



1 **Elucidating the role of soil hydraulic properties on the aspect-dependent landslide**
 2 **initiation**

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8 **Abstract:** Aspect-dependent landslide initiation is an interesting finding and previous studies merely address the
 9 role of plant roots on this observed connection between landslide probability and slope aspect. In this work, the
 10 aspect-dependent landslide initiation in catchment with same plant species and high vegetation coverage was
 11 examined by pore water pressure and hillslope hydrology behavior. Remote sensing interpretation using the high-
 12 resolution GeoEye-1 image and digitalized topography found that the landslides on south-facing slope have higher
 13 probability, larger basal area and shallower depth than those on north-facing slope. The lower limit of upslope
 14 contributing area and slope gradient condition for south-facing landslides is no less than north-facing landslides. The
 15 higher basal area of south-facing landslides over north-facing landslides may attribute to the high peak values and
 16 slow dissipation of pore water pressure. The absorbed and drained water flow in given time interval, together with
 17 the calculated water storage and leakage during the measured rainy season, sufficiently prove that the soil mass
 18 above the failure zone for the south-facing slopes are more prone to form pore-water pressure and result in slope
 19 failures. In comparison, the two stability fluctuation results from finite and infinite models imply that landslides on
 20 south-facing slopes may fail on condition of prolonged antecedent precipitation and intensive rainfall, while those
 21 on north-facing slopes may fail merely in response to intensive rainfall. The results of this work provide an insightful
 22 view on the aspect-dependent landslide initiation from both classical mechanics and the state of stress.

23 **Keywords:** Landslide; Pore pressure; suction stress; Hydraulic conductivity; Slope stability

24 **1 Introduction**

25 Shallow landslides are translational slope failures a few meters thick of soil mantle or regolith, and are
 26 destructive when they initiate or coalesce to form debris flows (Iverson et al., 1997; Wieczorek and Glade, 2005;
 27 Sidle and Ochiai, 2006). They may occur in wholly or partly in the unsaturated zone and enlarge their scale by the
 28 liquefaction mechanism (Godt et al., 2009). Understanding their occurrence can be broadly divided into two
 29 conceptual models, including the classical mechanics states that the failure surface is saturated and has compressive
 30 pore-water pressures acting on it (Reid et al., 1997; Lu and Godt, 2013), and the state of stress describes that the
 31 strength of soil and regolith is modified by infiltration and changes in soil matric suction (Lu and Likos, 2006).

32 The aspect-dependent landslides in Frontal Colorado, USA and the Loess Plateau, China have attracted
 33 interesting focus that vegetation generates a considerable influence on the landslide distribution. In fact, the
 34 overwhelming propensity for shallow landslide initiation on south-facing hillslope in the two regions closely relates
 35 to the present-day tree density, regardless of hillslope aspect (Ebel, 2013; Rengers et al., 2016; Deng et al., 2022). In
 36 the Colorado Frontal Range, field observations proved that south-facing slopes lack thick tree cover and have an
 37 abundance of rock outcrops compared to north-facing slopes, and the soil layer would be thinner on south-facing
 38 slopes (Smith et al., 2011; Coe et al., 2014; Ebel et al., 2015; Timilsina et al., 2021). The apparent cohesion supplied
 39 by roots was responsible for the observed connection between landslide distribution and slope aspect (McGuire et
 40 al., 2016). In the Loess Plateau China, vegetation recovery is the major ecological measure to mitigate the sediment
 41 loss (Zhou et al., 2006; Fu et al., 2009). Promoted soil strength and hydraulic conductivity due to strong root network
 42 may enhance the topographic initiation condition (Montgomery and Dietrich, 1994; Schmidt et al., 2001; Wang et



43 al., 2020; Dai et al., 2022). Besides, the shallow landslide depth approximately increases with the plant roots depth
44 and the vegetation coverage (Guo et al., 2020; Li et al., 2021). Another possibility is that the north- and west-ward
45 moving storm produced more intense rainfall on south- and east facing slope. Such assumption may be invalid if
46 aspect-dependent landslide distribution exists in a localized catchment with given vegetation communities. In fact,
47 the above-mentioned study highlights the effect of mechanical function of plants on landslide. If the aspect-
48 dependent landslide exists in a localized area with high vegetation and same plant species, the mechanical effect of
49 plant roots would not be responsible for the observed connection.

50 Except the observed connection between vegetation and aspect-dependent landslide, the differences in landslide
51 scale have been neglected. Indeed, aspect-dependent landslide distribution may result from soil strength property
52 associated behavior, such as excessive pore water pressure dissipation and sliding surface liquefaction owing to
53 undrained loading (Terzaghi, 1950; Sassa, 1984; Youd and Gilstrap, 1999), and the hillslope hydrology behavior
54 including soil water storage capacity, drainage ability and the changes in matrix suction (Godt et al., 2009; Geroy et
55 al., 2011; Yang et al., 2017; Thomas et al., 2018; Lee and Kim, 2019; Marino et al., 2021). When the slope fails, the
56 pore water pressure abruptly increases within the shear zone (Brenner et al., 1985; Iverson and LaHusen, 1989; Wang
57 and Sassa, 2003; Wang et al., 2003). If the excessive pore water pressure persists high over the static pressure for a
58 long duration, the displaced masses will enlarge their volume by widespread liquefaction (Lan et al., 2003; Bogaard
59 and Greco, 2016). In other words, the magnitude of the pore water pressure closely relates to the scale of the shallow
60 landslide. Furthermore, some statistical results reveal that incoherent materials favor shallow landslides with no
61 limitation in size; cohesive materials favor deep landslides and show a limitation for small sizes (Larsen et al., 2010;
62 Frattini and Crosta, 2013; Milledge et al., 2014). Therefore, the scale of the shallow landslides could be elucidated
63 by the role of excessive pore water pressure during the failure process. However, the aspect-dependent landslide
64 distribution in the two above-mentioned areas merely refers to the differences in landslide probability, not the
65 landslide scale.

66 Examining the aspect-dependent landslide distribution does not merely focus on the effect of moisture-related
67 hydrology behavior on slope stability, but on the soil strength-associated behavior on the landslide scale. This work
68 firstly documented the aspect-dependent landslide initiation and landslide scale in a localized area, Loess Plateau
69 China. Then, the physical properties of soil mass and pore water pressure dissipation were compared with respect to
70 the landslide scale. Finally, field volumetric water content (VWC) at varied soil layers were monitored to examine
71 the water storage capacity and drainage ability, and the changes in suction stress and slope stability. The results of
72 this work may provide insightful understanding of the aspect-dependent landslide distribution in some mountain
73 areas of the Northern hemisphere.

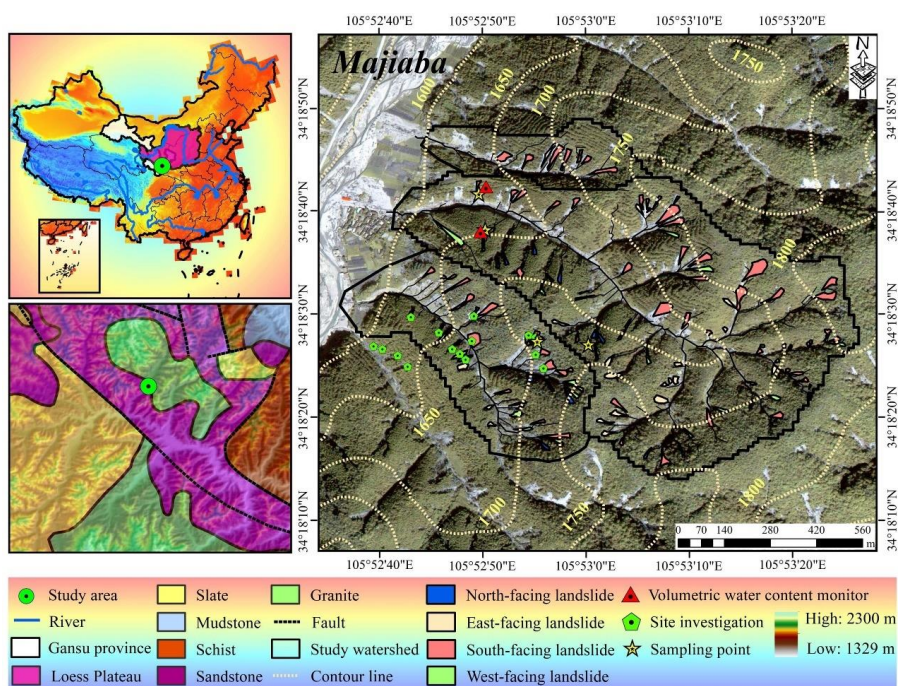
74 **2 Study area**

75 The study area is in the mountain region near Niangjiangba town, Tianshui City, Gansu Province, Central China.
76 It is also close to the dividing crest of the Yellow River and Yangtze River, and in the eastern part of the Loess Plateau.
77 Though it is a small part of the Loess Plateau, the soil layer in the study area is no more than 3 m. Majority of the
78 hillslope are underlain by slate; the stratigraphic units of granite, sandstone, and mudstone account for a relatively
79 smaller area. This area in semi-humid climate region and has four distinctive seasons. The annual precipitation is
80 approximately 491.6 mm and mostly falls during June and August. One branch fault of the Tianshui-Lanzhou fault
81 system runs through the area and has no rupture records for the last few decades.

82 The shallow landslides in the whole area were triggered by the prolonged antecedent precipitation during 1 to
83 24 July and the intensive rainstorm on 25 July 2013 (Yu et al., 2014; Guo et al., 2015). Previous studies found that
84 majority of shallow landslides have gradient of 20–25°, locate on south-facing slopes and in areas with sparse
85 vegetation (Li et al., 2021). Besides, the strong root network may promote the hydraulic conductivity of soil-root



86 composite and the landslide initiation condition of upslope contributing area-slope gradient (Dai et al., 2022). The
 87 selected study area in this work is in the central part and underlain by granite unit. The total area is 0.88 km² and
 88 contains three small catchments, with vegetation coverage rate of over 90% (Fig. 1). The relative relief is about 200
 89 m and the mean hillslope gradient is 37°. On the south- and north-facing slope in the three catchments, the main
 90 plant species is *Larix Kaemphferi*, which commonly have highly-developed lateral roots with depth < 0.4 m.



91
 92 **Fig. 1.** Location, topography, and simplified lithology of the study area. (All maps are created by the authors. The
 93 graph of Majiaba was taken by an Unmanned Aerial Vehicle. The territorial domain of China and simplified
 94 lithology map are from China Geological survey)

95 3 Materials and methods

96 3.1 Landslide information interpretation

97 The high-resolution (0.5 m × 0.5 m, on October 8, 2013) GeoEye-1 image was orthorectified and the landslide
 98 boundary was visually interpreted by ENVI 5.1 and e-Cognition 8. An unmanned aerial vehicle (UAV for
 99 abbreviation) was used to obtain the digital elevation model (DEM) with 5 m resolution. The GeoEye-1 orthographic
 100 image and DEM were spatially registered in the ArcGIS 10.2 by standard layer of orthoimage. The landslide initiation
 101 condition is represented by the competition between slope gradient and the upslope contribution area ($A-S$):

$$102 \quad S = kA^{-b} \quad (1)$$

103 where S is the local slope (m/m); A is the contribution area above landslide head-scar (m²); k is an empirical constant,
 104 which is related to lithology, vegetation, and climate; b is an empirically defined index.

105 Field investigation mainly to measure the depth of head-scar and sidewall area by tape, and the failure depth is
 106 taken as the average of them. Then, the landslide volume can be calculated by the interpreted scar area and the
 107 measured depth. Finally, detailed landslide information, including the landslide number and area probability,
 108 landslide volume and width, head-scar and sidewall depth, as well as the upslope contributing area-slope gradient



109 condition for the south- and north-facing slope were compared.

110 3.2 Field monitoring and soil sampling

111 To investigate the hillslope hydrology on the south- and north-facing slope, the Frequency Domain
112 Reflectometry (FDR) soil moisture sensors at three soil layers of 30cm, 70cm, and 110cm each slope was
113 implemented to monitor the volumetric water content during the rainy season 2021. The meteorological station is no
114 more than 3 km away from the study area to record the rainfall on 30 min basis. During the sensors implement, the
115 undisturbed soil samplings near the sensor location were taken for indoor tests, including dry unit weight, porosity,
116 grain size, and hydraulic conductivity. The grain size was analyzed by Malvern MS 3000 (Malvern, England). In
117 each layer, at least 4 samples are collected for consolidated undrained triaxial compression test (CU), and 2 samples
118 for unsaturated hydraulic conductivity measurement by transient release and imbibition method test (Lu and Godt,
119 2013). Saturated hydraulic conductivity was determined using constant water head method (Table 1)

120 3.3 Pore water pressure dissipation

121 We performed CU tests to obtain the effective cohesion, effective internal friction angle, and the pore pressure
122 water dissipation curves. The soil sampling, with diameter 50 mm and height of 100 mm, were firstly saturated in a
123 vacuum pump, then consolidated in the chamber of GDS apparatus by 50, 100, 150, and 200-kPa confining pressure
124 and 10-kPa backpressure, and the shearing rate was 0.1 mm/min. During each CU test, the pore water pressure
125 gradually increases to peak, then dissipate afterwards. Owing to the varied particle component and soil texture, the
126 increasing and dissipation ratio varies. Furthermore, such ratio closely relates to the widespread generation of
127 excessive pore water pressure, which will enlarge the landslide scale. High excessive pore water pressure, rapid
128 increase ratio and slow dissipation ratio could cause widespread coulomb failure within the sliding zone. To show
129 the pore water pressure increase or dissipate, the ratio is:

$$130 \quad i = \frac{p_{t+\Delta t} - p_t}{\Delta t} \quad (2)$$

131 where i is the increase or dissipation ratio of excessive pore water pressure, p_t and $p_{t+\Delta t}$ are the measured pore water
132 pressure during the time interval of Δt .

133 3.3 Water storage and drainage

134 The unsaturated permeability of soil mass (diameter 61.8mm, height 25.4mm) was measured by Transient
135 Release and Imbibition method (TRIM) (Lu and Godt, 2013). In this test, the water outflow mass was measured on
136 10 minutes basis. In each test, the air pressures of 250 kPa and 0 kpa correspond to drying and wetting process
137 respectively. Thus, the Soil Water Characteristic Curve (SWCC) and the Hydraulic Conductivity Function (HCF)
138 would be obtained by the Hydrus 1-D (Wayllace and Lu, 2012). Using the models proposed by Mualem (1976) and
139 van Genuchten (1980), the constitutive relations between suction head (h), water content (θ), and hydraulic
140 conductivity (K) under drying and wetting state could be represented by following equation:

$$141 \quad \frac{\theta - \theta_r}{\theta_s - \theta_r} = \left[\frac{1}{1 + (\alpha|h|)^n} \right]^{1 - \frac{1}{n}} \quad (3)$$

142 and

$$143 \quad K = K_s \frac{\left\{ 1 - (\alpha|h|)^{n-1} [1 + (\alpha|h|)^n]^{\frac{1}{n}-1} \right\}^2}{[1 + (\alpha|h|)^n]^{\frac{1}{2} - \frac{1}{2n}}} \quad (4)$$

144 where θ_r is the residual moisture content, %; θ_s is the saturated moisture content, %; α and n are empirical
145 fitting parameters with α being the inverse of the air-entry pressure head and n the pore size distribution parameter;
146 K_s is the saturated hydraulic conductivity, cm/s.

147 The soil water storage (S_s) and drainage (S_d) during a rainfall event can be evaluated by the soil depth and the
148 difference between the maximum soil moisture and the antecedent soil moisture:



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$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} \quad (5)$$

150
$$S_s = S_e^w \Delta h \quad (6)$$

151
$$S_d = P - S_e^d \Delta h \quad (7)$$

152 where S_e is the saturation degree; θ is the measured volumetric moisture content, %; Δh is the average soil
 153 thickness, mm (400 mm in this work); S_e^w and S_e^d are the residual soil moisture in wetting and drying process, %;
 154 P is the accumulated rainfall, mm.

155 3.4 Stability fluctuation

156 In this work, we applied finite and infinite stability model to assess the slope stability fluctuation during the
 157 rainy season, as an attempt to examine the aspect-dependent landslide initiation by the perspective of classical
 158 mechanics and the state of stress (Schmidt et al., 2001). The finite slope model evaluates the stability F_s :

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$$F_s = \frac{S_{sr}}{\tau} = \frac{c_l A_l + c_b A_b + A_b (\rho_s - \rho_w S_e) g z \cos^2 \beta \tan \varphi'}{A_b \rho_s g z \sin \beta \cos \beta} \quad (8)$$

160 where β is the topographic slope angle, °; A_l is the lateral area, m²; A_b is the basal area, m²; z is sliding depth,
 161 m; c_l is the sum of the effective soil cohesion and the root additional cohesion along the perimeter, kPa; c_b is the
 162 basal soil cohesion, kPa; ρ_s is soil particle density, g/cm³; ρ_w is water density, g/cm³.

163 The infinite slope stability model in this work provides insight into the stress variation resulting from changes
 164 in soil suction and water content during infiltration (Lu and Likos, 2006):

165
$$F_s = \frac{\tan \varphi'}{\tan \beta} + \frac{2c'}{\gamma z \sin 2\beta} - \frac{\sigma^s}{\gamma z} (\tan \beta + \cot \beta) \tan \varphi' \quad (9)$$

166 where φ' is the effective friction angle, °; β is the topographic slope angle, °; c' is the effective cohesion, kPa; γ
 167 is the unit weight of the soil, KN/m³; σ^s is the suction stress (kPa) and expressed as:

168
$$\sigma^s = -\frac{S_e}{\alpha} \left(S_e^{n/(1-n)} - 1 \right)^{1/n} \quad (10)$$

169 4 Results

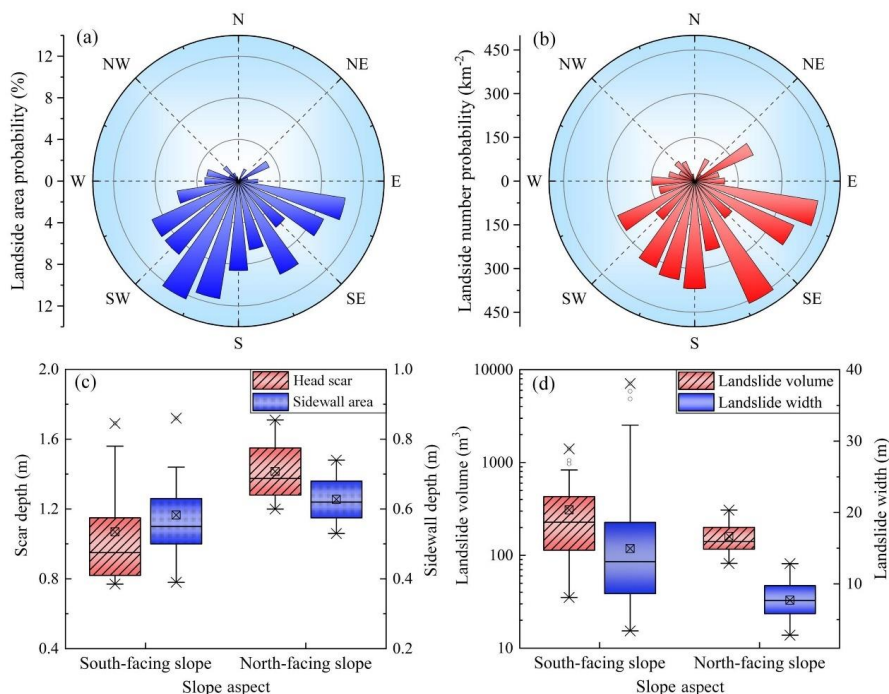
170 4.1 Shallow landslides on south- and north-facing slope

171 There were 71 shallow landslides on south-facing slope, while merely 20 landslides on north-facing slope.
 172 Figure 2a indicates that the shallow landslides on south-facing slope exhibit larger area than those on north-facing
 173 slope. Meanwhile, majority of shallow landslides are on the south-facing slope (Fig. 2b). Furthermore, the volume
 174 of landslides on south-facing slope are over those on north-facing slope. For landslides on the south-facing slope,
 175 the basal area is 372.64 m² and the width is 14.9 m on average. For landslides on north-facing slope, the averaged
 176 basal area is merely 157.28 m² and the width is 7.7 m (Fig. 2c). Though the landslides on south-facing slope have
 177 larger volume and wider width, the depth of head-scar and the sidewall area are no more than the landslides on north-
 178 facing slope. Field investigation reveals that the averaged depth for landslides on north-facing slope is 1.02 m, which
 179 is deeper than the depth of 0.83 m for landslides on south-facing slope (Fig. 2d). In all, landslides on south-facing
 180 slope exhibit overwhelming propensity in number and area, while the failure depth is no more than the landslides on
 181 north-facing slope.

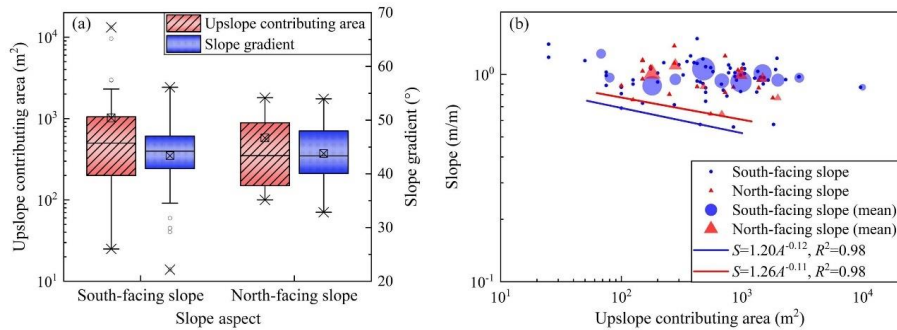
182 Shallow landslides can be modelled as occurring when sufficient through-flow converges from upslope
 183 contribution area to hollow area and trigger slope instability (Montgomery and Dietrich, 1994). Their topographic
 184 initiation condition is controlled by the spatial competition between slope and upslope contribution area dependent
 185 (Stock and Dietrich 2003 and 2006; Horton et al., 2008). For the shallow landslides in the study area, the averaged
 186 upslope contributing area and the slope gradient do not differentiate a lot (Fig. 3a), while the lower limit line
 187 representing the minimum initiation condition of landslides on south-facing slopes is lower than that on north-facing



188 slope (Fig. 3b). This indicates that higher upslope contributing area is required to provide sufficient through-flow
 189 condition and trigger slope failures on north-facing slope. As the landslides in the study area were triggered by the
 190 prolonged antecedent precipitation and intensive rainfall (Li et al., 2021), sufficient rainfall infiltration could result
 191 in high soil water content within the displaced mass, leading to decrease of the matrix suction and soil strength. The
 192 pore pressure generation in response to intense rainfall also plays an important role in shallow landslide. Therefore,
 193 we proposed two assumptions to elucidate the aspect-dependent landslide distribution and scale. The first assumption
 194 is that the basal area of landslide may relate to the soil strength and the high pore-water pressure. This assumption
 195 can be tested by the pore water properties, including the pore water generation potential and dissipation ratio during
 196 the failing process. The second assumption is that the south-facing slope may have relatively higher failure potential
 197 than the north-facing slope in given rainfall process, which can be elucidated by the stability comparison using the
 198 methods of equations (8) and (9).



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 200 **Fig. 2.** Spatial distribution and geometric characteristics of landslide: (a) LAP vs slope aspect, (b) LNP vs slope
 201 aspect, (c) landslide volume and width vs slope aspect, (d) scar depth and sidewall depth vs slope aspect (the
 202 edge line of "box" in the box chart shows the 75th quantile, median and 25th quantile from top to bottom. The
 203 length of the box is called the inter-quartile distance. The crossed square inside the box is the average value.
 204 The whiskers extend to the maximum and minimum values except outliers. The circle is outliers, and the cross
 205 symbol is the maximum and minimum values of all data).



206
 207 **Fig. 3.** Upslope contributing area and slope gradient condition: (a) upslope contribution area and mean slope vs slope
 208 aspect, (b) the upslope contributing area vs mean slope gradient above landslide area (The large icons are
 209 average value with the radius size proportional to the number of landslides. The small icons represent all
 210 individual data values).

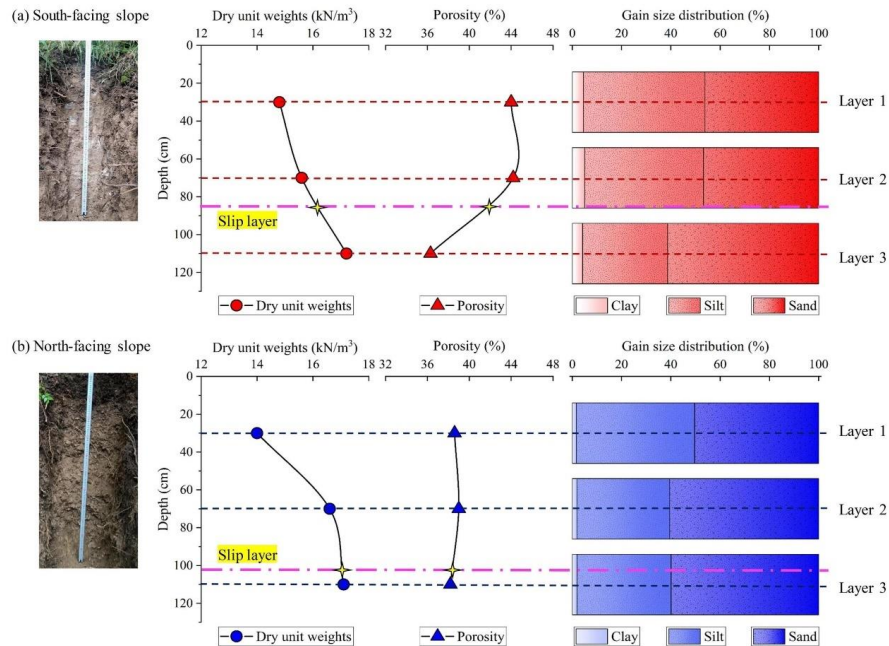
211 **4.2 Differences in soil physical properties**

212 To reveal the differences in the physical properties of soil mass on both slopes, the dry unit weights, porosity
 213 and grain size distribution of soil mass at three layers each slope were firstly compared (Fig. 4). Then, the effective
 214 cohesion and inner friction angle were examined with respect to the particle component (Table 1 and fig. 5).
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 216

Table 1 Physical properties and strength parameters of soil mass

Parameters	South-facing slope			North-facing slope		
	Layer 1	Layer 2	Layer 3	Layer 1	Layer 2	Layer 3
Unit weight of soil (KN/m³)	14.8	15.6	17.2	14	16.6	17.1
Porosity (%)	43.0	43.1	36.2	42.5	37.3	36.4
Effective cohesion (kPa)	6.5	17.5	21.2	5.3	9.1	7.9
Effective inner friction angle (°)	29.8	25	31	27.1	35.2	41
Saturated hydraulic conductivity (cm/s)	6.4×10^{-3}	6.2×10^{-4}	4.4×10^{-4}	8.8×10^{-3}	1.2×10^{-3}	4.3×10^{-3}

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218
 219 **Fig. 4.** Differences in the soil properties, including dry unit weights, porosity and grain size in sand, silt, and clay:
 220 (a) physical properties of soil mass on the south-facing slope, (b) physical properties of soil mass on the north-
 221 facing slope. (The two-soil profile photos were taken by Yanglin Guo during field investigations)
 222 For the soil mass on south-facing slope, the dry unit weights increase as soil depth, while the porosity and
 223 saturated hydraulic conductivity decrease (Fig. 4a and table 1). For the soil layers No. 1 and 2, the soil texture is
 224 similar as the proportions of sand, silt and clay do not differentiate a lot. However, the proportion of silt at the soil
 225 layer No. 3 is no more than the layers No. 1 and 2, and the sand proportion is higher. Besides, the averaged failure
 226 depth is above the soil layer No. 3 and is below the layer No. 2. For the soil mass on north-facing slope, the dry unit
 227 weights also increase as soil depth. Unlike the south-facing slope, the porosity of soil mass for the three soil layers
 228 is about 38% and does not differentiate among them. For the soil texture, the proportion of sand at layer No. 1 is no
 229 more than the layers No. 2 and 3 (Fig. 4b). Besides, the depth of failure plane is close to the soil layer No. 3.
 230 In comparison, one of the noticeable differences is the higher saturated hydraulic conductivity for soil mass
 231 above the failure plane on south-facing slope, which may result from the high porosity and sand proportion. This
 232 indicates that the rainfall infiltration on south-facing slope could penetrate faster than south-facing slope. Indeed, the
 233 soil mass of three layers on south-facing slope have relatively higher fine particle proportion than those on north-
 234 facing slope, if the gravel is considered (Fig. 5). As noted above, the saturated hydraulic conductivity for soil mass
 235 of layers No. 2 and 3 on south-facing slope is lower than that on north-facing slope. This is reasonable because the
 236 porosity and proportions of fines on north-facing slope is relatively higher.

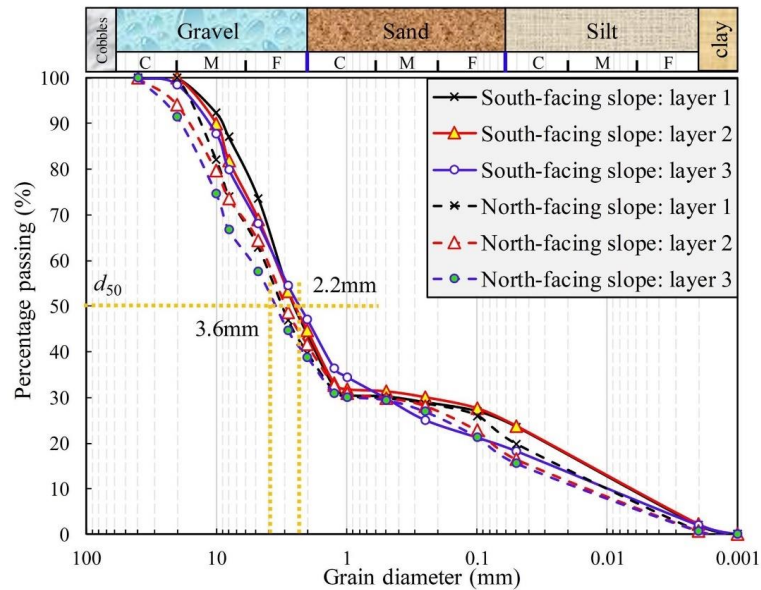


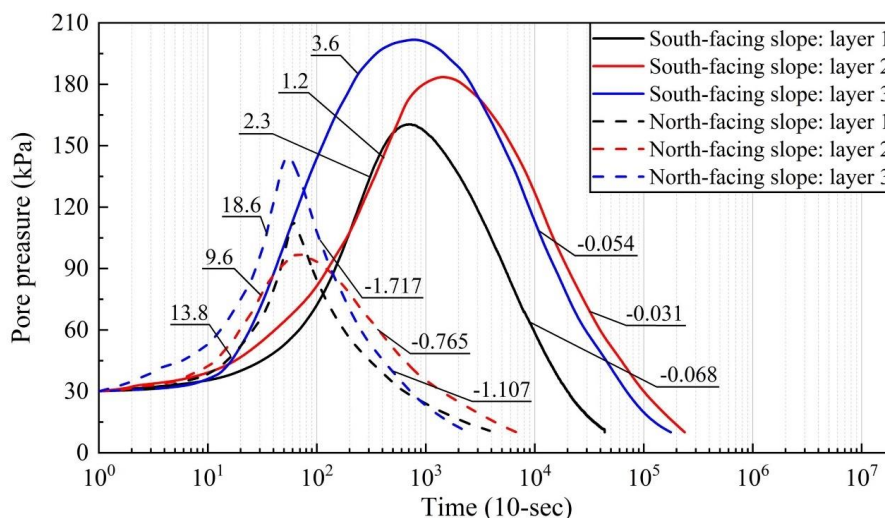
Fig. 5. Soil particle component curves

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According to the results of triaxial shear test (Table 1), the soil mass each layer on North-facing slope has smaller effective cohesion comparing to the south-facing slope. In particular, the effective cohesion on the failure plane for landslides on the south-facing slope may be two times of than that on north-facing slope. However, the effective inner friction angles for the soil mass of layers No. 2 and 3 on north-facing slope are far more than those on south-facing slope. Such differences in effective cohesion and inner frictional angle may attribute to the higher clay and silt and less coarse grains within the soil mass on south-facing slope.

4.3 Pore-water pressure property

The consolidation module of triaxial shear test is used to measure the generation and dissipation process of pore water pressure. The principle is to consolidate and drain the soil from the initial saturated state. It is found that under the same confining pressure, there are obvious differences in the consolidation rate, consolidation time and peak rise of pore water pressure of different properties of soil. The results of pore water pressure during the consolidation process under 200kpa effective confining pressure were taken here (Fig. 6). It was found that the peak value of pore water pressure within the soil mass on the south-facing slope was higher than that on the north-facing slope. The peak value of pore water pressure within the soil mass on the south-facing slope could rise to 150~200 kpa. However, the peak value of pore water pressure within the soil mass on the north-facing slope was below 150kPa. Importantly, both of the rising and decaying rate of pore water pressure for soil mass layers No. 1 and 2 on the south-facing slope were lower than that on the north-facing slope. In detail, the rising rate and decaying rate for the soil mass layer No. 2 on the south-facing slope were 1.2kpa/10s and -0.031kpa/10s, respectively. However, they were 9.6kpa/10s and -0.765kpa/10s for the soil mass on the north-facing slope.



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Fig. 6. Variation of pore water pressure under effective confining pressure of 200 kPa by GDS triaxial shear tests.

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4.4 Unsaturated hydraulic conductivity

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4.4.1 Measured water outflow mass

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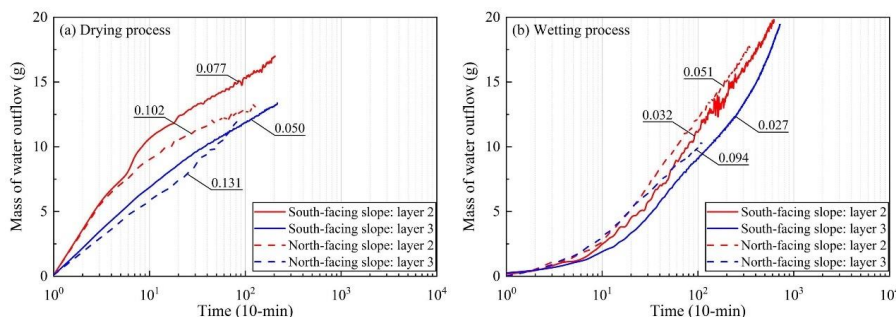
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Figure 7 shows the measured water outflow mass in given 10 minutes during drying and wetting process. The measured water outflow masses of layers No. 2 and 3 on the north-facing slope are generally higher than those on the south-facing slope. For drying tests using the soil mass of layers No. 2 and 3 on north-facing slope, the given water outflow masses are 0.102g/10-min and 0.131g/10-min respectively. However, the measured water outflow masses are 0.077g/10-min and 0.050g/10-min on south-facing slope, respectively (Fig. 7a). For tests using the same

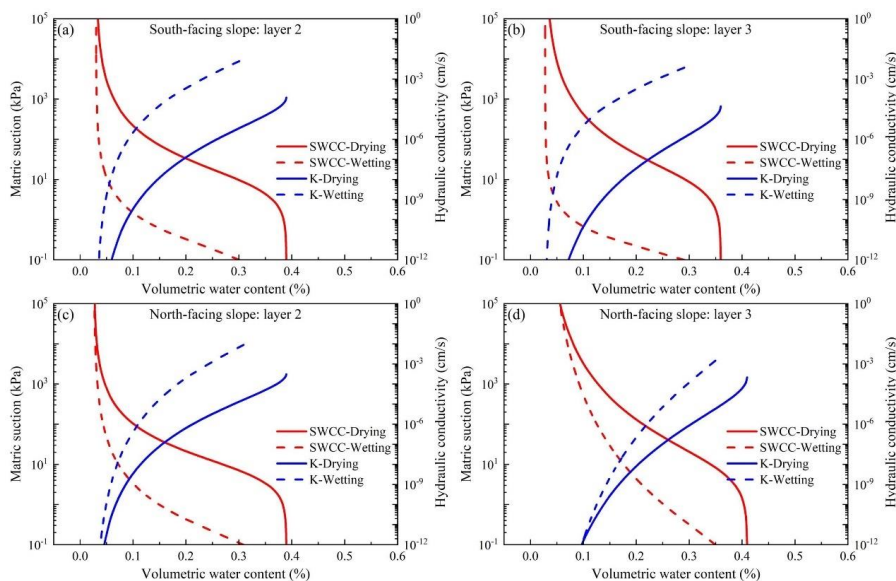


288 layers of soil mass in wetting process, the measured water outflow masses are 0.051g/10-min and 0.094g/10-min on
 289 north-facing slope, respectively, while those are 0.032g/10-min and 0.027g/10-min respectively on south-facing
 290 slope (Fig. 7b). As a whole, the permeability of soil mass on the north-facing slope is better than that on the south-
 291 facing slope. The same results were also obtained when the saturated hydraulic conductivities of soil layers were
 292 measured by the constant water head method (Table 1).



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Fig. 7. Mass of water outflow during drying and wetting process: (a) drying tests, (b) wetting tests.



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296 **Fig. 8.** Soil water characteristic curve obtained by TRIM test: (a) layer No. 2 on south-facing slope, (b) layer No. 3
 297 on south-facing slope, (c) layer No. 2 on north-facing slope, (d) layer No. 3 on north-facing slope.

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4.4.2 SWCC and HCF curves

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The air-entry pressures and residual water content are two important parameters describing the hydrological
 301 and mechanical characteristics of soil. The air-entry pressures represent the critical value when air enters the
 302 saturated soil and starts to drain. Table 2 shows the soil characteristic parameters obtained by Hydrus 1-D inversion.
 303 Using these parameters, the SWCC and HCF curves of soil mass at soil layer No. 2 and 3 on the north and south-
 304 facing slopes can be drawn (Fig. 8). For the soil layer No. 2, the difference between the air-entry values of the north
 305 and south-facing slopes can reach 14.03kPa (Figs. 8a and 8c). Besides, the residual water contents and air-entry



306 pressures of the south-facing slope are higher than those of the north-facing slope. For the soil layer No. 3, the soil
 307 mass on the north-facing slope has the smallest air-entry pressure, which is 0.51 times of the air-entry pressure of
 308 the south-facing slope (Figs. 8b and 8d). The saturated hydraulic conductivities of soil layers No. 2 and 3 on the
 309 south-facing slope are lower than those on the north-facing slope in both drying and wetting process. In particular,
 310 the saturated hydraulic conductivity of soil mass on the north-facing slope in the wetting test is one order of that on
 311 the south-facing slope. These results imply that the soil mass on south-facing slope is more difficult to absorb water
 312 and drain water than the soil mass on the north-facing slope.

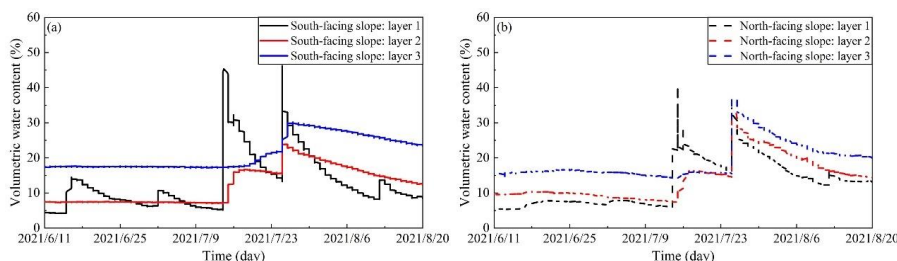
313 Table 2 Parameters describing Soil and Water Characteristic Curve (SWCC) and the Hydraulic Conductivity
 314 Function (HCF) by Hydrus 1-D

Parameters	South-facing slope		North-facing slope	
	Layer 2	Layer 3	Layer 2	Layer3
θ_r	0.0302	0.0278	0.0262	0.0268
θ_s^d	0.39	0.36	0.39	0.41
θ_s^w	0.36	0.38	0.39	0.42
α^d (kPa ⁻¹)	0.0128	0.0117	0.0156	0.0141
α^w (kPa ⁻¹)	0.78	0.94	1.21	1.86
n^d	1.49	1.39	1.57	1.27
n^w	1.63	1.85	1.43	1.18
K_s^d (cm/s)	1.52×10^{-4}	0.64×10^{-4}	3.76×10^{-4}	4.56×10^{-4}
K_s^w (cm/s)	9.58×10^{-2}	4.93×10^{-2}	4.10×10^{-1}	4.68×10^{-1}
L	0.5	0.5	0.5	0.5

315

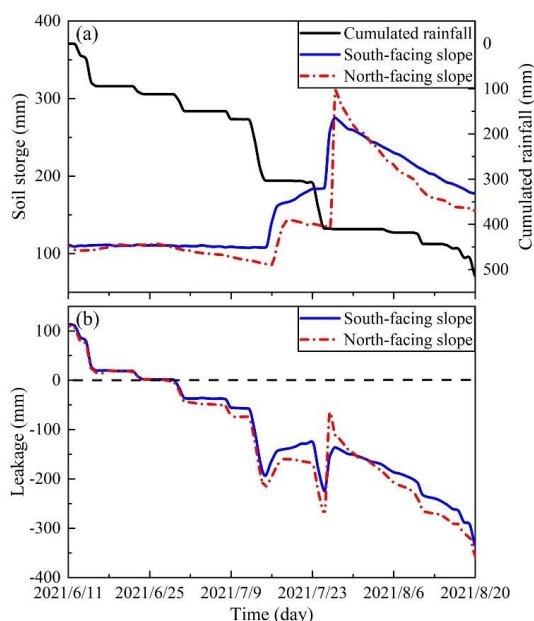
316 4.5 Water storage and drainage

317 To exhibit the water storage during the rainfall process and the water drainage after the rainfall, the timely-
 318 recorded soil moisture at varied soil layer and the rainfall process during June 11 and August 20 were used (Figs. 9a
 319 and 9b). In comparison, the stable soil moisture of layers No. 2 and 3 for both slopes may attribute to long dry
 320 seasons in the study area, and the daily rainfall amount > 30 mm on July 9 and 23 resulted in soil moisture increase
 321 for all slope layers (Fig. 10a).



322

323 **Fig. 9.** Field monitored volumetric water content: (a) soil moisture on south-facing slope, (b) soil moisture on north-
 324 facing slope.



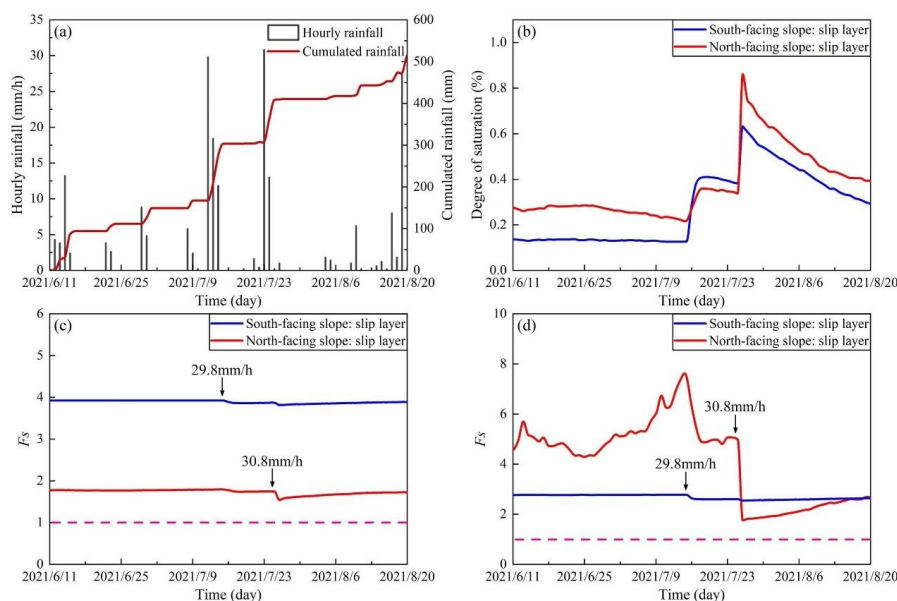
325
326 **Fig. 10.** Seepage model of slope water storage and drainage: (a) soil water storage, (b) soil water drainage
327

328 It can be seen from Fig. 10a that the storied water of the north- and south-facing slopes does not synchronously
329 increase with the accumulated precipitation. When the storied water increases rapidly, the increase of the soil water
330 storage of the north-facing slope is larger than that of the south-facing slope. On July 26, a rainfall of 30.8mm/h
331 occurred, and the water storage of the slope reached the peak. It can be seen that the peak of the water storage of the
332 north-facing slope is higher than that of the south-facing slope. However, when the accumulated rainfall tends to be
333 stable, that is, the rainfall stops for a period of time, the decline rate of soil water storage on the north-facing slope
334 is much higher than that on the south-facing slope. In general, the soil water storage of the south-facing slope is
335 always higher than that of the north-facing slope during the rainfall process. In the process of drainage, the seepage
336 rate of the north-facing slope is greater than that of the south-facing slope (Fig. 10b). Therefore, the south-facing
337 slope has better water storage performance and the north-facing slope has higher drainage performance.

338 4.6 Stability fluctuation

339 Figure 11a shows the rainfall records from June 11 to August 20, 2021. In general, the saturation degree of the
340 sliding layer of south-facing slope was higher than that on the north-facing slope (Fig. 11b).

341 In the finite model, the stability of south-facing slope was always higher than that of north-facing slope (Fig.
342 11c). In the infinite model, the stability of the north-facing slope was generally higher than that of south-facing slope.
343 However, the stability of the north-facing slope fluctuated greatly (Fig. 11d). On July 26, a rainfall event with
344 maximum intensity of 30.8 mm/h resulted in sudden decrease of stability. More importantly, the estimated stability
345 index decreases to be lower than that of the south-facing slope, while increased afterwards. Although the soil
346 moisture of south-facing slope significantly increased in the rainfall event on July 16, the stability fluctuation was
347 so small, which might be related to the relatively strong effective cohesion. It seems that the infinite slope model
348 could better explain the difference of landslide distribution between north and south-facing slope in the study area.



349
 350 **Fig. 11.** Change of slope stability fluctuation: (a) rainfall records, (b) degree of saturation, (c) stability of finite slope
 351 model, (d) stability of infinite slope model.

352 **5 Discussion**

353 The overwhelming propensity of shallow landslides in some arid or semi-arid mountain region are scientifically
 354 interesting and some scholars highlighted the contribution of plant roots. In the Colorado Frontal range, McGuire et
 355 al. (2016) found that the apparent cohesion supplied by roots was responsible for the observed connection between
 356 landslide distribution and slope aspect (Ebel, 2013; Rengers et al., 2016). Previously, Li et al (2021) found that the
 357 plant roots may explain the observed connection between vegetation cover and landslide probability for the whole
 358 study area. Then, Dai et al (2022) found that strong root network and high saturated hydraulic conductivity may
 359 promote the *A-S* condition of shallow landslide in a localized site near the study area. In the Loess Plateau China,
 360 some scholars observed that overwhelming propensity for shallow landslide initiation closely relates to the present-
 361 day tree density and the failure depth would increase as the plant roots (Guo et al., 2020; Deng et al., 2022). However,
 362 the overwhelming propensity of shallow landslides on north- and south-facing slope couldn't attribute to the plant
 363 roots as the man-made vegetation on both slopes are same.

364 This work contributes to know about the aspect-dependent landslide initiation by the perspective of soil
 365 hydraulic properties, other from the mechanical and hydrological effects of plant roots. Except the overwhelming
 366 propensity, the shallow landslides on south-facing slope exhibit relatively larger area and wider width than those on
 367 north-facing slope (Fig. 2). In comparison, the effective cohesion of failure zone on south-facing slope is stronger
 368 than that on north-facing slope. It seems that the basal area of shallow landslide in the study area may attribute to
 369 the effective cohesion as some statistical results reveal that incoherent materials favor shallow landslides with no
 370 limitation in size; while cohesive materials favor deep landslides and show a limitation for small sizes (Larsen et al.,
 371 2010; Frattini and Crosta, 2013; Milledge et al., 2014). However, stronger effective cohesion tends to promote the
 372 *A-S* condition of shallow landslides. In other words, a relatively larger up-slope contributing area or steeper gradient
 373 is required to trigger slope failures. In fact, fig. 3 illustrate that some shallow landslides on south-facing slope fail
 374 on relatively lower upslope contributing area. Therefore, the soil hydraulic properties-related factors, such as the



375 rising or dissipation of pore water pressure, water storage and drainage may contribute to the observed phenomena.
376 The saturated hydraulic conductivities by variable-head permeameter and TRIM methods coincide with each
377 other, which together prove that the soil mass on north-facing slope has a relatively larger water infiltration (Tables
378 1 and 2). However, the results of stability analysis by the finite and infinite models imply that the failure potential
379 of slides on north-facing slope is relatively lower than the south-facing slope, though the stability index fluctuate
380 more heavily than north-facing slope. Such differences imply slope failures on north-facing slope may occur on
381 condition of intensive rainfall merely, or by a combination of prolonged antecedent precipitation and short-duration
382 intensive rainfall. For potential failures on south-facing slope, the combination of prolonged antecedent precipitation
383 and short-duration intensive rainfall should be the possible trigger due to the low hydraulic conductivity and pore
384 water pressure dissipation. Additionally, this work mainly concerns on the hydraulic properties of the soil mass on
385 both slopes. The origins of the soil mass on both slopes (particularly the soil mass on the failure zone), either from
386 the weathered granite underneath or the Loess deposits, needs further investigation in future. Last but not least, this
387 work contributes to propose a different opinion on the observed connection between landslide distribution and slope
388 aspect from the perspective of soil hydraulic properties.

389 **6 Conclusion**

390 Previous researches about the overwhelming propensity shallow landslides on south-facing slope over north-
391 facing slope highlighted the role of plant roots. In a localized area with same vegetation, such observation couldn't
392 attribute to plant roots and may result from soil hydraulic properties-related factors. In this work, we present a study
393 on the aspect-dependent landslide initiation by the perspective of pore water pressure, unsaturated hydraulic
394 conductivity, water storage and drainage and the hillslope stability fluctuation during the monitoring duration. The
395 following conclusions can be drawn:

396 (1) In terms of soil physical and mechanical properties on both slopes, the soil masses on south-facing slope are
397 rich in clay content, while the soil mass on north-facing slope has high sand. The effective cohesion on soil mass on
398 south-facing slope is higher than that on north-facing slope, while the effective frictional angle is smaller.

399 (2) Results of the GDS tests reveal that the dissipation rate of pore water pressure for soil mass on the south-
400 facing slope is much lower than that in the north-facing slope. Higher effective cohesion and the slower pore water
401 pressure dissipation may result in the larger basal area of shallow landslides on south-facing slope.

402 (3) The soil mass on south-facing slope has higher residual water content and air-entry pressure, and lower
403 saturated hydraulic conductivity than that on the north-facing slope. For the water storage and drainage performance,
404 the storied water of the south-facing slope is higher than that of the north-facing slope, while the north-facing slope
405 has a higher leakage rate. Results of the stability analysis on basis of finite and infinite model illustrate that the
406 infinite slope model may be suitable for elucidating the aspect-dependent landslide distribution in the study area.

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412 **Code/Data availability**

413 The raw/processed data in this work cannot be shared at this time as the data also forms part of an ongoing study

414 **Author contribution**

415 Professor Ma Chao found the overwhelming propensity of shallow landslide initiation on south-facing hillslope in
416 the study area and launched the research proposal. Miss Yanglin Guo finished the sampling collection and indoor



417 tests.

418 **Competing interests**

419 All authors have declared that there were no conflicts of interests and competing interests.

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