cause tree mortality include girdling by bark beetles and defoliation  
90 events. The frequency and severity of this indirect biotic disturbance from insects and insect–  
91 pathogen complexes have been increasing (McDowell et al., 2020; Seidl et al., 2017). According  
92 to multiple observational and experimental studies, the resulting imbalance between NSC demand  
93 and supply leads to carbon starvation, which is one of the mechanisms that contribute to drought-  
94 induced mortality (McDowell, 2011).

95 Dynamic global vegetation models (DGVMs) are often used to represent vegetation dynamics  
96 as well as biogeochemical cycles and to simulate the transition of the vegetation structure in  
97 response to climatic changes via modeling of competition and disturbance (Hickler et al., 2004;  
98 Krinner et al., 2005; Braakhekke et al., 2019). In DGVMs, plant species are classified into plant  
99 functional types (PFTs) based on their eco-physiological traits. However, most DGVMs  
100 oversimplify individual plant competition by using average values of traits for each PFT (Smith  
101 et al., 2001). Most of such models miss the effects of local competition for light, which must be  
102 considered when modeling gap population dynamics among individual trees (Sato et al., 2007).

103 In contrast, the Spatially Explicit, Individual-Based, Dynamic Global Vegetation Model  
104 (SEIB-DGVM; Sato et al., 2007) can simulate the growth of individual trees on numerous  
105 replicate patches and enable observation of how single, large trees can influence nearby trees.  
106 Plants in different patches do not interact with each other in terms of physical resources such as  
107 light and water. In each patch, the growth and mortality of each tree, and competitive interactions

108 between individual trees are calculated based on environmental conditions. Transient changes in  
109 vegetation distribution and dynamics can therefore be examined (Sato et al., 2007). Because the  
110 amount of stored NSC depends on the size of individual trees and because the SEIB-DGVM can  
111 simulate individual trees, we chose the SEIB-DGVM to estimate the NSC dynamics of plants.

112 SEIB-DGVM has been used to simulate a transient change in the distribution and function of  
113 vegetation on the African continent in conjunction with the ranges of dispersal of trees and to  
114 address factors that had a strong impact on the transient change (Sato and Ise, 2012). Use of the  
115 SEIB-DGVM has enabled reconstruction of the geographical distributions of plant productivity  
116 and thermo-hydrology based on observations in eastern Siberia and partial representation of the  
117 effect of topography on the abundance of trees in larch forests (Sato et al., 2020). The SEIB-  
118 DGVM was coupled with an earth system model (MIROC-ESM; Watanabe et al., 2011).

119 However, the original SEIB-DGVM lacks the ability to compute NSC levels in trees, which  
120 means it cannot simulate the plant death caused by an imbalance in the NSC pool, as well as  
121 indirect impacts like insect infestations and defoliation. This also hinders the ability to investigate  
122 the effects of various drivers on forest ecosystems, such as the intensification of drought, and  
123 limits the development of the MIROC-ESM for simulating the global carbon cycle.

124 The objectives of the research were to 1) incorporate a module to simulate NSC dynamics in  
125 the SEIB-DGVM and 2) validate the simulated NSC dynamics with observational data at both  
126 point and global scales. We therefore created a new function in the SEIB-DGVM to represent the  
127 NSC dynamics of individual trees. How NSC is produced, stored, and distributed among different  
128 plant organs under environmental stress is poorly understood (Jones et al., 2019; Rademacher et  
129 al., 2021; Wang et al., 2021). Our enhanced model improves the physiological simulation of the  
130 leaf life cycle and enhances understanding of how NSC affects the distribution of vegetation,  
131 gross primary production (GPP), and net primary production (NPP) as well as tree dynamics (age,  
132 height, and trunk diameter) at global scales in the future. By adjusting the NSC accumulation  
133 rates of individual trees and the threshold of NSC-induced mortality during drought, the model  
134 can simulate the timing, location, and percentage of trees that die in response to moderate drought.  
135 Furthermore, the model can increase our understanding of the role of NSCs.

136

## 137 **2 Model**

138

### 139 **2.1 Spatially Explicit Individual-Based Dynamic Global Vegetation Model (SEIB-DGVM)**

140

141 The SEIB-DGVM (v3.02; [http://seib-dgvm.com/data/seib\\_code302.zip](http://seib-dgvm.com/data/seib_code302.zip); Sato et al., 2016) is a  
142 carbon budget model that simulates the establishment of individual trees, competition between  
143 trees, and the death of individual trees according to input climate data. The default settings follow

144 the structure of a three-dimensional virtual forest on a 30 m × 30 m stand of trees with 1 m × 1 m  
 145 simulation grid cells. In each grid cell, a tree belonging to one of 14 woody plant functional types  
 146 (PFTs) is assigned depending on conditions, in addition to one of 2 grass PFTs. All physical and  
 147 physiological processes are calculated at daily time steps, trunk growth is estimated monthly, and  
 148 vegetation dynamics and disturbance such as wildfire and heat stress are assessed annually.  
 149 Because of the lack of field observations at the time the model was developed, there is no  
 150 mechanism to control the NSC in leaves and roots in the original SEIB-DGVM, and only the NSC  
 151 in trunks is simulated after adjusting the available organic matter for reproduction and respiration.  
 152 The original SEIB-DGVM therefore cannot represent NSC-induced effects on forest ecosystems  
 153 realistically without accounting for the NSC in leaves and roots.

154

## 155 2.2 NSC components

156

### 157 2.2.1 NSC pool

158 The new NSC pools are separated into three organs of an individual tree: leaves, trunk, and roots.  
 159 In the original SEIB-DGVM, the NSC in trunks is supplemented to 250 g dry matter (DM) from  
 160 the litter after seed establishment and is based on the existing leaf biomass after the first 30 days  
 161 of the growing season. It is used for foliation after the dormant phase and metabolic processes  
 162 such as the synthesis of a storage organ and remobilization of the nutrients within it. In the new  
 163 model, the carbon newly assimilated via photosynthesis goes into three NSC pools. The NSC  
 164 pools can be later mobilized for growth and respiration as follows (Fig. 1).

165

$$166 \quad \Delta NSC_t = NPP_t = \Delta NSC_{trunk,t} + \Delta NSC_{leaf,t} + \Delta NSC_{root,t}, \quad (1)$$

167

168 when  $NSC_{trunk,t-1} < NSC_{trunk,max(t)}$ ,  $NSC_{leaf,t-1} < NSC_{leaf,max(t)}$ , and  $NSC_{root,t-1} < NSC_{root,max(t)}$ ,

169

$$170 \quad \begin{cases} \text{parent } NSC_{trunk,t} = \min(NSC_{trunk,max(t)}, \Delta NSC_t), \\ NSC_{leaf,t} = \min(NSC_{leaf,max(t)}, \Delta NSC_t - NSC_{trunk,t}), \\ NSC_{root,t} = \min(NSC_{root,max(t)}, \Delta NSC_t - NSC_{trunk,t} - NSC_{leaf,t}), \end{cases} \quad (2)$$

171

172 when  $NSC_{trunk,t-1} < NSC_{trunk,max(t)}$ ,  $NSC_{leaf,t-1} < NSC_{leaf,max(t)}$ , and  $NSC_{root,t-1} > NSC_{root,max(t)}$

173

$$174 \quad \begin{cases} NSC_{trunk,t} = \min(NSC_{trunk,max(t)}, \Delta NSC_t), \\ NSC_{leaf,t} = \min(NSC_{leaf,max(t)}, \Delta NSC_t - NSC_{trunk,t}), \\ NSC_{root,t} = NSC_{root,max(t)} \end{cases}, \quad (3)$$

175

176 when  $NSC_{trunk, t-1} < NSC_{trunk, max(t)}$ ,  $NSC_{leaf, t-1} > NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} < NSC_{root, max(t)}$ ,

177

$$178 \begin{cases} NSC_{trunk, t} = \min(NSC_{trunk, max(t)}, \Delta NSC_t), \\ NSC_{leaf, t} = NSC_{leaf, max(t)}, \\ NSC_{root, t} = \min(NSC_{root, max(t)}, \Delta NSC_t - NSC_{trunk, t} - NSC_{leaf, t}), \end{cases} \quad (4)$$

179

180 when  $NSC_{trunk, t-1} < NSC_{trunk, max(t)}$ ,  $NSC_{leaf, t-1} > NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} > NSC_{root, max(t)}$ ,

181

$$182 \begin{cases} \text{parent } NSC_{trunk, t} = \min(NSC_{trunk, max(t)}, \Delta NSC_t), \\ NSC_{leaf, t} = NSC_{leaf, max(t)}, \\ NSC_{root, t} = NSC_{root, max(t)}, \end{cases} \quad (5)$$

183

184 when  $NSC_{trunk, t-1} > NSC_{t, max(t)}$ ,  $NSC_{leaf, t-1} < NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} < NSC_{root, max(t)}$ ,

185

$$186 \begin{cases} NSC_{trunk, t} = NSC_{t, max(t)} \\ NSC_{leaf, t} = \min(NSC_{leaf, max(t)}, \Delta NSC_t), \\ NSC_{root, t} = \min(NSC_{root, max(t)}, \Delta NSC_t - NSC_{trunk, t} - NSC_{leaf, t}), \end{cases} \quad (6)$$

187

188 when  $NSC_{trunk, t-1} > NSC_{t, max(t)}$ ,  $NSC_{leaf, t-1} < NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} > NSC_{root, max(t)}$ ,

189

$$190 \begin{cases} NSC_{trunk, t} = NSC_{t, max(t)}, \\ NSC_{leaf, t} = \min(NSC_{leaf, max(t)}, \Delta NSC_t), \\ NSC_{root, t} = NSC_{root, max(t)}, \end{cases} \quad (7)$$

191

192 when  $NSC_{trunk, t-1} > NSC_{t, max(t)}$ ,  $NSC_{leaf, t-1} > NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} < NSC_{root, max(t)}$ ,

193

$$194 \begin{cases} NSC_{trunk, t} = NSC_{t, max(t)}, \\ NSC_{leaf, t} = NSC_{leaf, max(t)}, \\ NSC_{root, t} = \min(NSC_{root, max(t)}, \Delta NSC_t), \end{cases} \quad (8)$$

195

196 when  $NSC_{trunk, t-1} > NSC_{t, max(t)}$ ,  $NSC_{leaf, t-1} > NSC_{leaf, max(t)}$ , and  $NSC_{root, t-1} > NSC_{root, max(t)}$ ,

197

$$198 \begin{cases} NSC_{trunk, t} = NSC_{t, max(t)}, \\ NSC_{leaf, t} = NSC_{leaf, max(t)}, \\ NSC_{root, t} = NSC_{root, max(t)}, \end{cases} \quad (9)$$

199

200 where  $t$  is the calculation day,  $t-1$  is the previous day,  $NSC_{organ}$  is the amount of NSC in each organ,  
201 and  $NSC_{organ, max(t)}$  is the maximum amount of NSC in each organ on day  $t$ .

202 The NSC pools of the organs displays unique seasonality for each climatic zone. The NSC  
203 seasonality of each organ varies among tree species mainly because the climate and surrounding  
204 environment influence the capacity and utilization of NSCs in plants. To take into consideration  
205 the fact that field observations of NSC covered whole seasons and that various plant species were  
206 scarce, we classify NSC seasonality into three types: tropical, temperate, and boreal. Observations  
207 from temperate forests showed that the NSC seasonal cycles were similar among the organs and  
208 peaked around late spring–summer, although the  $NSC_{organ}$  differed in size (Hoch et al., 2003;  
209 Richardson et al., 2013; Woodruff and Meinzer, 2011; Gruber et al., 2012). In contrast, the NSC  
210 concentrations in the leaves of boreal trees peak in June (Sveinbjörnsson et al., 2010), and NSCs  
211 in the fine roots increase until summer and then decline toward mid-summer and fall because of  
212 the initiation of root growth (Landhäusser and Lieffers, 2003). All 14 woody PFTs of the SEIB-  
213 DGVM are sorted into one of three NSC types, and carbon assimilated via photosynthesis was  
214 allocated to the NSC pool of each organ in temperate and boreal PFTs as follows.

215

$$216 \quad NSC_{organ, max} = (a + b \times \text{daily GPP}) \times \text{Biomass}, \quad (10)$$

217

218 where the organ is either a leaf, trunk, or root,  $a$  is the minimum value, and  $b$  is the seasonality  
219 parameter.

220 Tropical species have a different NSC seasonality from temperate and boreal species. The  
221 NSC of leaves display a concave upward seasonal pattern that reaches its minimum in late spring–  
222 early summer (Würth et al., 2005), which is a dry season when leaf production and flowering  
223 deplete NSC pools. Singh and Srivastava (1986) have observed that the NSC of roots is at a  
224 minimum level from July to September because the NSC pool is drained to enable survival of the  
225 rainy season during that period. The amount of NSCs then increases toward winter, when the fine  
226 root biomass declines. Hence, Eq. (10), which is used for temperate and boreal forests, is  
227 inadequate for simulation of tropical forests because the NSC depends less on the seasonality of  
228 photosynthesis in the tropics. The size of the NSC pool of tropical species therefore accumulates  
229 as follows.

230

$$231 \quad NSC_{organ, max} = (a + b) \times \text{Biomass}, \quad (11)$$

232

233 where the organ is either the leaf, trunk, or root,  $a$  is the minimum value, and  $b$  is the seasonality  
234 parameter.

235 First, the surplus carbon that remains after respiration is assigned to the  $NSC_{trunk}$  using Eq.  
 236 (1). Once  $NSC_{trunk}$  has reached its maximum capacity, the rest of assimilated carbon moves  
 237 primarily into  $NSC_{leaf}$ , secondarily into  $NSC_{root}$ . Finally, any remaining carbon is allocated to the  
 238 growth of leaves, the trunk, and roots. The sum of the NSCs in the leaves, trunk, and roots (the  
 239 total NSC) is maximized in relation to total biomass for each climate region (Table 1). In cases  
 240 where the total NSC exceeds this upper limit, the surplus is directly consumed for the growth of  
 241 each organ.

242

243

**Table 1.** Maximum volume of NSC pool

Maximum of NSC pool		
Boreal	10% of total biomass	Martínez-Vilalta et al. (2016)
Temperate	5% of total biomass	Hoch et al. (2003)
Tropical	9% of total biomass	Würth et al. (2005)

244

## 245 2.2.2 NSC expenditure

246

### 247 2.2.2.1 Respiration

248

249 Normally, photosynthetically assimilated carbon is used for maintenance respiration without  
 250 entering the NSC pool. When the assimilated carbon is insufficient for maintenance respiration,  
 251 the NSC compensates for the shortage. The NSC loss is allocated to each organ as follows.

252

$$253 \quad NSC_{leaf, t} = NSC_{leaf, t-1} - R_{a, t-1} \times c_{leaf} \quad (12)$$

$$254 \quad NSC_{trunk, t} = NSC_{trunk, t-1} - R_{a, t-1} \times c_{trunk} \quad (13)$$

$$255 \quad NSC_{root, t} = NSC_{root, t-1} - R_{a, t-1} \times c_{root}, \quad (14)$$

256

257 where autotrophic respiration ( $R_a$ ) is the difference between assimilated carbon and maintenance  
 258 respiration and  $c$  is the allocation factor for NSC utilization ( $c_{leaf} + c_{trunk} + c_{root} = 1$ ). If the total  
 259 NSC equals the carbon shortfall, the NSC of all organs becomes zero. If an  $NSC_{organ}$  is insufficient  
 260 to provide the allocated share of  $R_a$ , the other organs will supply the difference: the  $NSC_{leaf}$  is  
 261 supplemented first from the  $NSC_{trunk}$ , and if that is not enough, from the  $NSC_{root}$ . Similarly, if any  
 262 of the other  $NSC_{organ}$  pools is unable to cover local shortages, the NSC pools of the remaining  
 263 organs will balance the supply and demand. When the total NSC is not enough to pay for the  
 264 charges, a 1% of reduction in the biomass of all of the living organs occurs. The removed biomass  
 265 of sapwood is transformed into heartwood, while the removed biomass of other organs is placed  
 266 into the litter pool. The allocation factors of NSC utilization depend on the climatic region (Table

267 2), and have been adjusted to prevent the allocated share of  $R_a$  from hindering an increase in  
268  $NSC_{organ}$  during spin-up simulations.

269

270

**Table 2.** Allocation ratio (c) of NSC to organs

Organ	Boreal	Temperate	Tropical
Leaf	0.20	0.05	0.01
Trunk	0.60	0.90	0.98
Root	0.20	0.05	0.01

271

#### 272 **2.2.2.2 Phenology**

273

274 In SEIB-DGVM, every deciduous PFT has two phenology phases: a growth phase and a dormant  
275 phase. The NSCs are consumed for foliation after the dormant phase. The NSC is allocated at the  
276 rates shown in Table 2.

277

#### 278 **2.2.2.3 Turnover**

279

280 Part of the NSC pools of leaves and roots is transformed into litter at the same fractional rates as  
281 in the turnover of general carbon pools for leaves and roots. This turnover is calculated at daily  
282 steps, regardless of the phenology phase.

283

#### 284 **2.2.2.4 Establishment**

285

286 The establishment process is performed on the last day of each simulation year in the SEIB-  
287 DGVM. The characteristics of the PFT are determined by five bioclimatic parameters: (1) the  
288 maximum temperature in the coldest month; (2) the maximum growing-degree day; (3) the  
289 minimum growing-degree day; (4) the minimum photosynthetically active radiation; and (5) the  
290 duration of drought. All new trees, independent of their PFT, start with a sapwood diameter of  
291 0.01 m and heartwood diameter of 0.00 m. Initially, these new trees have no leaves or fine roots.  
292 Their carbon cycle is therefore maintained by initial values of 250 g DM of assimilated carbon  
293 and 250 g DM of NSC ( $NSC_{leaf} = 10$  g,  $NSC_{trunk} = 190$  g, and  $NSC_{root} = 50$  g) from the litter pool.

294

### 295 **2.3 Validation of NSC for point and global simulations**

296

297 Observational NSC data for model validation were derived from Martínez-Vilalta et al. (2016),  
298 who reviewed 296 papers and summarized NSC dynamics in forests. Their data include total

299 NSCs in leaves, trunks, and roots of mature terrestrial plants from observations over at least four  
300 months. The new model was first validated at the point scale. After confirming that the model can  
301 accurately simulate at the point scale, it was then validated at the global scale. These data were  
302 used for both point-scale and global-scale model validations. For the point-scale simulation,  
303 several field sites were selected, which NSC data were available for all organ. At each site, the  
304 seasonality of the NSC was measured for at least four months. The modeled outputs were then  
305 compared to the observed data, which were calculated using local climate data on a grid that  
306 corresponds to the field site. For the global-scale simulation, global mean NSC values derived by  
307 using all observed data from the relevant climate zones. The modeled outputs calculated using  
308  $0.5^{\circ} \times 0.5^{\circ}$  gridded climate data were then compared to these values.

309

### 310 **2.3.1 Validation at a point scale**

311

#### 312 **2.3.1.1 Site descriptions**

313

314 Four countries were used to validate the simulated NSC content in the plant organs: boreal  
315 (Canada), temperate (Austria and Switzerland), and tropical (Panama). We used local climate data  
316 from meteorological stations gap-filled by corrected gridded climate reanalysis data as the input  
317 at these sites. We ran the NSC module including the SEIB-DGVM with the location and climate  
318 provided and compared the model output with the observation data.

319 The boreal site is located near Alder Flats, Alberta, Canada ( $52^{\circ}58'N$ ,  $114^{\circ}59'W$ ) in 2000. The  
320 site was dominated by boreal winter deciduous plants such as *Populus tremuloides* (Landhäusser  
321 and Lieffers, 2003). One of the temperate sites is located in the timberline ecotone at Mt.  
322 Patscherkofel to the south of Innsbruck, Austria ( $47^{\circ}13'N$ ,  $11^{\circ}27'E$ ) in 2008 (Gruber et al., 2011).  
323 Temperate conifer species such as *Pinus cembra* were the dominating tree species. The other  
324 temperate site is at the Mont Noble, Canton Valais, Swiss Central Alps ( $46^{\circ}12'N$ ,  $7^{\circ}30'E$ ) and was  
325 dominated by temperate conifers (*P. cembra* L.; Hoch et al., 2003) in 2000. The tropical site is  
326 located at the Parque Natural Metropolitano near Panama City, the Republic of Panama in 1996  
327 ( $85^{\circ}8'N$ ,  $79^{\circ}34'W$ ; Würth et al., 2005). The site has mixed cover with 17 dominant species,  
328 including *Cecropia longipes* and *Anacardium excelsum*.

329

#### 330 **2.3.1.2 Input climate data**

331

332 The SEIB-DGVM requires ten climatic variables as environmental drivers: air temperature, soil  
333 temperature at a depth of 50 cm (soil layer 1), soil temperature at a depth of 100 cm (soil layer  
334 2), soil temperature at a depth of 150 cm (soil layer 3), precipitation, shortwave radiation,

335 longwave radiation, wind velocity, specific humidity, and diurnal range of air temperature. The  
336 input climate data were prepared by harmonizing a global reanalysis gridded climate dataset, the  
337 WATCH Forcing Data ERA-Interim (WFDEI,  $0.5 \times 0.5$  degrees, 1979–2016,  
338 Weedon et al., 2018), and the climate generated by the SEIB generator (Tei et al., 2017), which  
339 is the monthly observation-based climatic datasets produced by Climatic Research Unit (CRU  
340 TS4.00,  $0.5 \times 0.5$  degrees, 1901–2015, Harris et al., 2014) supplemented with the National  
341 Centers for Environmental Prediction/National Center for Atmospheric Research  
342 (NCEP/NCAR) daily climate datasets (Kalnay et al., 1996) for 1950, with local climatology  
343 recorded at meteorological stations near the sites. Local climatology in Panama is measured at  
344 the Parque Natural Metropolitano Canopy Crane meteorological station (1995–2019). The  
345 climatology in Austria (1979–2008) and Switzerland (1979–2000) was derived from the closest  
346 meteorological station to the field site under the European Climate Assessment (Klein et al.,  
347 2002, <https://www.ecad.eu>). WFDEI data were used for the climatology in Canada, except for  
348 precipitation data, which are measured in the Meteorological Service of Canada (1979–1984,  
349 [https://climate.weather.gc.ca/historical\\_data/search\\_historic\\_data\\_e.html](https://climate.weather.gc.ca/historical_data/search_historic_data_e.html)).

350 The reanalysis of daily WFDEI and SEIB climate data included daily records, which were  
351 corrected by regression models to local climate data. For temperature, humidity, and shortwave  
352 radiation values, local climatology were used directly and the daily WFDEI data supplemented  
353 by simple linear regression. Precipitation data and wind speeds were first adjusted to monthly and  
354 then annual averages and then scaled as a correction. WFDEI precipitation data were scaled after  
355 adjusting to the annual climatological precipitation of 995 mm in 2008 for Austria and 630 mm  
356 in 2000 for Switzerland. Longwave radiation was calculated using harmonized temperatures and  
357 humidities above (Brutsaert, 1975). Missing values were estimated via linear interpolation.  
358 Because soil temperature data were unavailable for local sites and for WFDEI, soil layer  
359 temperatures were calculated using the SEIB generator by regressing soil layer 1 on atmospheric  
360 temperature, soil layer 2 on layer 1, and layer 3 on layer 2. In Austria, humidity data were available  
361 from 2005. The WFDEI data were therefore used to estimate missing data via linear interpolation.  
362 In Canada, no observational data were available, except for temperature and precipitation.  
363 Precipitation in Canada was scaled with WFDEI data after adjusting to the total climatological  
364 precipitation for 1979–1984, shortwave radiation was taken from the WFDEI, and humidity data  
365 were harmonized in the same way as the humidity data in Austria.

366

### 367 **2.3.1.3 Simulation scheme**

368

369 To reach equilibrium conditions of the biomes, plant, and soil carbon pools, a 1000-year spin-up  
370 simulation was performed by looping the climate data and atmospheric CO<sub>2</sub> concentrations

371 between 1979–2000. Building on the final conditions of the spin-up simulations, continuous  
372 simulations corresponding to 1979–2001 in Canada, 1979–2008 in Austria, 1979–2000 in  
373 Switzerland, and 1979–1995 in Panama were carried out, and the NSC dynamics were compared  
374 with field data.

375

### 376 **2.3.2 Validation at a global scale**

377

378 In the global-scale simulation, the NSC seasonality in the SEIB-DGVM was validated using  
379 CRU/NCEP/MIROC integrated data ( $0.5 \times 0.5$  degrees, 1850–2100, Tei et al., 2017, Watanabe et  
380 al., 2011) as climatic input. SEIB-DGVM-NSC ver 1.0 is expected to simulate on future scenarios,  
381 thus the different climate data that cover longer period than that of section 2.3.1.2. are used for  
382 validation at a global scale. The SEIB-DGVM categorizes plant species into 16 PFTs for global-  
383 scale simulations.

384 The outputs of the SEIB-DGVM include two boreal biome types (evergreen and deciduous  
385 forests), three temperate biome types (conifer, broad-leaved evergreen, and deciduous forests),  
386 and two tropical biome types (evergreen and deciduous forests), whereas the observations  
387 included two boreal biome types (conifer and deciduous forests), three temperate biome types  
388 (conifer, evergreen, and deciduous forests), and two tropical biome types (evergreen and  
389 deciduous forests). The model outputs and observation data were compared for each climate zone.  
390 Global climate data were available from 1850 to 2005. The first 30 years (1850–1880) were  
391 therefore looped for a 1000-year spin-up simulation. After the spin-up, simulations were run for  
392 the period 1850–2005. The NSC dynamics from the period 1975–2005 were used for model  
393 validation.

394

### 395 **2.4 Parameterization of NSC functions**

396

397 Hoch et al. (2003) have reported that the  $NSC_{leaf}$  of temperate trees sampled near the village of  
398 Hofstetten in Switzerland varies between 7%–20% of the total leaf DM. They determined the  
399 seasonal mean of the  $NSC_{trunk}$  in sapwood of temperate deciduous trees and temperate evergreen  
400 trees to be  $4.7\% \pm 0.1\%$  of DM and  $1.8\% \pm 0.1\%$  of DM, respectively. There were no significant  
401 seasonal differences. The mean  $NSC_{root}$  was less than 1.5% of the root DM for forests in Austria  
402 throughout the whole season (Gruber et al., 2012), and the total NSC of temperate trees was  
403 around 4%–5% of the DM during the growing season (Gruber et al., 2011). For tropical trees  
404 collected in Parque Natural Metropolitano in Panama, the  $NSC_{trunk}$  and  $NSC_{root}$  were 8%–10% of  
405 their biomass, whereas the  $NSC_{leaf}$  fluctuated within 5%–9% of leaf biomass (Würth et al., 2005).  
406 Landhäuser and Lieffers (2003) have reported that the  $NSC_{root}$  of boreal trees in Canada, which

407 is used to support leaf flush and root growth, is 3%–4% of their root mass. The stemwood NSC<sub>trunk</sub>  
 408 concentration is  $\sim 18 \text{ mg g}^{-1}$  of the DM for sample forests collected by Ameri flux tower (Carbone  
 409 et al., 2013). Because of limited observational data, the parameters of the NSC processes were  
 410 derived mostly from the values observed at each site used for point-scale validation, and the  
 411 maximums of simulated NSCs were corrected so that they were in the range of measured NSCs.

412 First, the parameter  $a$  in Eq. (10) controls the base amount of photosynthetically fixed carbon  
 413 mobilized for the NSC pools. The parameter  $b$  in Eq. (10) controls the seasonal fluctuations of  
 414 the NSCs from the parameter  $a$ . In temperate zones, the value of  $b$  differs before and after July so  
 415 that NSC peaks around mid-summer. In contrast, in tropical zones, the amount of NSC in leaves  
 416 and trunks decreases throughout the spring–summer.

417 The same parameter  $a$  and  $b$  were basically used for global-scale validation as for point-scale  
 418 validation. However, because the NSCs are influenced by environmental conditions at the field  
 419 sites, the observed global mean values used for global-scale validation were different from the  
 420 values used for setting parameters for point-scale validation. Therefore, some adjustments were  
 421 made to certain parameters to align with the values used in the global-scale validation. Tables 3  
 422 and 4 show the parameters used for validation. Parameter values unrelated to the NSC module  
 423 remain at the default values of the SEIB-DGVM (Sato et al., 2007).

424

425 **Table 3.** Parameters of NSC pool size function for point-scale simulation

Organ	Canada	Austria	Switzerland	Panama
Leaf	$a: 0.09, b: 0.4 \times 10^{-3}$	$a: 0.04, b: 0.065 \times 10^{-3}$ (Jul–Oct)	$a: 0.13, b: 0.1 \times 10^{-3}$ (Jul–Oct)	$a: 0.06, b: -0.15 \times 10^{-3}$ (Jun–Nov)
		$a: 0.04, b: 0.135 \times 10^{-3}$ (others)	$a: 0.13, b: 0.7 \times 10^{-3}$ (others)	$a: 0.06, b: 0.15 \times 10^{-3}$ (others)
Trunk	$a: 0.06, b: 0.03 \times 10^{-3}$	$a: 0.02, b: 0.05 \times 10^{-3}$	$a: 0.02, b: 0.01 \times 10^{-3}$	$a: 0.1, b: -0.25 \times 10^{-3}$ (Jun–Nov) $a: 0.1, b: 0$ (others)
Root	$a: 0.14, b: 0.06 \times 10^{-3}$	$a: 0.02, b: 0.01 \times 10^{-3}$	$a: 0.06, b: 0.003 \times 10^{-3}$	$a: 0.04, b: 0.5 \times 10^{-3}$

426

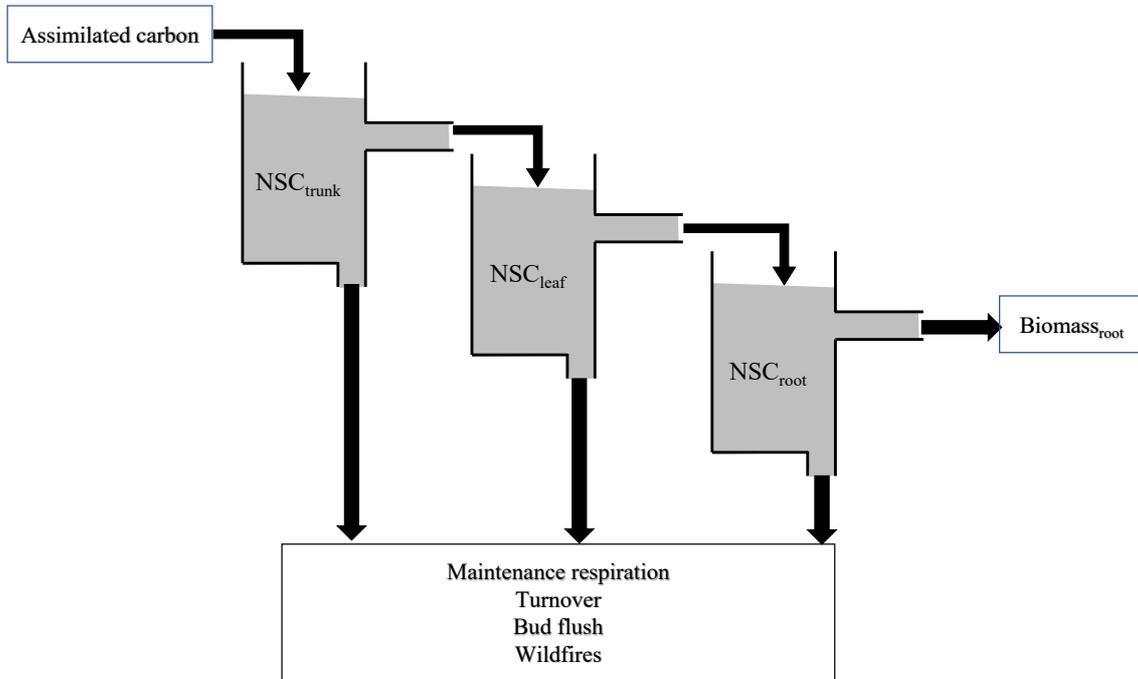
427

428 **Table 4.** Parameters of NSC pool size functions for global-scale simulation

Organ	Boreal	Temperate	Tropical
Leaf	$a: 0.09, b: 0.4 \times 10^{-3}$	$a: 0.13, b: 0.1 \times 10^{-3}$ (Jul–Oct)	$a: 0.06, b: -0.15 \times 10^{-3}$ (May–Jul)
		$a: 0.13, b: 0.9 \times 10^{-3}$ (others)	$a: 0.06, b: 0.15 \times 10^{-3}$ (others)

Trunk	$a: 0.06, b: 0.03 \times 10^{-3}$	$a: 0.04, b: 0.01 \times 10^{-3}$	$a: 0.1, b: -0.13 \times 10^{-3}$ (May–Jul) $a: 0.1, b: 0$ (others)
Root	$a: 0.14, b: 0.06 \times 10^{-3}$	$a: 0.06, b: 0.003 \times 10^{-3}$	$a: 0.04, b: 0.5 \times 10^{-3}$

429



430

431 **Figure 1.** Schematic model structure of NSC pool. The assimilated carbon satisfies the NSC<sub>trunk</sub>,  
 432 and then the excess assimilated carbon satisfies the next NSC<sub>leaf</sub> and NSC<sub>root</sub>. The accumulated  
 433 carbon in NSC pool is used to compensate for the shortage of maintenance respiration and help  
 434 with bud flush, and is sometimes lost due to turnover. When the biomass of plants is lost due to  
 435 wildfires, the NSC also decreases.

436

437

438

439

440 **3 Results**

441

442 **3.1 Seasonality of NSC at the point scale**

443

444 **3.1.1 Boreal**

445 In Canada, the fact that the dominant PFT in the simulations was boreal deciduous trees was  
446 consistent with observations at the site in Canada. The model simulated an increase in the NSC  
447 of leaves from 80 mg g<sup>-1</sup> to 203 mg g<sup>-1</sup>, whereas the observed NSC values were 89 mg g<sup>-1</sup> in May  
448 and 185 mg g<sup>-1</sup> in August (Fig. 2a). The modeled NSCs in leaves therefore captured the increasing  
449 trend during the growing season, but the simulations overestimated the maximum NSC a little.  
450 The observed NSCs in trunks fluctuated from 90 mg g<sup>-1</sup> to 192 mg g<sup>-1</sup> during a year with no  
451 specific seasonal trend (Fig. 2e). The model outputs in trunks were in the range 56–76 mg g<sup>-1</sup>.  
452 Although the observed fluctuations exceeded the modeled outputs, the modeled outputs were  
453 within one standard deviation of the observations. The range of the observed NSCs in roots was  
454 97–138 mg g<sup>-1</sup>, whereas the range of the modeled NSCs was 117–132 mg g<sup>-1</sup> (Fig. 2i). However,  
455 the observations peaked in August 2001 and in October 2002. The modeled NSCs of roots differed  
456 from the observed NSCs because the former peaked during August in both years. Overall, the  
457 simulated NSCs agreed well with the observed data (Fig. 3; RMSE = 69.92 mg g<sup>-1</sup>,  $r = 0.21$ ).

458

459 **3.1.2 Temperate**

460

461 In Austria, the fact that the dominant PFT in the simulations was temperate conifer forests was  
462 consistent with observations at the site in Austria. The modeled NSCs in leaves accumulated until  
463 July with a maximum of 142 mg g<sup>-1</sup>. This pattern was similar to the observed seasonality of the  
464 NSCs, which peaked at 150 mg g<sup>-1</sup> (Fig. 2b). The modeled NSCs in trunks were stable in the  
465 range 19–26 mg g<sup>-1</sup>, and the observations were within the range 18–38 mg g<sup>-1</sup>, with no specific  
466 seasonality (Fig. 2f). The modeled values were interspersed between the observations. The  
467 modeled NSCs in roots varied in a curvilinear manner from 18 to 26 mg g<sup>-1</sup>, a range that was  
468 similar to the range of the observed NSCs, 13–32 mg g<sup>-1</sup> (Fig. 2j). The seasonality and magnitudes  
469 of the modeled NSCs were consistent with observations (Fig. 3; RMSE = 9.52 mg g<sup>-1</sup>,  $r = 0.95$ ).

470 In Switzerland, the dominant PFT in the simulations corresponded to the temperate conifers  
471 observed at the field site. The NSCs in the tree leaves accumulated during early spring and reached  
472 up to 222 mg g<sup>-1</sup> (Fig. 2c). The decrease of the NSCs after July to a minimum of 135 mg g<sup>-1</sup> was  
473 similar to the decline of the observed NSCs to a minimum of 124 mg g<sup>-1</sup>. The modeled NSCs in  
474 trunks fell in the range 13–16 mg g<sup>-1</sup>, which was overlapped with the range of the observed NSCs  
475 in trunks, 15–33 mg g<sup>-1</sup> (Fig. 2g), and the modeled NSCs all fell within one standard deviation of

476 the observations. The modeled NSCs in roots increased gradually from 45 to 62 mg g<sup>-1</sup>, which is  
477 similar to the observed range of observations, 48–64 mg g<sup>-1</sup> (Fig. 2k). The simulations captured  
478 the amounts and seasonal patterns of the NSCs in the different organs and produced results that  
479 compared well with observations (Fig. 3; RMSE = 25.83 mg g<sup>-1</sup>,  $r = 0.91$ ).

480

### 481 **3.1.3 Tropical**

482

483 In Panama, while a wide range of woody species was found at the Panama site, in the simulation  
484 the tropical evergreen PFT became dominant. The simulations showed that the NSCs in leaves  
485 were stored during winter and were then gradually consumed from July to October, when they  
486 reached a minimum of 52 mg g<sup>-1</sup> (Fig. 2d). The observed NSCs in leaves likewise decreased from  
487 69 to 48 mg g<sup>-1</sup> between August and October. The model therefore followed the observed  
488 seasonality of the leaf NSCs. The modeled NSCs in trunks fell in the range 35–73 mg g<sup>-1</sup> (Fig.  
489 2h). The slight decrease of the modeled NSCs in trunks during the summer was not apparent in  
490 the observations. However, the simulated values fell within the range of the observed NSCs, 27–  
491 97 mg g<sup>-1</sup>. The simulated NSCs in roots fell in the range 23–55 mg g<sup>-1</sup>; the observed NSCs ranged  
492 from 43 to 70 mg g<sup>-1</sup> (Fig. 2l). Despite the weak correlation between simulated and observed  
493 NSCs, the model results were within the acceptable margin of error (Fig. 3; RMSE = 20.75 mg  
494 g<sup>-1</sup>,  $r = 0.08$ ).

495

### 496 **3.2 Comparison of annual mean NSC concentrations at a global scale**

497

498 For validation at a global scale, the mean annual NSCs from the new model were compared with  
499 the observed mean annual NSCs in boreal, temperate, and tropical regions (Table 5). The model  
500 simulated the amounts of NSCs in forest tree trunks in all climate regions with high accuracy. The  
501 modeled NSCs in the trunks of trees in boreal forests averaged  $47.48 \pm 18.35$  mg g<sup>-1</sup>, which  
502 compared favorably with the observed average of  $76.67 \pm 23.68$  mg g<sup>-1</sup>. In temperate forests, the  
503 modeled NSCs of trunks averaged  $44.78 \pm 6.82$  mg g<sup>-1</sup>, which was close to the observed average of  
504  $51.59 \pm 22.63$  mg g<sup>-1</sup>. The modeled NSCs of trunks in tropical forests averaged  $66.68 \pm 18.79$  mg g<sup>-1</sup>,  
505 which was close to the average of the observations,  $106.23 \pm 32.52$  mg g<sup>-1</sup>. Although the modeled  
506 NSCs in leaves of temperate and tropical forests were close to observed values, the modeled NSCs  
507 in leaves of boreal forests underestimated the observed values. Moreover, the modeled NSCs in  
508 roots of tropical forests were smaller than the observed NSCs. Overall, the simulated NSCs of all  
509 organs of forest trees in all climate regions agreed reasonably well with observations (Fig. 4;  
510 RMSE = 66.75 mg g<sup>-1</sup>,  $r = 0.17$ ). The model could simulate the NSCs with high accuracy, with  
511 the exception of the NSCs of tree leaves in boreal forests and of tree roots in tropical forests (Fig.

4; RMSE = 34.15 mg g<sup>-1</sup>,  $r = 0.71$ ). The original SEIB-DGVM only calculated NSCs in the trunks of trees with, an average value of 63.70 ± 44.64 mg g<sup>-1</sup> in boreal forests, 20.87 ± 15.91 mg g<sup>-1</sup> in temperate forests, and 16.61 ± 10.22 mg g<sup>-1</sup> tropical forests. Although the NSC in trunks of boreal forests from the original SEIB-DGVM was close to observation, the old model underestimated the NSC in trunks of temperate and tropical forests. The simulated NSCs from the original SEIB-DGVM in all climate regions were found to be poorly less correlated with observations (Fig. 4; RMSE = 55.37 mg g<sup>-1</sup>,  $r = 0.01$ ).

519

520 **Table 5.** Comparison of modelled and observed annual mean NSC concentrations (mg g<sup>-1</sup>) on a  
521 global scale. The observed results are represented as the mean ± 1 standard deviation

	Boreal		Temperate		Tropical	
	Observation	Model	Observation	Model	Observation	Model
Leaf	202.80 ± 19.97	94.91 ± 42.91	127.10 ± 25.6	170.90 ± 46.54	86.42 ± 20.21	46.92 ± 16.20
Trunk	76.67 ± 23.68	47.48 ± 18.35	51.59 ± 22.63	44.78 ± 6.82	106.23 ± 32.52	66.68 ± 18.79
Root	118.49 ± 13.24	105.80 ± 40.82	67.65 ± 18.79	23.58 ± 10.57	170.40 ± 36.49	44.55 ± 15.15

522

### 523 3.3 Woody biomass and total NSCs on a global scale

524

525 The average of the total GPP simulated from the new model during 1976–2005 was 123 PgC  
526 year<sup>-1</sup>. The model estimated the mean total woody biomass to be 282 PgC year<sup>-1</sup> in boreal zones,  
527 100 PgC year<sup>-1</sup> in temperate zones, and 337 PgC year<sup>-1</sup> in tropical zones globally during 1976–  
528 2005. In boreal zones, the new model estimated the mean concentration of total NSCs to be 4.98%  
529 ± 1.87% of total woody biomass, while the original SEIB-DGVM estimated it to be 6.37% ±  
530 4.46% of total woody biomass (Fig. 5). The new model's estimation of the percentage of NSCs to  
531 total woody biomass in North America and North Russia was lower than the original SEIB-  
532 DGVM. In temperate zones, the mean concentration of total NSCs was 4.67% ± 0.54% of total  
533 woody biomass from the new model, while 2.09% ± 1.59% from the original SEIB-DGVM. The  
534 NSCs in the temperate forests of Asia and South America accounted for a larger fraction of total  
535 biomass in the new model compared to the original SEIB-DGVM. Total NSCs of tropical forests  
536 in South America and Africa from the new model were 6.19% ± 1.66% of their total woody  
537 biomass, the original SEIB-DGVM estimated it to be 1.66% ± 1.02% of the total biomass. The  
538 new model estimated a larger percentage of NSC to total biomass across tropical regions compared to  
539 the original SEIB-DGVM.

540 Mean values of the simulated total NSCs relative to total woody biomass from the new model  
541 were close to previous estimates for temperate and tropical forests (Table 6). The total NSCs of  
542 temperate, broad-leaved, evergreen forests from the new model were  $4.63\% \pm 0.50\%$ , which  
543 corresponded to the woody biomass reported by Smith et al. (2018). Furthermore, in the new model,  
544 the total NSCs of temperate conifer forests were  $4.72\% \pm 0.58\%$  of total woody biomass, which was  
545 close to the figure of 4% reported by Körner (2003). While, the original SEIB-DGVM calculated the  
546 total NSCs of temperate broad-leaved evergreen forests to be  $2.64\% \pm 1.24\%$ , and the total NSCs of  
547 temperate conifer forests were  $5.30\% \pm 2.68\%$  of the total woody biomass, which closely matched  
548 the observations. However, the original SEIB-DGVM only considered NSCs in the trunks, whereas  
549 the new model allocates the total NSC into three organs, resulting in a close match to the observed  
550 total NSC. According to Würth et al. (2005), the percentages of woody biomass contributed by  
551 NSCs are 4%–8% in tropical forests. The new model calculated to be  $4.66\% \pm 1.28\%$  in tropical  
552 deciduous forests, and  $7.11\% \pm 1.08\%$  in tropical evergreen forests. In contrast, the total NSCs of  
553 tropical deciduous forests from the original SEIB-DGVM were  $1.66\% \pm 1.35\%$  and the total  
554 NSCs of tropical evergreen forests were  $1.66\% \pm 0.71\%$ , which were different from the observed  
555 values. These observed percentages are close to our simulated values from the new model.

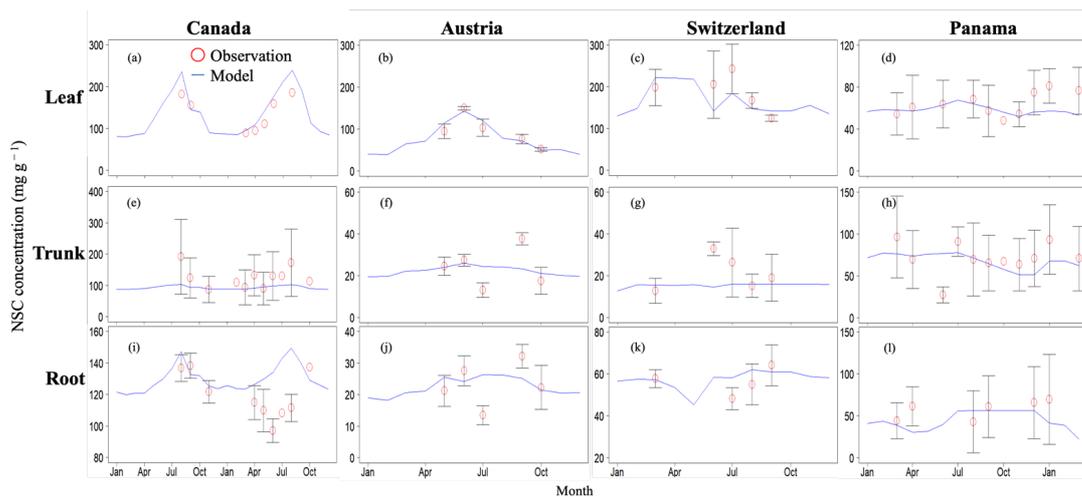
556

557 **Table 6.** Comparison of modeled mean NSC concentrations to observed total NSC concentrations (%)  
558 for different types of biomes. The simulated results are expressed as the mean  $\pm$  1 standard deviation

	Total NSC	Leaf	Trunk	Root	Total NSC (Original SEIB- DGVM)	Observation
Boreal deciduous	$3.41 \pm 1.58$	$0.05 \pm 0.09$	$3.06 \pm 1.23$	$0.30 \pm 0.62$	$2.47 \pm 3.32$	
Boreal evergreen	$6.06 \pm 1.16$	$0.75 \pm 0.38$	$4.73 \pm 1.29$	$0.58 \pm 0.37$	$7.24 \pm 4.22$	
Temperate deciduous	$2.30 \pm 0.33$	$0.02 \pm 0.01$	$2.25 \pm 0.31$	$0.03 \pm 0.01$	$1.45 \pm 0.93$	1.0–12.5 (Gough et al., 2009)
Temperate broad- leaved evergreen	$4.63 \pm 0.50$	$0.49 \pm 0.20$	$4.10 \pm 0.56$	$0.04 \pm 0.03$	$2.64 \pm 1.24$	2.6–4.4 (Smith et al., 2018)
Temperate conifer	$4.72 \pm 0.58$	$0.89 \pm 0.38$	$3.77 \pm 0.73$	$0.08 \pm 0.04$	$5.30 \pm 2.68$	4.0 (Körner, 2003)

Tropical deciduous	$4.66 \pm 1.28$	$0.04 \pm 0.03$	$4.60 \pm 1.27$	$0.03 \pm 0.02$	$1.66 \pm 1.35$	4.0–8.0 (Würth et al., 2005)
Tropical evergreen	$7.11 \pm 1.08$	$0.08 \pm 0.03$	$7.00 \pm 1.08$	$0.02 \pm 0.01$	$1.66 \pm 0.71$	4.0–8.0 (Würth et al., 2005)

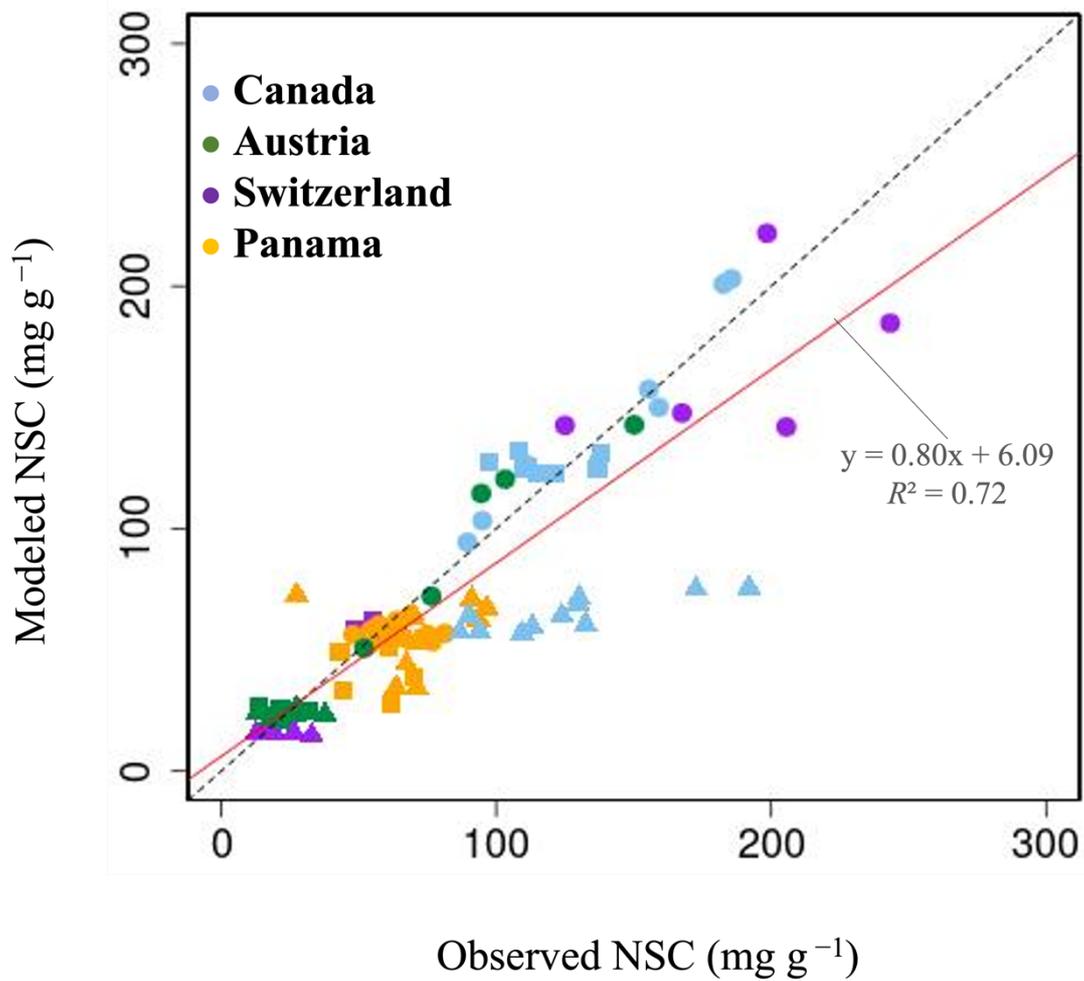
559



560

561 **Figure 2.** Validation of the modeled NSC with observed NSC data (mg g<sup>-1</sup>) at sites in Canada,  
 562 Austria, Switzerland, and Panama. Red circles indicate the observed data, and blue lines indicate  
 563 the modeled NSC. The observed results are represented as mean  $\pm$  1 standard deviation. Observed  
 564 data are derived from Martínez-Vilalta et al. (2016)

565

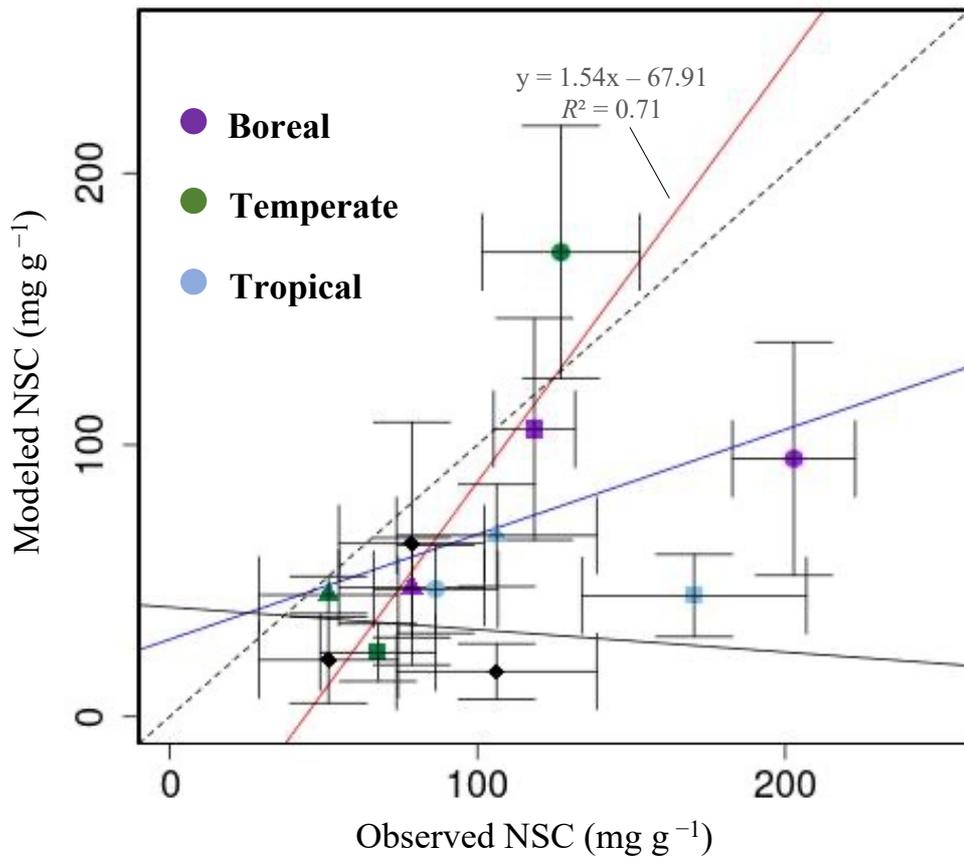


566

567 **Figure 3.** Plot of modeled NSC (mg g<sup>-1</sup>) with observed NSC (mg g<sup>-1</sup>) at a point scale. ●, leaves;

568 ▲, trunks; ■, roots. For all data,  $r$  is 0.72, and RMSE is 29.65 mg g<sup>-1</sup>.

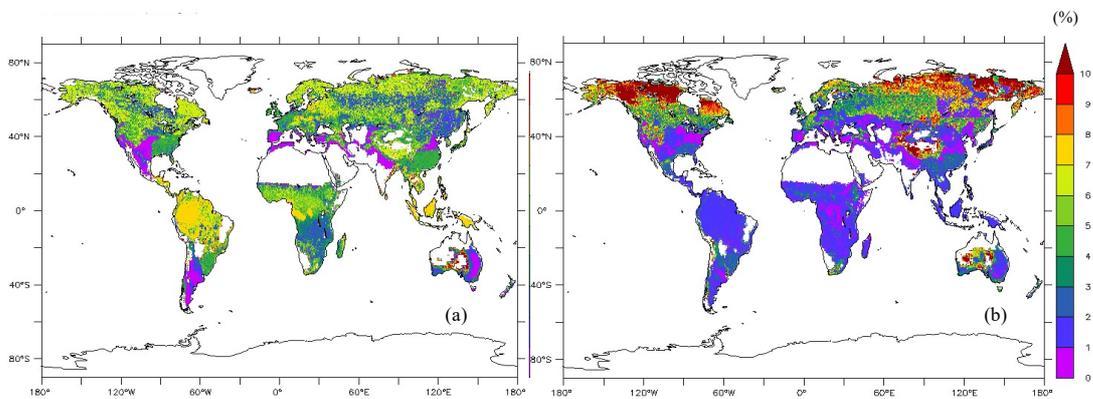
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570

571 **Figure 4.** Plot of modeled NSC ( $\text{mg g}^{-1}$ ) with observed NSC ( $\text{mg g}^{-1}$ ) at a global scale. ●, leaves;  
 572 ▲, trunks; ■, roots; ◆, trunks in the original SEIB-DGVM. Red line represents the regression  
 573 line of the plot that compares the modeled NSC from the new model with the observed NSC,  
 574 except for the NSCs of tree leaves in boreal forests and of tree roots in tropical forests. Blue line  
 575 represents the regression line of all plot from the new model with the observed NSC. Black solid  
 576 line represents the regression line of modeled NSC from the original SEIB-DGVM with the  
 577 observed NSC.

578



579

580 **Figure 5.** The global map of percentage of total NSC concentration relative to total dry woody  
581 biomass averaged during 1976–2005 (%) (a) from the new model (b) from the original SEIB-  
582 DGVM.  
583  
584

585 **4 Discussion**

586

587 At the point scale, the modeled NSCs for boreal forests in Canada were close to the observed  
588 NSCs. The seasonality of the modeled NSCs in leaves was consistent with observations.  
589 However, the seasonality of NSC in roots differed from the observations because there were  
590 insufficient observations in boreal regions that enabled assessment of the seasonality of NSCs in  
591 all organs. The seasonality of NSCs in roots is therefore still unclear. In temperate zones, the  
592 model simulated the observed NSCs very accurately. The simulated NSCs of temperate forests  
593 were close to observed values in Austria and Switzerland. The simulations showed that the  
594 NSCs in leaves were consumed in winter for bud flush, and the leaves accumulated NSCs  
595 during the growing season. This pattern corresponded to the seasonality reported in Asaadi et al.  
596 (2018) and Furze et al. (2019). In the tropical zones, the model also captured a seasonality of  
597 NSCs that was similar to observations. The NSC concentration in the canopy of tropical forests  
598 decreased from June to August to satisfy increased maintenance demands (Signori-Müller et al.,  
599 2022; Würth et al., 2005). The simulated NSCs in leaves followed a similar pattern from June to  
600 August, and the simulated NSCs in leaves, trunks, and roots were close to observed values.

601 At the global scale, the new model simulated NSC values in each organ of all climate  
602 regions that agreed with the observed data, except for the leaves of boreal forests and roots of  
603 tropical forests. As for the total NSCs of biome types, the modeled total NSCs of all temperate  
604 and tropical biomes matched the observed ranges well. In contrast, the original SEIB-DGVM  
605 only calculated NSC in trunks, and the modeled NSC in trunks of temperate and tropical forests  
606 were underestimated compared to observations. Total NSCs of tropical biomes were lower than  
607 observations, while those of temperate biomes were close to observations, but the original  
608 SEIB-DGVM did not assign the total NSC into leaves and roots. Therefore, these findings  
609 reveal that the new model can simulate NSC more accurately than the original SEIB-DGVM. In  
610 the original SEIB-DGVM, the NSC in trunks depended on the existing leaf biomass, which  
611 could not be applied to all biome types and climate zones, especially tropical forests. In the new  
612 model, the  $NSC_{organ}$  is determined by the biomass of the organ and photosynthesis in some  
613 climate zones. The new function, which was validated at the point scale, could therefore  
614 perform well on a global scale. The NSCs in trunks, which contain the greatest amounts of  
615 carbon in trees, were simulated accurately in all climate regions. The new function could  
616 therefore calculate the total NSCs in trees with great accuracy.

617 The model with the new function calculated the global GPP to be  $123 \text{ PgC year}^{-1}$ , which is  
618 close to the previous estimates of  $106.2 \pm 2.9 \text{ PgC year}^{-1}$  by Zheng et al. (2020) and  $130 \pm 1.6$   
619  $\text{PgC year}^{-1}$  by Madani et al. (2020). Moreover, the simulated mean total woody biomass for boreal  
620 forests was  $282 \text{ PgC year}^{-1}$ , which is within the range of  $249\text{--}295 \text{ PgC year}^{-1}$  reported by Pan et

621 al. (2011). The simulated woody biomass of 100 PgC year<sup>-1</sup> for temperate forests was within the  
622 observed range of 59–139 PgC year<sup>-1</sup> (Hui et al., 2020) and a little lower than the range of 113–  
623 125 PgC year<sup>-1</sup> for other temperate forests (Pan et al., 2011). The calculated total woody biomass  
624 of 337 PgC year<sup>-1</sup> for tropical forests was within the range of 212–340 PgC year<sup>-1</sup> reported by  
625 Hui et al. (2020) and was not very different from the estimates of 378–564 PgC year<sup>-1</sup> by Pan et  
626 al. (2011), and 200–300 PgC year<sup>-1</sup> by Mitchard (2018). Furthermore, the total NSCs relative to  
627 total biomass output from the new function for temperate and tropical biome types agreed with  
628 previous research. The total NSC of boreal biome types could not be compared with observations  
629 due to lack of data.

630 The new model allows for simulation of various biotic effects on terrestrial ecosystems by  
631 calculating the NSC dynamics within each plant organ. The NSCs stored in the trunk and roots  
632 help to compensate for the deficit of CO<sub>2</sub> uptake in trees under stress, and the NSC stored in roots  
633 is potentially indispensable for tree recovery after disturbances (Herrera-Ramírez et al., 2020).  
634 Therefore, the NSC changes in the trunk and roots provide better indicators of carbon source–  
635 sink relationships under elevated CO<sub>2</sub> conditions and are more closely related to the carbon  
636 balance of plant bodies (Körner, 2003). While the original SEIB-DGVM was unable to simulate  
637 biotic effects due to the lack of consideration for NSCs in all organs, simulation of the dynamics  
638 of NSC in the three compartments in this research contributes to a better understanding plant  
639 growth and the response of carbon dynamics in each organ to increasing atmospheric CO<sub>2</sub>.

640 Carbon starvation may also be one of the causes of plant death during drought when  
641 photosynthesis decreases and water stress increases (McDowell et al., 2008). If reduced  
642 photosynthetic rates cannot supply enough carbon for NSC accumulation during drought, there  
643 will be greater canopy dieback in the next season (McDowell, 2011; Chen et al., 2017). The new  
644 model can simulate the dieback of long-lived temperate and tropical forests during drought,  
645 because it can represent the total NSC in plant bodies at a global scale. Additionally, insect pests  
646 have a significant impact on forest ecosystems, especially in temperate biomes, and their  
647 outbreaks have increased with climate change (Canelles et al., 2021). To recover from defoliation  
648 caused by insect pests and avoid decreased growth rates and lower survival rates, plants allocate  
649 carbon for NSC defense mechanisms. The new model accurately simulates the amount of total  
650 NSCs in temperate biomes, and therefore, it can be used to estimate the impact of insect pests on  
651 a global and future scale.

652 The new model introduced NSC compartments in leaves, trunk, and roots that were validated  
653 at the point and global scales. Use of the model developed here enabled simulation of the  
654 environmental effects on forests resulting from the changing amount of NSC in each organ. The  
655 simulations depicted the amount of NSC in the trunk at a global scale especially well, which  
656 constitutes a significant portion of the total NSC. The model could thus be used as an indicator of

657 the carbon cycle in terrestrial ecosystems to understand the effect of climate change. Simulation  
658 of photosynthetic carbon allocated into NSC storage in leaves, trunks, and roots enables a more  
659 dynamic simulation of the carbon cycle between terrestrial ecosystems and the atmosphere.

660 However, there were still some limitations to this research. We considered two potential  
661 limitations that could lead to some discrepancies between the modeled and measured NSC values.  
662 First, the relatively coarse spatial resolution of  $0.5^{\circ} \times 0.5^{\circ}$  gridded climate data at the global scale  
663 could not depict the details of local climates derived from observations. These differences were  
664 especially important in the case of temperature and short radiation, which play a key role in NSC  
665 dynamics. Temperature surrounding plants is a key factor for the rate of plant growth (Hatfield  
666 and Prueger, 2015). And different plant species has a specific temperature range. The short  
667 radiation is used for a calculation of photosynthesis rate. These differences of two parameters  
668 between  $0.5^{\circ} \times 0.5^{\circ}$  gridded climate data and local climate data affect the ability of the trees to  
669 accumulate NSCs.

670 Second, the scarcity of ground-measured NSC seasonality prevented us from having more  
671 average information on NSC concentrations, especially in the tropical and boreal regions, where  
672 there were fewer available data. The NSC seasonality differs between biome types, but because  
673 it is difficult to measure NSC dynamics, there is a lack of long-term data for each biome type.  
674 Hence, we adjusted the new NSC process and its related parameters based on climate zones rather  
675 than biome types in our study. The fact that the NSC allocation was further influenced by  
676 environmental conditions caused the allocation patterns to change within the same biome type.  
677 The NSC allocation to roots was favored over aboveground allocations when soil resources were  
678 lacking, and tree size was considered an important determinant of carbon allocation as well as  
679 aridity (Hartmann et al., 2020). As we used data from different measurement sites for global-scale  
680 validation, we could not account for the potential influence of varying surrounding conditions on  
681 the data collected. In addition to the above factors, the number of samples and duration of  
682 observations differed between the various studies. These differences led to no explicit NSC  
683 seasonality. These potential sources of error in the field measurements jeopardized the model  
684 performances.

685

## 686 **5 Conclusions**

687

688 In this study, a new NSC model was incorporated into the SEIB-DGVM to understand the effect  
689 of NSC allocation on global forest dynamics through competition and establishment among  
690 individual trees. The new module calculated the NSC dynamics of three organs—leaves, trunk,  
691 and roots—and the general NSC seasonality based on ground measurements was determined for  
692 biome types in three climate zones: boreal, temperate, and tropical. The NSC seasonality was

693 validated at four sites: Canada (boreal), Austria and Switzerland (temperate), and Panama  
694 (tropical). The mean values of simulated NSC concentration agreed reasonably well with  
695 observed data on a global scale.

696 The model enabled us to simulate the biotic effects resulting from insufficient NSC caused  
697 by factors such as carbon starvation and insect pests that are otherwise difficult to measure in  
698 terrestrial ecosystems globally. The difference of the NSC dynamics in the organs under  
699 elevated CO<sub>2</sub> conditions highlighted the importance of modeling the organs separately when  
700 studying environmental stresses. As more observation data about NSC dynamics become  
701 available, the model can be further improved and can contribute to the simulations of the  
702 passive biome shifts that may occur globally.

703

#### 704 *Code and data availability*

705 The model code used in this study is archived at <https://doi.org/10.5281/zenodo.7021459>.

706

707 *Author contributions.* T.K. conceived and supervised this study and acquired the funding. H.N.  
708 developed the model code and carried out the analysis and produced the figures. H.N. prepared  
709 the original draft, and T.K., and L.V. reviewed it. L.W. prepared the modeling environment. All  
710 authors have read and agreed to the published version of the manuscript.

711

712 *Competing interests.* The authors declare that they have no conflicts of interest.

713

714 *Acknowledgments.* This study was funded by the Nippon Life Insurance Company. This work  
715 was supported by JSPS KAKENHI Grant Number JP 22J20286.

716 We thank all the contributors. Dr. Epron and Dr. Dannoura in Kyoto University provided  
717 assistance. Dr. Hajima and Dr. Mori converted MIROC and CRU/NCEP climate data for  
718 CRU/NCEP/MIROC integrated data. We acknowledge the data provided by the European Climate  
719 Assessment & Dataset project.

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