



# Evaluation of tropospheric water vapour and temperature profiles retrieved from Metop-A by the Infrared and Microwave Sounding scheme

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**Abstract.** Since 2007, the Meteorological Operational satellite (Metop) series of platforms operated by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) have provided valuable observations of the Earth's surface and atmosphere for meteorological and climate applications. With 15 years of data already collected, the next generation of Metop satellites will see this measurement record extend to and beyond 2045. With a primary role in operational meteorology, tropospheric temperature and water vapour profiles will be key data products produced using infrared and microwave-sounding instruments onboard. Considering the Metop data record that will span 40 years, these profiles will form an essential climate data record (CDR) for studying long-term atmospheric changes. Therefore, the performance of these products must be characterised to support the robustness of any current or future analysis. In this study, we validate 9.5 years of profile data produced using the Infrared and Microwave Sounding (IMS) scheme with the European Space Agency (ESA) Water Vapour Climate Change Initiative (WV\_cci) against radiosondes from two different archives. The Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) and Analysed RadioSoundings Archive (ARSA) data records were chosen for the validation exercise to provide the contrast between global observations (ARSA) with sparser characterised climate measurements (GRUAN). Results from this study show that IMS temperature and water vapour profile biases are within 1 K and 10% of the reference for 'global' scales. We further demonstrate the difference day/night and cloud amount match-ups have on observed biases and discuss the implications sampling also plays on attributing these effects. Finally, we present a first look at the profile bias stability of the IMS product, relating trends in the bias to required climate performance. Overall, we find the results from this study demonstrate the real potential for tropospheric water vapour and temperature profile CDRs from the Metop series of platforms.

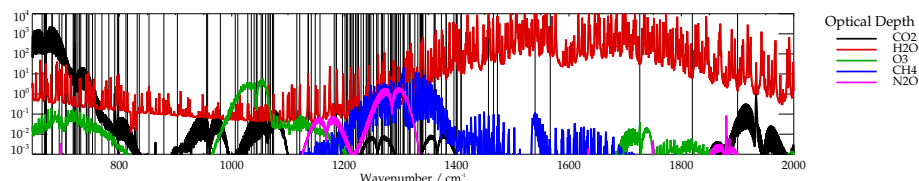


## 20 1 Introduction

The water cycle, the largest movement of any substance between the surface and atmosphere, is a critical component of the Earth climate system (Chahine, 1992). Most water resides in the ocean and land reservoirs (ice, snow, surface/underground water and biota); however, the small fraction ( $< 1\%$  by mass) found in the atmosphere acts as a greenhouse gas warming the lower atmosphere. As a greenhouse gas, water vapour has a predominant capacity for positive feedback of approximately  $2\text{ W m}^{-2}\text{ K}^{-1}$  (Dessler et al., 2008), acting as a powerful amplification mechanism for anthropogenic climate change compared to radiative forcing from other greenhouse gases (Chung et al., 2014). Water vapour also influences (directly and indirectly) the radiative balance of the Earth as well as surface and soil moisture fluxes. However, it is also sufficiently abundant and short-lived that it is considered to be under natural control (Sherwood et al., 2010). In the troposphere (the lowest 8-12 km), water vapour concentrations vary by four orders of magnitude between i) the surface and the tropopause and ii) wet tropical and dry polar latitudes. This global distribution, along with high temporal variability, results in tropospheric water vapour playing a significant role in global climate to micrometeorology scale processes (Bevis et al., 1992). Therefore, accurately capturing distributions and changes in atmospheric water vapour is critical for climate studies (Held and Soden, 2000; Trenberth et al., 2005).

The capability for observing tropospheric water vapour has been around since 1966, with the Medium Resolution Infrared Radiometer (MRIR) flown on the Nimbus-2 platform (NASA:a). This instrument consisted of five channels, one sensitive to upper tropospheric humidity (UTH) operating in the  $6\mu\text{m}$  region (NASA:b). Subsequent advances in MRIR have seen the instrument evolve into the High-resolution InfraRed Sounder (HIRS), in which the fourth (and final) generation (HIRS/4) is a nineteen-channel instrument operating between  $3.76$  and  $14.95\mu\text{m}$  in the mid-infrared. The first combination of HIRS with companion microwave instruments sensitive to temperature and humidity in 1979 onboard the Television and Infrared Observation Satellite (TIROS)-N mission (NOAA 4th generation series satellite prototype). This combination of instruments became known as the TIROS Operational Vertical Sounder (TOVS) configuration (Smith et al., 1979). The TOVS setup was operated until May 2007 onboard the NOAA-6 to NOAA-14 missions. In 1998 the NOAA-15 satellite was launched with the Advanced Television and Infrared Observation Satellite Operational Vertical Sounder (ATOVS), consisting of the Advanced Microwave Sounding Units (AMSU-A and AMSU-B) and HIRS/3 (Li et al., 2000) provided significant improvements over TOVS, especially for Numerical Weather Prediction (NWP) (English et al., 2000). This technological change also allowed for course profiles of tropospheric humidity and temperature to be inferred operationally (Courcoux and Schröder, 2015). Finally, the launch of the Atmospheric Infrared Sounder (AIRS) in 2002 (Chahine et al., 2006) and the Infrared Atmospheric Sounding Interferometer (IASI) in 2006 (Hilton et al., 2012) allowed water vapour and temperature profiles to be retrieved with an increased vertical resolution. This capability will be maintained out into the 2040s through the Joint Polar Satellite System (JPSS) and the Meteorological operational satellite Second Generation (Metop-SG) programmes. With nearly 15 years in space, the current IASI series of instruments represent a Climate Data Record (CDR) in their own right.

This study evaluates a 9.5-year record of temperature and humidity profiles from IASI using the RAL IMS scheme, produced as part of the European Space Agency (ESA) Water Vapour Climate Change Initiative (WV\_cci). Validation of this data archive



**Figure 1.** IASI channels used by the IMS scheme (indicated by vertical black lines) and nadir optical depths of major absorbers.

is done using two radiosonde archives; i) the GCOS Reference Upper-Air Network (GRUAN, Immler et al. (2010)), and ii)  
55 Analyzed RadioSoundings Archive (ARSA, Scott (2015)). Both records cover the whole study period, with GRUAN supplying  
characterised soundings with higher vertical resolution at selected climate sites and ARSA providing global coverage with  
coarser vertical resolution. This approach allows for localised performance to be compared to broader global results. This  
paper is structured as follows: section 2.1 provides a detailed description of the IMS algorithm used to generate the IASI  
temperature and water vapour profiles, with the radiosonde records discussed in section 2.2. Methods used for collocation and  
60 analysis by this study are provided in section 3, with results presented in section 4.

## 2 Data

This section describes the algorithm used to retrieve water vapour and temperature profiles from IASI, along with details of the  
radiosonde data sets used for their assessment.

### 2.1 IMS

65 The RAL Infrared Microwave Sounding (IMS) core scheme employs the optimal estimation method (OEM) to jointly retrieve  
profiles of water vapour, temperature and stratospheric ozone, along with surface spectral emissivity and cloud parameters  
from the Infrared Atmospheric Sounding Interferometer (IASI), Microwave Humidity Sounder (MHS) and the Advanced  
Microwave Sounding Unit (AMSU) on the MetOp satellites. Addition of spectral emissivity and cloud parameters to the state  
vector improved on agreement with the European Centre for Medium-Range Weather Forecasts (ECMWF) analyses of lower  
70 tropospheric water vapour. These developments also reduced the sensitivity to cloud contamination, significantly improving  
global coverage. Employing very weak constraints based on zonal mean climatologies of water vapour, temperature and ozone,  
IMS is independent (in practice) of profile information. Therefore, this makes the IMS profile data record ideal for climate  
studies.

#### 2.1.1 Algorithm Description

75 The IMS algorithm is described in detail in Siddans (2019). It uses optimal estimation (Rodgers, 2000) to fit a set of measure-  
ments (in measurement vector,  $y$ ) with known error covariance,  $S_y$ , by optimising a set of retrieved parameters (in state vector,  
 $x$ ) using a “forward model” (FM),  $F(x)$ , capable of predicting the measurements from an estimate of the state. This inverse



problem is solved using an a priori estimate of the state,  $\mathbf{a}$ , and its assumed error covariance,  $\mathbf{S}_a$ . The solution state is found by minimising the following cost function (in this case, using the Levenberg-Marquardt method):

$$80 \quad \chi^2 = (\mathbf{y} - F(\mathbf{x}))^T \mathbf{S}_y^{-1} (\mathbf{y} - F(\mathbf{x})) + (\mathbf{x} - \mathbf{a})^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{a}) \quad (1)$$

The IMS scheme uses RTTOV 10 (Saunders et al., 2012) as the primary radiative transfer model (inside the FM). The measurement vector contains a sub-set of IASI, AMSU and MHS spectral channels: For IASI, IMS follows the same approach as the V6 operational OEM scheme (EUMETSAT, 2014, 2017) to pre-process the measurements and describe their errors. In particular, IMS uses IASI L1C spectra, which have been compressed and re-constructed using the operational principal components (Atkinson et al., 2010), which tends to filter noise. A further filter is applied to remove other instrumental artefacts (Hultberg and August, 2017). The 139 IASI channels (between 662.5 and 1900  $\text{cm}^{-1}$ ) selected by EUMETSAT via information content analysis (see Figure 1) are used by the retrieval algorithm. The v6 scheme used a scan-dependent spectral bias correction for IASI, determined by comparing observed spectra to RTTOV simulations, based on atmospheric profiles from the version 6 piece-wise-linear regression (PWLR) scheme. The correction was parameterised as a function of the view zenith angle using two spectra,  $b_0$  and  $b_1$ , to represent the mean bias spectrum and its (assumed) linear dependence on the secant of the view zenith angle. The measurement error covariance matrix was calculated from the differences between bias-corrected IASI measurements and the RTTOV calculations. The IMS scheme uses the same spectral selection, measurement covariance and bias correction spectra. However, instead of assuming a fixed view zenith angle dependence for the bias correction, IMS jointly retrieves two parameters,  $x_{b0}$  and  $x_{b1}$ , which scale the spectra  $b_0$  and  $b_1$ . The scaled spectra are added to the RTTOV simulation,  $R(\mathbf{x})$  in the FM:

$$95 \quad F(\mathbf{x}) = R(\mathbf{x}) - x_{b0} \cdot b_0 - x_{b1} \cdot b_1 \quad (2)$$

The bias correction is needed to account for systematic differences between RTTOV and the IASI observations, including errors in RTTOV. Allowing the retrieval to fit scale factors  $x_{b0}$  and  $x_{b1}$ , instead of assuming a fixed scan-angle dependence improves the fit (gives lower cost) over a wide range of observing conditions. For Metop-A, IMS uses all AMSU-A and MHS channels except for channels 7 and 8 due to instrumental problems. An across track-dependent bias correction is applied to the AMSU and MHS measurements (fixed as a function of view zenith), based on analysis measurements and simulations for a set of cloud-free scenes over sea equatorward of 60 deg (Siddans and Gerber, 2015). The complete measurement vector comprises the selected IASI and microwave sounder measurements in a single vector. Errors in IASI channels are assumed to have no correlation with errors in the microwave sounder channels.

105 The state-vector,  $\mathbf{x}$ , contains parameters representing surface temperature and emissivity, the temperature, water vapour and ozone profiles, cloud fraction, cloud height, and the bias correction scale factors,  $x_{b0}$  and  $x_{b1}$ . The state vector elements (along with their a priori values and variances) are described in more detail below (for further information see (Siddans, 2019)). The a priori covariance is diagonal. Temperature, water vapour and ozone profiles are represented using basis functions,  $\mathbf{M}\mathbf{x}$ , which



are the leading Eigenvectors of a covariance matrix representing the prior variability of the profiles on the 101 RTTOV pressure  
110 levels. For temperature, 28 vectors are fitted, with 18 for water vapour and 10 for ozone.

The covariance matrices were determined by computing the differences from the zonal mean of ECMWF analysis profiles  
for three days (17 April, 17 July, and 17 October 2013). The zonal mean and covariance matrix were computed in K for  
temperature and  $\ln(\text{vmr})$  for water vapour and ozone. The state vector comprises the coefficients of the leading Eigenvectors  
of the covariance matrix: Temperature profiles in (K) on the 101 RTTOV pressure levels are defined (in the FM before calling  
115 RTTOV) from the corresponding 28 elements of the state vector as follows:

$$\mathbf{T} = \mathbf{m}_T(\lambda) + \mathbf{M}_T \mathbf{x}_T, \quad (3)$$

Where  $\mathbf{m}_T$  is the zonal mean (interpolated to the latitude of observation);  $\mathbf{M}_T$  is the matrix of Eigenvectors and  $\mathbf{x}_T$  the  
temperature sub-set of the state vector. Water vapour and ozone profiles (in ppmv) are defined similarly with an exponent:

$$\mathbf{w} = e^{(\mathbf{m}_w(\lambda) + \mathbf{M}_w \mathbf{x}_w)} \quad (4)$$

120 The a priori state vector elements for temperature, water vapour and ozone are all zero (the zonal mean of each profile  
is added in the FM). The Eigenvalues of the covariance matrix are used as the a priori variances. A similar approach in the  
spectral domain is adopted to represent surface emissivity. The state vector includes weights for the 20 leading Eigenvectors of  
an assumed global spectral emissivity covariance. The covariance is constructed using the RTTOV emissivity atlases to simulate  
emissivity in all the channels of IASI, AMSU and MHS from the same set of scenes used to define the profile covariances.  
125 However, this approach is insufficient because only a limited amount of spectral information is represented in the RTTOV  
atlases. To accurately simulate spectra in all used IASI channels, it is necessary to introduce further spectral patterns from the  
University of Wisconsin emissivity database (Borbias and Ruston, 2010). Prior values for the emissivity weights are set based  
on the RTTOV atlas for the specific location. Eigenvalues of the global covariance are used to define the a priori variances.

Cloud is modelled (via RTTOV) as a black body. The area fraction and top pressure are both retrieved for the cloud fraction.  
130 The state vector is the natural logarithm of cloud fraction with a prior and first guess value  $\ln(0.01)$  and a prior error of 10. The  
log representation is adopted to prevent negative values of cloud fraction from arising. For cloud top height, the state vector is  
defined in terms of the cloud pressure,  $\mathbf{p}$ :

$$\mathbf{z}^* = 16(3 - \log_{10} \mathbf{p}), \quad (5)$$

where  $\mathbf{z}^*$  corresponds approximately to altitude, the a priori and first guess values are assumed to be 5 km with a priori error  
135 also 5 km.

Although not retrieved, variations in  $\text{CO}_2$ ,  $\text{CH}_4$  and  $\text{N}_2\text{O}$  are represented by a monthly latitude dependent climatology  
derived from the Monitoring Atmospheric Composition and Climate (MACC) greenhouse gas (GHG) flux inversion reanalysis



(Bergamaschi et al., 2013). Surface pressure is defined from ECMWF analysis (ERA Interim, Dee et al. (2011)), adjusted to the mean altitude within the IASI footprint, assuming the logarithm of the surface pressure varies linearly with the difference  
 140 between the IASI altitude and that of the ECMWF model.

A simple brightness temperature (BT) difference test is applied for each scene to detect optically thick and high-altitude clouds using the IASI observation at  $950 \text{ cm}^{-1}$  and a simulation with ECMWF analysis. The scene is not processed if the BT difference (observation – simulation) is outside the range of -5 to 15K. Residual cloud will remain in a significant fraction of scenes; the joint retrieved cloud fraction and height allow this to be accommodated to some extent and can be used to more  
 145 stringently cloud clear the retrievals.

IMS provides several diagnostics from the OE which can be used to characterise the retrieved quantities (Rodgers, 2000): The error covariance ( $\mathbf{S}_x$ ) for a given solution using an optimal estimation retrieval framework is given by:

$$\mathbf{S}_x = (\mathbf{S}_a^{-1} + \mathbf{K}^T \mathbf{S}_y^{-1} \mathbf{K})^{-1}. \quad (6)$$

With the transformation from the state vector to vertical profiles within IMS being expressed as a matrix operation (equations  
 150 3 & 4), the corresponding error covariances for layer averages are obtained (e.g. for temperature) by:

$$\mathbf{S}_T = \mathbf{M}_T \mathbf{S}_{x:T} \mathbf{M}_T^T. \quad (7)$$

Where  $\mathbf{S}_{x:T}$  is the sub-matrix of the error covariance for the temperature elements only. Water vapour and ozone profiles require an additional conversion from log units to obtain the covariance of the mixing ratio profile in ppmv:

$$\mathbf{S}_q = (\mathbf{qM}_q) \mathbf{S}_{x:q} (\mathbf{qM}_q)^T \quad (8)$$

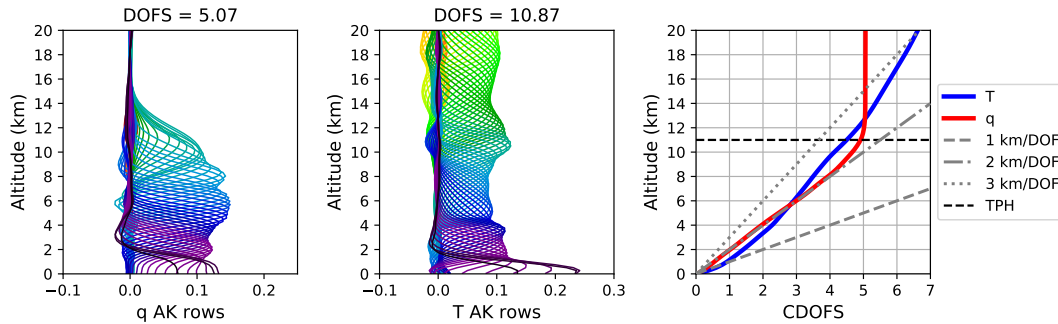
155 Where  $\mathbf{q}$  is the retrieved water vapour profile in ppmv. The averaging kernel ( $\mathbf{A}$ ) can account for the vertical sensitivity of the retrieved state vector and the influence of the prior. This is because the averaging kernel characterises the sensitivity of the retrieved state to the actual state (e.g. for water vapour):

$$\mathbf{A}_{f:q} = \mathbf{GK}_{f:q}. \quad (9)$$

Where the  $\mathbf{G}$  is the gain matrix, and the subscript  $f$  associated with the Jacobian matrix ( $\mathbf{K}$ ) and averaging kernel ( $\mathbf{A}$ )  
 160 denote that derivatives are computed with respect to perturbations on the fine atmospheric grid,  $p_{atm}$ , as opposed to the state vector. The 101 RTTOV pressure levels define this fine grid for the IMS algorithm. Therefore, the averaging kernel matrix is not square; rather, the two dimensions are the number of Eigenvector weights in the state vector and the 101 levels in the "true" profile. However, the (square) averaging kernel derived using the state vector weighting function (e.g  $\mathbf{A} = \mathbf{GK}$ ) would give the derivative of the retrieved Eigenvector weights with respect to true profile perturbations with shape given by the Eigenvectors.



IMS Averaging Kernels over GRUAN site LIN at 19:42 hrs UTC on Mon 23 Jun 2014



**Figure 2.** Example of averaging kernels (AK) for IMS water vapour ( $q$ ) and temperature ( $T$ ) profiles extracted over the GRUAN Lindenberg (LIN) site. The right-hand panel shows the cumulative-degrees-of-freedom for signal (CDOFS), which illustrates how the vertical distribution information content can be related to profile vertical resolution. In the lower-mid troposphere, the water vapour CDOFS aligns with a vertical resolution of 2 km. Above 8 km, the gradient swiftly becomes zero around the tropopause height (TPH). The temperature profile starts at a 1 km vertical resolution and reduces to 2 km at 6 km. Above this height, the gradient shows the vertical resolution changes to  $\approx 2.5$  km, which remains consistent into the upper troposphere/lower stratosphere (UTLS) before further degrading to 3 km per degree of freedom (DOF).

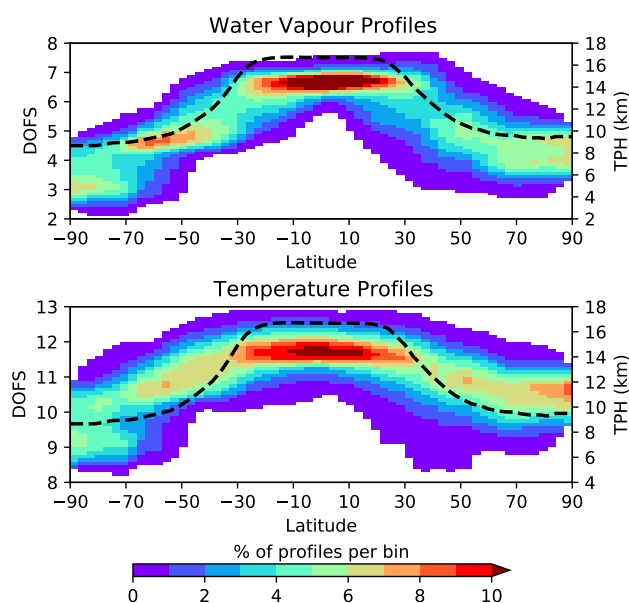
165 The square averaging kernel matrix ( $\mathbf{A}$ ) is of relatively little practical use, and averaging kernels in this form are only used in IMS to obtain the degrees of freedom for signal (DOFS) for specific retrieval products. This is given by the trace (sum of the diagonal elements) of the sub-matrix of  $\mathbf{A}$  corresponding to a specific product. The averaging kernel of retrieved water vapour profiles (defined on the RTTOV levels) with respect to perturbations on the fine atmospheric grid is given by:

$$\mathbf{A}_{qf} = \mathbf{M}_{qf} \mathbf{A}_{f;q}, \quad (10)$$

170 where  $\mathbf{A}_{f;q}$  is the averaging kernel for the water vapour state vector elements with respect to perturbations in  $\ln(\text{ppmv})$  on the fine atmospheric levels. The averaging kernel for temperature is derived similarly using the corresponding matrices. An understanding of the profile vertical resolution is inferred from the DOFS value, as it describes the number of independent pieces of information resolved (Rodgers, 2000). Figure 3 shows the range of DOFS for IMS temperature and water vapour profiles as a function of latitude, with the tropopause height (TPH) overlaid. Nadir IR+MW sounders are predominately sensitive to the emissions from the troposphere. Examples of water vapour and temperature averaging kernels from the IMS L2 product are given in Figure 2. Examination of the cumulative-degrees-of-freedom for signal (CDOFS) from these averaging kernels can be used to describe the vertical resolution of the retrieved profiles. The desired performance for vertical resolutions from IASI is 1 & 2 km for temperature and water vapour profiles respectively (Hilton et al., 2012). What can be seen from 2 is that vertical resolution is not necessarily throughout the troposphere. Indeed, examination of a different sounding over the same radiosonde site would show subtle differences.

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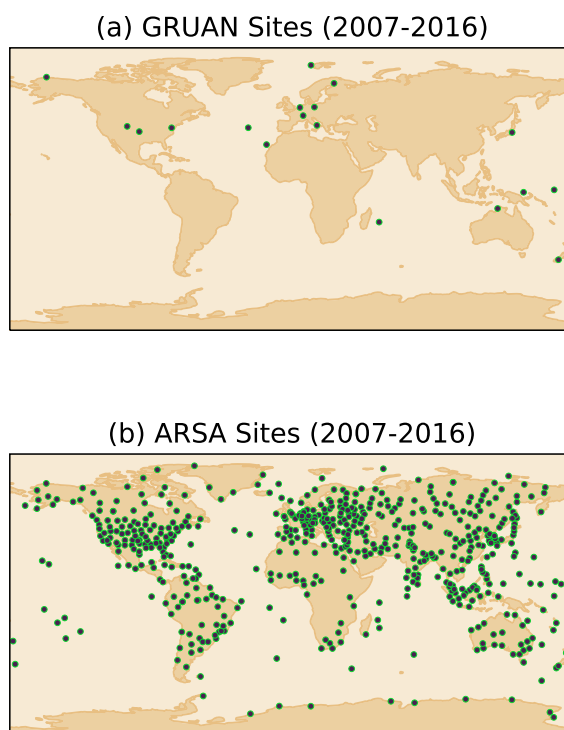
**Figure 3.** Visualisation of IMS water vapour and temperature profile degrees-of-freedom for signal (DOFS). DOFs were collected from the L2 files between 2007-2016 and binned as a function of latitude. Values were then normalised using the total number of profiles in their respective latitude bin. The dashed line represents the cold point tropopause height (TPH) calculated from ERA5 temperature profiles (Hersbach et al., 2020).

## 2.2 Radiosonde Reference Measurements

This section outlines the two radiosonde records used as reference measurements in this study. The first source of radiosonde measurements used has been taken from the GCOS Reference Upper-Air Network (GRUAN) (Immler et al., 2010; Dirksen et al., 2014) archive, locations of the sites can be seen in Figure 4a. The scope of GRUAN is to provide long-term fiducial measurements, i.e. inclusion of uncertainty estimates) that can be used for calibration/validation exercises, study atmospheric processes and determine trends. These high-resolution soundings are reported on time intervals of 2 seconds during the flight from the surface into the Upper Troposphere/Lower Stratosphere (UTLS) rather than the set pressure grid used by operational radiosonde archives. An advantage of the higher resolution of GRUAN measurements is that it captures changes in humidity gradients and temperature inversions which can be missed or underrepresented by standard and significant pressure levels.

The second source of radiosonde data is taken from the Analyzed RadioSoundings Archive (ARSA). Produced at the Laboratoire de Météorologie Dynamique (LMD) since the late 90's, ARSA is designed for the processing and validation of level 1 (L1) and level 2 (L2) satellite data and applications. This includes forward and inverse radiative transfer simulations and inter-comparison of retrieved satellite geophysical parameters. The ARSA database is a global archive with observations from approximately 1450 stations. The vertical resolution of ARSA varies within the profile, where the lowest part of the tropo-





**Figure 4.** Locations of sites within the two radiosonde archives, a) the GCOS Reference Upper-Air Network (GRUAN), and b) the Analysed RadioSoundings Archive (ARSA). Locations are specific to upper-air soundings made between 1<sup>st</sup> June 2016 and the 31<sup>st</sup> December 2016. Further details regarding the individual GRUAN sites is given in Table A1.

195 sphere ranging from the surface to 800 hPa has a resolution of 0.5 km. Between 800 and 200 hPa the resolution is 0.8 km, increasing to 1.5 km from 200 hPa to 100 hPa. Above 100 hPa to the top-of-atmosphere (TOA), the resolution further reduces to 2.5 km. The ARSA measurement record starts in January 1979, and is regularly updated on a monthly basis. Locations of 587 sites present in the archive during the study period can be seen in Figure 4b. For this study, we use the current version 2.7 archive, which has been in use since 2005.

## 200 3 Methodology

### 3.1 Collocation of IMS profiles at Radiosonde Sites

The framework for creating the satellite match-ups to ground truth used in this study has been developed within the ESA Water Vapour Climate Change Initiative (WV\_cci) and builds from previous validations studies (Trent et al. (2018) & Trent



et al. (2019)). Referred to from here on in as the Match-up Processor (MUP), this framework is designed to handle swath or  
205 gridded satellite data as well as a number of predefined *in situ* references. The match-up database (MUDB) is generated by  
supplying the MUP a driver file containing information on i) the dataset is being validated, ii) the validation data record used  
as a reference, iii) what variable is being validated, (iv) the date range to process, and (v) which set of collocation criteria to  
use. This approach allows for a flexible system that is capable of rapid processing whole missions.

This study used broad criteria to maximise collocations for both radiosonde datasets. An IASI profile was initially considered  
210 collocated to a GRUAN or ARSA station if the satellite measurement fell within  $\pm 3$  hr and 100 km of the radiosonde launch  
time. The IMS profile also required a consistent averaging kernel and uncertainty information to be propagated by the MUP.  
As the IMS scheme retrieves in cloudy and clear sky conditions, we accept all scenes with up to 80% cloud cover (Suskind  
et al., 2006). Finally, an additional quality filter was applied to all matched cases that fell within these criteria to reduce  
uncertainty. Levels within all profiles were excluded if the IMS water vapour profile uncertainty was above 50% ppmv. This  
215 scenario predominately was found to occur for IMS profiles only at high altitudes in the troposphere, resulting in lower density  
sampling, which will introduce some noise to the analysis. However, this is minimised by calculating global or per latitude  
band statistics which uses large numbers of matched pairs, unlike for site comparisons with a low number of matched cases.  
When using broad collocation criteria, any mismatch introduced during the match-up will affect the performance of individual  
comparison performance (Sun et al. (2010) & Sun et al. (2017)). Therefore, a robust statistics approach was adopted to minimise  
220 this effect.

### 3.2 Comparison of IMS profiles with Radiosonde

Retrieved profiles of temperature and humidity from IASI, MHS & AMSU-A represent the best estimates of the atmospheric  
state, to which a smoothing function has been applied (Rodgers and Connor, 2003). Therefore, averaging kernels from the IMS  
L2 product are used to smooth (or convolve) the radiosonde profile to the vertical resolution of IASI. This allows for like-  
225 for-like comparisons between the retrieved and reference profile. For radiosonde temperature profiles, the averaging kernel is  
applied thus:

$$\mathbf{x}_{est} = \mathbf{x}_o + \tilde{\mathbf{A}}(\mathbf{x}_t - \mathbf{x}_o), \quad (11)$$

where  $\mathbf{x}_o$  is the IMS a priori profile,  $\tilde{\mathbf{A}}$  is the averaging kernel that has been reconstructed onto the 101 level retrieval grid,  
 $\mathbf{x}_t$  is the radiosonde reference profile on the 101 level grid, and  $\mathbf{x}_{est}$  is the convolved reference profile. In the thermal infrared  
230 (TIR), changes in column density of water vapour have greater linearity in log space relative to any absolute change. Therefore,  
for humidity profile comparisons, equation 11 is rewritten as (Maddy and Barnet, 2008):

$$\ln(\mathbf{x}_{est}) = \ln(\mathbf{x}_o) + \tilde{\mathbf{A}} \times \ln\left(\frac{\mathbf{x}_t}{\mathbf{x}_o}\right). \quad (12)$$

Next, profile values are calculated for weighted layers ( $\mathbf{x}_{(z)}$ ), where the layer boundaries are defined by standard pressure  
levels defined at 1000, 925, 850, 700, 500, 400 and 300 hPa:



$$235 \quad \mathbf{x}_{(z)} = \frac{\sum_{l=1}^n \mathbf{x}_{(l)} \mathbf{P}_{(l)}}{\sum_{l=1}^n \mathbf{P}_{(l)}}. \quad (13)$$

Where  $\mathbf{x}_{(l)}$  is the convolved radiosonde or IMS profile value at level  $l$ ,  $\mathbf{p}_{(l)}$  is the pressure profile value at level  $l$ , and  $n$  is the numbers of levels in the layer. Layer mean profiles are not calculated for altitudes higher than 300 hPa because ARSA profile values are taken from ERA-Interim in the upper-troposphere/stratosphere. All statistics used by this study are calculated from the layer mean profiles. Firstly, for each layer, we calculate the systematic difference or bias ( $b_{(z)}$ ). As with Trent et al. (2019),  
 240 we use the median difference:

$$b_{(z)} = \text{median}(\mathbf{x}_{(z)} - \mathbf{x}_{est(z)}), \quad (14)$$

where  $\mathbf{x}_{(z)}$  and  $\mathbf{x}_{est(z)}$  are the profile values for layer  $z$  for IMS and the radiosonde respectively. Water vapour profile values will vary by up to four orders of magnitude between the surface and upper troposphere. Therefore, the layer bias is normalised by the median radiosonde layer value ( $\bar{\mathbf{x}}_{est(z)}$ ):

$$245 \quad \hat{b}_{(z)} = \frac{b_{(z)}}{\bar{\mathbf{x}}_{est(z)}}. \quad (15)$$

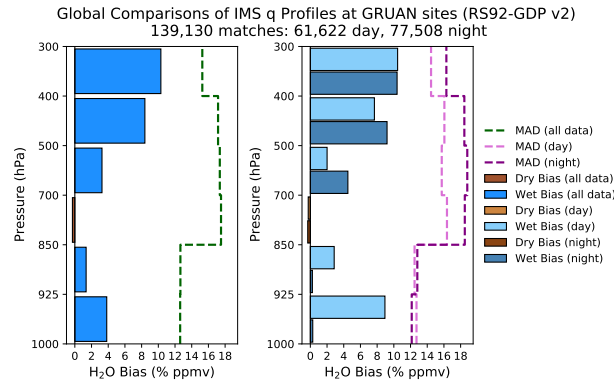
Profiles from GRUAN, unlike ARSA, are provided estimates of the uncertainty for each measurement. These can then be propagated to provide corresponding uncertainties for profile measurements. However, when averaging over large numbers of collocations, the uncertainty on the bias reduces below 1 % ppmv. In the Trent et al. (2019) study, bias uncertainties for AIRS were shown to reduce to between 0.15-0.43 % ppmv for global matches to GRUAN. Although, what is difficult to truly  
 250 calculate and is not accounted for in profile validation studies is the collocation uncertainty. While the collocation uncertainty will also reduce with averaging large numbers of matches, broad collocation criteria and atmospheric variability mean this uncertainty will still dominate the total error budget. Therefore, we can think of the variability of the median as an estimate of the precision of the bias. To quantify the spread about the median, we calculate the median absolute deviation ( $\sigma_{(z)}$ ):

$$\sigma_{(z)} = \text{median}(|\mathbf{x}_{(z)} - \mathbf{x}_{est(z)} - b_{(z)}|). \quad (16)$$

255 As we use robust statistics, the MAD values cannot be treated in the same way as standard deviation and used to calculate the standard error by dividing through by  $\sqrt{N}$ . For water vapour, MAD values are also normalised by the median radiosonde layer value:

$$\hat{\sigma}_{(z)} = \frac{\sigma_{(z)}}{\bar{\mathbf{x}}_{est(z)}}, \quad (17)$$

where  $\hat{\sigma}_{(z)}$  is the normalised layer median absolute deviation. Scaling the normalised values by  $10^2$  presents the units for  
 260 both the bias and median absolute deviation in % ppmv. This allows biases at different layers to be relatable.



**Figure 5.** Comparisons of water vapour profiles from the IMS L2 product at GRUAN sites, matched at sites between June 1<sup>st</sup> 2007 and December 31<sup>st</sup> 2016 and with up to 80% cloud cover. Median biases for atmospheric layers are shown with blue bars representing a median wet bias relative to GRUAN, while brown bars depict a median dry bias with respect to GRUAN. The left-hand plot shows results for all data that passes quality control, with the right-hand plot showing the breakdown between day and night results. All biases have been normalised by the median GRUAN layer value for the site and multiplied by 100, i.e. to scale to % ppmv. Dashed lines represent each layer’s normalised Median Absolute deviation (MAD).

Finally, for examining the stability in the observed biases, a level shift regression model is used to calculate the trend in the monthly IMS layer (Weatherhead et al. (1998), Mieruch et al. (2014)):

$$Y_t = \mu + \omega X_t + \delta U_t + \eta_t, \quad t = 1, 2, 3, \dots, N, \quad (18)$$

where  $Y_t$  is the bias at time  $t$ ,  $\mu$  is the intercept,  $\omega$  is the trend in the bias,  $X_t$  is the time index,  $\delta$  is the magnitude of any shift,  $U_t$  is the step function, and  $\eta_t$  is the fit residual. For this study the step function is assumed to be negligible (i.e.  $\delta U_t = 0$ ) because the IASI instrument is considered to a stable reference. This is evidenced by the use of IASI brightness temperatures for calibration by the Global Space-based Inter-Calibration System (GSICS) for other infrared (IR) satellite sensors (Goldberg et al., 2011). For the residuals, the same approach is used from Schröder et al. (2019) where four frequencies (asymmetric fitting of the annual cycle) and ENSO strength are fitted simultaneously.

## 270 4 Validation over GRUAN sites

### 4.1 Water Vapour Biases

Results were computed for matches made in cloudy-sky conditions (up to 80% cloud covered) over 17 of the 18 GRUAN sites (see table A1 for the complete list), with Darwin being the only site with no cases found. Matches were further subdivided into day and night scenes using the solar zenith angle from the IMS L2 product. Figure 5 presents the results for IMS water vapour profile biases, median absolute deviations for all scenes, and the split between day-night cases. IMS biases show a general low



**Table 1.** Breakdown of GRUAN site specific IMS water vapour profile biases and standard errors, given in % ppmv for the same layers shown in Figure 5. The site short names correspond to the details given in Table A1 and are accompanied by the total number of matches made with IMS. sites appear in latitudinal order (north to south). After quality filtering  $\approx 90\%$  of all matches remain at all levels.

Site (Nmatches)	1000-925 hPa	925-850 hPa	850-700 hPa	700-500 hPa	500-400 hPa	400-300 hPa
BAR (7389)	9.99±15.61	10.32±15.33	1.27±16.30	5.01±17.95	21.53±16.74	27.63±18.58
NYA (7919)	19.01±16.90	17.49±15.43	11.29±17.52	4.05±16.46	12.77±15.65	12.57±18.33
SOD (2225)	11.64±14.08	7.23±12.61	-1.50±14.50	3.15±16.28	14.03±16.25	17.17±17.13
LIN (100654)	2.54±12.21	-0.41±12.14	-0.72±17.52	3.04±17.45	7.45±17.72	9.66±15.25
CAB (843)	8.81±14.79	6.08±14.68	2.28±20.18	3.14±20.34	12.02±20.08	12.27±17.38
PAY (122)	12.46±17.67	11.06±16.92	6.70±18.59	6.38±16.21	12.27±16.20	5.56±16.18
POT (126)	4.60±12.27	10.48±16.59	-0.14±15.37	2.78±17.99	5.42±18.89	7.92±11.34
BOU (161)	—	—	10.26±19.57	1.18±10.40	5.94±15.30	3.85±12.43
GRA (48)	9.92±8.30	1.26±6.67	-9.75±11.11	-6.53±12.92	3.49±15.40	18.12±14.59
BEL (34)	20.11±19.47	14.27±26.85	11.07±27.28	16.38±25.25	11.91±19.09	9.19±13.80
SGP (4861)	4.67±11.89	2.10±10.53	-4.26±13.38	-0.83±13.42	5.60±15.73	9.90±15.01
TAT (12369)	4.70±13.65	2.17±14.30	-4.04±19.59	8.29±21.87	12.65±20.18	12.35±17.69
TEN (1808)	11.21±10.99	5.29±14.01	-3.74±19.62	4.13±17.18	3.49±16.14	8.60±14.94
NAU (298)	-1.54±5.45	-0.22±5.47	-5.03±7.78	-7.65±9.84	0.80±14.40	1.55±12.51
MAN (111)	-2.55±5.62	-4.59±4.28	-4.17±8.81	-3.85±7.17	-12.31±13.78	2.79±11.35
REU (18)	—	—	14.53±22.19	-3.08±10.03	0.22±10.36	6.39±9.44
LAU (144)	18.86±21.26	15.98±22.03	6.41±21.48	4.62±18.08	12.99±15.78	15.71±15.62

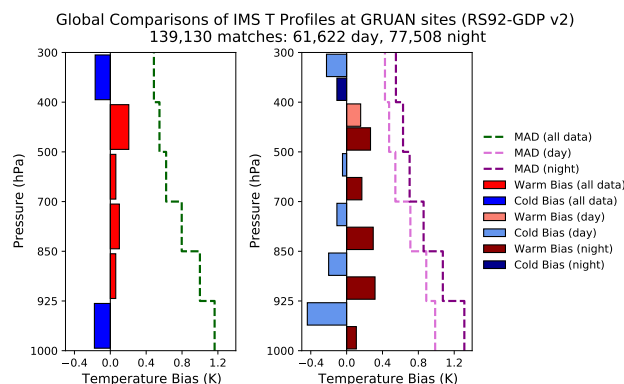
— == layers below surface pressure or do not contain full profile information.

wet bias relative to GRUAN, which increases with altitude. The lowest bias is found in the mid-tropospheric layer between 850-700 hPa where a slight dry bias of  $-0.29 \pm 17.51$  % ppmv is observed. This layer coincides with the overlap in peak vertical sensitivities of the IASI  $6 \mu\text{m}$  region and MHS 183 GHz channels. In the lower troposphere (1000-850 hPa), daytime biases dominate with a high of  $8.93 \pm 12.72$  % ppmv seen in the surface layer. The inverse is valid for the mid-to-upper tropospheric layers (700-400 hPa) where night-time biases are larger than the equivalent daytime biases by about 2 % ppmv in both layers. The upper-tropospheric layer (400-300 hPa) displays a consistent wet bias across the day and night scenes ( $10.45 \pm 14.46$  to  $10.39 \pm 16.29$  % ppmv respectively).

Table 1 presents a breakdown of individual site biases, median absolute deviations, and the number of collocations for each GRUAN site between June 2007 and December 2016. The first point is that sampling differences can be up to three orders of magnitude because GRUAN does not have regular launch data for each site. The Lindenberg (LIN) lead site provides approximately 72% of all matches made to GRUAN radiosondes. Therefore, ‘global’ biases are weighted towards the Lindenberg site result. GRUAN sites situated at high latitudes in the northern hemisphere tend to show wetter biases for the lower-to-mid tropospheric layers. In contrast, GRUAN sites in the Tropical Warm Pool (TWP) see persistent dry biases below 500hPa. Northern hemisphere high-latitude sites also have a lower performance in the upper troposphere layer 400-300 hPa, with Barrow (BAR) seeing a wet bias of  $27.63 \pm 18.58$  % ppmv.

## 4.2 Temperature Biases

The same exercise is repeated for IMS temperature profiles, with biases reported in Kelvin (K). Figure 6 shows the results for biases calculated for all matches over all sites and the diurnal split between day and night cases. IMS temperature biases



**Figure 6.** As Figure 5, for comparisons for IMS IASI temperature profiles at GRUAN sites. Median biases for atmospheric layers are shown with red bars representing a median warm bias relative to GRUAN, while dark blue bars depict a median cold bias with respect to GRUAN. The dashed lines represent the layer Median Absolute deviation (MAD) in Kelvin.

are within  $\pm 0.2$  K for the first scenario. The bottom and top layers both see cold biases of  $-0.18 \pm 0.49$  and  $-0.17 \pm 1.16$  K, respectively, while the rest of the troposphere shows warm biases between  $0.06 \pm 0.62$  and  $0.21 \pm 0.55$  K. As with water vapour, temperature biases in the lowest layer (925-1000 hPa) are dominated by the daytime bias ( $-0.44 \pm 0.99$  K). This negative daytime bias continues up to 500 hPa, with the magnitude reducing with altitude. Night-time biases below 400 hPa show warm biases between  $0.11 \pm 1.31$  and  $0.32 \pm 1.07$  K, with the largest seen in the mid-tropospheric layers between 700 to 925 hPa. The night-time bias dominates the mid-tropospheric temperature bias for all sites all matches. The median absolute deviation ranges between 0.43 to 1.31 K for scenarios with a decrease in magnitude consistently observed as a function of altitude. Higher variability is observed for night-time temperature biases relative to the daytime, mirroring the behaviour seen for water vapour.

The breakdown of IMS temperature profile biases by GRUAN site is given in Table 2. As expected, temperature biases for all sites and matches are weighted towards Lindenberg (LIN) results. The surface layer negative bias is a common feature for stations situated in the mid-latitudes and tropics, with the coldest bias value of  $-1.57 \pm 0.7$  K seen over Manus (MAN). However, the site at Potenza, Italy (POT) displays a different behaviour with a warm bias of  $2.44 \pm 1.7$  K in the surface layer. With only 126 matches over the whole period, this will have little impact on the collectively observed bias. A majority of sites see a cold bias in the mid-troposphere (400-925 hPa), whereas all site all matches the result, giving a small warm bias for these layers. However, the sites which dominate also tend to have a higher number of matches ( $>1000$ ) and are mainly found at higher latitudes, e.g. Barrow (BAR), Lindenberg (LIN), Ny-Ålesund (NYA), and Sodankylä (SOD).



**Table 2.** As Table 1, breakdown of GRUAN site specific IMS temperature profile biases and standard errors, given in K for the same layers shown in Figure 6.

Site (Nmatches)	1000-925 hPa	925-850 hPa	850-700 hPa	700-500 hPa	500-400 hPa	400-300 hPa
BAR (7389)	0.06±1.23	0.75±0.96	0.75±0.83	0.69±0.63	0.68±0.55	-0.13±0.45
NYA (7919)	0.87±1.40	0.93±1.01	0.69±0.89	0.44±0.58	0.57±0.48	-0.06±0.43
SOD (2225)	0.01±1.04	0.30±0.96	0.40±0.75	0.34±0.60	0.38±0.56	-0.23±0.47
LIN (100654)	-0.19±1.11	0.00±0.98	0.04±0.76	0.00±0.60	0.18±0.53	-0.19±0.48
CAB (843)	-0.30±1.31	0.00±1.24	-0.21±0.97	-0.16±0.77	0.04±0.74	-0.35±0.67
PAY (122)	-0.39±1.80	-0.31±1.69	-0.21±1.24	-0.20±0.61	0.15±0.51	-0.25±0.47
POT (126)	2.44±1.70	1.58±1.10	0.30±0.62	-0.20±0.45	0.01±0.44	-0.16±0.41
BOU (161)	—	—	-1.23±1.06	-0.95±0.64	-0.02±0.49	-0.02±0.49
GRA (48)	-0.43±0.66	-0.22±0.46	-0.48±0.61	-0.45±0.50	-0.14±0.55	-0.21±0.33
BEL (34)	-1.75±0.99	-1.01±1.08	-0.40±1.09	0.03±1.05	-0.10±0.50	-0.43±0.40
SGP (4861)	-0.20±1.27	-0.25±0.89	-0.19±0.73	-0.17±0.54	0.02±0.46	-0.08±0.44
TAT (12369)	-0.74±1.24	-0.29±0.96	-0.04±0.85	0.01±0.66	-0.08±0.61	-0.16±0.62
TEN (1808)	-0.55±1.45	0.08±1.26	0.43±1.03	0.10±0.66	0.07±0.53	0.01±0.51
NAU (298)	-0.90±0.64	-0.11±0.49	-0.26±0.40	-0.43±0.37	-0.12±0.32	-0.06±0.34
MAN (111)	-1.57±0.70	-0.55±0.46	-0.18±0.44	-0.58±0.32	-0.34±0.32	-0.12±0.29
REU (18)	—	—	0.20±0.71	-0.37±0.47	-0.34±0.48	-0.02±0.36
LAU (144)	-0.90±1.30	-0.72±1.16	-0.36±0.74	-0.17±0.60	0.08±0.42	-0.42±0.48

— = layers below surface pressure or do not contain full profile information.

### 4.3 Biases Dependence on Cloud Fraction

A key benefit of using the combination of IR and MW instruments for NWP is the ability to produce water vapour and temperature profiles in clear and cloudy scenes. However, it has been shown for the Atmospheric Infrared Sounder (AIRS) that cloud amount and type can impact profile biases (Hearty et al., 2014; Wong et al., 2015; Trent et al., 2019).

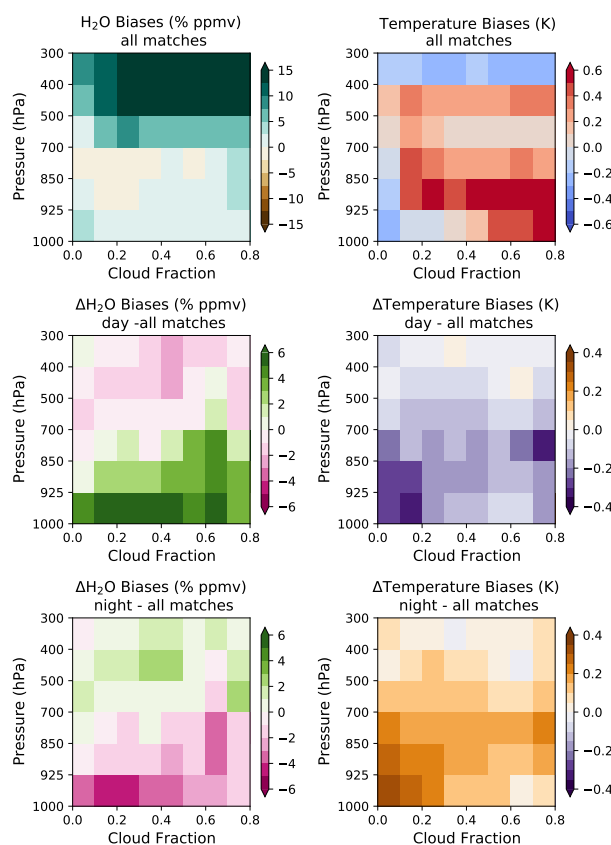
315 Therefore, understanding the impact of cloud fraction within the IASI Instantaneous Field of View (IFOV) on IMS profile biases is also of interest to this study. IMS profile biases were binned according to cloud fraction at intervals of 0.1 for all sites for all matches (day & night cases) and for the separate day and night cases. Water vapour and temperature bias results as a function of cloud fraction are presented in Figure 7 along with the difference between day and night cases to the ‘all cases’ result.

320 Water vapour profile biases shown in Figure 7 indicate that the wet bias seen in the lowest tropospheric layer (925-1000 hPa) is weighted towards clear-skies or scenes with a cloud fraction below 0.1 (or 10%). The stronger wet bias observed in the upper tropospheric layers (300-500 hPa) is more sensitive to cloud amounts > 10%. At higher cloud fractions, the wet bias is seen to double observed <10% cloud cover to 18.87 % ppmv. Cloud amount can also be attributed to the slight warm biases observed in the mid-to-upper-troposphere (925-400 hPa) relative to GRUAN. The most affected layer is found between 925-850 hPa, with the maximum warm bias of 0.73 K above 50% cloud fraction. For the 500-400 hPa layer, it is the biases seen above 60% cloud fraction that dominate the result seen in 6. Whereas, for 925-850 hPa layer where the strongest biases are found, biases seen in the global all-site result are being significantly weighted by cold biases seen in cloud fractions < 10%.





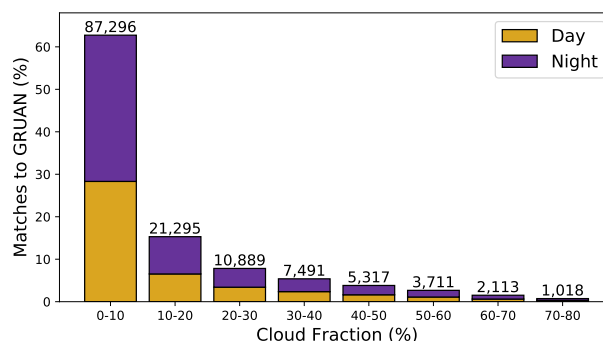
IMS profile biases as a function of cloud fraction at GRUAN sites



**Figure 7.** IMS water vapour (left-hand-side) and temperature (right-hand-side) profile biases as a function of cloud fraction for all sites, calculated for 10% cloud fraction bins for each tropospheric pressure layer. Differences between daytime and night-time to the all sites bias are shown for water vapour and temperature respectively.

The split of matches into daytime and night-time cases also reveals a diurnal dependence of the cloud fraction and observed biases. From visual inspection, an apparent 1:1 gradient (running bottom left to top right) is observed in both scenarios, splitting behaviour seen in day and night cases relative to the cumulative result. Daytime biases are up to 3.88% drier relative to those seen for the global all-site result above the 1:1 split, while below it, wetter biases are seen for daytime matches with larger differences of 5.08% to 6.91% observed. The inverse of this relationship is seen for night-time results. The region above the 1:1 gradient is wet biased by up to 2.29% relative to all matches and dry biased below 1:1 division. Biases observed in this region again see larger differences in all matches for night-time cases, with a maximum difference of -4.45% seen in the lowest tropospheric layer (1000-925 hPa).

The diurnal pattern for temperature biases displays a more monotonic behaviour than water vapour. Daytime biases are almost exclusively colder than the all matches result, while night-time biases are more warm-biased. In both scenarios, the



**Figure 8.** Stacked histogram of IMS collocations to GRUAN sites split into 10% cloud cover intervals. Results are separated in to day and night cases with the total number of matches shown at the top of each bar. Further subdivision of the first bin (0-10%) can be seen in Figure B1 of the Appendix.

lower troposphere (below 850 hPa) with cases up to 20% cloud cover shows the greatest differences of -0.28 K and 0.3 K for day and night matches, respectively.

340 Figure 8 illustrates sampling across the cloud fraction bins in absolute and relative terms. Over 60% of all matches are found for scenes with 0-10% cloud cover, with 40% of all those cases found to be when the IMS IFOV is 0-1% (e.g. clear-skies). The number of matched pairs drops off significantly with increasing cloud fraction. For the highest cloud cover category (70-80%) only 0.7% of cases remain. While sampling of cloud cover reduces in frequency as cloud fraction increases, relative sampling between day and night scenes for each bin is reasonably consistent with an average 43%/57% split respectively.

## 345 5 Validation over ARSA sites

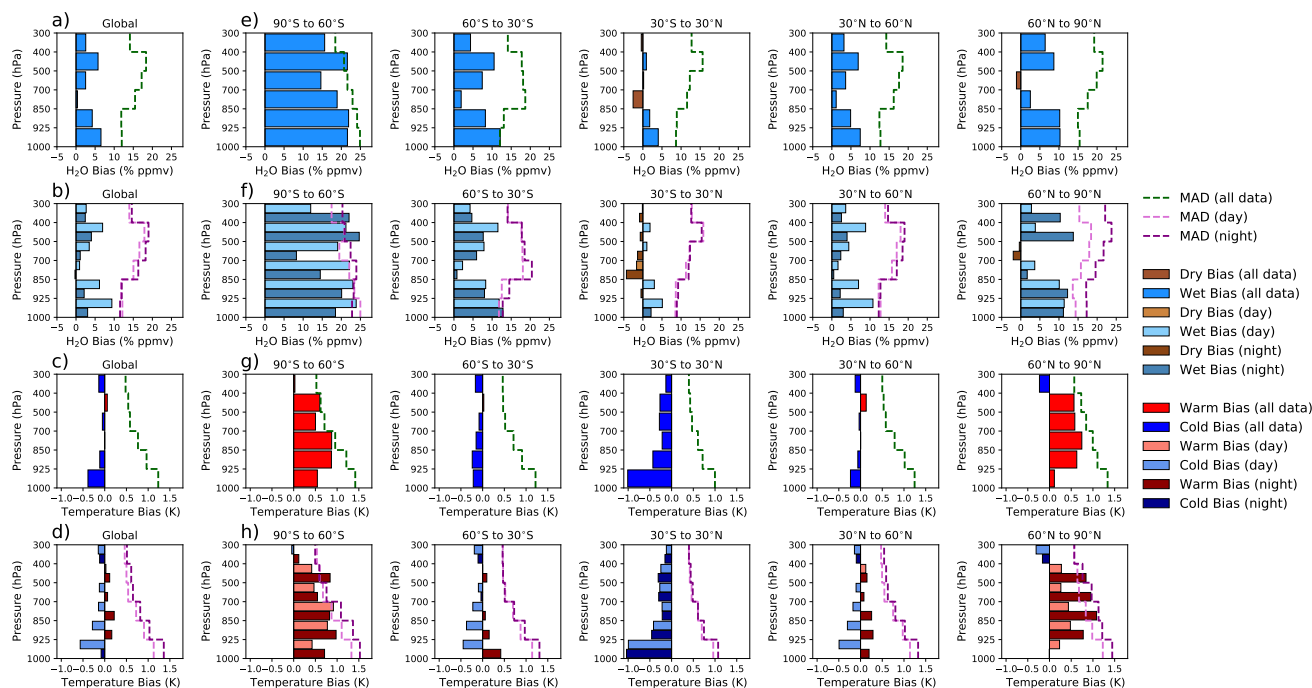
While radiosonde archives such as ARSA do not contain the same level of fiducial information as GRUAN, a key advantage is greater global sampling. A multi-year time series can yield match-up numbers 1 to 2 orders of magnitude greater than with a smaller network like GRUAN. Therefore, analysis against GRUAN expands on the global results by splitting match-ups into five latitudinal bands. Finally, global and latitudinal bias trends are examined to assess the stability relative to Global Climate  
350 Observing System (GCOS) requirements.

### 5.1 Global Profile Biases

Collocation of IASI soundings against ARSA radiosonde measurements between 1<sup>st</sup> June 2007 and the 31<sup>st</sup> of December 2016 yields over  $1.2 \times 10^6$  matched pairs for analysis, with a 59% and 41% split between day and night overpasses respectively. Water vapour profiles are wet-biased between 0.38 to 6.54 % ppmv relative to ARSA, with the larger biases seen in the 1000-925 hPa  
355 and 500-400 hPa layers (Figure 9a). Like comparisons to GRUAN, the smallest bias is seen in the mid-troposphere. The spread of biases measured by the median absolute deviation shows the same behaviour as GRUAN results, with values ranging from



Global and Latitudinal Band Comparisons of IMS q and T Profiles at ARSA sites



**Figure 9.** IMS profile biases relative to ARSA radiosonde measurements. Profile biases are shown for all global water vapour (a) and temperature (c) results as well as the split between day and night biases (b & d respectively) in the same manner as Figures 5&6. Biases for five broad latitude bands are also shown for water vapour and temperature comparisons (e & g). Day/night biases for each latitude band are also given in f & g for water vapour and temperature profiles respectively. Median absolute deviations (MAD) are shown as dashed lines for all matches and the day and night split.

11.85 to 18.35 % ppmv and the larger values occurring between 850-400 hPa. Daytime cases dominate the observed wet bias at each layer seen in the all matches result, with a maximum of 9.39 % ppmv seen in the lowest tropospheric layer. In contrast, night-time biases drop below 4 % ppmv, with all layers showing lower biases than the ‘all matches’ and daytime results. In the  
 360 mid-troposphere, the night-time is again the smallest in magnitude, though it switches from a wet to a dry bias (Figure 9b).

Temperature profile biases are found to be within -0.39 K to 0.06 K relative to ARSA with a predominant cold bias (Figure 9c). The observed biases’ variation is highest in the surface layer, with a median absolute deviation of 1.13 K. The magnitude of the layer median absolute deviations reduces in altitude, with a value of 0.46 K seen in the upper tropospheric layer (400-300 hPa). Figure 9d highlights that daytime matches dominate IMS profile cold bias seen for all matches, while night-time  
 365 matches exhibit a small warm bias (0.07 K to 0.22 K) between 925-400 hPa. With more than  $2 \times 10^5$  more matched pairs, daytime median absolute deviation values are constantly lower than those calculated for night-time collocations. However, these differences are less than 0.12 K on average.



IMS water vapour and temperature profile biases as a function of cloud fraction at ARSA sites



**Figure 10.** Cloud fraction effects on IMS biases relative to ARSA radiosonde water vapour and temperature profiles. Results here are broken down into; global water vapour and temperature biases (a, d), daytime differences to global biases (b, e), night-time water vapour and temperature bias differences (c, f), and biases calculated for 5 broad latitudinal bands (g, j) and the day and night-time differences (h,i & k,l respectively).



Figures 10a-c show the impact of cloud fraction on the IMS water vapour profiles biases on all, day and night matches respectively. In general, increasing cloud amount slightly reduces the wet bias relative to clear skies below 850 hPa, while  
370 increasing the wet bias between 850-400 hPa. This pattern is also observed for daytime collocations, though biases are wetter by up to 4.5 % ppmv than those seen for all matches. Similarly, night-time collocations show the same behaviour, except biases tend to be drier relative to all matches by as much as -3.35 % ppmv.

Figures 10d-f, for all, day and night cases, respectively, the same results for temperature biases. The cloud fraction impact on all matches shows an average warm bias of 0.2 K, with a maximum of 0.44 K for cloud fractions above 10%. In the upper  
375 tropospheric layer (400-300 hPa), the cold bias increase in magnitude by  $\approx 0.1$  K with increasing cloud fraction within the field of View (FOV). Separation of the diurnal effects cloud fraction on temperature profile biases has the same behaviour seen over GRUAN sites. Daytime biases are colder relative to the all-site result by up to -0.37 K, while night-time biases are warmer by as much as 0.4 K. The larger differences in both cases are seen below 700 hPa, nearer to the surface and for cloud fractions greater than 30%.

## 380 5.2 Latitudinal Dependence on Biases

To investigate how biases change with latitude, collocations are binned into five broad bands that span 90°S-60°S, 60°S-30°S, 30°S-30°N, 30°N-60°N, and 60°N-90°N. Due to the disproportionate distribution of global radiosonde sites (see Figure 4b) the percentage of match-ups are split 0.8%, 4.7%, 21.7%, 62.9%, and 9.9% between the bands respectively. Once separated, matches were processed in the same manner to global results to produce biases for all, day, and night cases and cloud fraction  
385 dependence.

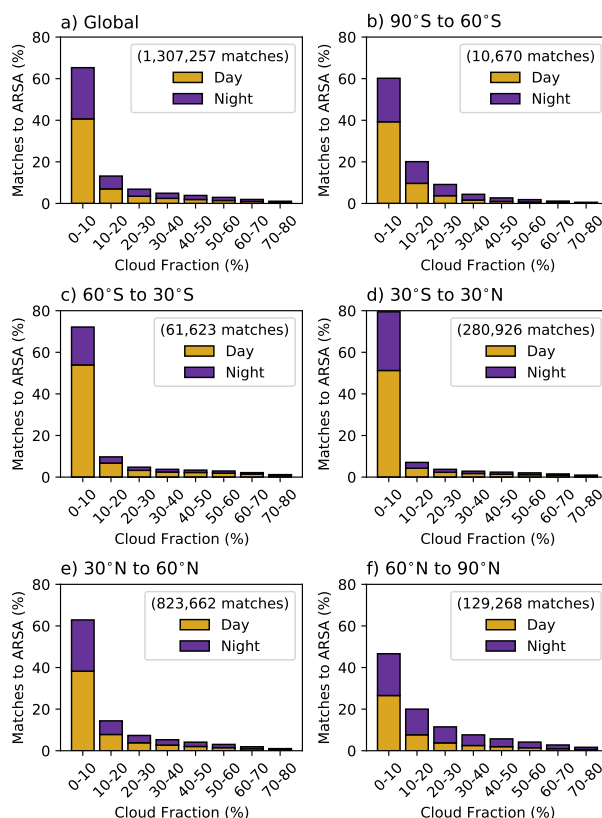
Figure 9e shows IMS water vapour profile biases per latitude band for all matches. The largest biases are observed between 90°S-60°S, where values are  $>20$  % ppmv in most layers. However, there are only ten sites along the Antarctic coastline at this latitude. The mid-latitude bands show similar performance with wet biases between 1-12 % ppmv, with the largest biases seen in the 1000-925 hPa surface layer. The northern mid-latitude band is slightly higher performing with wet biases 3% ppmv  
390 lower on average. Biases in the tropical band are the lowest ranging between -2.6 and 4 % ppmv below 700 hPa and -0.4 and 0.9 % ppmv above 700 hPa. Finally, the Arctic band (60°N-90°N) sees predominately wet biases below 10 % ppmv with a small 1 % ppmv dry bias seen between 700-500 hPa. All bands show the same median absolute deviation distributions with varying magnitude except for the Antarctic band, where the highest values are seen at the surface (25 % ppmv), reducing with altitude. The daytime wet bias dominance observed in the global all result (Figure 9b) is also seen in the northern mid-latitude  
395 band. This is not coincidental, as 60% of all daytime cases are found between these latitudes. The other latitude bands show differing patterns, though most of all biases are wet relative to ARSA. The main exception to this behaviour is in the tropics, where night-time values above 850 hPa (free troposphere) are dry biased up to 4.3 % ppmv. Examination of the impact of cloud fraction shows Northern Hampshire mid-latitudes strongly influence what is observed for all global results (Figure 10g). At other latitudes, comparisons to ARSA generally present wetter biases except for the Tropics. Here we observe the (overall)  
400 lowest wet biases and a persistent dry bias between 850-700 hPa. The strong wet biases seen below 60°S in Figure 9e continues across all cloud amounts. It is worth noting that strong wet biases can be correlated with low sampling, especially in low and



upper tropospheric layers. Diurnal behaviour seen for changes in cloud fraction in the global comparisons to ARSA prevails when split in latitude bands with one key difference. At high latitudes above 500 hPa biases show opposing results. Where daytime biases are normally wet, relative to global results become dry biased. For night-time, the inverse is observed where  
405 the expectation is that biases are drier relative to the global result and are now wetter. This effect could arise from upper tropospheric layer sensitivity to the tropopause and lower stratosphere, similar to which has been observed for AIRS (Trent et al., 2019).

Results for IMS temperature profile comparisons to ARSA radiosondes for different latitude bands and the split between day and night match-ups are shown in Figures 9g&h respectively. Relative to ARSA, IMS shows a dominant cold bias at tropical  
410 and mid-latitudes. Here values range from -1.01 K in the surface layer in the tropics to 0.13 K in the mid-to-upper troposphere between 30°N-60°N, with an average bias of -0.2 K. Whereas, at high latitudes, a warm bias is observed with maximum values between 0.74-0.87 K. However, unlike in lower latitudes, polar biases peak throughout the free troposphere rather than the surface layers. Analysis of diurnal biases shows that outside tropical latitudes, night match-ups are consistently warm biased, with a strong dominance above 60°N. Mid-latitude daytime biases are cold relative to ARSA temperature profiles, where the  
415 distributions and magnitudes negate the warm biases in the 'all' match-up results. In the tropics, day and night-time biases are similar, with the magnitude of the night-time results in only 0.03 K colder on average relative to daytime biases. The spread of biases exhibits a high-level consistency across the latitudinal bands relative to both one another and the global results. Median absolute deviation values range from 0.96-1.3 K near the surface, degrading to 0.4-0.57 K in the upper troposphere. Like the global results, night-time variability is higher than daytime matches, reaching a maximum of 0.26 K in the lower troposphere.

420 Temperature biases as a function of cloud are shown in Figures 10j-l for all, day and night differences, respectively. Like water vapour, temperature biases for the 30°-60° band show a strong resemblance to the global all matches result (Figure 10d). The significant influence of cloud fraction on temperature profile biases is observed at high latitudes. Polar temperature biases can become increasingly warm biased as cloud amount within the IFOV increases. There is also a vertical dependence on this behaviour as the sensitivity to cloud fraction reduces with altitude, i.e. the surface layer biases respond continuously  
425 to cloud fraction, while other altitudes peak at lower cloud amounts. Temperature biases for the surface layers compared to ARSA soundings reach 1.5 & 3.3 K for Northern and Southern polar sites respectively. Diurnal patterns generally follow the global results with warmer night-time biases compared to daytime collocations. However, there are a few occasions where this behaviour is flipped. Most notable are the matches between 90°S and 60°S, where daytime near-surface layers show biases peak differences 1.36-1.77 K warmer relative to night-time biases for cloud fractions above 40%. The second region is the Northern  
430 polar region where the surface tropospheric layer, the magnitudes are similar though reversed in sign. This suggests that cloud dependence observed in the global results has no diurnal dependence, whereas the Southern Hemisphere does exhibit a diurnal dependence for bias changes to cloud fraction. The final region to note is found above 500 hPa in the Tropics, which shows the inverted general behaviour in a consistent manner across all cloud fraction amounts. Differences in diurnal biases at these altitudes are within 0.25 K of one another. Finally, the strongest diurnal bias differences to 'all' matches are found nearer the  
435 surface at all latitudes except for collocations above 60°N. The largest differences are observed between 850-400 hPa for cloud fractions below 40%. Relative to water vapour, temperature profile biases exhibit greater complexity in the presence of varying



**Figure 11.** As Figure 8, binned sampling of IMS collocations over ARSA sites for global and split into the five latitudinal bands used in this study. The total number of collocations is shown in the legend for each subplot.

cloud amounts. As with GRUAN, collocations made over ARSA sites show disproportionate sampling under different cloud fraction amounts, with the highest frequency always seen for cloud fractions below 10% (Figure 11). Furthermore, daytime match-ups at ARSA sites dominate across all bins, whereas for GRUAN it was night-time collocations. With the separation of the ARSA results into the five latitudinal bands, any sampling bias will have a higher impact due to the lower collocation numbers outside of 30°N-60°N.

### 5.3 Bias Stability

The final analysis performed on IMS profiles looks at the stability of the biases over the study period (June 2007- December 2017). The Global Climate Observing System (GCOS) sets performance requirements for essential climate variables (ECVs), which for water vapour and temperature profiles are 0.3 %/decade and 0.05 K/decade respectively (GCOS, 2016). It should be noted that the unit for water vapour is in absolute units (e.g. ppmv) rather than relative humidity (% RH). Monthly median biases were first calculated for global and latitudinal banded results, and the trends were calculated using equation 18. For





**Table 3.** Stability of IMS water vapour profile biases at ARSA sites given as the trend in % ppmv/decade. Trends are reported for all global matches and a breakdown for each of the five broad latitude bands. Values denoted with \* are outside the 95% confidence interval.

Site	Global	90°S-60°S	60°S-30°S	30°S-30°N	30°N-60°N	60°N-90°N
400-300 hPa	0.79±0.83	-10.71±4.45*	-0.29±0.73	0.57±0.66	2.04±0.99	-0.29±1.10
500-400 hPa	-1.76±1.19	-10.18±5.36*	-2.65±1.64	-2.52±1.23	-0.38±1.32	0.56±1.41
700-500 hPa	-1.34±1.34	0.11±4.91*	-3.32±1.55	-2.23±1.03	-0.74±1.38	1.20±1.81
850-700 hPa	0.93±1.01	-5.94±4.63*	0.74±1.40	-1.32±0.89	2.16±1.62	3.38±1.84
925-850 hPa	0.21±0.69	0.97±3.34*	1.42±1.09	-1.22±0.78	0.86±0.97	1.41±1.22
1000-925 hPa	-0.25±0.91	2.61±3.53*	1.31±0.93	-2.31±1.05	0.61±0.84	0.96±1.26

the linear trend model, we follow the same approach used within the Global Energy and Water Exchanges (GEWEX) water vapor assessment (G-VAP) is followed where four frequencies and the strength of the El Niño Southern Oscillation are fitted simultaneously as part of the regression. A correction is also applied to the trend uncertainty for autocorrelation (for details, please see Schröder et al. (2019)). While the record analysed is only 9.5 years, results are scaled to give the stability per decade for comparison to GCOS requirements.

Results for water vapour profile bias stability is given in Table 3. Global comparisons show that biases below 850 hPa (by magnitude) are within 0.3 % ppmv/decade. Above this altitude, the bias trend increases and switches sign before reducing in the upper tropospheric layer and becoming positive again. When broken down into the five latitude bands, stability performance becomes more complex, with broad variability for the calculated trends ranging from  $-10.71 \pm 4.45$  to  $3.38 \pm 1.84$  % ppmv/decade. Negative trends between 850-1000 hPa observed in the tropics influence global results. A key point to note is that though several signals are fitted to the bias time series, a significant amount of noise remains. Only 47% of results are outside the uncertainty, and these tend to be where lower performance is observed, e.g. 90°S-60°S. The bias trends for the Antarctic latitudinal band, while exhibiting strong negative gradients, have little impact on the global result, reassuring as they are all outside the 95% confidence interval. For results within the 95% confidence interval, their uncertainties on water vapour bias trends range from 0.66-1.84 % ppmv/decade.

Table 4 gives the bias trends for IMS temperature profiles for both global and latitudinal band match-ups. Trends range between  $-0.41 \pm 0.36$  and  $0.31 \pm 0.24$  K, with only two layers in the mid-to-upper troposphere between 90°S-60°S falling within GCOS requirements. The surface two layers in the global results are close to the 0.05 K/decade requirement. However, they are inside of the trend uncertainty. Like the water vapour results, only 47% of trends are outside the noise. The vertical pattern in global trends is matched in latitudinal results between 60°S to 60°N, with positive trends between 1000-850 hPa and negative trends above 850 hPa. At polar latitudes, tropospheric temperature bias trends are predominately positive, with the stronger gradients observed in the Northern Hemisphere.



**Table 4.** As Table 3 but for temperature profile biases. Trends are given in K/decade.

Site	Global	90°S-60°S	60°S-30°S	30°S-30°N	30°N-60°N	60°N-90°N
400-300 hPa	-0.12±0.12	-0.18±0.15	-0.18±0.12	-0.26±0.16	-0.11±0.09	0.31±0.24
500-400 hPa	-0.23±0.21	0.00±0.38	-0.28±0.11	-0.33±0.31	-0.17±0.25	0.28±0.79
700-500 hPa	-0.32±0.18	0.03±0.22	-0.34±0.15	-0.41±0.36	-0.28±0.13	0.19±0.87
850-700 hPa	-0.14±0.21	0.22±0.39	-0.13±0.16	-0.21±0.14	-0.12±0.27	0.27±0.97
925-850 hPa	0.08±0.15	0.26±0.49	0.13±0.18	0.11±0.24	0.07±0.17	0.30±0.59
1000-925 hPa	0.10±0.27	0.15±0.41	0.28±0.18	0.12±0.10	0.13±0.24	0.31±0.28

## 470 6 Discussion and Conclusions

The EUMETSAT Polar System (EPS) programme, which began in 2006 and will run until 2027, consists of the Meteorological operational satellite (Metop) series of platforms; Metop-A, Metop-B and Metop-C. Continued through the EPS Second Generation programme, the data record from this satellite series will provide a continuous data record out to 2045. Therefore, Metop data products are an invaluable source for climate data records (CDRs). To date, IASI water vapour and temperature profile data analysis has been performed from four additional sources. The main operational source comes from the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT), with the other datasets available from National Oceanic and Atmospheric Administration (NOAA), Laboratoire atmosphères, milieux, observations spatiales (LATMOS)/Université libre de Bruxelles (ULB; <https://iasi-ft.eu/products/atmospheric-temperature-profiles/>), and Karlsruhe Institute of Technology (KIT) as part of the MULTI-platform remote Sensing of Isotopologues for investigating the Cycle of Atmospheric water (MUSICA) project (Schneider et al., 2016).

Early assessment of the EUMETSAT operational processor L2 during the Joint Airborne IASI Validation Experiment (JAIVEx) revealed Relative Humidity (RH) profiles to be dry biased near the surface (-10% RH) and at altitudes above 12 km (-10 to -5% RH), with wet biases in the free troposphere reaching 5% RH. Temperature profiles were shown to be cold biased and within 1 K of radiosonde measurements below 12 km (Zhou et al., 2009). A second presented in Pougatchev et al. (2009) looked at 650 collocations over Lindenberg, Germany. IASI RH profiles showed a predominate wet bias within 10% RH between 800-300 hPa, with a maximum of 20% RH observed between 300-200 hPa. Temperature profile biases were shown to exceed 2 K near the surface. In contrast, between 950-100 hPa, biases oscillate between  $\pm 0.5$  K. Further analysis of EUMETSAT IASI water vapour over the Tibetan Plateau revealed profile biases within 25% g/kg of RAOB radiosondes, with RMS differences between 20-50% g/kg (Ting et al., 2013). Differences in observed bias strongly depended on seasonality, with warmer months generally wet biased at altitudes lower than 600 hPa, while colder months exhibited a dry bias. While August et al. (2012) present results of temperature and water vapour profile comparisons to reanalysis, they are not included as part of this discussion as we consider these to be inter-comparisons rather than validation. The most recent validation results for the operational IASI product can be found in the L2 validation report (EUMETSAT, 2018). Here, comparisons to global radiosondes show temperature profile biases within  $\pm 0.5$  K (predominately cold biased) and water vapour profiles are within 0.1-0.2 g/kg, dry biased in the lower troposphere and wet biased at altitudes above 800 hPa.



Divakarla et al. (2011) compared NOAA processed IASI/MHS and AMSU-A water vapour and temperature profiles to RAOB radiosonde soundings using the same collocation criteria as our study. Match-ups were split into four groups; i) clear-sky ocean, ii) clear-sky all surfaces, iii) cloud cleared ocean, and iv) cloud cleared all surfaces. The term cloud cleared refers to the method outlined in Susskind et al. (2003) for treating IR measurements in cloudy scenes when used in conjunction with MW radiances. Results showed RMS differences of between  $\approx 15\text{-}40\%$  RH and 1-1.5 K between the surface and 300 hPa for water vapour and temperature, respectively. Temperature profiles were shown to have slightly higher performance in clear-sky scenes, while water vapour had better accuracy in cloud-cleared cases.

Further analysis of NOAA IASI product over East Asia Kwon et al. (2012) showed relative humidity biases with respect to RAOB radiosondes are within  $\pm 5\%$  RH over all surfaces except land, where biases rise to  $\approx 8\%$  RH. Temperature profile biases were  $\pm 0.5$  K between the surface 800-200 hPa. Nearer the surface, temperature profile biases can exceed 2 K. Wet, and warmer biases were observed in drier atmospheres and similar performance was seen across differing cloud fraction amounts.

The study from Bouillon et al. (2022) presents the most comprehensive analysis of IASI temperature profiles, by comparing 13 years of retrievals using an artificial neural network (ANN) with ARSA, European Centre for Medium-Range Weather Forecasts (ECMWF) reanalysis (ERA5; (Hersbach et al., 2020)), and the climate data record (CDR) of allsky IASI temperature profiles from EUMETSAT (released 2020; [https://doi.org/10.15770/EUM\\_SEC\\_CLM\\_0027](https://doi.org/10.15770/EUM_SEC_CLM_0027)). Results from this study found; i) good agreement between all four data sets, especially between 7-750 hPa, ii) differences between ARSA and ERA5 were less than 0.5 K over the majority of latitudes and pressure levels, and iii) a warming trend in tropospheric temperature of 0.5 K/decade at mid-latitudes and 1 K/decade at the poles due to Arctic amplification. Finally, Validation of MUSICA IASI (IR only) water vapour profiles at selected GRUAN sites showed biases with 11% below 12 km, a stronger dry bias was observed at higher altitudes of up to 21% ppmv Borger et al. (2018). With the MUSICA IASI scheme only using IR information, these biases represent clear-skies only.

This study has assessed 9.5 years of IMS water vapour and temperature profiles co-retrieved from IASI, MHS and AMSU-A onboard the Metop-A platform. This dataset was produced as part of the ESA Water Vapour Climate Change Initiative (WV\_cci). A database of match-ups was collected over GRUAN and ARSA radiosonde sites for IASI footprints within 100 km and  $\pm 3$  hrs of launch. These broad collocation criteria allow multiple IMS profiles to be averaged over each site to reduce the collocation uncertainty. IMS averaging kernels were applied to all matched radiosonde profiles, smoothing the higher (vertical) resolution *in situ* measurements to the satellite vertical atmospheric sensitivity, thus allowing for like-for-like comparisons. Evaluation of IMS performance was conducted for 'global' matches, day/night differences, the impact of cloud fraction, and site-to-site differences. From the results gathered, the main conclusions from this study are:

- Global biases calculated from 9.5 years of matches made at both GRUAN and ARSA sites are within performance goals of 10 % ppmv and 1 K for water vapour and temperature profiles respectively (Hilton et al., 2012). The strongest wet biases for collocations made at GRUAN sites can be found in the upper troposphere, while the lower tropospheric layers exhibit the wetter biases for ARSA stations. Temperature profiles are predominately cold-biased relative to ARSA. In contrast, a small warm bias is found for GRUAN comparisons in the mid-to-upper troposphere.



- 530 – Although global results are within desired performance limits, site-to-site differences are observed for GRUAN sites. Likewise, biases reported as a function of latitude relative to ARSA profiles increase in magnitude moving towards higher latitudes. Some of this variability can be explained by sampling differences, especially for GRUAN, where a difference of three orders of magnitude between match-ups can be found. However, the ARSA results indicate a latitudinal dependence of biases related to total column concentrations (e.g. Roman et al. (2016)).
- 535 – Water vapour profiles bias for global GRUAN matches between the surface and 850 hPa show a strong daytime dependence, with corresponding night-time biases within  $\pm 0.3$  % ppmv. However, night-time biases become increasingly dominant in layers above 700 hPa. Temperature biases in layers up to 500 hPa are warm-biased at night and cold-biased for daytime matches. Overall, night-time temperature profile biases dominate the global results.
- 540 – Globally, biases from ARSA match-ups have consistent diurnal patterns with those made at GRUAN sites. However, daytime data dominates (cold) temperature biases rather than night-time match-ups. On latitude bands, this daytime dominance in temperature profile biases is being driven by tropical and mid-latitude collocations.
- 545 – Further to the diurnal (polar summer/winter) effects on reported biases, cloud fraction as has an additional impact. For water vapour profile match-ups, daytime water vapour biases relative to ARSA tend to be wetter than global (daytime) results under all cloud fraction amounts. Similarly, cloudy night-time matches are drier than those shown in Figure 10d. This pattern is consistent for matches between  $\pm 60^\circ$ , although it breaks down for polar sites. For GRUAN collocations, a slightly different pattern is observed. Profiles become drier relative to global results with increasing cloud fraction in the mid-to-lower troposphere, with the upper troposphere biases consistently wetter. This pattern is inverted for night-time match-ups. Cloud fraction impacts on temperature biases have better global consistency with cloudy scenes colder for daytime and warmer for night-time for both GRUAN and ARSA match-ups. However, as is shown by Figures 8 & 11
- 550 these results will include a sampling bias due to the relatively low numbers of matches over the differing cloud fraction amounts relative to cloud fraction below 10%. This feature in the data can partially be attributed to the fact that not every retrieval in the IMS product contains an averaging kernel, so we are limited in selecting profiles we can use in this study before quality filtering.
- 555 – Initial look into the stability of the IMS biases over the 9.5 year time series shows that most are outside of GCOS requirements. However, to the authors' knowledge, this is the first look at profile bias stability from nadir NWP satellites like IASI. Therefore, it is understood that while a longer time series is required to conduct this analysis, greater understanding is needed of the impact of collocation uncertainties on the trend. It is anticipated that this can explain the effects seen in mid-tropospheric values (Tables 3 & 4).
- 560 – Finally results from this study show the promise of satellite water vapour and temperature profile records for long-term climate studies. Therefore, the extension of combined IR+MW profile records forward in time through IASI-B/C, CrIS, IASI-NG, but also backwards with ATOVS is needed. A data set of this type would take the time series back to 1999 and out to 2045. The addition of new missions in to the time series will also capture greater diurnal variability for profiles



and TCWV. This final point would provide a new complimentary record for the existing SSM/I (SSMIS) record (ice-free oceans only), which could be used to extend coverage over land and possibly polar regions.

565 *Data availability.* The IMS Version-1 dataset is now archived at the Centre for Environmental Data Analysis (CEDA) <http://dx.doi.org/10.5285/489e9b2a0abd43a491d5afdd0d97c1a4> and made available to the CCI+ Water Vapour project <http://cci.esa.int/watervapour>. GRUAN data is freely available from the Climate Data Store <https://cds.climate.copernicus.eu/cdsapp#!/dataset/insitu-observations-gruan-reference-network?tab=overview>, while the ARSA radiosonde archive are accessible via registration at <https://ara.lmd.polytechnique.fr/index.php?page=arsa-registration>.

## Appendix A: GRUAN Site details

570 Information on the GRUAN radiosonde sites available at the time of this study are detailed in Table A1. Coincident data was found for all sites except Darwin (DAR) for the study time period June 2009 to December 2017.

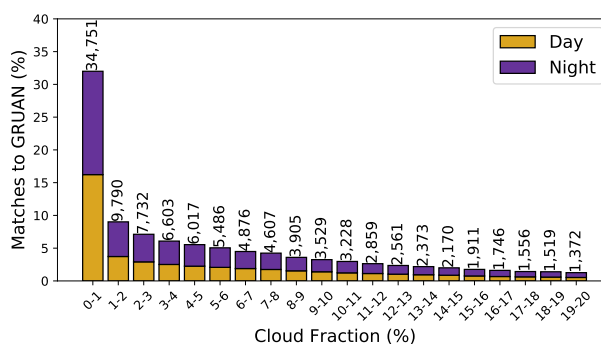
**Table A1.** Details of GRUAN sites used by this study. Station information has been taken from <https://www.gruan.org/network/sites>.

Code	Name	Latitude	Longitude	Altitude
BAR	Barrow, AK, USA	71.32°	-156.61°	8 m
BEL	Beltsville, MD, USA	39.05°	-76.88°	53 m
BOU	Boulder, CO, USA	39.95°	-105.20°	1743 m
CAB	Cabauw, Netherlands	51.97°	4.92°	1 m
DAR	Darwin, Australia	-12.43°	130.89°	30 m
GRA	Graciosa, Portugal	39.09°	-28.03°	30 m
LAU	Lauder, New Zealand	-45.05°	169.68°	370 m
LIN	Lindenberg, Germany	52.21°	14.12°	98 m
MAN	Manus, Papua New Guinea	-2.06°	147.42°	6 m
NAU	Nauru, Nauru	-0.52°	166.92°	7 m
NYA	Ny-Ålesund, Norway	78.92°	11.93°	5 m
PAY	Payerne, Switzerland	46.81°	6.95°	491 m
POT	Potenza, Italy	40.60°	15.72°	720 m
REU	La Réunion, France	-20.89°	55.49°	13 to 2200 m
SGP	Lamont, OK, USA	36.60°	-97.49°	320 m
SOD	Sodankylä, Finland	67.37°	26.63°	179 m
TAT	Tateno, Japan	36.06°	140.13°	27 m
TEN	Tenerife, Spain	28.32°	-16.38°	115 m



## Appendix B: Sampling over GRUAN sites in low cloud fractions

To illustrate the disparity between the number of near clear-sky collocations and those under increasing cloud fraction, the match-up statistics from Figure 8 for the first bin (0-10%) can be further broken down into 1% cloud fraction bins. Shown in Figure B1, it can be seen that approximately 40% relative of match-ups in the 0-10% bin can be attributed to clear skies (0-1%).



**Figure B1.** As Figure 8, stacked histogram of IMS collocations to GRUAN sites split into 1% cloud cover intervals between 0-10%. Again, results are separated in to day and night cases with the total number of matches shown at the top of each bar.

*Author contributions.* TT and JR designed the original work package withIn ESA WV\_cci, which funded this study. TT conducted the validation work and wrote the first draft of the manuscript. BC and RS produced and supplied the IMS data as well as providing expertise on its use. NS provided the ARSA profiles and input on their use and limitations. MS provided input and detail surrounding the ATOVS record. All authors contributed to discussions on the results and revisions of the manuscript.

*Competing interests.* The authors declare that there are no competing interests.

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