An emulation-based approach for interrogating reactive transport models

1   **Authors:** Angus Fotherby[1*], Harold J. Bradbury[1,2], Jennifer L. Druhan[3], Alexandra V. Turchyn[1]

2   1: Department of Earth Sciences, University of Cambridge, Cambridge, UK

3   2: Department of Earth, Ocean and Atmospheric Sciences, University of British Columbia,

4   Vancouver, Canada

5   3: Department of Geology, University of Illinois at Urbana Champaign, Urbana, IL

6   *corresponding author: af606@cam.ac.uk

8   **Abstract**

9   We present an emulation-based approach to understand the interactions among different chemical

10   and biological processes modelled in environmental reactive transport models (RTMs) and explore

11   how the parameterisation of these processes influences the results of multi-component RTMs. We

12   utilize a previously published RTM consisting of 20 primary species, 20 secondary complexes, 17

13   mineral reactions and 2 biologically-mediated reactions which describes bio-stimulation using

14   sediment from a contaminated aquifer. We choose a subset of the input parameters to vary over a

15   range of values. The result is the construction of a new dataset that describes the model behaviour

16   over a range of environmental conditions. Using this dataset to train a statistical model creates an

17   emulator of the underlying RTM. This is a condensed representation of the original RTM that

18   facilitates rapid exploration of a broad range of environmental conditions and sensitivities. As an

19   illustration of this approach, we use the emulator to explore how varying the boundary conditions in

20   the RTM describing the aquifer impacts the rates and volumes of mineral precipitation. A key result

21   of this work is the recognition of an unanticipated dependency of pyrite precipitation on pCO_2 in the

22   injection fluid due to the stoichiometry of the microbially-mediated sulfate reduction reaction. This

23   complex relationship was made apparent by the emulator, while the underlying RTM was not

24   specifically constructed to create such a feedback. We argue that this emulation approach to

25   sensitivity analysis for RTMs may be useful in discovering such new coupled sensitives in

26   geochemical systems and for designing experiments to optimise environmental remediation. Finally,

27   we demonstrate that this approach can maximise specific mineral precipitation or dissolution

28   reactions by using the emulator to find local maxima, which can be widely applied in environmental

29   systems.

30   **Synopsis**

31   This study explores key factors regulating mineralization reactions in near surface environments

32   revealed by a machine learning approach to reactive transport modelling.

33   **1   Introduction**

34   Reactive transport modelling has been extensively applied across a wide variety of environmental

35   systems, providing a powerful means of quantifying, and even predicting, processes across Earth's

36   (near) surface environments (Richter and DePaolo, 1987; Bain et al., 2000; Johnson et al., 2004; van

37   Breukelen et al., 2004; Gaus et al., 2005; Torres et al., 2015; Li et al., 2017; Arora et al., 2020;

38   Molins and Knabner, 2020; Rolle and Borgne, 2020; Druhan et al., 2020; Cama et al., 2020).

39   Reactive transport models (RTMs) are constructed by combining multiple physical, chemical, and

40   biological processes to simulate the behaviour of environmental systems. As applications and

41   software have concurrently expanded (Steefel et al., 2015; Li et al. 2017; Maher & Mayer, 2019;

42 Druhan & Tournassatt, 2019), it is becoming increasingly common to explicitly calculate the rates of

43 production and consumption for a variety of coexisting chemical species, as well as their equilibria

44 with mineral phases, and their transport as they evolve in time and space. This type of multi-phase,

45 multi-component RTM is a type of forward modelling where the results of the simulation emerge

46 from a complex suite of interacting pathways, and hence the causes of observed behaviour are not

47 always obvious.

48 RTMs are often designed to describe the behaviour of specific field sites and systems. Due to their

49 process-based nature, designing RTMs requires selection of a suite of chemical reactions and

50 transport mechanisms which are thought to dominate the geochemistry of the system over the scales

51 of interest. However, the parameterisation of various selected processes is often not unique and can

52 impact system behaviour (Williams et al., 2011; Martinez et al., 2014; Seigneur et al., 2021; Steefel

53 et al., 2005a). To assess the impact of the choice of parameterisation and the values chosen for

54 different parameters on model predictions, sensitivity analyses are generally performed (Malaguerra

55 et al., 2013; Gatel et al., 2019). However, as RTMs become increasingly sophisticated, they

56 incorporate disparate processes that can interact with each other in complex ways (Dwivedi et al.,

57 2018; Hubbard et al., 2018, 2019; Maavara et al., 2021a, b; Dwivedi et al., 2017).

58 The sensitivity analysis of an RTM in application to a specific environmental system can elucidate

59 the relative importance of specific interactions; for example, testing the solubility of mineral phases

60 relative to changes in the solution chemistry. However, results might emerge that were not

61 anticipated. These results might represent a real, but unexpected, interactions in which case the

62 sensitivity analysis has yielded new insight into the system being modelled. Equally, the result might

63 represent an incorrect interaction between two different processes that are known to act

64 independently of each other, in which case the RTM can be improved. Unfortunately, due to the

65 computational expense of many modern multi-component RTMs (e.g. Abd and Abushaikha, 2021;

3

66 Seigneur et al., 2021; Gharasoo et al., 2022), it is normally impractical to perform sensitivity analyses

67 in more than a few dimensions and it is up to the investigator to use their knowledge of the system to

68 choose which sensitivity analyses are necessary to explore (Steefel et al., 2005b). Ideally, we would

69 be able to systematically perform sensitivity analyses over many model parameters, considering how

70 model outputs vary as a function of multiple input parameters simultaneously (i.e. in a multivariate

71 way), while also lightening the computational burden that commonly occurs when using inverse

72 modelling approaches implemented by codes like PEST and iTOUGH2 (Doherty, 2004; Finsterle et

73 al., 2017). Such a capacity could direct future laboratory-based investigations to test whether these

74 model results are real-world phenomena, ultimately offering improved parameterisation of critical

75 components within the reaction network.

76 Here, we demonstrate a method for exploring a wide variety of potential model parameters by

77 adopting an emulator-based approach. Ours is not the first work to apply emulators to RTM

78 simulations. Notably, a rich vein of research based around replacing the geochemical solver in RTMs

79 with an emulator has emerged over the past few years (see Laloy and Jacques (2021) and Kyas et al.

80 (2022), among others). However, the work presented here is less concerned with speeding up

81 individual RTM simulations as it is with developing new methods to explore geochemical parameter

82 spaces. We also investigate the effect of changing geochemical parameters on the overall outcome of

83 RTM simulations, with an eye towards predicting system outcomes in real world scenarios. This is

84 similar in nature to recent work conducted by Ahmmed et al. (2021), which explores the ability of

85 different machine learning methods to predict the degree of mixing and the progression of a

86 simplified, generic reaction ($A + B \rightarrow C$) in a finite element simulation, and we extend the idea of

87 predicting the final state of a simulation to published RTMs describing real world systems.

88 Such emulation approaches in predicting the outcomes of physical systems have a long history,

89 including applications in physics-based animation (Grzeszczuk et al., 1998),complex multi-physics

90 simulators (Lu et al., 2021; Bianchi et al., 2016), climate models (Beucler et al., 2019; Krasnopolsky

91 et al., 2005; Castruccio et al., 2014; Kashinath et al., 2021), and emulating fluid flow through

92 Dolomite using a neural network (Li et al., 2022). In an emulator approach, the underlying physical

93 system is approximated by a statistical model (the emulator) which can be evaluated more quickly

94 than a conventional forward model. How this emulator is constructed varies by implementation and

95 may encode assumptions about the underlying system to be modelled (e.g. conservation of energy

96 (Beucler et al., 2019)). In this study, we are primarily interested in exploring and emulating the

97 geochemical behaviour of RTMs; therefore we focus less on transport effects and restrict ourselves to

98 emulating one dimensional RTMs.

99 In our implementation the emulator is built by training a Gradient Boosted Trees (GBT) regressor

100 (Chen and He, 2015) on a synthetic dataset generated from the original RTM. By training such a

101 GBT model on the synthetic dataset generated by the original RTM, we create an emulator of the

102 original system. This emulation approach is general and can be applied to a wide range of RTMs,

103 using "off the shelf" statistical libraries, requiring no special construction of the statistical model

104 beyond the choice of some training parameters. This approach can identify the critical processes and

105 parameters within RTMs and address the requirement for comprehensive, multivariate sensitivity

106 analyses.

107 We first present a tool that automates creation of synthetic datasets: a Python wrapper for the RTM

108 software CrunchTope (Druhan et al., 2013; Steefel et al., 2015), which we have named Omphalos.

109 Omphalos edits and runs CrunchTope input files in an automated fashion, systematically changing

110 model parameters according to user specification. It then records the output data, along with the

111 corresponding model input parameters for later analysis. We then apply a machine learning method

112 (Gradient Boosted Trees) to these recorded inputs and outputs to create a predicative model that can

113 reproduce RTM outputs based on the input variables, which we term a Reactivate Transport

114 Emulator (RTE).

115 We suggest that our contribution to the development of Reactive Transport Emulators could be used

116 to direct new experimental investigation to identify and corroborate predicted dependencies;

117 providing multivariate analysis of RTMs and helping to identify effects that can, in the future, be

118 considered explicitly when developing new RTMs. In pursuit of this goal, we demonstrate our

119 emulator approach in application to an RTM built for biostimulation of a contaminated aquifer. We

120 also show an additional application of this approach to efficiently predict the condition which

121 maximises an RTM-predicted time-integrated rate over the set of chosen parameters. We also

122 present, in the Supplement, another example in application to a deep-sea sediment column.

123 **2   Description of the Case Study**

124 **2.1   Old Rifle Site, Colorado**

125 The Old Rifle site is located near Rifle, Colorado, USA. The location historically hosted a vanadium

126 and uranium ore processing facility, and the groundwater at the site remains high in aqueous

127 uranium. Oxidised uranium (U(VI)) is fluid-mobile and highly toxic, while reduced uranium (U(IV))

128 is much less soluble and forms stable precipitates such as uraninite (UO_2) (Anderson et al., 2003; Wu

129 et al., 2006; Dullies et al., 2010; Williams et al., 2011; Long et al., 2015). Thus, uranium reduction

130 has been suggested as a means for remediating uranium contamination in groundwater. It has been

131 shown that iron sulfide minerals ($FeS_{2(s)}$) aid the reduction of soluble U(VI) to insoluble U(IV)

132 precipitates even after active remediation has ceased (Komlos et al., 2008; Moon et al., 2010; Bargar

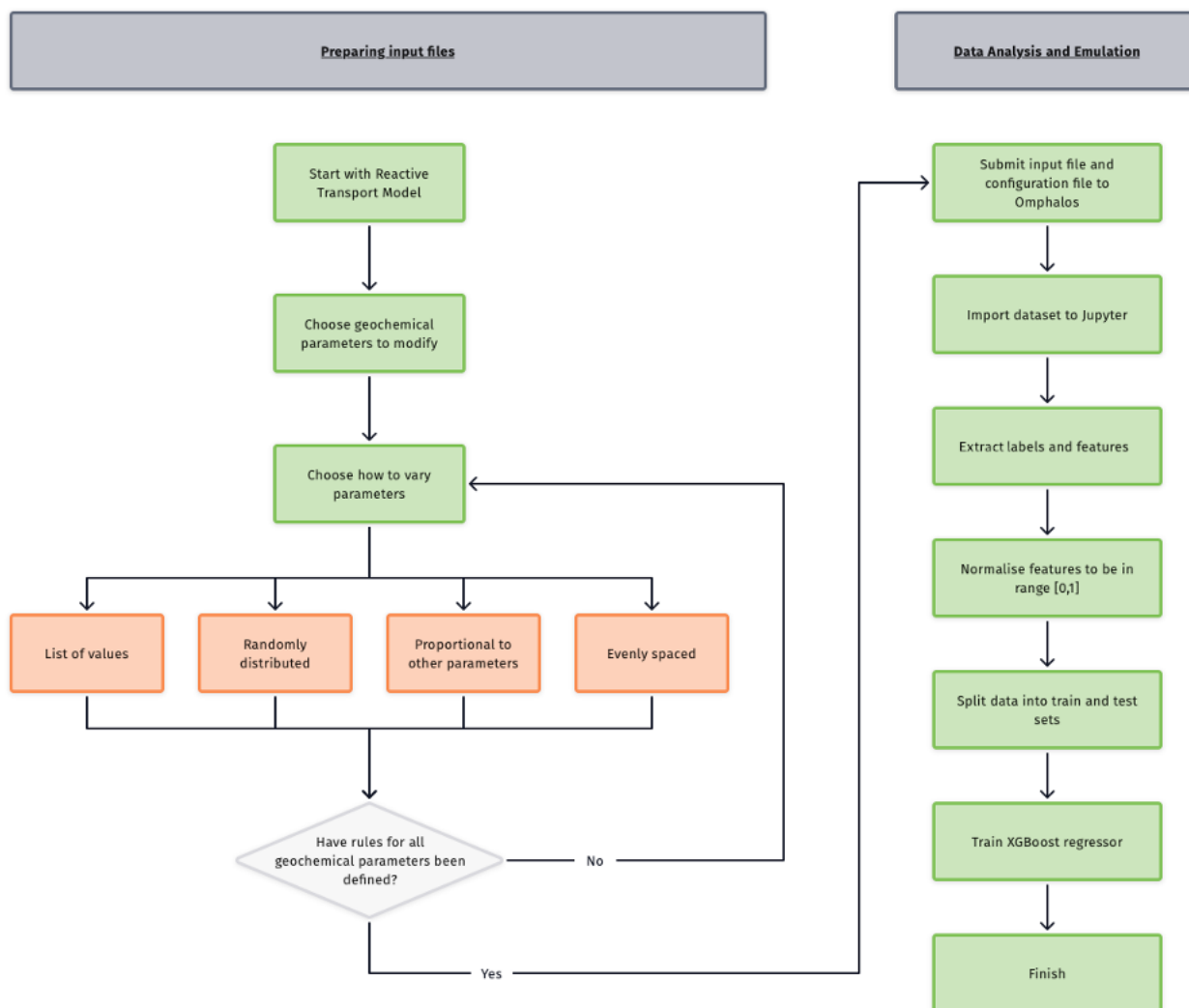133 et al., 2013; Long et al., 2015; Bone et al., 2017).

134 The RTM published for Old Rifle, upon which the RTE is based, was originally created as a

135 comprehensive model of microbial sulfate reduction and sulfide precipitation in Old Rifle sediment

136 during stimulation of microbial activity by amendment with $C_2H_3O_2^-$ (Druhan et al., 2014) (for a

137 schematic illustration of this RTM, see Fig. S3). In this context, we choose to vary the influent

138 boundary condition chemistry, representing changes to the chemical composition of the artificial

139 groundwater injectate. The original experiment was designed to model microbial sulfate and iron

140 reduction in the sediment; therefore, we use net amorphous iron (II) sulfide ($FeS_{(am)}$), and pyrite

141 ($FeS_{2(s)}$) precipitation (both hereafter referred to simply as 'pyrite') as an observable that will record

142 the sensitivities of the model predictions to changes in the injection fluid. We also demonstrate the

143 utility of the emulator in predicting the chemical composition of the injection fluid that will

144 maximise the volume of pyrite precipitated in the sediments when amended with a labile organic

145 carbon source via injection wells.

146 **3   Methodology**

147 **3.1   General Strategy**

148 To explore the dependence of the RTM on the chosen environmental variables, we begin with a

149 Monte Carlo approach; we draw random values for each parameter and record the model output

150 under that randomised condition. We then fit a model to this Monte-Carlo-generated dataset using a

151 GBT regressor. This fitting results in a model (our emulator—RTE) that reproduces the complex

152 interdependencies of chemical species that are encoded in the original, underlying, RTM. This

153 emulator can be interrogated to examine the dependence of the RTM outputs on the originally chosen

154 environmental variables in an efficient, multivariate way. This way of performing sensitivity analyses

155 has the potential to give insight into trends and relationships that would not be apparent otherwise

156 and ultimately allows us to investigate the sensitivity of the model outputs with respect to the RTM's

157   original parameterisation. First, we will describe how we use the Monte Carlo approach to generate

158   data and then how we fit a model to this data. The overall workflow is shown in Figure 1.

159

160   **Figure 1: Flowchart describing the overall reactive transport emulation workflow developed in**
161   **this study. It is divided into two key sections: preparation of the input reactive transport model**
162   **for submission to Omphalos, and the analysis and emulation of the resultant data.**

163   **3.2   Generating Data**

164   We use the open-source software CrunchTope as the reactive transport framework for the models in

165   this study. To generate the synthetic datasets necessary for our approach, and given the time-

166   consuming nature of generating a single point (requiring a complete run of the RTM, along with

8

167   modified boundary conditions), we developed a software package in Python to automate this process.

168   This software package can manage the automatic generation and submission of unique input files to

169   CrunchTope, as well as recording the output of each run, storing it in a manageable data structure for

170   future use. Use of the software package is straight-forward, requiring the configuration of a single file

171   listing which species/parameters are to be varied, and how they should be varied.

172   We have named this software package Omphalos (available for download—Sect. 6.1). Omphalos can

173   be run on clusters using Simple Linux Utility for Resource Management (Yoo et al., 2003) to execute

174   input files in parallel, or run locally with CrunchTope simulations on individual CPUs, which

175   considerably reduces the time required to generate large datasets. Omphalos works by taking random

176   values which are drawn from uniform distributions (other statistical distributions are possible) of the

177   chosen variables, sampling the space evenly. This provides a complete dataset for training the

178   emulator.

179   While the underlying principle of training emulators on synthetic data can be applied to any reactive

180   transport code, currently the software used to implement the approach is only compatible with

181   CrunchTope, because the input file reading and writing must be in a specific format. The approach is

182   readily generalized, however, and the methodology could be applied to any RTM software (e.g.

183   Geochemist's Workbench, TOUGHREACT), provided that the string input/output code is adapted

184   for compatibility.

185   **3.3   Application to Contaminated Aquifer Case Study**

186   We begin by applying the emulation methodology to our case study. To create the dataset for training

187   the emulator, we collected the results of 10,927 unique CrunchTope simulations based on the original

188   RTM describing Old Rifle using Omphalos, drawing random concentrations for five chosen species

189   (NH_4^+, SO_4^{2-}, Ca^{2+}, $C_2H_3O_2^-$, and pCO_2) in the boundary condition. Of these 10,927 runs, 9416

190   provide useable data because some runs fail to converge within the specified timeframe, or the

191   geochemical condition generated cannot be charge balanced. Excluding these runs helps ensure that

192   our dataset is kept realistic, because our RTM is built on a mechanistic understanding and

193   implementation of the physical processes at work in the system that have been validated in some

194   way. Therefore, runs that take excessively long to run are failing to converge in the simulation

195   scheme of CrunchTope, and hence likely to be unphysical in some way. Similarly, runs that fail to

196   speciate or charge balance indicate some kind of extreme physical condition that is unlikely to be

197   realistic and so are excluded. The concentrations for NH_4^+, SO_4^{2-}, Ca^{2+}, and $C_2H_3O_2^-$ are varied

198   between 0–30 mM. The pCO_2 is varied between 0–10 bar. We acknowledge that these ranges of

199   concentrations are somewhat higher than those that occur in natural systems, but we extend the range

200   to observe RTM behaviours at limiting concentrations. Related to this, it is possible for the dominant

201   reaction mechanism in a system to change under differing conditions (e.g. the change in calcite

202   dissolution mechanism as a function of pH (Dolgaleva et al., 2005)) and any such behaviour should

203   be explicitly encoded into the RTM, otherwise the emulator may give invalid predictions under

204   conditions that are far from the original model run. We have assumed in this study that the

205   mechanisms governing the precipitation of pyrite do not change under very low or very high

206   concentrations of these species.

207   The injection fluid was constrained at pH 7.2. This constraint, in conjunction with the concentration

208   of various species iterated in Omphalos, speciates according to CrunchTope's internal speciation

209   calculation. Therefore, for example, although the total amount of SO_4^{2-} in the injection will be

210   iterated in, and dictated by, Omphalos, the amount that speciates into other aqueous complexes (i.e.

211   secondary species) like HSO_4^- or $H_2SO_{4(aq)}$ is controlled by CrunchTope. For the sake of simplicity,

212   we will report the input concentration, not the concentration after speciation.

213 The RTM describing Old Rifle has 100 grid cells with a size of 1 cm. Each run of the RTM took

214 approximately 90 seconds, so the total time to generate the dataset was roughly four hours when run

215 on a remote machine with 200 CPUs. The number of runs was chosen as a balance between what was

216 computationally tractable and the ability of the emulator to achieve a good fit. We have intentionally

217 chosen to vary some chemical species in the influent boundary condition that do not play an obvious

218 role in the mineral precipitation process we are particularly interested in, namely, the precipitation of

219 pyrite in Old Rifle sediments (e.g., NH_4^+ or Ca^{2+}, respectively). We did this to see if we can use the

220 emulator to detect behaviour in the RTM beyond what we might initially hypothesise.

221 **3.4   Fitting the emulator**

222 We implement the GBT regressor using XGBoost (Chen and He, 2015) in Python. The code for

223 fitting the models is available in the Supplement. For a precis on GBT models, see the supplement

224 Sect. S1.2.

225 **3.4.1 Data Strategy**

226 Data generated by Omphalos was imported into a Jupyter notebook environment from the .pkl output

227 file. There are 9416 different input file runs in this data file, having excluded 1511 runs on the

228 grounds of them being unrealistic, as discussed previously. The relevant data was indexed out of the

229 data structure; in our case this meant the concentrations of NH_4^+, SO_4^{2-}, Ca^{2+}, and $C_2H_3O_2^-$ in the

230 boundary condition, as well as value of pCO_2. This results in a 5×9416 array of floating-point

231 numbers for the features. Each feature was then normalised to be in the range 0 to 1 for training. For

232 example, values of SO_4^{2-} concentration in the simulations were drawn randomly between 0 and 30

233 mM, so all SO_4^{2-} concentrations were divided through by 30 to have values in the range 0–1. We did

234 this to improve the training performance of the GBT model over different datasets (i.e. so that the

235 same GBT model can be applied to both the Old Rifle case study, and our supplementary case study

236 of ODP Site 1086 (see Supplement, Sect. 3).

237   Similarly, the relevant data was also extracted from the data file: for each cell in the gridded RTM,

238   we calculated the net pyrite precipitation over the course of the simulation, and then summed this

239   value over the column to get the net pyrite precipitated across the domain. This results in a 1×9416

240   array of floating-point labels to be predicted from the feature array. We scale this feature array by a

241   factor of 1×10^4 to avoid issues with small floating-point numbers in XGBoost.

242   We prepared these data for training the GBT regressor with a hold-out strategy using the

243   scikitlearn.train_test_split method, keeping 10% of the dataset back for validating the model. Data

244   was split randomly within the dataset. This means that 8474 randomly selected data points were used

245   to train the model and 942 randomly selected data points were used to test it by using the model to

246   predict a value based on the held back data and comparing the prediction to the true value.

247   **3.4.2 Training Strategy**

248   We use the data points generated by Omphalos to train an XGBoost regressor using squared error as

249   the loss function to predict the amount of pyrite precipitated in the column as a function of varied

250   species concentrations in the boundary condition. Squared log loss, and pseudo-Huber error were

251   also tried but squared loss performed best overall. Training curves showing the testing and training

252   loss as training progressions are given the supplement, Fig. S2.

253   Hyperparameter choices for the model are explained and given in the supplement, Sect. S1.3, Table

254   S1. The choice of hyperparameters is the same for each emulator model, and we are able to achieve

255   high quality fits using the default XGBoost regularisations, only changing a few settings relating to

256   tree growth policy. While it is a known problem in machine learning that the choice of optimal

257   hyperparameter is dependent on the data being modelled (Claesen and De Moor, 2015), it appears

258   that in the context of these RTEs, the hyperparameters chosen give a good fit for both Old Rifle and

259   our supplementary case study of ODP Site 1086: datasets describing very different natural

260 environments, with different length and time scales. This makes the workflow applicable across a

261 wide variety of reactive transport modelling domains.

262 It is possible that with more complex hyperparameter tuning, better emulator fits may be achieved,

263 but for the purposes outline in this paper, we suggest that this automated optimisation of a subset of

264 the available hyperparameters is sufficient, and represents a balance between emulator fit,

265 generalisability across differing RTMs, and time spent by the user.

266 **3.4.3 Model metrics**

267 We report our model goodness-of-fit to the underlying dataset as the R^2 value for the model, using

268 the built in XGBRegressor.score() method from XGBoosts Scikit-Learn API, on both the original

269 training dataset, as well as the 10% of the dataset held back for validation, show in Table 1. We also

270 report the root-mean-square error (RMSE) over both the training and validation datasets, calculated

271 using the Booster.eval() method. Training curves are shown in the supplementary, Figure S2. We

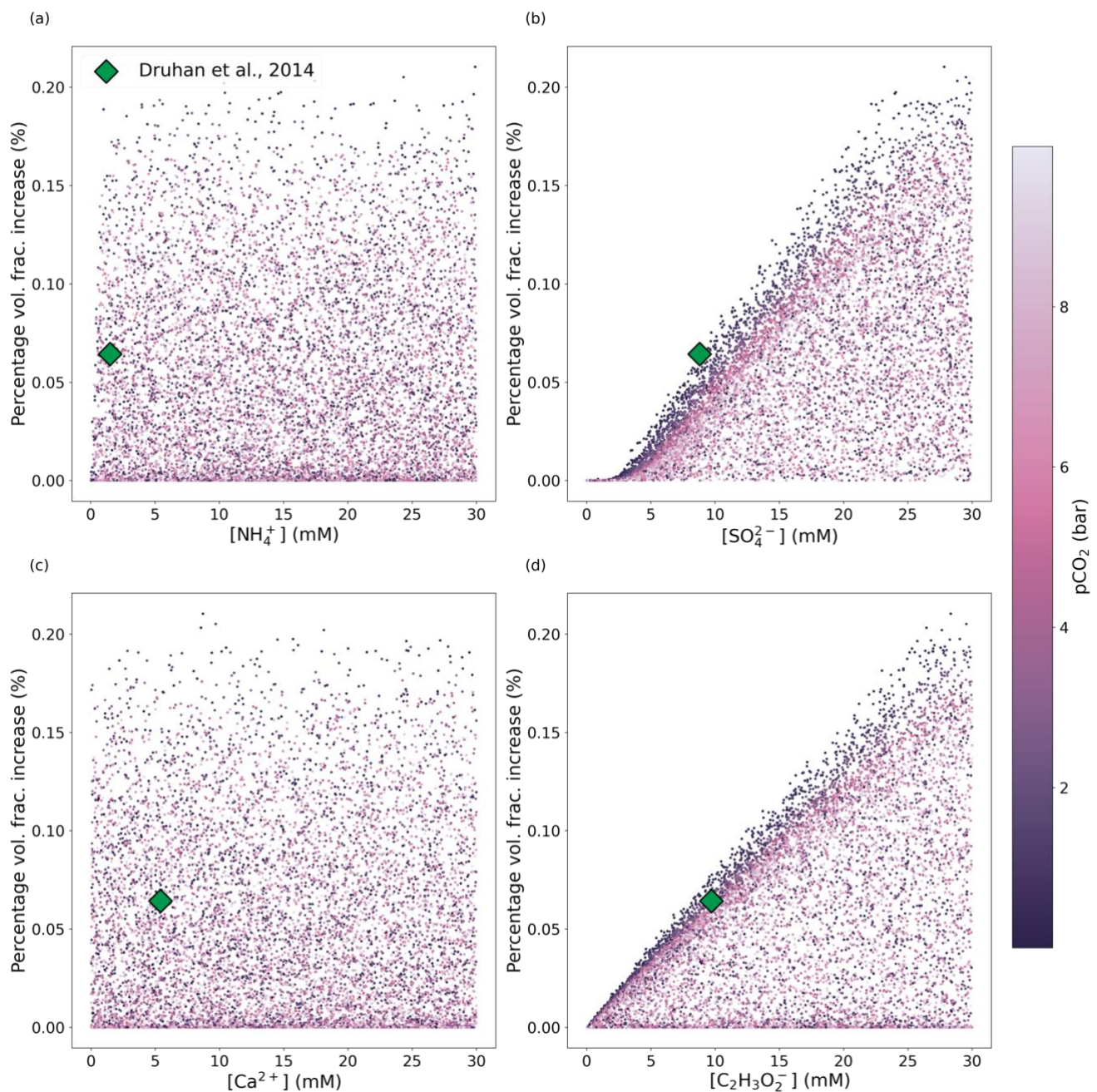272 report the same metrics for our second model in the supplementary, Table S4.

Dataset	R^2	RMSE
Training	0.99996	1.95012
Validation	0.99964	5.65848

273 **Table 1: Training and validation metrics for the XGBoost regressor model fit to the Old Rifle**
274 **dataset. R^2 represent a normalized measure of the fit quality, with the best possible score being**
275 **1. RMSE is the root-mean-squared error in the predictions, where we recall that in data pre-**
276 **processing that the values to be predicted were multiplied by a factor of 10^4, and so the RMSE**
277 **should be divided by that factor when assessing the average error on data presented in Figures**
278 **2, 3, and 4.**

279 **4   Results and discussion**

4.1 Application to the Old Rifle Site

280
281 The synthetic data generated using Omphalos to interrogate the underlying RTM are shown in Fig. 2,

282 colour mapped by the pCO_2 with which the injectate solution is in equilibrium. The colour mapping

283 helps visualise how variability in the precipitated volume of pyrite over the 43-day RTM simulation

284 might be considered in conjunction with other model parameters. Ultimately, pyrite forms because

285 aqueous hydrogen sulfide, produced through microbial sulfate reduction, reacts with reduced ferrous

286 iron (Fe(II)) to form pyrite. Thus, we aim to explore the interdependencies between the mechanisms

287 driving microbial sulfate reduction and the subsequent precipitation of pyrite, as they emerge due to

288 variations in injectate chemical composition.

Figure 2: Scatter plots of chemical concentrations in the fluid injectate (influent boundary condition) for an RTM adapted to Old Rifle sediments colour-mapped by the pCO₂ with which the inlet boundary condition is in equilibrium. The dataset comprises 9416 points generated by drawing concentrations for all five species independently from uniform random distributions, with the corresponding net increase in pyrite volume fraction precipitated (y-axis) calculated by running the Old Rifle RTM designed by Druhan et al. (2014) with the randomised influent

boundary condition. The green diamond indicates the net pyrite volume fraction generated

from the original boundary condition used in Druhan et al. (2014).

We then train the emulator on this synthetic dataset. Fitting a GBT regressor to the data in Fig. 2

means Fig. 3 can be generated by the emulator. This figure shows how the emulator predicts the

change in pyrite volume fraction as the concentration of each of the species in the injection fluid is

varied (other species in the RTM not defined as variables in this study are held constant at values

reported by Druhan et al. (2014)). We stress that the RTM results shown in Fig. 3 are not part of the

training dataset, and that the emulator has not been exposed to these exact values. This demonstrates

the capability of the emulator to reproduce the underlying RTM itself. For example, Fig. 2A suggests

visually that the concentration of NH_4^+ in the system is uncorrelated with net pyrite precipitation at

the Old Rifle Site. Fig. 3A confirms this lack of dependence on NH_4^+, capturing the correct trend

(with some noise) although being slightly offset. This slight offset also applies to Fig. 3C in the fit of

the Ca^{2+} dependence. We suggest that these slight offsets to the fits in the cases of the weakly or

uncorrelated variables is due to the emulator preferentially capturing stronger dependencies and

slightly drawing down the predicated variable on average.

In contrast to the minimal impact that changing NH_4^+ concentration has on pyrite precipitation,

$C_2H_3O_2^-$ and SO_4^{2-} concentrations correlate strongly with net pyrite precipitation. This is as expected

in a system where $C_2H_3O_2^-$, which is the electron donor for microbial sulfate reduction, enables

sulfate to be reduced to sulfide and thus drive pyrite precipitation in the presence of Fe(II).

Approximately 20 days after $C_2H_3O_2^-$ amendment, microbial sulfate reduction takes over from

dissimilatory iron reduction as the dominant process consuming $C_2H_3O_2^-$. As microbial sulfate

reduction requires eight-times the number of electrons per mole of SO_4^{2-} reduced than dissimilatory

iron reduction requires (per mole of iron reduced), the electron donor ($C_2H_3O_2^-$) begins to be rapidly

319   consumed, whereas during dissimilatory iron reduction it was effectively in excess. As a result of this

320   new scarcity of $C_2H_3O_2^-$, the rate of dissimilatory iron reduction drops and so does the concentration

321   of Fe(II). However, dissimilatory iron reduction is still active in the column, releasing a small—but

322   non-zero—flux of aqueous Fe(II) that allows for continued pyrite precipitation. The emulator

323   interprets this as Fe(II) being 'always' available in this system, and thus predicts that pyrite

324   precipitation can scale linearly with SO_4^{2-} and $C_2H_3O_2^-$, as shown in Fig, 4A. The sediment itself

325   would need to contain abundant ferrihydrite, goethite, or another bioavailable ferri(hydr)oxide for

326   this reduction to continue indefinitely; this may not be the case. This highlights the need for the range

327   of parameters sampled when training the emulator to be sufficiently wide to capture all the RTM

328   behaviour, otherwise it may extrapolate and "learn" incorrect assumptions about the system: in this

329   case that bioavailable iron never limits dissimilatory iron reduction. One solution would be to expand

330   the range over which concentrations are drawn to reach the limit where iron-bearing mineral volume

331   fraction becomes a limiting factor so that the model can learn what happens when this occurs.
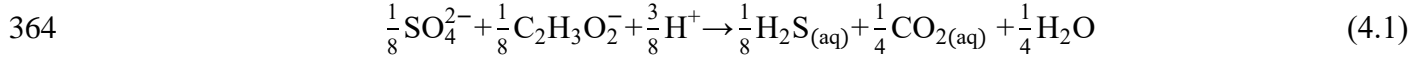
Figure 3: Plots of the GBT model fit (blue line) plotted over the results from the underlying RTM (black + symbols) when interrogated with the same input parameters (which are taken as ground truth). Each plot shows the net volume fraction due to pyrite precipitation as a percentage of the initial volume fraction of the sediment as each parameter is varied while all other parameters are held at the values used in the original experiment by Druhan et al. (2014). The emulator (blue line) captures the overall trends in the data. The lack of smoothness in the emulator predications arises from the inability to encode this as a condition in XGBoost and the discreet nature of the decision tree algorithm. The RTM results compared to here are not part of the training dataset, and so the emulator has not been exposed to those exact values.

We also note that our emulator suggests that increasing pCO_2 leads to decreased pyrite precipitation (Figure 4E), a relationship that may not have been apparent in a single run of the RTM. Three-dimensional visualisation of the data confirms that the pyrite-volume-fraction-change varies as a

345   function of pCO$_2$ net pyrite precipitated decreasing as pCO$_2$ increases (Fig. 4B and Fig. 4C). This

346   three-dimensional visualisation allows us to see that the gradient of the pyrite-volume-fraction-

347   change with respect to SO_4^{2-} and $C_2H_3O_2^-$ is itself a function of pCO$_2$ and flattens as pCO$_2$ increases.

348   To understand why the gradient changes, we must first understand why pCO$_2$ affects the amount of

349   pyrite precipitated in the first place.

350   Sediment samples from Old Rifle are initially poised for dissimilatory iron reduction and there is a

351   sizeable community of iron-reducing bacteria naturally present in the system. The background

352   sulfate-reducing microbial community is initially relatively small and thus, for microbial sulfate

353   reduction to proceed at significant rates, the mass of sulfate-reducing bacteria must first increase. In

354   the original experiment by Druhan et al. (2014), the sulfate-reducing biomass begins reaching a size

355   where it can start consuming large quantities of $C_2H_3O_2^-$ around day 20 of the experiment. This

356   biomass growth is modelled in CrunchTope using a Monod-biomass rate law (Jin and Bethke, 2005),

357   which has both an anabolic and catabolic component. In the formulation of this Monod-Biomass rate

358   law as implemented in CrunchTope, the thermodynamic term (Gibbs free energy of the reaction) is

359   calculated exclusively using the catabolic pathway. The catabolic pathway for this reaction (in terms

360   of the exchange of one electron) is given below in Equation (4.1), and the form of the Gibbs free

361   energy is this context is given in Equation (4.2) (we take the phosphorylation potential to be 0, and

362   the average stoichiometric number to be 1, see derivation in Jin and Bethke (2005) for further

363   details).

364
$$\frac{1}{8}SO_4^{2-}+\frac{1}{8}C_2H_3O_2^-+\frac{3}{8}H^+\rightarrow\frac{1}{8}H_2S_{(aq)}+\frac{1}{4}CO_{2(aq)}+\frac{1}{4}H_2O$$
(4.1)

365
$$\Delta G = \mathcal{RT}\ln\left(\frac{[CO_{2(aq)}]^{\frac{1}{4}}[H_2S_{(aq)}]^{\frac{1}{8}}}{[SO_4^{2-}]^{\frac{1}{8}}[C_2H_3O_2^-]^{\frac{1}{8}}[H^+]^{\frac{3}{8}}}\right)$$
(4.2)

366 Taking this form for the Gibbs free energy of the reaction and substituting it into the thermodynamic

367 term of the reaction rate calculation as implemented in CrunchTope (Steefel et al., 2015) gives

368 Equation (4.3) below describing the rate of microbial sulfate reduction in the Rifle RTM.
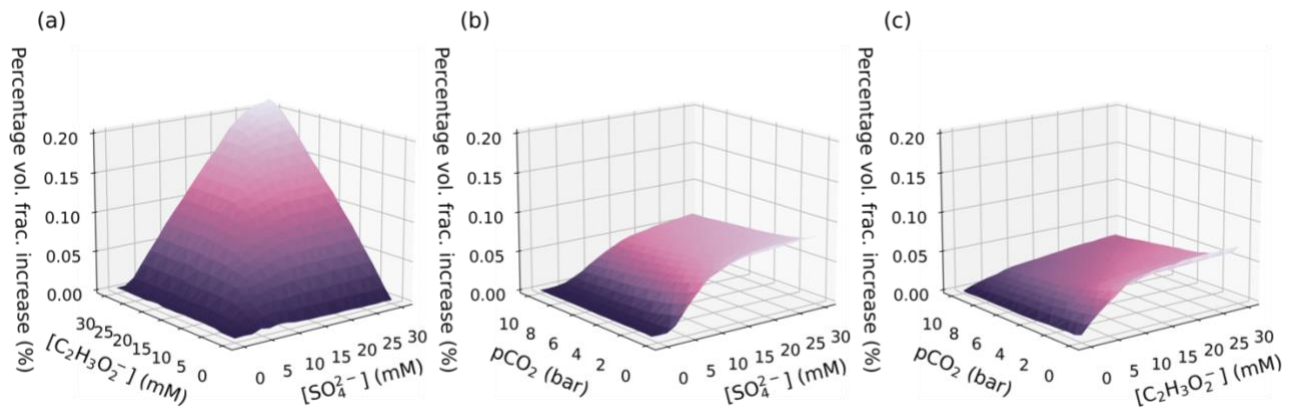
369
$$R_{MB} = k_{max}B\frac{[C_2H_3O_2^-]}{[C_2H_3O_2^-]+K_{half[Ace]}}\frac{[SO_4^{2-}]}{[SO_4^{2-}]+K_{half[SO_4^{2-}]}}F_T$$
(4.3)

370 where

371
$$F_T = \left(1 - \frac{[CO_{2(aq)}]^{\frac{1}{4}}[H_2S_{(aq)}]^{\frac{1}{8}}}{[SO_4^{2-}]^{\frac{1}{8}}[C_2H_3O_2^-]^{\frac{1}{8}}[H^+]^{\frac{3}{8}}}\right)$$
(4.4)

372 R_{MB} is the overall rate of microbial sulfate reduction, k_{max} the rate constant for microbial sulfate

373 reduction, B is the biomass concentration, and $K_{half[X]}$ is a half-saturation constant. The two Monod

374 kinetic factors for the electron donor ($C_2H_3O_2^-$) and the electron acceptor (SO_4^{2-}) are referred to as F_D

375 and F_A respectively (Jin and Bethke, 2003, 2005, 2007). Equation (4.4) illustrates the underlying

376 relationship between pCO2 in the injectate solution and the resulting accumulation of pyrite. As the

377 pCO2 of the injectate increases, the F_T term becomes smaller, inhibiting the overall rate of microbial

378 sulfate reduction (Fig. S5). Consequently, biomass growth is also inhibited, and the rate of microbial

379 sulfate reduction is never high enough to produce the concentration of $H_2S_{(aq)}$ required for significant

380 pyrite precipitation. This explains why the model suggests that the gradient of the pyrite volume

381 precipitated with respect to both $C_2H_3O_2^-$ and SO_4^{2-} varies as a function of pCO2 in the injectate.

382   When pCO2 is low and both SO_4^{2-} and $C_2H_3O_2^-$ are large with respect to their half saturation

383   constants (Equation (4.4)), the overall Monod-biomass rate law will approach Bk_{max}.

384

Figure 4: A selection of the GBT model predictions of the percentage volume fraction increase

due to pyrite precipitation as a result of varying two parameters simultaneously for selected

pairs of variables. Other model parameters are held at the values used in Druhan et al. (2014).

The remaining variable-pair plots are provided in Fig. S4.

389   This dependence emerged somewhat unexpectedly from the emulator, as one would not inherently

390   expect a relationship between injectate pCO2 and SO_4^{2-} reduction rates, yet it agrees with results

391   previously reported by Jin and Kirk (2016, 2018) as well as Paper et al. (2021). These studies related

392   the influence of pCO2 and pH to the rate of microbial reactions, both *in vitro*, *in situ*, and *in silico*.

393   We suggest that our type of analysis could be used to direct future lab and field work to test

394   hypotheses suggested by the results generated by running the emulator.

395   This analysis also explains some of the features observed in Fig. 4A: the gradients of $C_2H_3O_2^-$ and

396   SO_4^{2-} are coupled in such a way as to indicate that if one is in excess, then the other becomes limiting

397   in the production of $H_2S_{(aq)}$ and hence the precipitation of pyrite. However, the limiting behaviour

398   when both are in excess seems to indicate that given enough SO_4^{2-} and $C_2H_3O_2^-$, pyrite precipitation

399   can continue indefinitely assuming suitably low pCO2. Given this prediction, it is sensible to check

400   whether, at such high levels of SO_4^{2-} and $C_2H_3O_2^-$ as the model suggests for maximum pyrite

401   precipitation, there is indeed enough Fe(II) available in the system to precipitate pyrite: this is a

402   second potential dependence as mentioned above.

403   Lastly, the model can be interrogated in all 5 dimensions and the amendment fluid composition that

404   corresponds to the largest net pyrite precipitation over the modelled interval can be determined. We

405   do this simple optimisation by evaluating the emulator at regular intervals across all 5 dimensions at

406   intervals of ~2 mM (intervals of ~0.67 mM for pCO2) in the range that the emulator was trained on

407   (0–30 mM, except for pCO2 which has a range of 0–10 mM). This corresponds to checking 759375

408   different boundary conditions to find which boundary condition results in the most net pyrite

409   precipitation and takes ~7 minutes. This amendment composition is shown in Table S1. The total

410   change in volume fraction due to pyrite precipitation predicted by the emulator is 0.143 and the

411   actual RTM modelled precipitation when this boundary condition is used is 0.150. There is a 4.7%

412   absolute error on the net pyrite volume fractions change predicted by the emulator when compared to

413   the actual net pyrite precipitation calculated by the RTM. This error is inherent in statistical learning

414   techniques but can be further mitigated with larger training datasets, in conjunction with different

415   emulator training hyperparameterisations: an area for future improvement to the methodology. These

416   optimised conditions represent an almost four-fold increase in the amount of pyrite precipitated in the

417   original RTM for Old Rifle (Druhan et al., 2014).

418   **4.2   Advantages and drawbacks of the emulation approach**

419   In this study, 9416 individual RTM simulations were used to train a GBT regression model to predict

420   a specific model output, in this case net pyrite precipitation. This emulator is a reduced representation

421   of the complex system of equations in the underlying RTM, having a faster computational time but

422   introducing some prediction errors. We now discuss the key advantages and drawbacks of this

423   emulation approach.

4.3 Advantages of the emulation approach

9416 RTM runs were used to train the emulator (the data shown in Fig. 2). This number of runs could instead be used to perform a sensitivity analysis in all five variables at a spacing of ~4.8 mM between points by directly interrogating the simulator. What then, is the advantage of the emulation approach, if the same information can be visualised from discreet runs of the original RTM without having to go to the extra effort to train the model, which introduces prediction errors? The key advantages are outlined below.

4.3.1 Advantages over directly interrogating the simulator

The first and most obvious advantage is the lack of a need for an explicit interpolation scheme. Correlations generated by directly plotting simulator results lead to data points lying on a grid of finite resolution. If intermediate values on this grid were to be determined, an explicit interpolation scheme would have to be applied, which would introduce errors of its own that would then need to be quantified. Furthermore, an improvement in the interpolation scheme would come at the expense of adding one extra point to the grid in each dimension: in the context of Old Rifle this is an extra 9031 data points ($7^5 - 6^5 = 9031$ going from a 5D grid of 6 points in all directions to 7) roughly doubling the dataset size. In contrast, since any number of points can be submitted to the emulator for inference, concerns relating dataset size to sampling resolution are assuaged. Beyond that, the errors in the model fit are already quantified during training.

More broadly, to explore the dataspace, emulators are extremely fast compared to simulators. The time for a single query of the emulator is on the order of milliseconds rather than the seconds/minutes/hours for a single forward RTM simulation. This allows the emulator to be used as a tool for efficiently exploring the simulator by rapidly developing intuition for the space itself and how the simulator behaves in different circumstances. Furthermore, emulator models are easy to

447 distribute and share with collaborators. Model weights can be published directly or distributed as

448 standalone files. This means that a well-trained emulator can be made once and then the encoded data

449 shared.

450 Lastly, performing a direct interrogation of the simulator requires choices of parameters and ranges,

451 and results in a grid of points over the region of interest at limited resolution. A similar procedure

452 must be undertaken when creating a dataset to train the emulator, in so far as ranges and parameters

453 of interest must be chosen. However, the dataset can always be further added to in a straightforward

454 manner, further drawing from the random distribution to increase the size of the dataset and thus

455 improve model performance. With both approaches, using Omphalos means that the data generation

456 process can be parallelised and using high-performance computing facilities can reduce the

457 computational expense of interrogating the simulator. This means that all the computational expense

458 is upfront in both cases since the emulator need only be fit once.

459 The advantages we outline make the case for the emulator as a tool to be used in conjunction with the

460 RTM, rather than a replacement for it. The alacrity with which the emulator can be interrogated

461 means that it is an invaluable tool for investigating RTM behaviour in multiple dimensions. Further

462 to this, the ability to evaluate the state of a system after a fixed period of time makes the emulator

463 approach ideally suited for modelling more complex time-series models with time varying boundary

464 conditions: instead of having to run the RTM forward each time the system changes boundary

465 conditions, the emulator can be interrogated for the expected result given the system's current state

466 from the previous regime.

467 **4.3.2 Using emulators to identify new feedbacks**

468 As modern RTMs grow in sophistication and complexity, they increasingly draw on large suites of

469 chemical and mineralogical information from vast databases, which constitute large sets of non-linear

470   equations all coupled through transport and fluid chemistry. While it is true that for a sufficiently

471   simple model, coupled geochemical behavior could be deduced by reasoning about the governing

472   equation of the systems, for a large, modern RTM it is inevitable that during development some

473   feedbacks will be overlooked.

474   Emulation makes sensitivity analysis for RTMs simple and allows us to identify correlations and

475   interactions among parameters that would otherwise be difficult to anticipate by allowing an

476   investigator to quickly test a wide variety of hypotheses. We demonstrate this in the case of Old

477   Rifle by identifying the CO_2 dependency of microbially mediated reactions (Bethke et al., 2011; Jin

478   and Kirk, 2016, 2018; Paper et al., 2021). This ability to elucidate unexpected but key model

479   dependencies and sensitivities could prove invaluable in helping direct RTM development.

480   4.3.3 Application to Bayesian optimization

481   A critical advantage of the technique proposed here is that emulation is an essential part of Bayesian

482   optimization. Bayesian optimisation is an approach for finding global maxima and minima in systems

483   whose objective function is expensive to evaluate and does not return the gradients of that function

484   (of which RTMs are an example) (Frazier, 2018). Bayesian optimisation works by applying an

485   acquisition function that calculates the point that will give the most information about the function

486   that requires optimisation. An emulator is then fit using these data points selected by the acquisition

487   function and the emulator is updated with a new point each iteration. In this way, the optimiser

488   balances exploitation of known optima, and exploration of unevaluated regions of the function. Such

489   an approach can find the global maximum with relatively few evaluations of the RTM.

490   This study lays the groundwork for future application of Bayesian optimization to highly

491   dimensioned RTMs, potentially allowing for effective optimization over many different (twenty or

492   more) parameters at once. By demonstrating that broad (but local) fits to the RTM with an emulator

493 are possible, we have demonstrated that a GBT regressor can be used as an emulator informing a

494 Bayesian optimization algorithm in this context. This allows for a constellation of local fits in a

495 highly dimensioned space as the algorithm searches for the global optimum in problems that would

496 otherwise be computationally intractable. Bayesian optimisation could even be applied, with a

497 suitable loss function, to optimise for multiple objectives at once (subject to trade-offs among

498 objectives).

499 **4.4   Disadvantages of the emulation approach**

500 This emulation approach relies on the relative computational inexpensiveness of the RTM. In

501 situations where the underlying model is expensive or time-consuming to evaluate, and

502 computational resources are limited, this modelling approach becomes unfeasible. However, this

503 issue of computational expense can be allayed by the parallelised generation of data alluded to earlier

504 and only the most expensive RTMs would be intractable for a full emulator fit if this technique was

505 deployed correctly, and even in this extreme case, Bayesian optimisation would still be possible.

506 Additionally, caution is needed when choosing the ranges over which the parameters will be drawn

507 from the uniform random distributions. Key considerations include the number of points being

508 generated relative to the size of the space being covered—a denser cluster of training data will result

509 in a tighter fit, at the expense of range. Conversely, too small of a range and the emulator will not

510 capture key behaviour, or be unable to learn about simulator edge cases, as discussed above with

511 respect to the bioavailable iron in the Old Rifle RTM.

512 **4.5   Choice of learning algorithm**

513 Gradient boosted trees outperformed other machine-learning methods that we tested while building

514 the emulators, such as Gaussian process regression. The downsides of GBT include the lack of ability

515 to encode smoothness to preclude sharp discontinuities in the concentration-precipitation space or

516 other such prior assumptions. Furthermore, a low root mean squared error over the entire model fit

517 region does not necessarily imply a good fit globally; it may be that there are some regions of good

518 fit and other regions of poor fit which make up an acceptable root mean square error over the whole

519 space.

4.6   The effect of scale on emulator predictions

521 Our case study relies on the capacity of CrunchTope to predict changes in mineral volume fraction.

522 Therefore, the errors in the predictions, and hence the utility of the approach, ultimately depend on

523 the scale of the system being modelled and thus the sensitivity to what could be very small changes

524 in mineral volume fraction.

525 When analysing the emulator to investigate how different processes in the underlying RTM affect

526 each other, we are primarily considering an issue of whether the emulator can correctly learn the

527 underlying model behaviour. We are also considering whether the emulator can capture the

528 behaviour in the output variables with respect to a changing subset of RTM parameters (some of

529 which we may not have expected at the outset). In this use-case, the emulator is largely concerned

530 with trends and gradients; Figs. 3, 4, S4, S8, and S9 show that this is accurately reported in all case

531 studies. Comparing the case study considered in this paper to the additional case study presented in

532 the Supplement we see that they are discretised at different scales (2 m and 1 cm for the deep-sea

533 sediment column and Old Rifle respectively). However, the emulator for each RTM has root mean

534 squared error over the dataset (and hence absolute error in prediction) of the same order of

535 magnitude. This implies that the error in absolute volume precipitated that each model predicts is

536 different. However, the analysis of the trends and interactions emerging from both RTMs is equally

537 valid in both cases.

538 When concerned with the optimisation capabilities of the emulator, the absolute value of the

539 optimised quantity and hence the model scale must be considered. In large-scale systems, such as

540 weathering of the critical zone, the error in the volume fraction change (5.5×10^{-5} for pyrite) is below

541 the resolution of measurement techniques for mineral abundance (e.g. XRD and SEM—(Gu et al.,

542 2020)). However, in smaller-scale systems where the microscale environment becomes increasingly

543 important, these errors in volume fraction become much harder to ignore. For example, in the RTM

544 experiments exploring the effects of scale on simulating mineral dissolution in porous media

545 described by Jung and Navarre-Sitchler (2018), significant errors in changes in predicted volume

546 fraction would propagate into calculated dissolution/precipitation rates, losing sensitivity in the

547 results.

548 **4.7   Extension to multiple outputs**

549 Multiple output regression (the prediction of a vector of outputs, rather than a single label) is

550 experimentally available in XGBoost and supported by other machine learning implementations that

551 we explored, including GPFlow for Gaussian process regression. Given that our approach is currently

552 limited to the prediction of one label-per-emulator trained, the availability of regressors that can

553 predict more than one label 'off the shelf' will greatly improve the utility of reactive transport

554 emulation. The prediction of multiple outputs simultaneously will expand the scope of analysis to

555 investigate the interaction of modelled processes in multiple outputs at once. In the context of

556 optimisation problems, one possible application of the emulator like this could be to maximise

557 mineral precipitation in one region of a system while trying to maximise dissolution in another

558 region.

559 **4.8   Improvements to the model**

560   This proof-of-concept model demonstrates the fitting of an emulator over a relatively small range of

561   environmental parameters. Future work will involve expanding the scope of the emulators both in

562   terms of the number of parameters being varied, but also the range over which they are varied, so the

563   whole behaviour of the underlying model can be captured with more accuracy. There is also scope

564   for adding time dependency to the GBT modelling approach, to predict a time series of intermediate

565   RTM states during the evolution of geochemical systems.

566   **4.9   Potential applications**

567   Our emulator approach is flexible; any quantity recorded by an RTM can be used as a target variable,

568   and so the behaviour of any RTM output can be explored in detail to evaluate the model formulation.

569   The behaviour of the system in response to the variation of any parameter under any other set of

570   conditions can be projected out of the model and plotted in a straight-forward manner. This approach

571   can be extended to two or even three dimensions and time series thereof and ultimately the emulator

572   can be interrogated for local maxima and minima to solve optimisation problems. This approach has

573   potential applications in industry and in environmental remediation where the chemical composition

574   of amendments can be predicted using an underlying reactive transport simulation, provided that that

575   system is well understood.

576   Omphalos also has utility outside of generating datasets for emulation; its automated submission of

577   CrunchTope input files means it can be used to systematically explore sets of input variables in an

578   easy way, simply by editing the Omphalos configuration file.

579   **5   Conclusions**

580   We have presented an emulator based approach for interrogating and understanding multi-component

581   RTMs. By building an emulator of an RTM that captures the multidimensional nature of the

582   underlying model we have demonstrated that such an approach can be used as a tool for performing

583 global sensitivity analyses on RTMs. This allows us to investigate behaviour arising from the

584 interaction among the many disparate processes that comprise RTMs. For example, we investigated

585 how the Monod-biomass parameterisation of microbial sulfate reduction interacted with the

586 mechanism of pyrite precipitation. In this example, pyrite precipitation was inhibited when there was

587 an excess of CO_2 in the column because the catabolic pathway was partially dependent on CO_2

588 concentration. This prevented the growth of sulfate reducing biomass, ultimately curtailing the

589 production of hydrogen sulfide required for pyrite precipitation. This behaviour reproduced results

590 previously reported by Jin and Kirk (2016, 2018), and suggest that emulation approaches have utility

591 in discovering unexpected, but nonetheless real, model behaviours, potentially directing future lab

592 and field work.

593 The methodology we have laid out is flexible; any quantity recorded by an RTM can be used as a

594 target variable, and so the behaviour of any RTM output can be explored in detail to evaluate the

595 model formulation. The behaviour of the system in response to the variation of any parameter under

596 any other set of conditions can be projected out of the model and plot in a straight-forward manner.

597 Emulator approaches can be extended to two or even three dimensions and ultimately the emulator

598 can be interrogated for local maxima and minima to solve optimisation problems. We suggest that

599 emulator based approaches to exploring RTMs have potential applications in industry and in

600 environmental remediation where the chemical composition of amendments can be predicted using

601 an underlying reactive transport simulation, provided that that system is well understood. The

602 presentation of this optimisation process to Old Rifle (and to ODP Site 1086, see supplementary)

603 represents a proof of concept.

604 **6   Code availability**

605 **6.1   Omphalos**

606   Omphalos is available on GitHub and Zenodo. Please note you must provide your own CrunchTope

607   executable.

608   https://github.com/a-fotherby/Omphalos

609   https://doi.org/10.5281/zenodo.7113298

6.2   GBT Models

611   Jupyter notebooks for fitting the GBT models and plotting the figures are available on GitHub, and a

612   permanent record is available on Zenodo.

613   https://github.com/a-fotherby/dissertation_xgboost.

614   https://doi.org/10.5281/zenodo.7113323

7   Data availability

616   The data used is available on GitHub and Zenodo.

617   https://github.com/a-fotherby/GMD_2022

618   https://doi.org/10.5281/zenodo.7113379

8   Supplement

620   Codebase for Omphalos. Model fitting code. Schematic figures of decision tree and the Old Rifle

621   RTM. Table of predicted optimal values for precipitating pyrite at Old Rifle. Convergence behaviour

622   of the GBT regressors. Additional co-dependency plots for Old Rifle. Figure showing the effect of

623   rate law choice on CO_2 dependency in the Old Rifle RTM. Supplementary Case Study detailing

624   application to a deep-sea sediment column. Description of XGBoost implementation.

9 Author contribution

AF and HJB conceived of the study. AF wrote the codebase and conducted the experiments. AF

prepared the manuscript with contributions from all co-authors.

10 Competing interests

The authors declare that they have no conflict of interest.

11 Acknowledgements

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