



# Towards an ensemble-based evaluation of land surface models in light of uncertain forcings and observations

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1 **Abstract**

2

3 Quantification of uncertainty in fluxes of energy, water, and CO<sub>2</sub> simulated by land surface  
4 models (LSMs) remains a challenge. LSMs are typically driven with, and tuned for, a specified  
5 meteorological forcing data set and a specified set of geophysical fields. Here, using two data sets  
6 each for meteorological forcing and historical land cover reconstruction, as well as two model  
7 structures (with and without coupling of carbon and nitrogen cycles), the uncertainty in  
8 simulated results over the historical period is quantified for the Canadian Land Surface Scheme  
9 Including Biogeochemical Cycles (CLASSIC) model. The resulting eight (2 x 2 x 2) equally probable  
10 model simulations are evaluated using an in-house model evaluation framework that uses  
11 multiple observations-based data sets for a range of quantities. Among the primary global  
12 energy, water, and carbon related fluxes and state variables, simulated area burned, fire CO<sub>2</sub>  
13 emissions, soil carbon mass, vegetation biomass, runoff, heterotrophic respiration, gross primary  
14 productivity, and sensible heat flux show the largest spread across the eight simulations relative  
15 to their mean. Simulated net atmosphere-land CO<sub>2</sub> flux, which is considered a critical  
16 determinant of the performance of LSMs, is found to be largely independent of the simulated  
17 pre-industrial vegetation and soil carbon mass. This indicates that models can provide reliable  
18 estimates of the strength of the land carbon sink despite some biases in carbon stocks. Results  
19 show that evaluating an ensemble of model results against multiple observations allows to  
20 disentangle model deficiencies from uncertainties in model inputs, observation-based data, and  
21 model configuration.

22



23 **1. Introduction**

24           The current generation land surface models (LSMs) explicitly simulate the fluxes of  
25 energy, water, momentum, and trace gases (including CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O) between the  
26 atmosphere and the land surface. These models have become an essential tool in understanding  
27 what role the land surface plays in the global climate system under current and projected future  
28 changes in environmental conditions, including atmospheric CO<sub>2</sub> concentration. Land models are  
29 also an essential component of climate and Earth system models (ESMs), together with their  
30 ocean and atmosphere components. Within the framework of ESMs, land models are coupled  
31 interactively to their atmospheric component through the fluxes of energy, momentum, and  
32 matter.

33           The complexity of land models has increased over time as more physical and  
34 biogeochemical processes have been included in their framework. This increased complexity  
35 combined with the uncertainty in our understanding of the physical and biogeochemical  
36 processes implies that different models respond differently even when driven with the same  
37 external forcings. One estimate of uncertainty in our understanding of land surface physical and  
38 biogeochemical processes is obtained by evaluating the inter-model spread in a given quantity  
39 when models are forced in an exact same manner. Other than the uncertainty among models  
40 due to differences in their model structures and parameterizations of various processes,  
41 uncertainty also exists due to at least three other reasons. These include uncertainty 1) in



42 parameter values<sup>1</sup> of processes, 2) in driving meteorological data, and 3) in the specification of  
43 the geophysical fields. LSMs are typically driven with meteorological data consisting of seven  
44 primary variables (incoming long and shortwave radiation, temperature, precipitation, specific  
45 humidity, wind speed, and pressure). In addition, the geophysical fields of land cover, soil  
46 texture, and soil permeable depth are also required. Driving data for LSMs also consist of  
47 atmospheric CO<sub>2</sub> concentration and other model specific external forcings such as nitrogen  
48 deposition and fertilizer application rates for models that include a representation of the  
49 terrestrial nitrogen cycle, and lightning, population density, and gross domestic product (GDP)  
50 for models that simulate wildfires.

51       Every year more than 15 land surface modelling groups participate in the TRENDY (trends  
52 in net land atmosphere carbon exchanges) project where they perform a set of simulations that  
53 are driven with specified external forcings. The simulations are performed from year 1700 to the  
54 present day. These simulations contribute to the annual Global Carbon Project's (GCP) analysis  
55 of the land carbon sink together with its analysis for anthropogenic CO<sub>2</sub> emissions and the ocean  
56 carbon sink (Friedlingstein et al., 2019). The external forcings used to drive LSMs in the TRENDY  
57 intercomparison include, 1) six hourly meteorological data from 1901 to present day (the most  
58 recent 2020 TRENDY intercomparison used the CRU-JRA forcing obtained by blending the climate  
59 research unit (CRU) monthly data and the Japanese reanalysis (JRA)); 2) atmospheric CO<sub>2</sub>  
60 concentration; and 3) information about changes in crop area and other land use changes (LUC)  
61 from the land use harmonization (LUH) product (Hurtt et al., 2020a). The information about

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<sup>1</sup> Changes in parameter values do not constitute different parameterizations. For example, two models may use the same parameterization, say  $y=mx+b$ , but different values of its parameters  $m$  and  $b$ . However,  $y=mx + b$  and  $y = mx$  are considered to be two different parameterizations.





62 changes in crop area and other LUC is used by land surface modelling groups to reconstruct  
63 historical land cover from the year 1700 to the present day consistent with the number of the  
64 plant functional types (PFTs) a given model represents. The protocol also provides nitrogen  
65 deposition and fertilization application rates for models including nitrogen cycling.

66 Models participating in the TRENDY simulations are thus driven with common  
67 meteorological and land use change forcings as part of its protocol. The resulting spread across  
68 models participating in the TRENDY project thus provides a measure of inter-model uncertainty,  
69 as mentioned earlier. Traditionally this uncertainty associated with model structure has gained  
70 the most attention and the scientific community has responded to this by performing model  
71 intercomparison projects (MIPs) where models are driven according to a common protocol. The  
72 coupled model intercomparison project (CMIP) in the climate community together with its  
73 various sub-projects (Eyring et al., 2016) is another prominent example. MIPs now routinely form  
74 the basis of evaluating models against observations and against multi-model means of various  
75 quantities. Multi-model means are also considered a best estimate for a given quantity (Tebaldi  
76 and Knutti, 2007).

77 The modelling community has been long aware of the uncertainty associated with  
78 parameter values, since a large fraction of physical and biogeochemical model processes are  
79 parameterized, and such uncertainty analysis dates back to the early hydrological models (e.g.  
80 Hornberger and Spear, 1981; Beven and Binley, 1992). More recent examples of parameter  
81 uncertainty in context of a given LSM include Poulter et al. (2010), Booth et al. (2012), and Li et  
82 al. (2018a). The land surface modelling community, however, has only recently begun to address  
83 and quantify uncertainty related with driving meteorological data. Wu et al. (2017), for example,



84 illustrate the uncertainty in gross primary productivity (GPP) simulated by the Lund-Potsdam-  
85 Jena General Ecosystem Simulator (LPJ-GUESS) model when driven by six different  
86 meteorological data sets. Bonan et al. (2019) analyze the uncertainty in simulated carbon cycle  
87 related variables using three versions of the community land model (CLM) when driven with two  
88 meteorological data sets over the historical period. Slevin et al. (2017) assess the uncertainty in  
89 simulated GPP by the JULES land surface model when driven by three different climate data sets.  
90 Studies that evaluates the effect of different land cover representations on model performance  
91 are even fewer. Tian et al. (2004) and Lawrence and Chase (2007) study the effect of new land  
92 surface boundary conditions, including leaf area index and fractional vegetation cover, based on  
93 the MODIS satellite data as implemented in the CLM in Community Atmosphere Model (CAM2)  
94 and Community Climate System Model (CCSM 3.0), respectively.

95         Here, we drive the Canadian Land Surface Scheme Including Biogeochemical Cycles  
96 (CLASSIC) with two sets of historical meteorological forcings but also two sets of historical land  
97 cover reconstructions in order to quantify the uncertainty associated with both these forcings.  
98 Other than these, we also use two versions of the CLASSIC model: one that represents the  
99 interactions between the carbon (C) and nitrogen (N) cycles and the other in which these  
100 interactions are turned off. Seiler et al. (2021a) have evaluated how well the CLASSIC model  
101 performs when forced with three different meteorological data sets using the model version  
102 without the N cycle. CLASSIC has contributed to the simulations for the TRENDY intercomparison,  
103 and the GCP, since 2016 (formerly under the CLASS-CTEM name). Using the two meteorological  
104 forcing data sets, two land cover reconstructions, and two versions of the model we are able to  
105 perform eight simulations over the historical period since 1700. All of these simulations are



106 equally likely representations of the state of the land surface over the historical period. Yet, they  
107 all have their own distinct biases since simulate land surface states and fluxes are different. We  
108 use these simulations to illustrate the uncertainty associated with meteorological forcing and the  
109 two different reconstructions of land cover that are used to drive the model. We also use an in-  
110 house open-source benchmarking system to evaluate these different simulations against  
111 observations-based data sets: AMBER (Automated Benchmarking R Package) (Seiler et al., 2021b)  
112 uses gridded and in-situ observation-based estimates of 19 energy, water, and C cycle related  
113 variables to evaluate land models.

114 Section 2 of this paper describes the framework of the CLASSIC land model and the forcing  
115 data that are required to drive the model. Section 3 describes the two meteorological data sets,  
116 the two reconstructions of the land cover that are used to drive the model, and the simulations  
117 performed for this study. Section 4 analyses the results from the simulations to illustrate their  
118 different states, and reports results from the AMBER benchmarking exercise. Finally, discussion  
119 and conclusions are presented in Section 5. The use of more than one meteorological forcing  
120 data sets and land covers yields a conundrum since tuning of model parameters for a given  
121 forcing data set is not a useful exercise anymore. We also report a new finding that despite  
122 different land C states (characterized in terms of vegetation and soil carbon mass) in the eight  
123 simulations considered here, the net atmosphere-land CO<sub>2</sub> flux over the historical period in these  
124 simulations is consistent with estimates from the GCP. This and the discussion about the broader  
125 question of model tuning are also presented in Section 5.

126 **2. The CLASSIC land modelling framework**



## 127 **2.1 The physical and carbon biogeochemical processes**

128           The CLASSIC land model is the successor to, and based on, the coupled Canadian Land  
129 Surface Scheme (CLASS; (Verseghy, 1991; Verseghy et al., 1993)) and the Canadian Terrestrial  
130 Ecosystem Model (CTEM; (Arora and Boer, 2005; Melton and Arora, 2016b)). CLASSIC also serves  
131 as the land component in the family of Canadian Earth System Models (Arora et al., 2009, 2011;  
132 Swart et al., 2019). Melton et al. (2019) provide an overview of the CLASSIC land model and  
133 launched it as a community model. The basis of the modelling of physical and biogeochemical  
134 processes in CLASSIC, comes from CLASS and CTEM, respectively, both of which have a long  
135 history of development. CLASSIC simulates land-atmosphere fluxes of water, energy, and  
136 momentum based on its physics, and fluxes of CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, NO<sub>x</sub>, and NH<sub>3</sub> based on its  
137 biogeochemical process. The representation of the terrestrial N cycle is a new addition to CLASSIC  
138 (Asaadi and Arora, 2021; Kou-Giesbrecht and Arora, 2022) and allows to simulate interactions  
139 between the C and N cycles explicitly.

140           The CLASSIC model simulations can be performed over a spatial domain, that may be  
141 global or regional, using gridded data or at a point scale, e.g. using meteorological and  
142 geophysical data from a FluxNet site. The primary physical and carbon biogeochemical processes  
143 of CLASSIC are briefly summarized in the next two sections.

### 144 **2.1.1 Physical processes**

145           The calculations for physical processes in CLASSIC are performed over vegetated, snow,  
146 and bare fractions in each model grid cell typically at a time step of 30 minutes. In the version  
147 used here, the fractional coverage of the four plant functional types (PFTs) (needleleaf trees,



148 broadleaf trees, crops, and grasses) characterizes vegetation for each grid cell. The fractional  
149 coverage of these four PFTs are specified over the historical period in this study. The structure of  
150 vegetation is characterized by leaf area index (LAI), vegetation height, canopy mass, and rooting  
151 distribution through the soil layers all of which are dynamically simulated by the biogeochemical  
152 module of CLASSIC. Twenty ground layers, represent the soil profile, starting with 10 layers of 0.1  
153 m thickness. The thickness of layers gradually increases to 30 m for a total ground depth of over  
154 61 m. The depth of permeable soil layers and thus the depth to bedrock varies geographically  
155 and is specified based on the SoilGrids250m data set (Hengl et al., 2017). Liquid and frozen soil  
156 moisture contents, and soil temperature, are determined prognostically for permeable soil  
157 layers. The temperature, albedo, mass, and density of a single layer snow pack (when the climate  
158 permits snow to exist) are also prognostically modelled. The result of physics calculations yield  
159 fluxes of energy (primarily net radiation, ground heat flux, and latent and sensible heat fluxes)  
160 and water (primarily evapotranspiration and runoff) at the land-atmosphere boundary.

### 161 **2.1.2 Biogeochemical processes**

162 The biogeochemical processes in CLASSIC, based on CTEM, are described in detail in the  
163 appendix of Melton and Arora (2016). The biogeochemical processes simulate the land-  
164 atmosphere exchange of CO<sub>2</sub> and as a result simulate vegetation as a dynamic component  
165 depending on the environmental conditions.

166 The biogeochemical module of CLASSIC prognostically calculates the amount of carbon in  
167 the model's three live (leaves, stem, and root) and two dead (litter and soil) carbon pools for each  
168 PFT. The live vegetation pools are separated into their structural and non-structural components.



169 The C amount in these pools is represented per unit land area ( $\text{kg C/m}^2$ ). The amount of carbon  
170 in the live and dead carbon pools and all terrestrial ecosystem processes in the biogeochemical  
171 module in this study are modelled for nine PFTs that map directly onto the four base PFTs used  
172 in the physics module of CLASSIC. Needleleaf trees are divided into their deciduous and  
173 evergreen phenotypes, broadleaf trees are divided into cold deciduous, drought deciduous, and  
174 evergreen phenotypes, and crops and grasses are divided based on their photosynthetic  
175 pathways into  $\text{C}_3$  and  $\text{C}_4$  versions. The sub-division of PFTs is essential for modelling  
176 biogeochemical processes. For instance, simulating the onset and offset of leaves is different  
177 between evergreen and deciduous phenotypes of needleleaf and broadleaf trees. However, once  
178 leaf area index (LAI) is known a physical process (such as the interception of rain and snow by  
179 canopy leaves) does not need the information about the underlying deciduous or evergreen  
180 nature of leaf phenology.

181 The litter and soil carbon pools are tracked for each soil layer but the movement of C  
182 between the soil layers is not yet modelled. Other than photosynthesis and leaf respiration which  
183 are modelled at a time step of 30 minutes all other biogeochemical processes are modelled at a  
184 daily time step. These include: 1) allocation of C from leaves to stem and roots, 2) autotrophic  
185 respiration from the live and heterotrophic respirations the dead carbon pools, 3) leaf phenology,  
186 4) turnover of live vegetation components that generates litter, 5) mortality, 6) land use change  
187 (LUC), and 7) fire (Arora and Melton, 2018). Competition between PFTs for space is not modelled  
188 in this study and fractional coverage of the nine PFTs are specified based on reconstruction of  
189 the historical land cover as explained in the next section.



190           When the N cycle is turned on, land-atmosphere fluxes of  $N_2O$ ,  $NO$ , and  $NH_3$ , and N  
191 leaching are also modelled in response to biological N fixation, N fertilizer inputs, and N  
192 deposition from the atmosphere. In particular, when the N cycle interacts with the C cycle the  
193 maximum photosynthetic capacities of model PFTs ( $V_{c,max}$ ) are determined prognostically as a  
194 function of their leaf N content (Asaadi and Arora, 2021; Kou-Giesbrecht and Arora, 2022). When  
195 N cycle is turned off, prescribed PFT-specific  $V_{c,max}$  rates are used (Melton and Arora, 2016a) and  
196 an empirical downregulation parameterization is used to emulate the effect of nutrient  
197 constraints as atmospheric  $CO_2$  increases (Arora et al., 2009). N in all model components (leaves,  
198 stem, roots, litter, and soil organic matter) is prognostically tracked and therefore C:N ratio of all  
199 components are prognostically modelled except for soil organic matter for which a C:N ratio is  
200 13 is specified. In addition, N in the soil mineral pools of nitrate ( $NO_3^-$ ) and ammonium ( $NH_4^+$ ) is  
201 also prognostically modelled.

### 202 **3. Driving data for CLASSIC and model simulations**

#### 203 **3.1 Land cover**

204           Land cover is one of the most important geophysical fields that is required by LSMs and  
205 at its most basic level provides information about fractional vegetation cover in each of model  
206 grid cell for a given regional or global domain. Vegetation in LSMs is typically represented in terms  
207 of PFTs. Models may choose to represent a basic set of few PFTs (trees, grasses, shrubs, and  
208 crops) or a more elaborate set that distinguishes PFTs on the basis of their stature (trees, grasses,  
209 or shrubs), leaf form (needleleaf or broadleaf), leaf phenology (evergreen or deciduous),  
210 photosynthetic pathway ( $C_3$  or  $C_4$ ), and geographical location (tropical, temperate, or boreal).



211 The version of CLASSIC in this study uses a somewhat smaller set of nine PFTs for biogeochemical  
212 processes as mentioned in the previous section. The fractional coverage of PFTs in a model may  
213 be dynamically simulated based on competition between PFTs or prescribed based on  
214 observation-based land cover information. While CLASSIC does have a parameterization of  
215 competition between its PFTs (Arora and Boer, 2006) for the historical simulations considered  
216 here, and simulations which contribute to the TRENDY ensemble, prescribed fractional coverage  
217 of PFTs are used.

218 For the process of generating a historical reconstruction of land cover consisting of time-  
219 varying fractional coverage of a model's PFTs two observation-based data sets are used. The first  
220 data set is remotely-sensed land cover product that represents the geographical distribution of  
221 land cover at a point in time. The second data set is that of a spatially and temporally varying  
222 cropland (and pasture) area, which in this case represents the data set provided by the land use  
223 harmonization (LUH) product as part of the TRENDY protocol. The LUH product is fairly  
224 comprehensive (Hurtt et al., 2020b). For example, not all models use the pasture area and other  
225 information provided in the LUH product.

226 The process of generating land cover for a given model's PFTs is at least a three-step  
227 process. First, the fractional coverage of model PFTs are obtained from a remotely sensed land  
228 cover product that represents the snap shot of land cover for a given point in time. This requires  
229 typically mapping 20 – 40 land cover classes that exist in a remotely-sensed land cover product  
230 to a given model's PFTs. This step introduces the largest uncertainty in the entire process. The  
231 original land cover in the CLASSIC model is based on the GLC 2000 land cover product  
232 (<https://forobs.jrc.ec.europa.eu/products/glc2000/glc2000.php>). Table 2 of Wang et al. (2006)





233 summarizes the mapping/reclassification of the 22 GLC 2000 land cover categories to the nine  
234 PFTs used in CLASSIC. Each land cover class was split into either one or more of the nine CLASSIC  
235 PFTs based on the class description and knowledge of global biomes. For example, the discrete  
236 “broadleaf deciduous open tree cover” category of the GLC 2000 product is assumed to consist  
237 of 60% deciduous trees, 20% grasses, and 20% bare ground. This first step yields a snap shot of  
238 land cover expressed in terms of the fractional coverage of CLASSIC’s nine PFTs. The second step  
239 of generating fractional coverage of PFTs for a given snap shot in time requires replacing the  
240 fractional area of crop categories with values from the LUH data set for the same year. For  
241 example, when using the GLC 2000 land cover product, the area of C<sub>3</sub> and C<sub>4</sub> crops from the LUH  
242 data set for the year 2000 are used and the fractional coverage of other seven non-crop CLASSIC  
243 PFTs are adjusted such that the total vegetation fraction in each grid cell stays the same. Finally,  
244 in the last step the temporally varying crop area from the LUH product is used to go backward in  
245 time to 1700 from year 2000 with typically decreasing crop area while the area of other non-crop  
246 PFTs is adjusted in proportion to their existing fractional coverage such that the total fractional  
247 vegetation cover stays the same . Similarly, area of C<sub>3</sub> and C<sub>4</sub> crops from the LUH product is used  
248 from year 2000 onwards to present day. All these steps yield a reconstruction of historical land  
249 cover, expressed in terms of fractional coverage of CLASSIC’s nine PFTs (as interpreted from the  
250 GLC 2000 land cover product), from 1700 to 2019, in which crop area changes spatially and  
251 temporally according to the LUH product.

252 GLC 2000 is an older land cover product and more recent land cover products are now  
253 available. Here, in addition to the GLC 2000 based land cover for the CLASSIC model we also use  
254 the European Space Agency (ESA) Climate Change Initiative (CCI) land cover product. The ESA CCI



255 land cover product is available at 300 m spatial resolution for the period 1992-2018 and contains  
256 37 land cover categories (ESA, 2017). Although a default mapping/reclassification table for  
257 converting the ESA CCI classes into PFTs is provided in its user guide (ESA, 2017), it overestimates  
258 tree cover along the taiga-tundra transition zone and underestimates it elsewhere in Canada  
259 (Wang et al., 2018). Wang et al. (2022, in preparation, Mapping of ESA CCI land cover data to  
260 plant functional types for use in the CLASSIC land model) have developed a new reclassification  
261 table for converting the 37 ESA CCI land cover categories to CLASSIC's nine PFTs which is used in  
262 this study. A high resolution land cover map over Canada and a tree cover fraction data at 30 m  
263 resolution are used to compute the sub-pixel fractional composition of each class in the ESA CCI  
264 dataset, which is then used to inform the cross-walking reclassification procedure (Wang et al.,  
265 2022, in preparation).

266 Figure 1 illustrates the uncertainty in land cover by comparing zonally summed areas of  
267 total vegetation, tree, and grass cover in CLASSIC when model land cover is based on the GLC  
268 2000 (blue line) and ESA CCI (dark red line) land cover products. These two estimates are also  
269 compared to selected other models that participated in the 2020 TRENDY intercomparison (grey  
270 lines) for which land cover information was available, and to Li et al. (2018b) (dotted blackline)  
271 who analyzed the ESA CCI data based on the default reclassification table from the ESA CCI user  
272 guide. Figure 1 shows while there is relatively good agreement across TRENDY models in terms  
273 of total vegetation cover there's a much larger uncertainty in its split between tree and grass  
274 PFTs. This is because the current process of mapping/reclassifying 20-40 land cover classes of a  
275 land cover product to a model's PFTs is mainly based on class description and expert judgement  
276 that introduces some subjectiveness in the process. Compared to the GLC 2000 based land cover



277 in the CLASSIC model, the newer ESA CCI based land cover yields a somewhat higher total  
278 vegetation cover, a higher grass cover, and a somewhat lower tree cover area. Unlike the older  
279 GLC 2000 based land cover used in CLASSIC, the newer ESA CCI based grass and tree cover area  
280 are within the range of the TRENDY models reported here. Finally, Figure 1 also allows to compare  
281 the results from analysis of Li et al. (2018b) for the ESA CCI land cover (dotted black line) to ESA  
282 CCI reclassification for CLASSIC (dark red line) by Wang et al. (2022, in preparation). Li et al.  
283 (2018b) used the default mapping/reclassification table for converting the ESA CCI classes into  
284 PFTs. This comparison illustrates that the remapping of the ESA CCI land cover classes to  
285 CLASSIC's PFTs yields total vegetation, tree, and grass coverage that is broadly comparable to Li  
286 et al. (2018b) although some differences remain for the grasses.

### 287 **3.2 Meteorological data**

288 As a land surface component of an ESM, CLASSIC requires meteorological forcing at a sub-  
289 daily temporal resolution. In the offline simulations reported here, the model is run with half  
290 hourly values of meteorological data (incoming long and shortwave radiation, temperature,  
291 precipitation, specific humidity, wind speed, and pressure). The first meteorological data set used  
292 to drive CLASSIC is from the TRENDY protocol for the year 2020, CRU-JRA v2.1.5, which provides  
293 6 hourly values of the seven variables from the Japanese reanalysis (JRA) with monthly values  
294 adjusted to the climate research unit's data (CRU, <https://crudata.uea.ac.uk/cru/data/hrg/>). This  
295 yields a blended product from year January 1901 to December 2019 with the 6-hourly temporal  
296 resolution of a reanalysis but without the biases that may be present in reanalysis data (Harris,  
297 2020). The second meteorological data set used here to drive CLASSIC is from the Global Soil  
298 Wetness Project 3 (GSWP3). The GSWP3 forcing data are based on a dynamical downscaling of



299 the 20<sup>th</sup> century reanalysis (Compo et al., 2011) using a Global Spectral Model (GSM) run at about  
300 50 km resolution. GSM is nudged towards the vertical structures of 20<sup>th</sup> century (20CR) zonal and  
301 meridional air temperature and winds so that the synoptic features are retained at its higher  
302 spatial resolution. Additional bias corrections are also performed as explained in van den Hurk et  
303 al. (2016). The GSWP3 forcing is available for the 1901-2016 period. The 6-hourly values from  
304 both the CRU-JRA and GSWP3 forcings are further disaggregated to half hourly values for use by  
305 CLASSIC.

306 Figure 2 compares the two meteorological forcings data sets, over the 1996-2016 period,  
307 to illustrate that although these two data sets are very similar there are differences between the  
308 two. Global precipitation over land (excluding Greenland and Antarctica) in the GSWP3 data set  
309 (857 mm/year) is somewhat higher than in the CRU-JRA data set (820 mm/year). The global near-  
310 surface air temperature over land (excluding Greenland and Antarctica) is also slightly higher in  
311 the GSWP3 data set (14.22 °C) compared to the CRU-JRA data set (14.08 °C). The largest  
312 difference in temperature occur between the two data sets over northern tropics (panel h) where  
313 the GSWP3 data set is about 0.93 °C warmer than the CRU-JRA data set. The geographical  
314 distribution of mean annual temperature is very similar between the two data sets but there are  
315 some differences in the geographical distribution of precipitation (not shown). Despite very  
316 similar total precipitation amounts and their seasonality over large global regions in the two data  
317 sets, differences exist in the frequency distribution of precipitation. Figure A1 illustrates this over  
318 three broad regions, the Amazon, the Sahel, and the Midwest United States, which shows the  
319 frequency distribution of daily precipitation amounts (mm/day) over the 2001-2010 period from  
320 the two data sets. Figure A1 shows that the frequency of precipitation events greater than about



321 5-10 mm/day is higher in the GSWP3 data set compared to the CRU-JRA data set for the  
322 Amazonian, the Sahel, and the Midwest United States regions.

### 323 **3.3 Other forcings**

324 Other than land cover and meteorological forcings CLASSIC requires globally averaged  
325 atmospheric CO<sub>2</sub> concentration, and geographically varying time-invariant soil texture and soil  
326 permeable depth, population density, time-invariant monthly lightning, and geographically and  
327 time varying N fertilizer application rates and atmospheric N deposition rates. The atmospheric  
328 CO<sub>2</sub> concentration values are provided by the TRENDY protocol. The soil texture information  
329 consists of percentage of sand, clay, and organic matter and derived from Shangguan et al.  
330 (2014). N fertilizer is specified according to the TRENDY protocol and based on Lu and Tian (2017).  
331 N deposition is also specified according to the TRENDY protocol and based on model forcings  
332 provided for sixth phase of CMIP (CMIP6) through input4MIPs (Hegglin et al., 2016). N deposition  
333 for the historical (1850-2014) period is used as is provided while that for the period 2015-2019 is  
334 specified on the basis of N deposition from the SSP5-85 scenario. For the period 1700-1849, N  
335 deposition values from year 1850 are used.

### 336 **3.4 Model simulations**

337 Using the two reconstructions of the historical land cover (based on the GLC 2000 and ESA CCI  
338 land cover products), the two sets of meteorological data (CRU-JRA and GSWP3), and the two  
339 versions of the CLASSIC model (with and without interactions between the C and N cycles) we  
340 perform eight sets of pre-industrial and historical simulations as summarized in Table 1. Pre-  
341 industrial simulations that correspond to year 1700 are required prior to doing the historical



342 simulations (from which we analyse the model results) so that model pools can be spun up to  
343 near equilibrium for each combination of land cover, meteorological forcing, and model  
344 version. The pre-industrial simulations use 1901-1925 meteorological data repeatedly since this  
345 period shows little trends in meteorological variables. Global thresholds of net atmosphere-  
346 land C flux of 0.05 Pg/yr and net atmosphere-land N flux of 0.5 Tg N/yr, in simulations with the  
347 N cycle turned on, are used to ensure the model pools have reached equilibrium. Each historical  
348 simulation is then initialized from its corresponding pre-industrial simulation after it has  
349 reached equilibrium. Simulations driven with the CRU-JRA meteorological data are performed  
350 for the period 1701-2019, and for the period 1701-2016 for simulations driven with the GSWP3  
351 meteorological data. Similar to the pre-industrial simulations, meteorological data from 1901-  
352 1925 is used repeatedly for the period 1701-1900. The global model simulations are performed  
353 at a spatial resolution of about  $2.81^\circ$  and the size of the spatial longitude-latitude grid is  $128 \times$   
354 64. All model forcings are regridded to this common spatial resolution. The model is run over  
355 about 1900 land grid cells at this resolution excluding glacial cells in Greenland and Antarctica.  
356

### 357 **3.5 Automated benchmarking**

358 The results from the eight CLASSIC simulations reported here are evaluated using an in-  
359 house model benchmarking system called the Automated Model Benchmarking R package  
360 (AMBER) (Seiler et al., 2021b). AMBER is based on a skill score system originally developed by  
361 (Collier et al., 2018) which is used to quantify model performance. Five scores are used that  
362 assess a model's bias ( $S_{\text{bias}}$ ), root-mean-square error ( $S_{\text{rmse}}$ ), seasonality ( $S_{\text{phase}}$ ), interannual  
363 variability ( $S_{\text{iav}}$ ), and spatial distribution ( $S_{\text{dist}}$ ) against globally gridded and in-situ data set(s) of



364 observation-based estimates for a given quantity. A score is computed by first calculating a  
365 dimensionless statistical metric, that is then scaled onto a unit interval, and finally calculating its  
366 spatial mean. Scores range from 0 to 1 and are dimensionless. Higher values indicate better  
367 performance. Finally, an overall score  $S_{overall}$  is calculated as follows by giving twice as much  
368 weight to  $S_{rmse}$  given its importance

$$369 \quad S_{overall} = \frac{S_{bias} + 2S_{rmse} + S_{phase} + S_{iav} + S_{dist}}{1+2+1+1+1}. \quad (1)$$

370

371 The scores are calculated by comparing gridded and in-situ observation-based estimates,  
372 referred to as reference data sets in Seiler et al. (2021b), of 19 energy (surface albedo, net  
373 shortwave and longwave radiation, total net radiation, latent heat flux, sensible heat flux, ground  
374 heat flux), water (soil moisture, snow, and runoff), and C cycle (GPP, net ecosystem exchange,  
375 net biome productivity, aboveground biomass, soil C, LAI, area burnt, and fire CO<sub>2</sub> emissions)  
376 related variables to model simulated quantities. Table 2 summarizes the source of these  
377 observation-based data sets. The resulting model scores express to what extent simulated and  
378 observation-based data agree. A low score does not necessarily indicate poor model  
379 performance. Uncertainties in the meteorological forcing data and geophysical fields used to  
380 drive the model, and/or in the observation-based data itself are possible reasons for lack of  
381 agreement. One way to assess uncertainties in observation-based data sets is to quantify the skill  
382 score by comparing two independently-derived observation-based data sets (Seiler et al., 2022).  
383 The resulting scores are referred to as benchmark scores and quantify the level of agreement  
384 among the observation-based data sets themselves provided, of course, there are at least two



385 sets of observation-based data for a given quantity. The comparison of model scores against  
386 benchmark scores then shows how well a model-simulated quantity compares to the references  
387 data sets relative to the agreement between the observation-based data sets themselves.

#### 388 **4. Results**

389           Figures 3 through 9 show the physical and biogeochemical states of the land surface and  
390 primary physical fluxes of water and energy, and primary biogeochemical fluxes of CO<sub>2</sub> simulated  
391 by CLASSIC at the land-atmosphere boundary for the eight simulations considered here. The  
392 objective is to illustrate how the simulated physical and biogeochemical states and fluxes vary  
393 across the eight simulations. Supplementary Figures A2 through A16, which are complementary  
394 to Figures 3 through 9, show the time series and/or zonally-averaged values of annual values of  
395 a variable of interest when averaged across four ensemble members each according to whether  
396 N cycle is turned on or not (panel a), whether GLC 2000 or ESA CCI based land cover is used (panel  
397 b) and whether model simulations are driven by CRU-JRA or GSWP3 meteorological data (panel  
398 c). While Figures 3 to 9 illustrate the range across the eight simulations, the supplementary  
399 figures evaluate the effect of model structure, meteorological forcing, and land cover on a given  
400 quantity. We also quantify the spread across the eight simulations using the coefficient of  
401 variation ( $cv = \text{standard deviation}/\text{mean}$ ) calculated using annual global values for a given  
402 quantity averaged over last 20 years of each simulation.

#### 403 **4.1 Physical land surface state and fluxes**

404           Figure 3 shows the globally-averaged simulated soil moisture and temperature in the top  
405 1 m soil layer. While simulated soil temperature in the top 1 m is fairly similar across the eight





406 simulations, the simulated soil moisture is distinctly separated into two groups. This separation  
407 into these two groups is caused by the driving meteorological data as shown in Figure S2. The  
408 coefficient of variation for soil moisture and temperature values averaged over the last 20 years  
409 of each simulation are 0.02 and 0.004, respectively, indicating that overall the variation in these  
410 quantities is relatively small compared to their means. The use of the GSWP3 meteorological  
411 dataset yields slightly higher (~4%) globally-averaged soil moisture compared to the CRU-JRA  
412 meteorological data set (236.5 mm vs. 227.1 mm, Figure S2).

413 Figure 4 shows the simulated fluxes of global evapotranspiration and runoff. Similar to  
414 soil moisture, evapotranspiration and runoff also fall broadly into two groups and the reason for  
415 this again is the driving meteorological data. Figure A3 and A4 show that while interactive N cycle  
416 also affects evapotranspiration and runoff fluxes, the biggest factor is the difference in driving  
417 meteorological data. Neither evapotranspiration nor runoff are significantly affected by the  
418 choice of land cover. The reason an interactive N cycle affects evapotranspiration is that the N  
419 cycle in CLASSIC affects the rate of photosynthesis through prognostic determination of leaf N  
420 content. Photosynthesis in turns affects canopy conductance, which affects transpiration through  
421 the canopy leaves. Average evapotranspiration over the last 20 years of the simulations driven  
422 with GSWP3 meteorological data (1997-2016) is about 9% lower than in simulations driven with  
423 CRU-JRA meteorological data (1999-2018) (65.89 vs. 72.1  $\times 1000$  km<sup>3</sup>/year, Figure S4, panel c).  
424 Interactive N cycle reduces evapotranspiration by about 2% due to lower photosynthesis rates as  
425 shown later (Figure S4, panel a). Average runoff is about 27% higher in simulations driven with  
426 GSWP3 compared to simulations driven with CRU-JRA meteorological data (52.6 vs 41.3  $\times 1000$   
427 km<sup>3</sup>/year, Figure S3, panel c). This is due to high slightly precipitation in the GSWP3



428 meteorological data set (Figure 2) but more so the simulated lower evapotranspiration when  
429 using the GSWP3 data (Figure S4, panel c). The coefficient of variation for evapotranspiration and  
430 runoff values averaged over the last 20 years of each simulation are 0.05 and 0.13, respectively.

431 Figure 5 shows the primary energy fluxes from the eight simulations. These include net  
432 downward shortwave and longwave radiation, and latent and sensible heat fluxes. Incoming  
433 shortwave and longwave radiation are part of the driving meteorological data. Similar to water  
434 fluxes, the differences in energy fluxes in CLASSIC are also primary driven by differences in  
435 meteorological data (Figure S5). Net shortwave radiation (Figure 5a) is equal to incoming  
436 shortwave radiation minus the fraction that is reflected back. Net longwave radiation (Figure 5b)  
437 is equal to incoming longwave radiation minus the longwave radiation emitted by the land based  
438 on its surface temperature following the Stefan-Boltzmann law. The difference in net shortwave  
439 radiation is also affected among other things by simulated vegetation biomass and leaf area  
440 index. The latter affects surface albedo that determines what fraction of incoming shortwave  
441 radiation is reflected back. This is the reason why an interactive N cycle affects shortwave  
442 radiation since the N cycle affects photosynthesis, and in turn simulated vegetation biomass and  
443 leaf area index (Figure S5). Latent heat flux is affected primarily by meteorological data but also  
444 if N cycle is interactive or not since it is essentially evapotranspiration but in energy units. Finally,  
445 differences in sensible heat fluxes are strongly affected by differences in driving meteorological  
446 data. Globally-averaged sensible heat flux in the simulations driven with GSWP3 data is ~14%  
447 higher compared to CRU-JRA driven simulations (40 vs. 35 W/m<sup>2</sup>). The coefficient of variation for  
448 sensible heat flux values averaged over the last 20 years of each simulation is 0.07. Net shortwave  
449 (cv=0.006) and longwave (cv=0.03) radiative fluxes vary little across the eight simulations..



450 Overall runoff ( $cv=0.13$ ), sensible heat flux ( $cv=0.07$ ), and evapotranspiration (latent heat  
451 flux) ( $cv=0.05$ ) are most affected by the driving meteorological data but soil moisture and  
452 temperature not as much.

## 453 **4.2 Biogeochemical land surface state and fluxes**

### 454 **4.2.1 Primary CO<sub>2</sub> fluxes and C pools**

455 Figure 6 shows the simulated C state of the land surface expressed in terms of vegetation  
456 and soil C pools. Panels a and b show the annual time series of global vegetation and soil C mass  
457 from the eight simulations, and panels c and d show their zonally-averaged distributions  
458 averaged over the last 20 years of each simulation. The biggest difference in time series of global  
459 vegetation ( $cv=0.16$ ) and soil ( $cv=0.21$ ) C mass compared to soil moisture and temperature, which  
460 characterized the physical land surface state, is the large spread across the eight simulations as  
461 indicated by their high  $cv$  values. The zonally-averaged values further provide insight into the  
462 reasons for this spread and show that the largest differences between simulated vegetation and  
463 soil C occur at northern high latitudes (north of about 40°N). Panels c and d of Figure 6 also show  
464 observation-based zonally-averaged values of vegetation and soil carbon mass based on the  
465 Reusch and Gibbs (2008) and the Harmonized World Soils Database (v1.2) (Fischer et al., 2008),  
466 respectively, to provide a reference. A more thorough comparison with observations is provided  
467 in Section 4.3.

468 Differences in vegetation biomass are caused primarily when the N cycle is interactive or  
469 not (Figures A6 and S8). Both land cover and the driving meteorological data play a smaller role  
470 in the simulated spread in vegetation biomass (Figure S6). The ESA CCI based land cover has larger



471 vegetated area but most of this increase comes from an increase in the area of grasses that do  
472 not store a lot of C in their vegetation biomass. The spread in simulated soil carbon is caused due  
473 to N cycle but also by the choice of land cover (Figures A7 and S9). Since CLASSIC assumes that  
474 litter from grasses is more recalcitrant than that from trees the choice of ESA CCI based land  
475 cover leads to higher soil C mass. The choice of meteorological data doesn't affect the magnitude  
476 of simulated globally-summed soil C mass significantly but does affect its change over the  
477 historical period. In Figure A7 (panel c) the decrease in soil C mass over the 1700-2016 historical  
478 period is higher when using the GSWP3 (28 Pg C) compared to when using the CRU-JRA (12 Pg C)  
479 meteorological data.

480         The reason why an interactive N cycle in CLASSIC affects vegetation biomass and soil  
481 carbon is seen in Figure 7 which shows the spread of primary C fluxes including gross primary  
482 productivity (GPP) ( $cv=0.07$ ), and autotrophic ( $cv=0.04$ ) and heterotrophic ( $cv=0.10$ ) respiratory  
483 fluxes, across the eight simulations. Since GPP is lower in the runs with the N cycle, both  
484 vegetation biomass (Figure S6a) and soil C mass (Figure S7a) are also lower. The lower GPP in the  
485 runs with N cycle is due primarily to lower GPP at high latitudes (Figure 7d), as mentioned earlier,  
486 which yields low vegetation biomass at high latitudes (Figure S8a). Low GPP at high latitudes  
487 translates to even larger relative differences in soil C given the longer turnover time scales of soil  
488 C at high latitudes (Figure 6d, Figure S8a).

489         Overall, while the primary biogeochemical fluxes ( $cv$  values vary from 0.04 to 0.10) vary  
490 as much as the water and energy fluxes the resulting spread in vegetation biomass ( $cv=0.16$ ) and  
491 soil carbon mass ( $cv=0.21$ ) across the eight simulations is much larger and driven primarily if N  
492 cycle is interactive or not and the difference in land cover.



#### 493 **4.2.2 Area burned and fire CO<sub>2</sub> emissions**

494 Figure 8 shows the time series of global area burned and global fire CO<sub>2</sub> emissions, and  
495 their zonally-averaged values. We chose area burned (cv=0.24) and fire CO<sub>2</sub> emissions (cv=0.21)  
496 in addition to the primary biogeochemical fluxes since fire shows large variability both in space  
497 and in time, and both these variables yield the largest spread across the eight simulations, among  
498 all the fluxes and simulated quantities considered here. Figures 8c and 8d also show observation-  
499 based estimates for area burned and fire CO<sub>2</sub> emissions based on GFED 4s (Giglio et al., 2013) to  
500 provide an observation-based context. Figure A13 and A14 help understand which factors  
501 contribute to this large variability. The variability in area burned is caused primarily by the choice  
502 of land cover and meteorological data and the variability is higher in the southern hemisphere  
503 (Figure A13). An interactive N cycle does not affect the zonal distribution area burned and fire  
504 CO<sub>2</sub> emissions (Figure A14) as much. The reason both area burned and fire CO<sub>2</sub> emissions are  
505 affected by the choice of land cover is because the ESA CCI land cover has higher grass area and  
506 as a result it yields higher area burned and fire CO<sub>2</sub> emissions since a larger area is burned for  
507 grasses than for trees in the model. The choice of driving meteorological data is a factor in area  
508 burned and our simulations show that the use of GSWP3 meteorological forcing yields to a higher  
509 area burned than the CRU-JRA data. In particular wind speed, which determines rate of spread  
510 of fire in CLASSIC, is much higher in the GSWP3 than in the CRU-JRA meteorological data.  
511 Globally-averaged land wind speed (excluding Greenland and Antarctica) in GSWP3 data is 6.1  
512 m/s compared to 3.4 m/s in the CRU-JRA data for the period 2000-2016.

513 Table 3 shows the energy, water, and carbon related quantities considered so far and lists  
514 them from the most variable at the top to the least variable at the bottom according to their



515 coefficient of variation. Area burned is found to be the most variable quantity and net shortwave  
516 radiation the least variable.

#### 517 **4.2.3 Net biome productivity**

518 Figure 9 shows the spread in the time series of annual global net biome productivity (NBP)  
519 values and their zonally-averaged values across the eight simulations averaged over the last 20  
520 years of each simulation. The global NBP or the net atmosphere-land CO<sub>2</sub> flux is considered a  
521 critical determinant of the performance of land models, and is treated as such by TRENDY,  
522 because this flux ultimately affects changes in atmospheric CO<sub>2</sub> burden. TRENDY requires that  
523 land models simulate a terrestrial C sink for the decades of 1990s to present to be considered for  
524 inclusion in the TRENDY ensemble. Figure 9a also shows the estimates of NBP from the  
525 participating TRENDY models in grey boxes with mean and shaded range for the decades from  
526 1960s to 2010s from the Global Carbon Project (Friedlingstein et al., 2022). Positive values in  
527 Figure 9 indicate a C sink over land and negative values a C source to the atmosphere.

528 From Figure 9a, all eight simulations reported here would qualify for inclusion in the  
529 TRENDY ensemble since they all simulate a terrestrial C sink during 1990s to the present day. In  
530 addition, the time series of global NBP from all eight simulations lie within the uncertainty range  
531 of reported estimates from the Global Carbon Project. Figure 9a suggests on the basis of global  
532 NBP, at least, it is not possible to exclude any of the eight simulations. In Figure 9b, zonally-  
533 averaged NBP averaged over the last 20 years from each of the eight simulations mostly lie within  
534 the range of NBP simulated by models that participated in TRENDY 2020. CLASSIC simulates a C  
535 sink at northern high-latitudes consistent with TRENDY models but it simulates a C sink on the



536 stronger side of TRENDY models in the southern tropics ( $0^{\circ}$  -  $20^{\circ}$ S). This is likely because CLASSIC  
537 is known to simulate low C emissions associated with land use change most of which are  
538 generated in tropical regions (Asaadi and Arora, 2021).

539         Figures A15 and A16 provide additional insights into the effect of different forcings on the  
540 simulated NBP. In Figure A15, over the last 20 years of the simulations, an interactive N cycle  
541 leads to somewhat weaker C sink (panel a, 0.98 vs. 1.11 Pg C/yr), the choice of the ESA CCI based  
542 land cover leads to a somewhat stronger C sink (panel b, 1.14 vs 0.94 Pg C/yr), and the choice of  
543 the GSWP3 meteorological data leads to a much weaker C sink (panel c, 0.74 vs 1.33 Pg C/yr)  
544 than the CRU-JRA meteorological data. In Figure A16, panel a, the largest difference between the  
545 model versions with and without the N cycle occurs in the tropics ( $\sim 5^{\circ}$ N -  $20^{\circ}$ S) where an  
546 interactive N cycle leads to a weaker C sink. There are difference in zonally-averaged NBP with  
547 and without the N cycle south of  $45^{\circ}$ S but the land area below this latitude is small so the  
548 averages are calculated over only a few grid cells. The choice of land cover (Figure A16, panel b)  
549 doesn't substantially change the distribution of the zonally-averaged values of NBP although as  
550 noted above the choice of ESA CCI based land cover leads to a somewhat stronger sink. Finally,  
551 the choice of the GSWP3 meteorological forcing leads to a weaker C sink at most latitudes (Figure  
552 A16, panel c).

### 553 **4.3 Automated benchmarking**

554         Figure 10 plots the overall score,  $S_{\text{overall}}$ , against benchmark scores for several of the  
555 energy, water, and C cycle related variables. AMBER does not yet evaluate N cycle related  
556 variables for which observations are more scarce than for C cycle related variables. The whiskers



557 show the range in the overall score both for the benchmark and model scores. The range in model  
558 scores comes from the eight simulations, and the range in benchmark scores comes from the  
559 different observation-based data sets. Figure 10 shows that typically as the benchmark scores  
560 increase so do the overall model scores for a given quantity. This indicates that uncertainty in  
561 observation-based estimates themselves leads to a poor agreement between observations and  
562 model-simulated quantities. For energy and water fluxes score (panels a and b) the model overall  
563 scores lie around the 1:1 line indicating that model scores are generally as good as the benchmark  
564 scores, except for surface albedo (ALBS), runoff (MRRO), ground heat flux (HFG), and comparison  
565 against one observation-based estimate of snow water equivalent which lie below the 1:1 line.  
566 For C cycle related variables most scores lie somewhat below the 1:1 line indicating that  
567 simulated quantities do not agree as well with observations as observations agree among  
568 themselves. The lower benchmark scores for soil C (panel c) is due to the fact that the  
569 SoilGrids250m (SG250m) data and the Harmonized World Soil Database (HWSD) do not agree  
570 well amongst themselves because the SG250m soil C data includes peatlands and permafrost  
571 carbon at high latitudes while the HWSD data does not (see Figure 11b). Since the version of  
572 CLASSIC used here does not represent peatlands and permafrost C it compares better with the  
573 HWSD data than with the SG250m data.

574 Figure 11 shows the zonal distribution of vegetation biomass, LAI, area burnt, GPP, and  
575 fire CO<sub>2</sub> emissions (which constitute standard output from AMBER) and illustrates how AMBER  
576 compares the spread across the simulations indicated by 50%, 80%, and 100% shading against  
577 observation-based estimates. The red, orange, and yellow colours indicate the model mean and  
578 the spread across the eight model simulations and the thick lines in other colours show the mean





579 values of observation-based estimates. The time period over which observations and model-  
580 quantities are averaged are chosen to be the same. Figure A17 and A18 compare zonally  
581 averaged values of other simulated quantities with observation-based estimates used in the  
582 AMBER framework. Together Figures 11, A17, and A18 illustrate that the model is overall able  
583 to capture the latitudinal distribution of most land surface quantities.

584         Since overall scores are available for all eight simulations for model quantities that are  
585 compared to observations it is possible to evaluate how an interactive N cycle, and the choice of  
586 meteorological data and land cover data affects model performance. Figure 12 summarizes the  
587 difference in overall scores for model quantities and combinations for which the differences are  
588 statistically significant at the 5% level based on Tukey's test (Tukey, 1977). The score indicated in  
589 parentheses for each quantity is the average score across the eight simulations and provides  
590 context. For example, when evaluating the effect of change in land cover for NEE the use of the  
591 GLC 2000 based land cover, compared to the use of the ESA CCI based land cover, degrades the  
592 average score for net ecosystem exchange by about 0.02 given that the average score for net  
593 ecosystem exchange is 0.53. The use of the GLC 2000 based land cover on the other hand slightly  
594 improves scores for ecosystem respiration and liquid soil moisture. The use of GSWP3 data  
595 improves model scores for net shortwave, longwave, and total radiation, for sensible and ground  
596 heat flux but degrades the overall score for area burned, soil moisture, and more so for snow  
597 water equivalent. Finally, an interactive N cycle slightly improves model performance for area  
598 burned and fire CO<sub>2</sub> emissions (due to improved aboveground biomass in the tropics) but  
599 degrades it for ecosystem respiration, GPP, and net ecosystem exchange. Overall, the largest  
600 effect on model performance is due to the driving meteorological data.



601           The full suite of results from AMBER for the eight simulations presented in this study can  
602 be found at <https://cseiler.shinyapps.io/ShinyCLASSIC/>.

## 603 **5. Conclusions**

604           The results presented in this paper help draw three primary conclusions. First, even if the  
605 observations and models were perfect (including their structure and their parameterizations) the  
606 uncertainty associated with driving meteorological data and geophysical fields make it difficult  
607 to evaluate land models. The uncertainty in global scale driving data implies that a model can  
608 never be truly evaluated to its fullest extent. Model results can only be as good as the data that  
609 are used to force them and therefore even a perfect model cannot yield perfect results.

610           Second, model tuning when driving the model with a single set of forcings and evaluating  
611 it against a single set of observations is likely not a fruitful exercise. Models should not be tuned  
612 to a single set of driving data and rather their performance must be evaluated against a range of  
613 available observations in light of the uncertainty associated with driving data and the uncertainty  
614 associated with observations. A model's ability to reproduce a given single set of observations  
615 when driven with a single set of driving data is not a true measure of its success. Here again, a  
616 perfect model driven by perfect forcing data cannot be truly evaluated to its fullest extent since  
617 observations themselves have uncertainties.

618           Third, the response of a land model expressed in terms of net atmosphere-land CO<sub>2</sub> flux  
619 to perturbation in meteorological, CO<sub>2</sub>, and land use change forcing over the historical period  
620 appears to be largely independent of its pre-industrial state as simulated here. The pre-industrial  
621 soil and vegetation C mass for the eight simulations considered here vary between 1035 ± 195



622 Pg C and  $405 \pm 58$  Pg C (mean  $\pm$  standard deviation), respectively. Both pre-industrial and present-  
623 day vegetation and soil carbon pools (Figure 7) explain only about 2% to 7% of the variability in  
624 simulated net atmosphere-land CO<sub>2</sub> flux (Figure 10) over the last 20-year of each of the eight  
625 simulations. The net atmosphere-CO<sub>2</sub> flux from all eight simulations for the period 1960s to 2000s  
626 is found to lie within the uncertainty range provided by the GCP (Friedlingstein et al., 2022). Given  
627 the current uncertainty in net atmosphere-land CO<sub>2</sub> flux it is therefore not possible to exclude  
628 any of the eight simulations at least on this basis. The finding that a transient response of a model  
629 is independent of its preindustrial state is also consistent with land components of CMIP6  
630 models. Arora et al. (2020) analyzed results from CMIP6 simulations in which atmospheric CO<sub>2</sub>  
631 increases at a rate of 1% per year from year 1850 until CO<sub>2</sub> quadruples from  $\sim 285$  to  $\sim 1140$  ppm.  
632 They found that the carbon-concentration and carbon-climate feedback parameters for the land  
633 component of CMIP6 models do not depend on the absolute values of their vegetation and soil  
634 carbon pools but rather how a given model responds to changes in atmospheric CO<sub>2</sub> and the  
635 associated change in temperature. This conclusion is perhaps somewhat comforting in that while  
636 pre-industrial states of land models may be different from their true observed states they still  
637 have the ability to reproduce net atmosphere-land CO<sub>2</sub> flux over the historical period that is  
638 consistent with current observation-based estimates. Clearly, this reasoning does not apply if  
639 pre-industrial vegetation or soil C mass are zero. However, successful reproduction of  
640 atmosphere-land CO<sub>2</sub> fluxes over the historical period is no guarantee that future projections  
641 from land models are reliable.

642 The ensemble-based approach used here also allows for the evaluation of the effect of an  
643 interactive N cycle on model simulated quantities in a robust manner. By comparing simulations



644 with and without the N cycle averaged over all meteorological data and land cover combinations  
645 we are able to clearly identify the effect of N cycle. In particular, we found that the somewhat  
646 low productivity at high latitudes, when the N cycle is turned on, leads to relatively large  
647 differences in soil carbon at high latitudes regardless of the meteorological data or land cover  
648 being used to drive the model. Although, this is not the reason for differences in net atmosphere-  
649 land CO<sub>2</sub> flux between models with and without N cycling: as mentioned above present-day net  
650 atmosphere-land CO<sub>2</sub> flux is independent of both the pre-industrial and present-day vegetation  
651 and soil carbon pools. Given the knowledge about the effect of N cycling on model behaviour,  
652 the reasons can now be investigated to further improve the N cycle component of CLASSIC.

653 It is logical to assume that the results presented here are sensitive to the horizontal  
654 resolution of the model. Both forcing data that are used to drive the model, and observations  
655 against which model results are compared, are regridded to be consistent with the model's  
656 spatial resolution. For example, at the scale of a few meters, meteorological variables measured  
657 at a given site will indeed be less uncertain than their spatially-averaged values say for a 2.81°  
658 grid cell. Similarly, observations at a scale of a few meters for soil carbon and/or vegetation  
659 biomass will also likely be more certain than their values at large spatial scales. This is one reason  
660 why AMBER uses both gridded and in-situ observation-based estimates to calculate its scores.  
661 Fluxes of latent and sensible heat, on the other hand, may not be any more certain at a given site  
662 than over large spatial scales. This is because of the problems associated with energy budget  
663 closure (Mauder et al., 2020) which, at the point scale, prevent the sum of annual latent and  
664 sensible heat flux to be equal to net radiation (average of ground heat fluxes is close to zero at  
665 an annual time scale).



666 Land models have become increasing complex over the years and so has the requirement  
667 for forcing data to drive these models. The evaluation of land models has also become complex  
668 as the models now generate a multitude of variables which must be evaluated against their  
669 observation-based estimates. Estimates of observation-based data to evaluate models, and the  
670 availability of forcing data, have also increased. Given the uncertainties associated with model  
671 inputs, model structure, and observation-based data, it is unrealistic to expect land models to  
672 perfectly reproduce observations for large-scale global simulations. Rather a more robust model  
673 evaluation must take into account the uncertainties both in the forcing and observations-based  
674 data. A comprehensive and robust model evaluation can be performed by comparing multiple  
675 model realizations against multiple observation-based data sets.

676

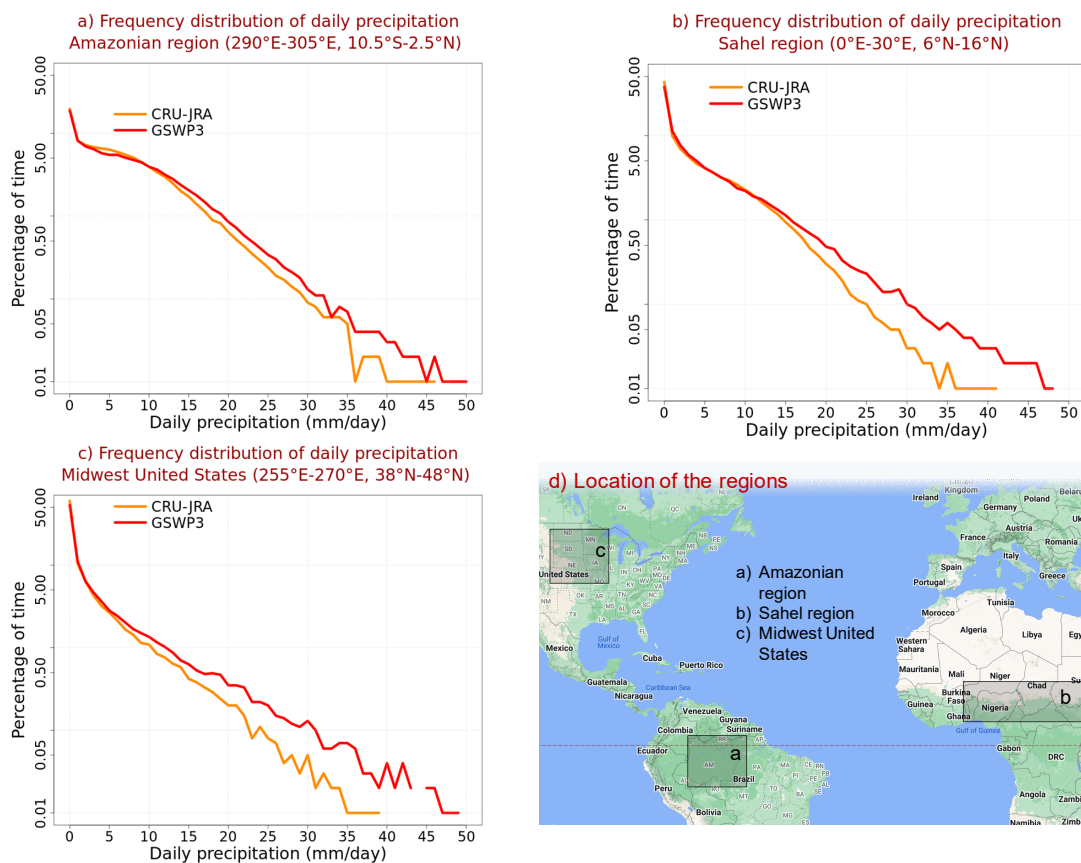


677

## Appendix

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679



680

681 Figure A1: Comparison of frequency distribution of daily precipitation between the CRU-JRA and GSWP3

682 meteorological data sets for three broad regions and for the period 2001-2010: a) the Amazonian

683 region, b) the Sahel region, and c) the Midwest United States. The frequency is represented as

684 percentage of time daily precipitation is between  $x$  and  $x+1$  mm/day, where  $x$  is the value on the x-axis.

685 Panel (d) shows the location of these broad regions. The underlying map in panel (d) is from Google

686 Maps.

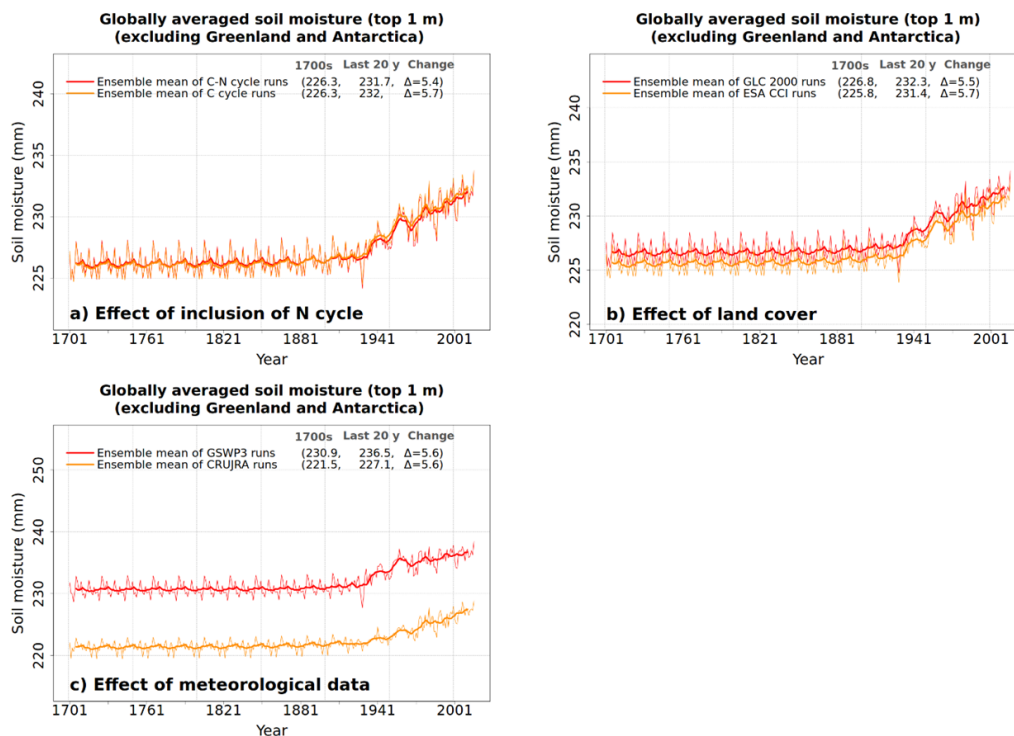
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693 Figure A2: Comparison of time series of annual globally-averaged soil moisture in the top 1m averaged  
694 over four ensemble members each that are driven with and without N cycle (panel a), driven with GLC  
695 2000 and ESA CCI based land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.  
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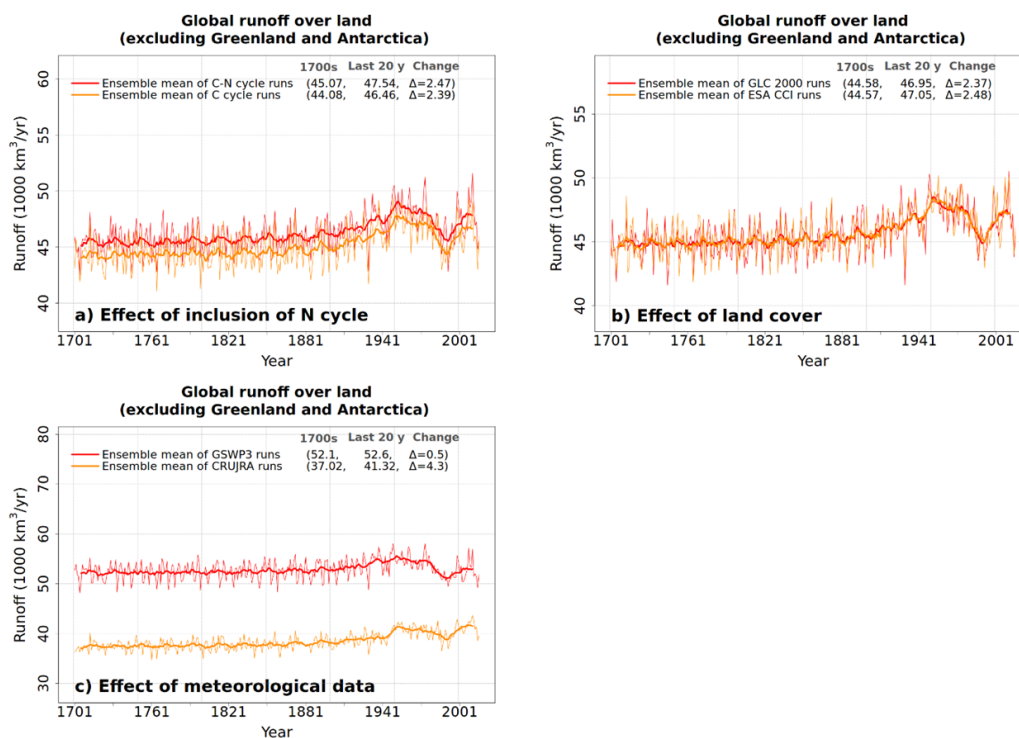
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704 Figure A3: Comparison of time series of annual global runoff values averaged over four ensemble

705 members each that are driven with and without N cycle (panel a), driven with GLC 2000 and ESA CCI

706 based land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

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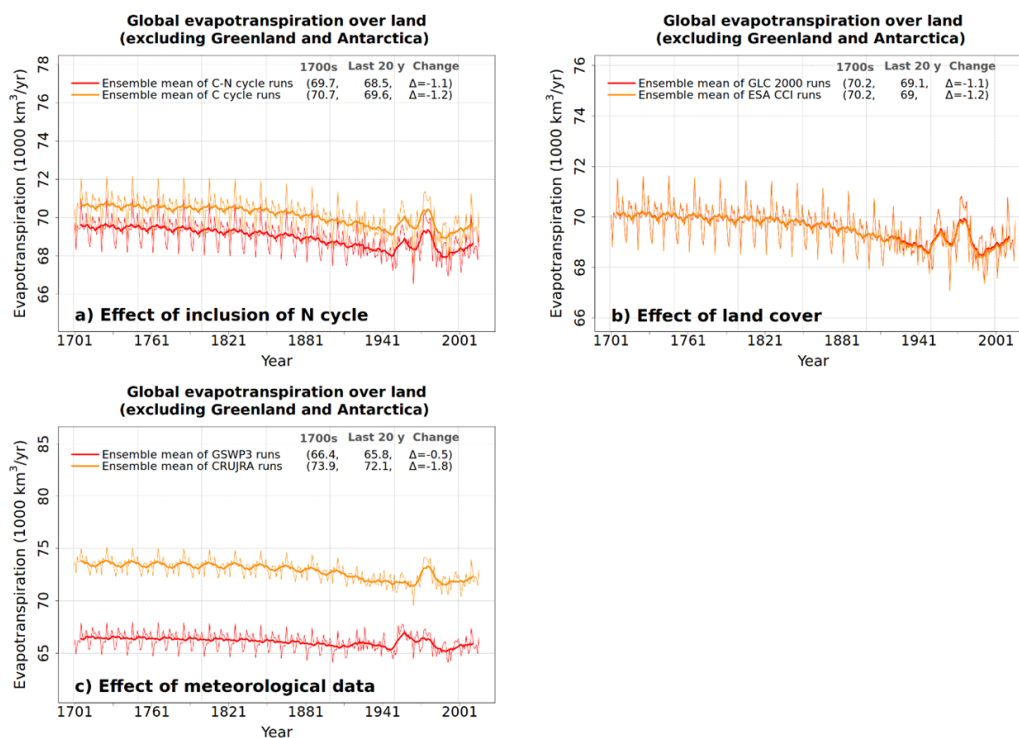
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713 Figure A4: Comparison of time series of annual global evapotranspiration (over all land area excluding  
 714 Greenland and Antarctica) averaged over four ensemble members each that are driven with and without  
 715 N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and driven with GSWP3  
 716 and CRU-JRA meteorological data.

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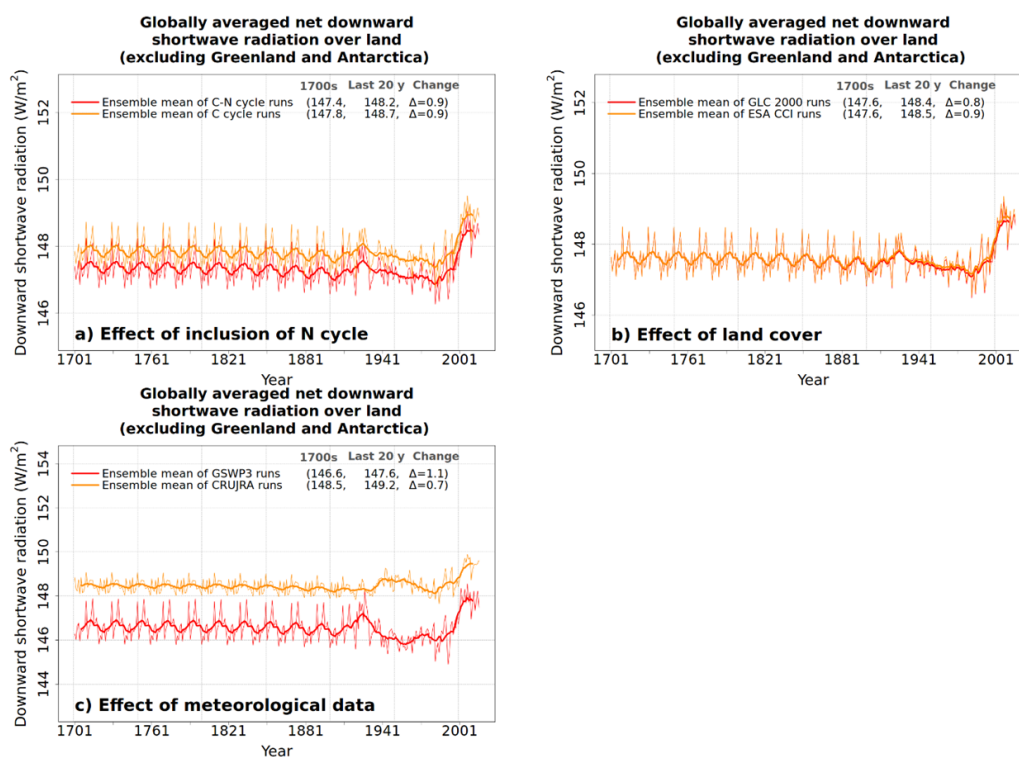
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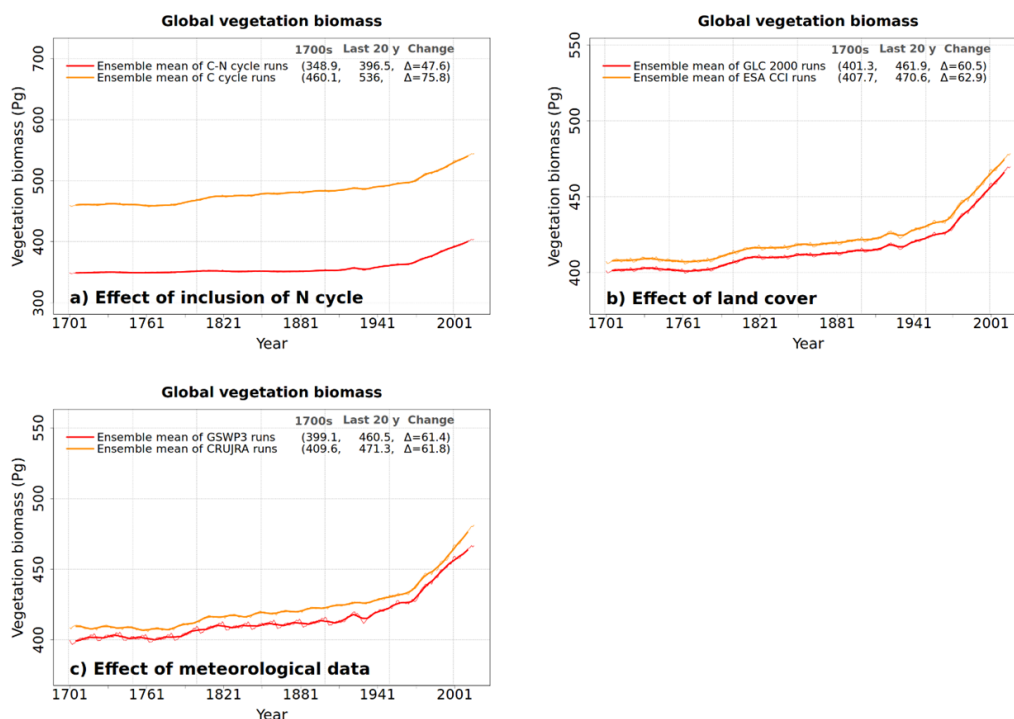
724 Figure A5: Comparison of time series of annual globally-averaged net downward shortwave radiation  
 725 (over all land area excluding Greenland and Antarctica) averaged over four ensemble members each that  
 726 are driven with and without N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel  
 727 b), and driven with GSWP3 and CRU-JRA meteorological data.

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733 Figure A6: Comparison of time series of annual global vegetation biomass (over all land area excluding  
734 Greenland and Antarctica) averaged over four ensemble members each that are driven with and without  
735 N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and driven with GSWP3  
736 and CRU-JRA meteorological data.

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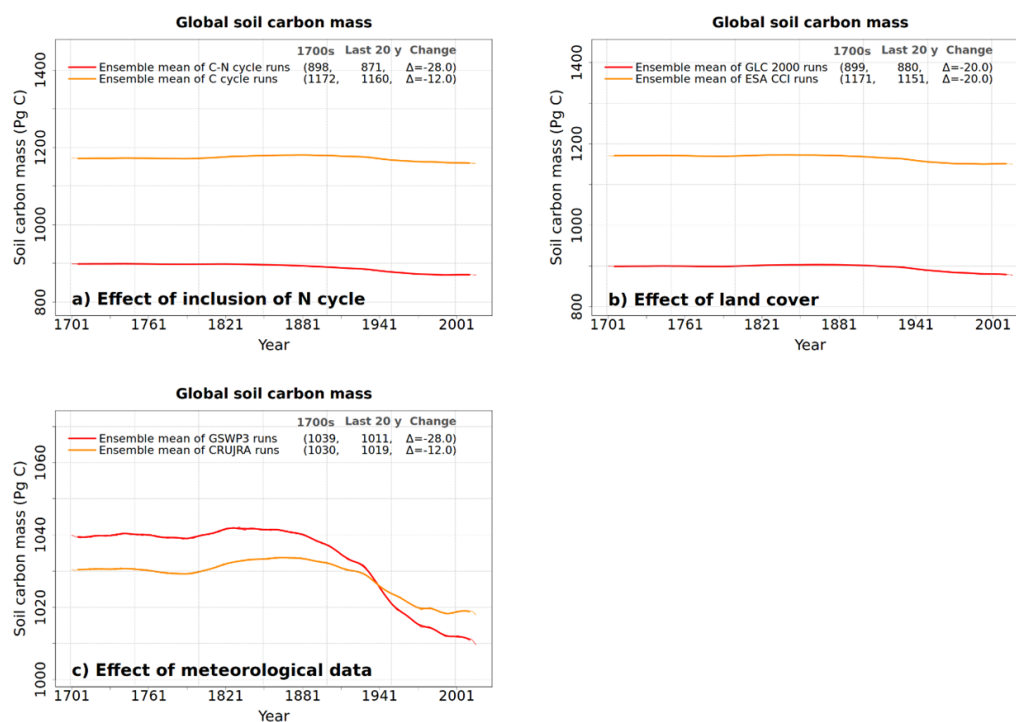
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744 Figure A7: Comparison of time series of annual global soil carbon mass (over all land area excluding  
745 Greenland and Antarctica) averaged over four ensemble members each that are driven with and without  
746 N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and driven with GSWP3  
747 and CRU-JRA meteorological data.

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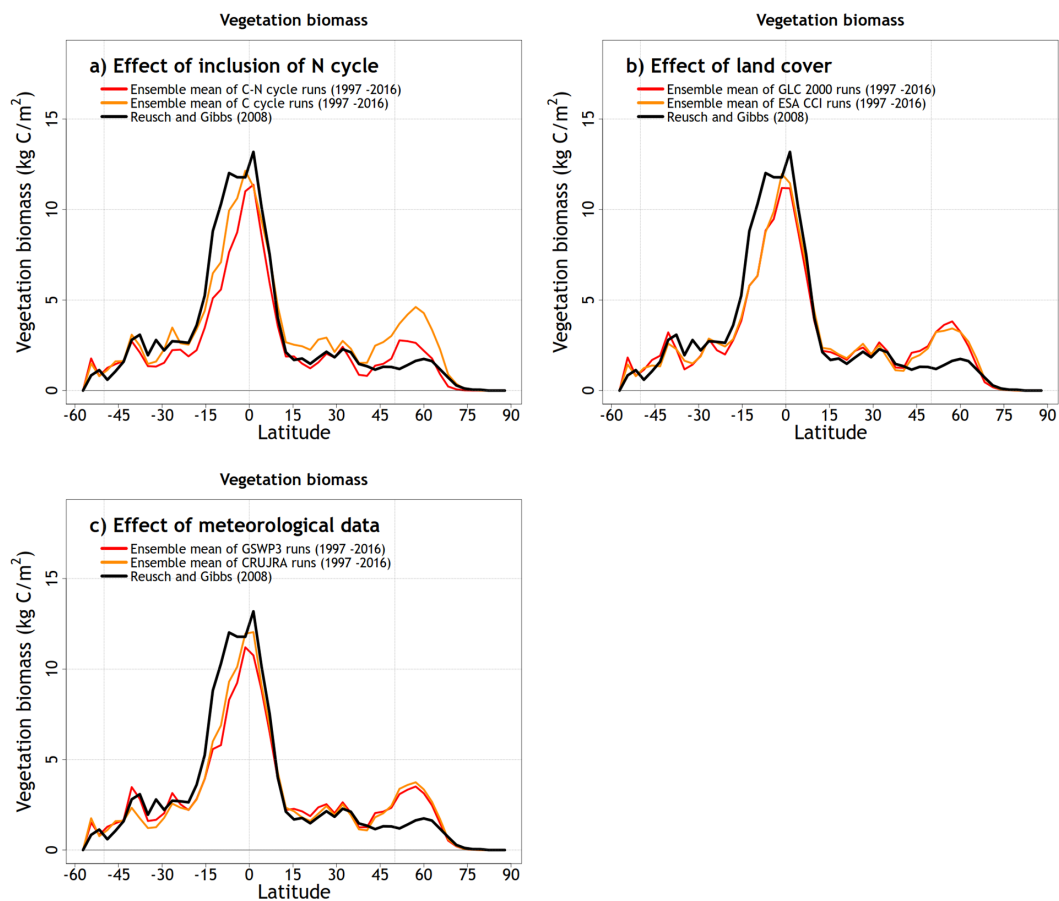
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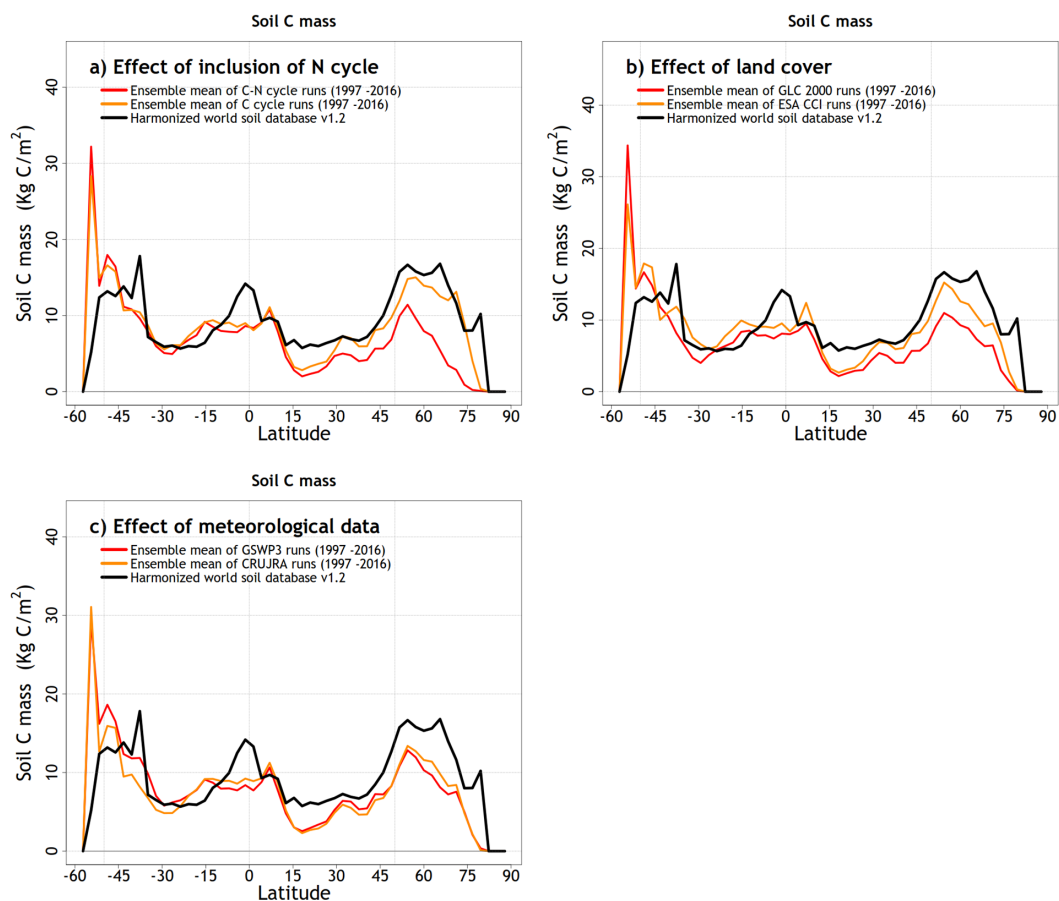
755 Figure A8: Comparison of zonally-averaged vegetation biomass (over all land area excluding Greenland  
756 and Antarctica) time-averaged over last 20-years of each simulation and then averaged over four  
757 ensemble members each that are driven with and without N cycle (panel a), driven with GLC 2000 and  
758 ESA CCI based land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

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764 Figure A9: Comparison of zonally-averaged soil carbon mass (over all land area excluding Greenland and  
765 Antarctica) time-averaged over last 20-years of each simulation and then averaged over four ensemble  
766 members each that are driven with and without N cycle (panel a), driven with GLC 2000 and ESA CCI based  
767 land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

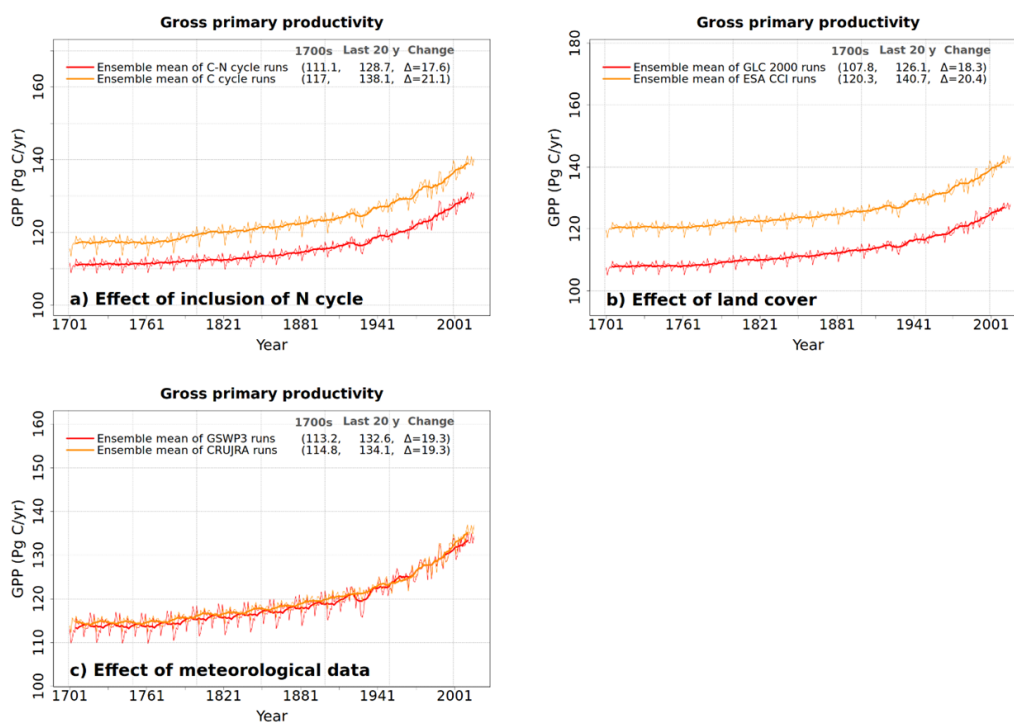
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774 Figure A10: Comparison of time series of annual gross primary productivity (GPP) (over all land area  
775 excluding Greenland and Antarctica) averaged over four ensemble members each that are driven with  
776 and without N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and  
777 driven with GSWP3 and CRU-JRA meteorological data.

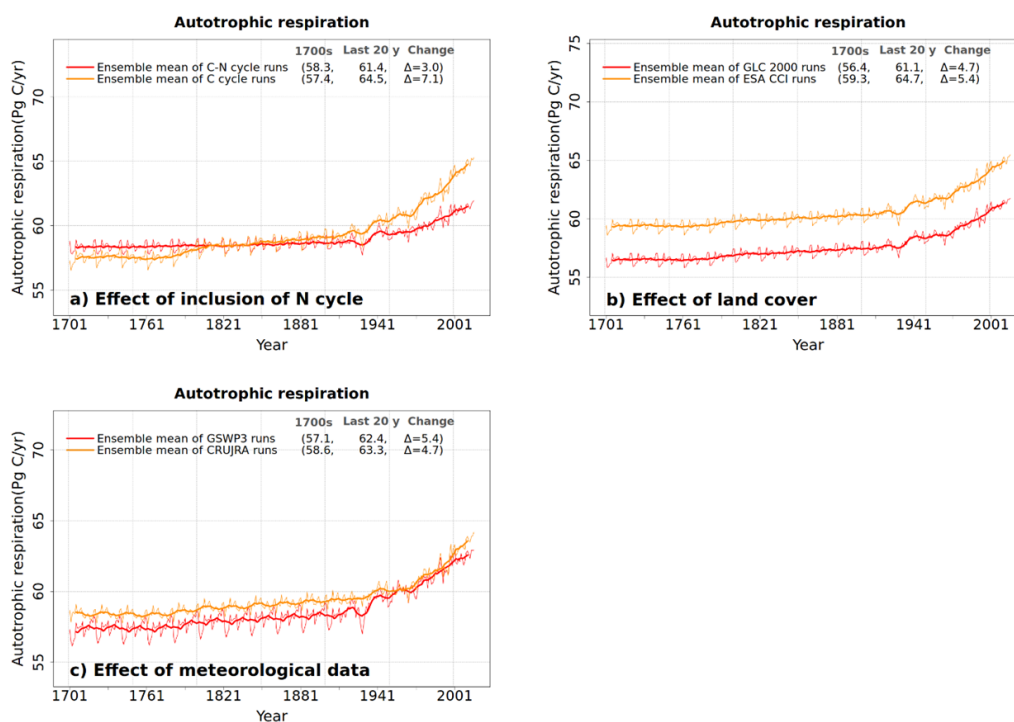
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784 Figure A11: Comparison of time series of annual global autotrophic respiration (over all land area  
785 excluding Greenland and Antarctica) averaged over four ensemble members each that are driven with  
786 and without N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and  
787 driven with GSWP3 and CRU-JRA meteorological data.

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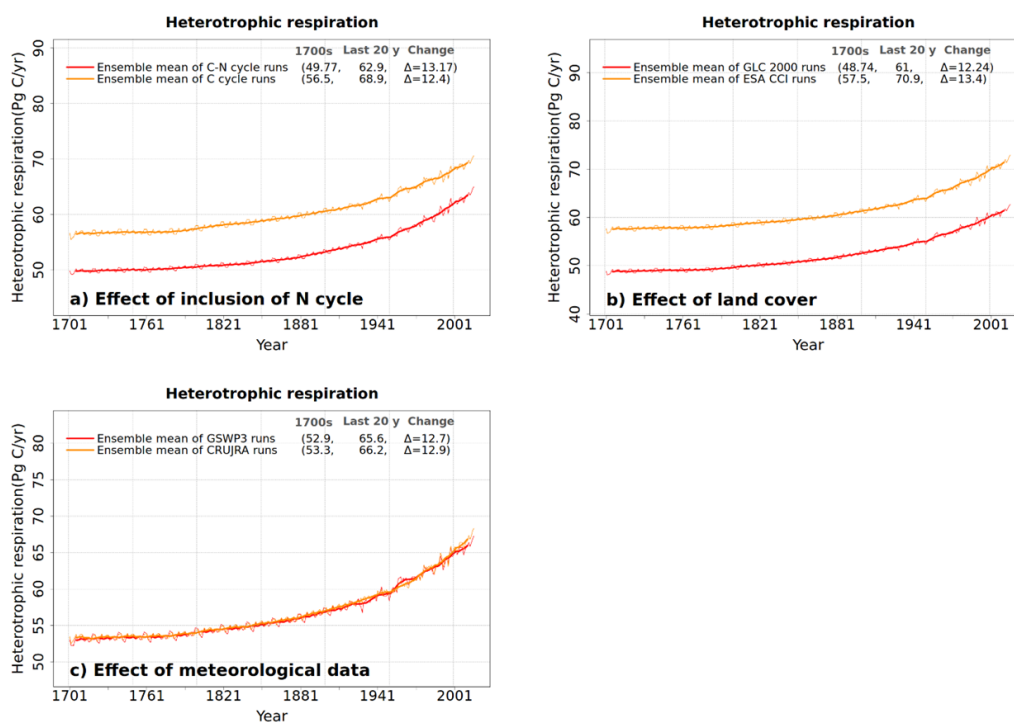
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795 Figure A12: Comparison of time series of annual global heterotrophic respiration (over all land area  
796 excluding Greenland and Antarctica) averaged over four ensemble members each that are driven with  
797 and without N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b), and  
798 driven with GSWP3 and CRU-JRA meteorological data.

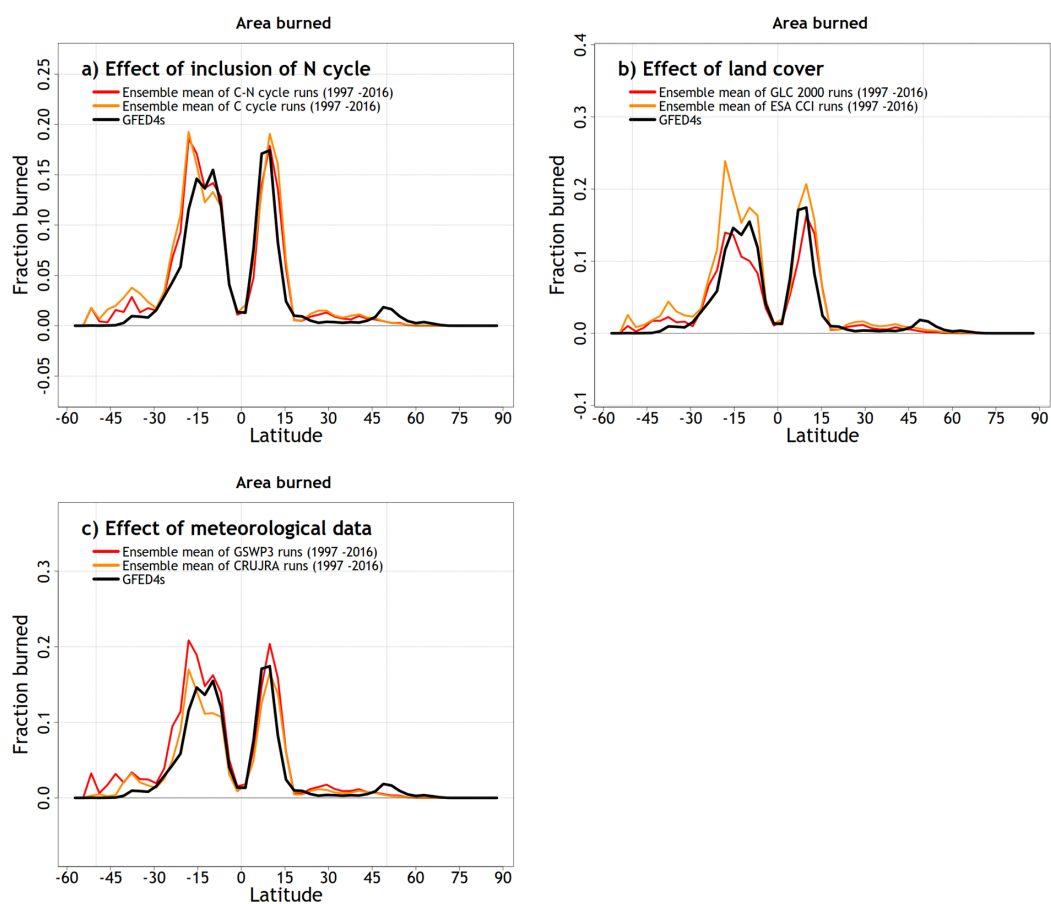
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805 Figure A13: Comparison of zonally-averaged area burned (over all land area excluding Greenland and  
806 Antarctica) time-averaged over last 20-years of each simulation and then averaged over four ensemble  
807 members each that are driven with and without N cycle (panel a), driven with GLC 2000 and ESA CCI based  
808 land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

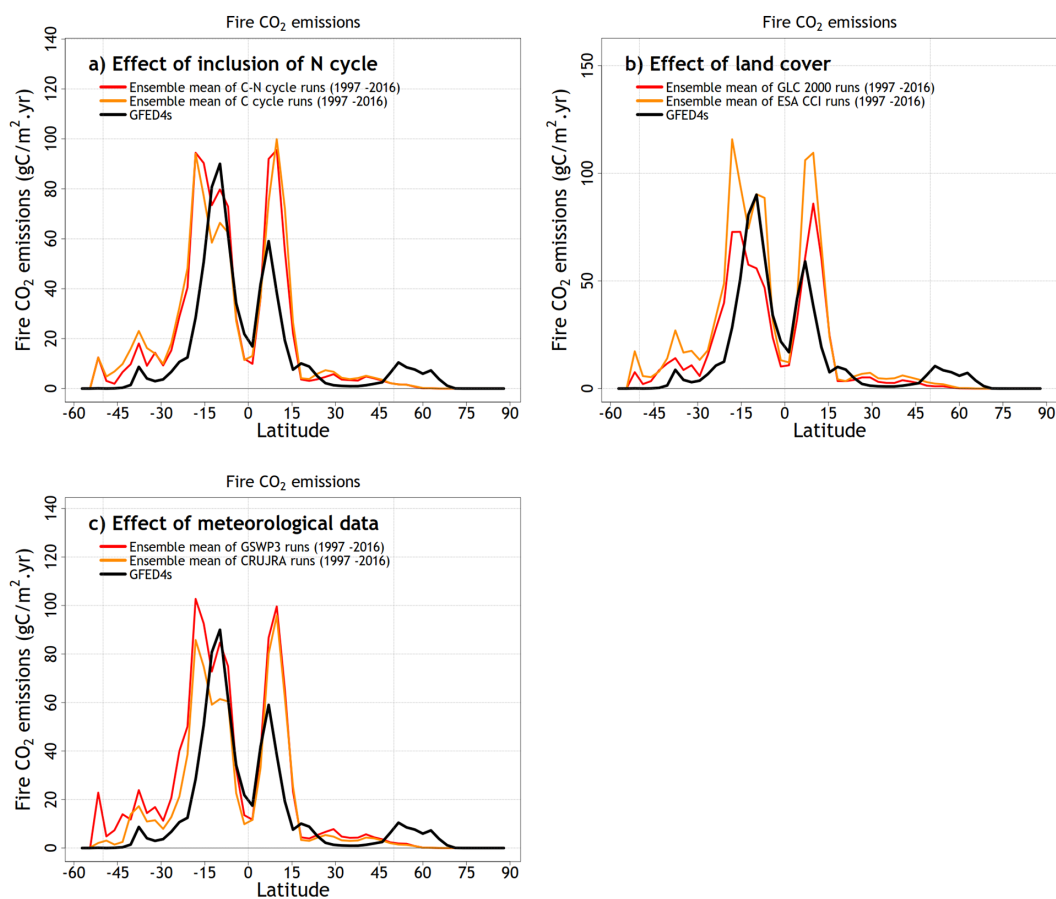
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815 Figure A14: Comparison of zonally-averaged fire CO<sub>2</sub> emissions (over all land area excluding Greenland  
816 and Antarctica) time-averaged over last 20-years of each simulation and then averaged over four  
817 ensemble members each that are driven with and without N cycle (panel a), driven with GLC 2000 and  
818 ESA CCI based land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

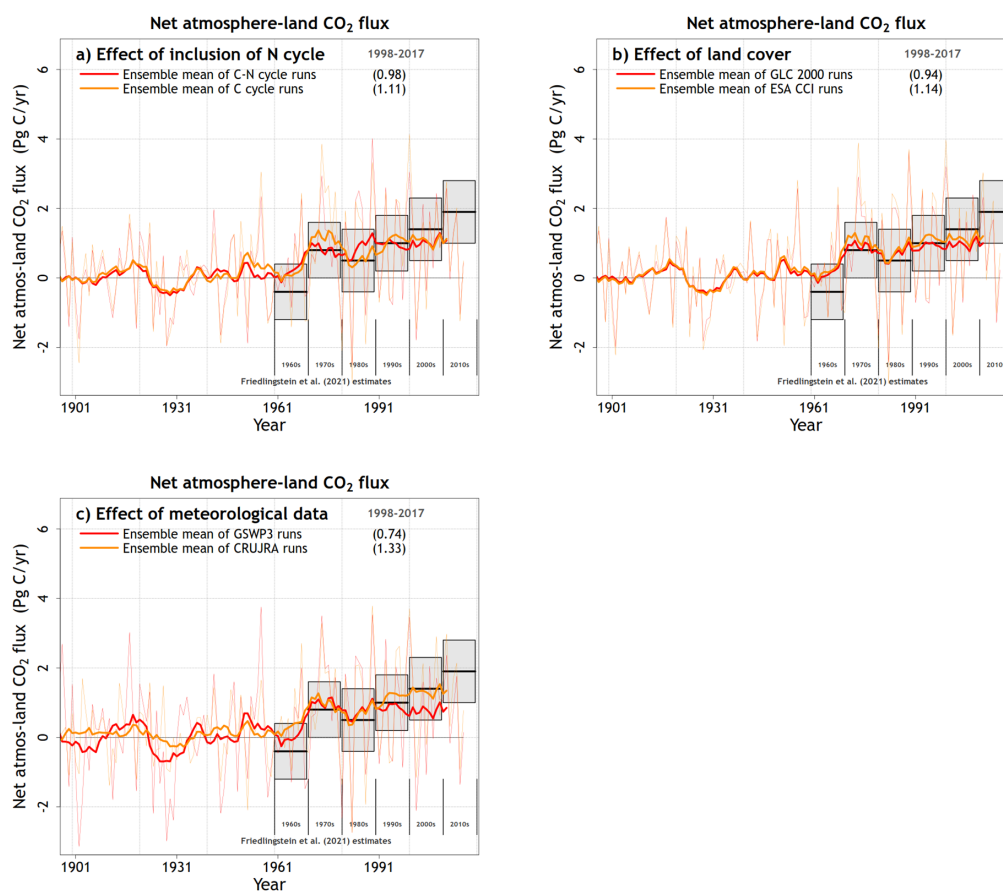
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824 Figure A15: Comparison of time series of global net atmosphere-land CO<sub>2</sub> flux (over all land area  
825 excluding Greenland and Antarctica) averaged over four ensemble members each that are driven  
826 with and without N cycle (panel a), driven with GLC 2000 and ESA CCI based land cover (panel b),  
827 and driven with GSWP3 and CRU-JRA meteorological data.

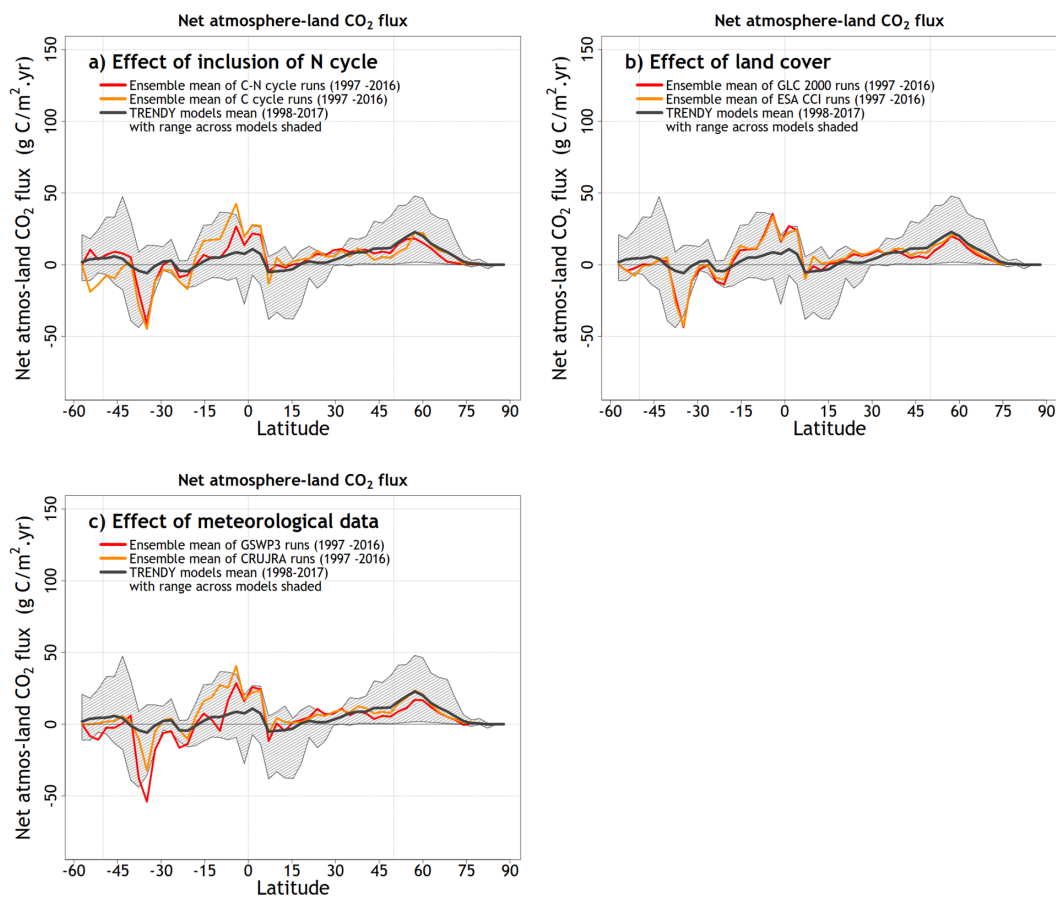
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834 Figure A16: Comparison of zonally-averaged net atmosphere-land CO<sub>2</sub> flux (over all land area excluding  
835 Greenland and Antarctica) time-averaged over last 20-years of each simulation and then averaged over  
836 four ensemble members each that are driven with and without N cycle (panel a), driven with GLC 2000  
837 and ESA CCI based land cover (panel b), and driven with GSWP3 and CRU-JRA meteorological data.

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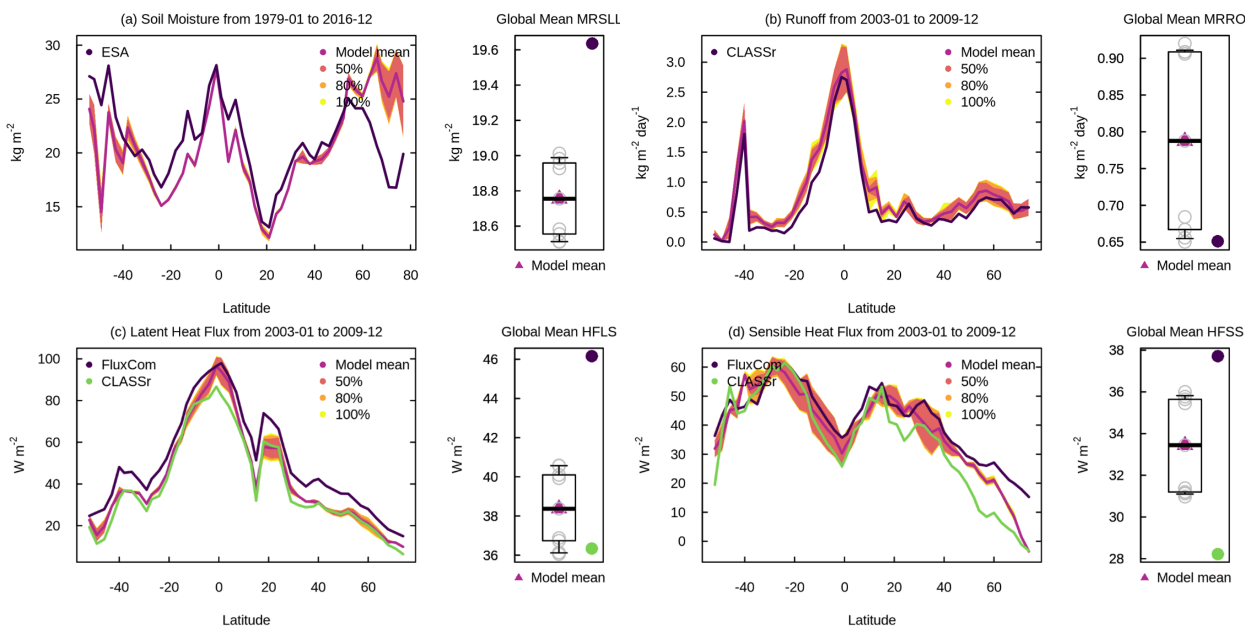
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843 Figure A17: Zonally-averaged values of soil moisture (a), runoff (b), latent heat flux (c), and sensible heat  
 844 flux (d) from the eight simulations summarized in Table 1 shown as their mean (dark purple line) and the  
 845 spread across the simulations indicated by 50%, 80%, and 100% shading. The observation-based estimates  
 846 used in AMBER to calculate scores are shown in black, blue, and green colours depending on how many  
 847 observation-based datasets are available.

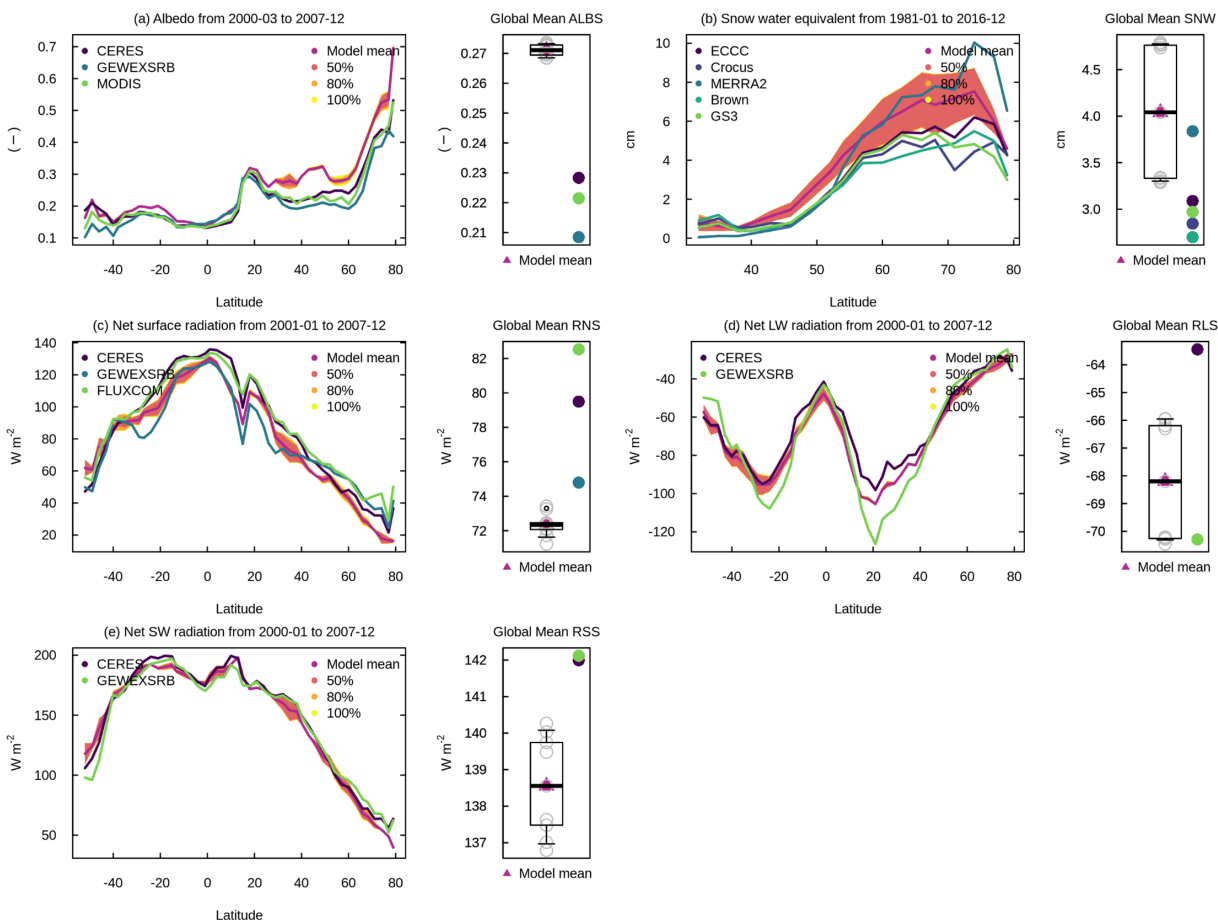
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854 Figure A18: Zonally-averaged values of surface albedo (a), snow water equivalent (b), net surface radiation  
 855 (c), net longwave radiation (d), and net shortwave radiation (e) from the eight simulations summarized in  
 856 Table 1 shown as their mean (dark purple line) and the spread across the simulations indicated by 50%,  
 857 80%, and 100% shading. The observation-based estimates used in AMBER to calculate scores are shown  
 858 in black, blue, and green colours depending on how many observation-based datasets are available.

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#### 1148 **Code/data availability**

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1150 More information about the CLASSIC land surface model and its Fortran code are available at  
1151 [https://ccma.gitlab.io/classic\\_pages/](https://ccma.gitlab.io/classic_pages/).

1152

#### 1153 **Author contribution**

1154

1155 VA and SKG performed the simulations, and VA wrote majority of the manuscript. CS performed the  
1156 AMBER related analysis. LW put together the ESA CCI land cover. CS, LW, and SKG provided comments  
1157 on the entire manuscript and also wrote their respective sections.

1158

#### 1159 **Competing interests**

1160

1161 There are no competing interests.

1162

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1169 Table 1: Summary of simulations performed with two reconstructions of the historical land  
1170 cover, two sets of meteorological data, and two versions of the CLASSIC land model.

1171

Simulation	Land cover reconstruction	Meteorological forcing	N cycle interactions with the C cycle
A	based on GLC 2000	CRU-JRA v2.1.5	On
B	based on GLC 2000	GSWP3	On
C	based on GLC 2000	CRU-JRA v2.1.5	Off
D	based on GLC 2000	GSWP3	Off
E	based on ESA CCI	CRU-JRA v2.1.5	On
F	based on ESA CCI	GSWP3	On
G	based on ESA CCI	CRU-JRA v2.1.5	Off
H	based on ESA CCI	GSWP3	Off

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1174 Table 2: Observation-based data sets used for model evaluation in AMBER.

1175

Globally gridded variable(s)	Source	Approach used	Reference
Leaf area index	AVHRR	Artificial neural network	Claverie et al. (2016)
Net biome productivity	CAMS	Atmospheric inversion	Agustí-Panareda et al. (2019)
Net biome productivity	Carboscope	Atmospheric inversion	Rödenbeck et al. (2018)
Surface albedo, net shortwave and longwave radiation, net radiation	CERES	Radiative transfer model	Kato et al. (2013)
Net radiation, latent and sensible heat flux, ground heat flux, runoff	CLASSr	Blended product	Hobeichi et al. (2019)
Leaf area index	Copernicus	Artificial neural network	Verger et al. (2014)
Net biome productivity	CT2019	Atmospheric inversion	Jacobson et al. (2020)
Snow amount	ECCC	Blended product	Mudryk (2020)
Liquid soil moisture	ESA	Land surface model	Liu et al. (2011)
Area burnt	ESA CCI	Burned area mapping	Chuvieco et al. (2018)
Latent and sensible heat flux, gross primary productivity	FLUXCOM	Machine learning	Jung et al. (2019, 2020)
Above ground biomass	GEOCARBON	Machine learning	Avitabile et al. (2016); Santoro et al. (2015)
Surface albedo, net shortwave and longwave radiation, net radiation	GEWEXSRB	Radiative transfer model	Stackhouse et al. (2011)
Area burnt	GFED 4s	Burned area mapping	Giglio et al. (2010)
Gross primary productivity	GOSIF	Statistical model	Li and Xiao (2019)
Soil carbon	HWSD	Soil inventory	Wieder (2014); Todd-Brown et al. (2013)
Surface albedo	MODIS	Bidirectional Reflectance Distribution Function	Strahler et al. (1999)
Gross primary productivity	MODIS	Light use efficiency model	Zhang et al. (2017)
Leaf area index	MODIS	Radiative transfer model	Myneni et al. (2002)
Soil carbon	SGS250m	Machine learning	Hengl et al. (2017)
Above ground biomass	Zhang	Data fusion	Zhang and Liang (2020)
In situ variable(s)	Source	Approach used (number of sites)	Reference
Leaf area index	CEOS	Transfer function (141)	Garrigues et al. (2008)
Latent, sensible, and ground heat flux, gross primary productivity, ecosystem respiration, net ecosystem exchange	FLUXNET 2015	Eddy covariance (204)	Pastorello et al. (2020)
Above ground biomass	FOS	Allometry (274)	Schepaschenko et al. (2019)
Runoff	GRDC	Gauge records (50)	Dai and Trenberth (2002)
Snow amount	Mortimer	Gravimetry (3271)	Mortimer et al. (2020)
Above ground biomass	Xue	Allometry (1974)	Xue et al. (2017)

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1180 Table 3: Simulated energy, water, and carbon cycle quantities considered in this study sorted  
1181 according to their coefficient of variation. The quantities are listed from the most variable at  
1182 the top to the least variable at the bottom. The coefficient of variation is based on annual  
1183 values averaged over the last 20 years across the eight simulations.  
1184

Energy, water, or carbon cycle quantities	Coefficient of variation
Area burned (million km <sup>2</sup> )	0.24
Fire CO <sub>2</sub> emissions (Pg C/year)	0.21
Soil carbon mass (Pg C)	0.21
Vegetation biomass (Pg C)	0.16
Runoff (1000 km <sup>3</sup> /year)	0.13
Heterotrophic respiration (Pg C/year)	0.10
Gross primary productivity (Pg C/year)	0.07
Autotrophic respiration (Pg C/year)	0.07
Sensible heat flux (W/m <sup>2</sup> )	0.07
Latent heat flux (W/m <sup>2</sup> ) / Evapotranspiration (1000 km <sup>3</sup> /year)	0.05
Net longwave radiation (W/m <sup>2</sup> )	0.03
Soil moisture in the top 1m soil layer (mm)	0.02
Soil temperature in the top 1m soil layer (° C)	0.004
Net shortwave radiation (W/m <sup>2</sup> )	0.006

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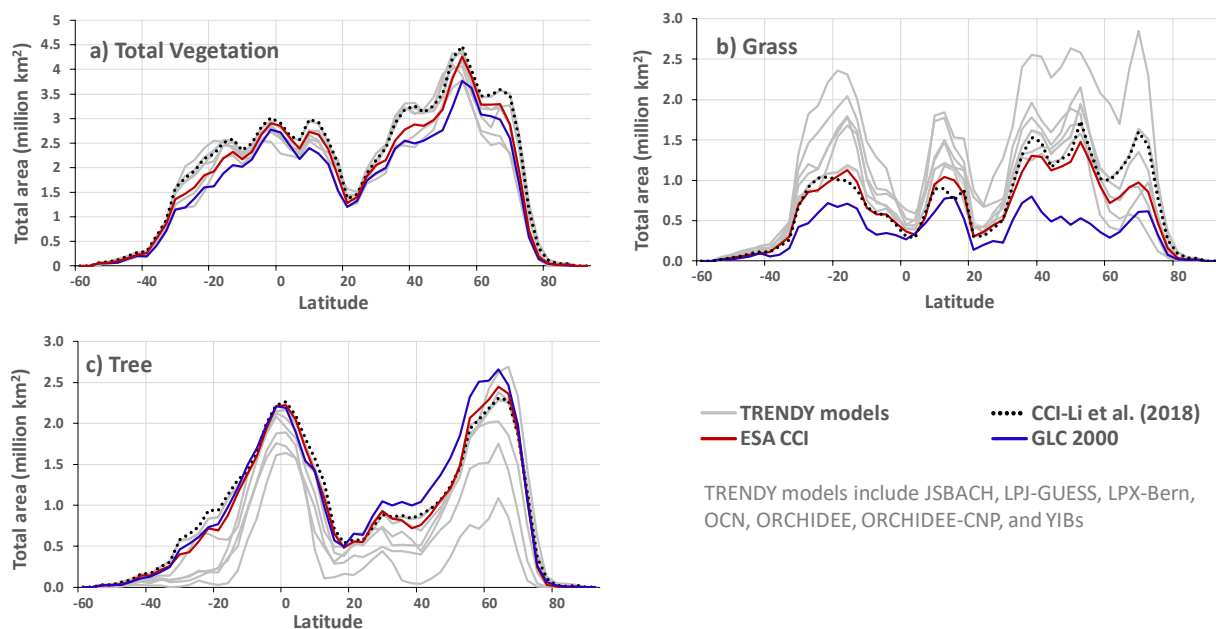
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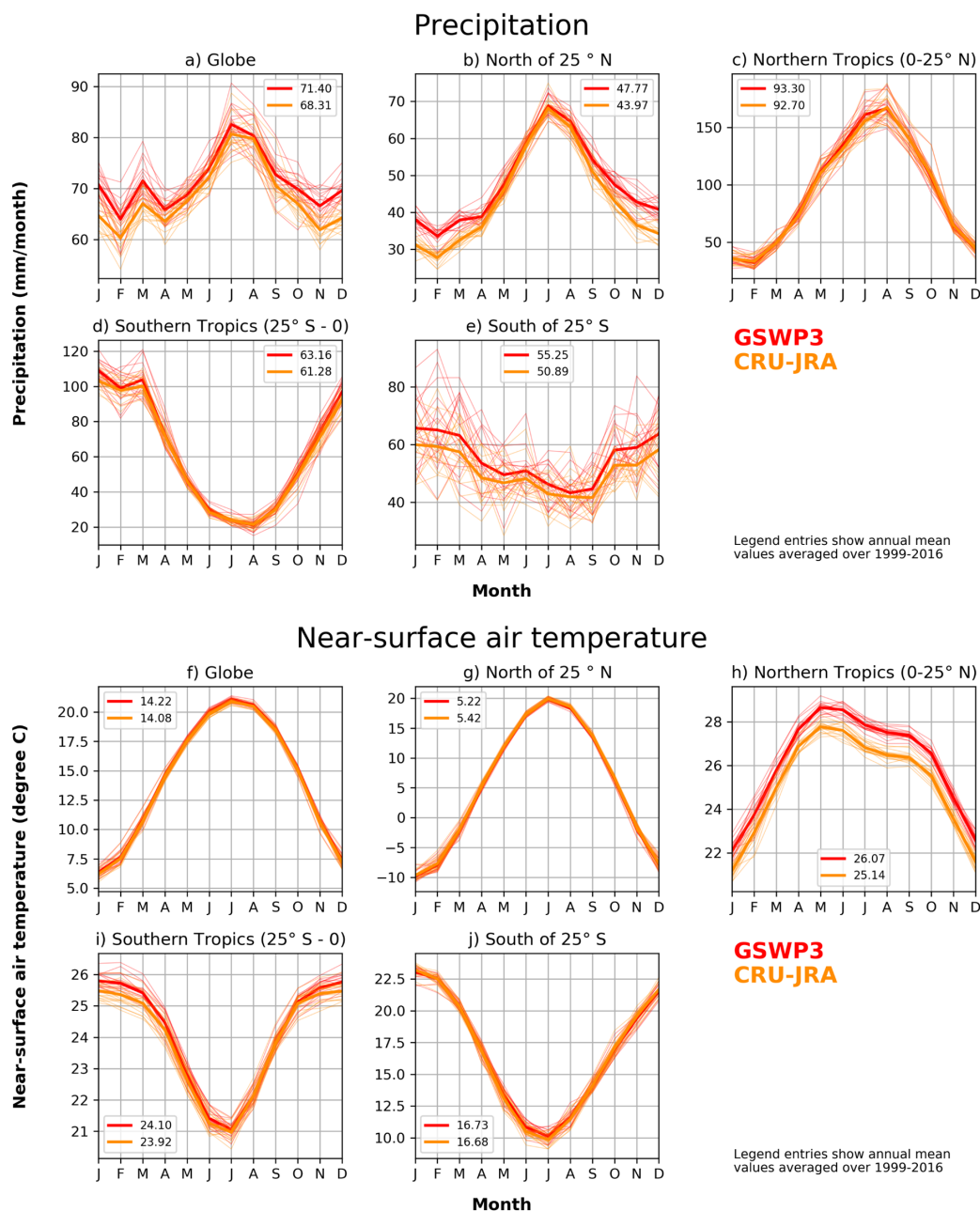


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1192 Figure 1: Comparison of zonally summed areas of total vegetation (a), grass (b), and tree (c) cover used  
1193 in the CLASSIC model based on GLC 2000 (blue line) and ESA CCI (dark red line) land cover products to  
1194 each other, to selected other models that participated in the 2020 TRENDY intercomparison (grey lines)  
1195 for which land cover information was available, and to Li et al. (2018) (dotted black line) who analyzed  
1196 the ESA CCI data. CLASSIC does not yet explicitly represents shrub PFTs. Tall shrubs are merged into tree  
1197 PFTs in CLASSIC. For the Li et al. (2018) data plotted here the shrub PFTs are combined with the tree  
1198 PFTs to be consistent with those in CLASSIC.

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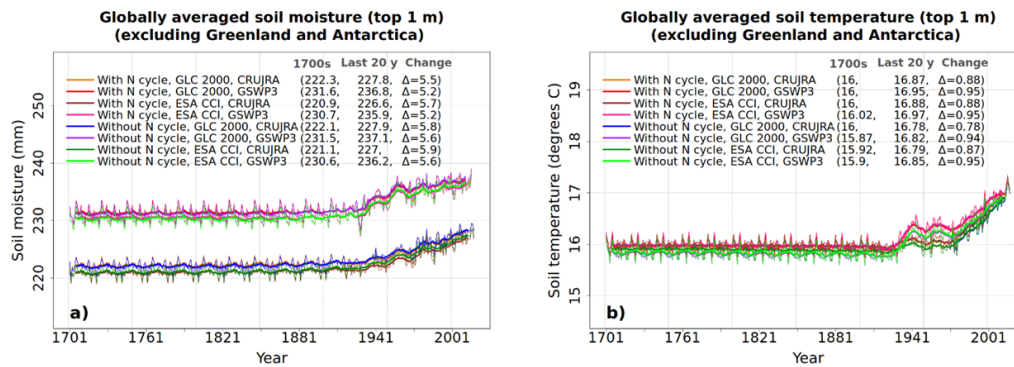
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1201 Figure 2: Comparison of monthly precipitation (upper panel) and temperature (lower panel) for five  
 1202 global regions (global, north of 25° N, northern and southern tropics, and south of 25° S) from the CRU-  
 1203 JRA and GSWP3 meteorological forcing data sets that are used to drive the CLASSIC model. The global  
 1204 and regional averages exclude Greenland and Antarctica. The legend entries show the annual mean  
 1205 values averaged over the 1999-2016 period. The thin lines show individual years and the thick line their  
 1206 average.

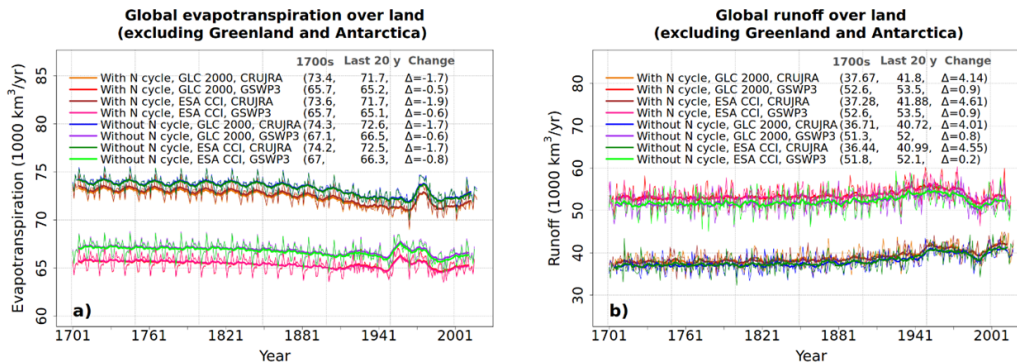


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Figure 3: Comparison of time series of simulated globally-averaged annual soil moisture (a) and soil temperature (b) in the top 1 m from the eight simulations summarized in Table 1.



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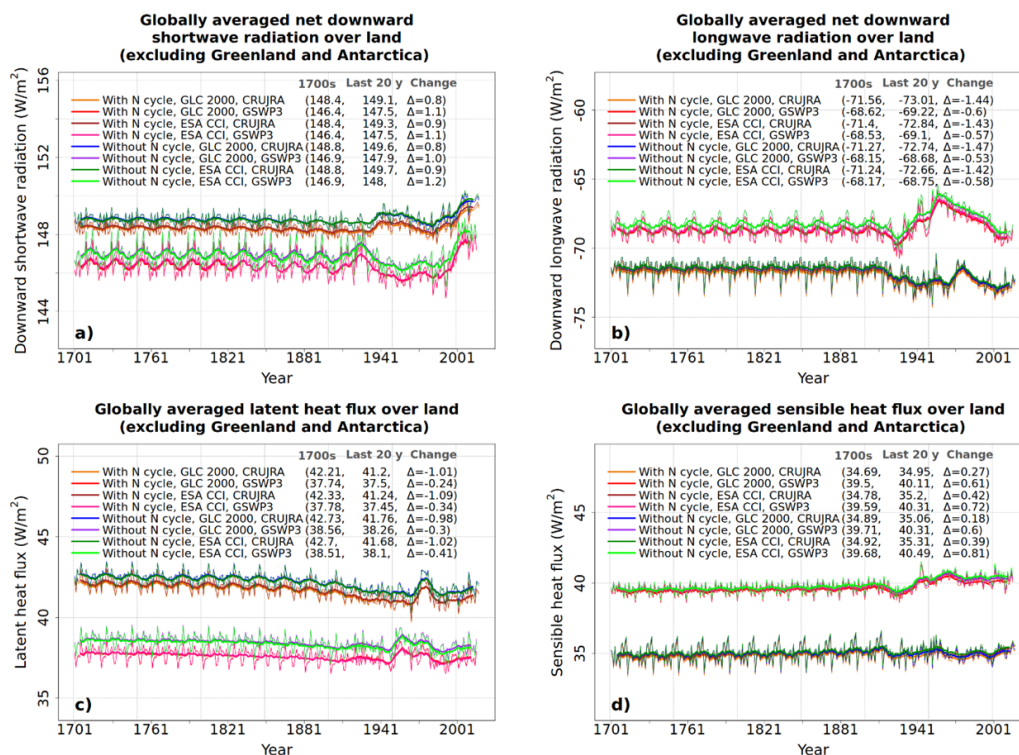
Figure 4: Comparison of time series of simulated global annual evapotranspiration (a) and runoff (b) from the eight simulations summarized in Table 1.



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1230 Figure 5: Comparison of time series of simulated globally-averaged annual energy fluxes from the eight  
 1231 simulations summarized in Table 1. Panel (a) shows net downward shortwave radiation, panel (b) shows  
 1232 net downward longwave radiation, panel (c) shows latent heat flux, and panel (d) shows sensible heat  
 1233 flux.

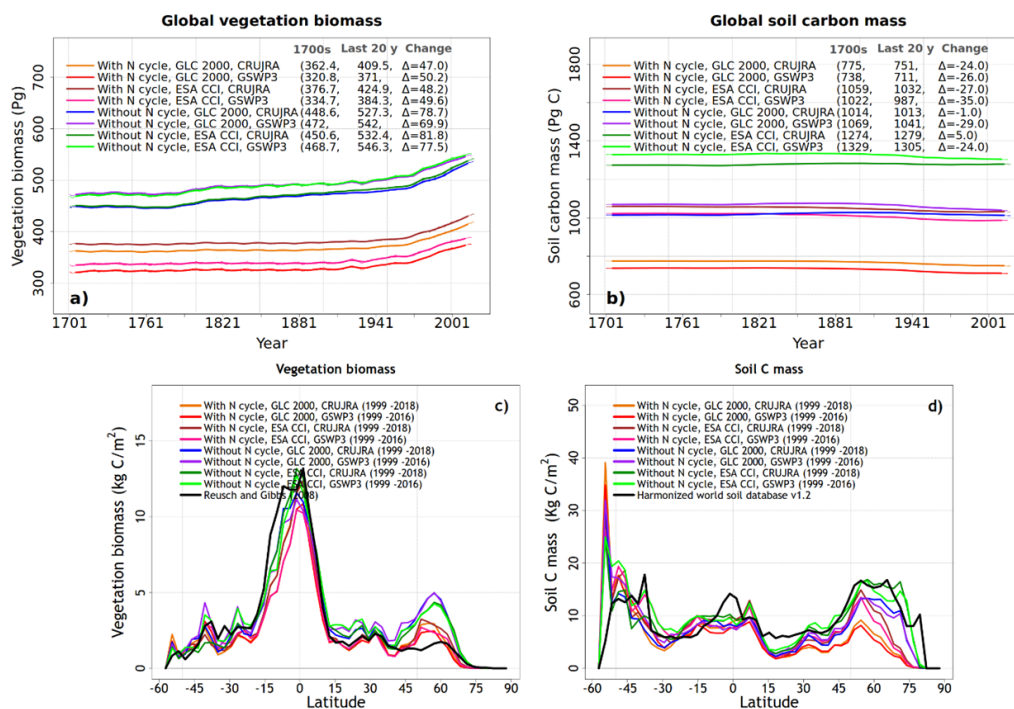
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1239 Figure 6: Comparison of time series of simulated global annual vegetation biomass (a) and soil carbon mass

1240 (b) from the eight simulations summarized in Table 1. Panels (c) and (d) show the zonally-averaged

1241 values of vegetation biomass and soil carbon mass from the eight simulations.

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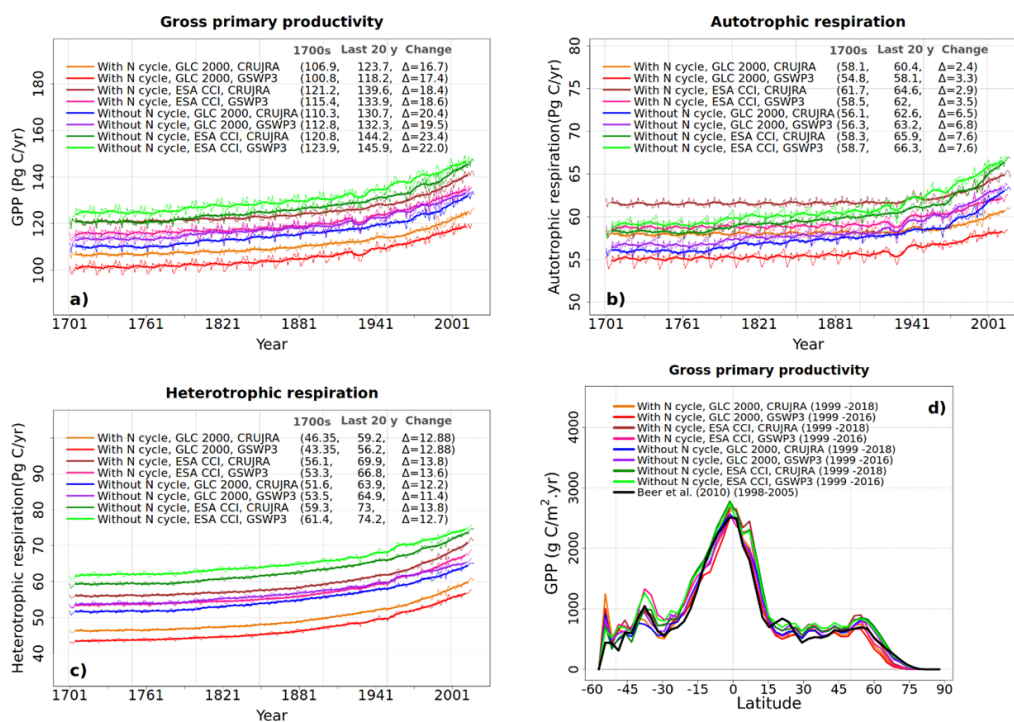
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Figure 7: Comparison of time series of simulated global annual gross primary productivity (GPP) (a), autotrophic respiration (b), and heterotrophic respiration (c) from the eight simulations summarized in Table 1. Panel (d) shows the zonally-averaged values of GPP from the eight simulations averaged over last 20-years of each simulation.



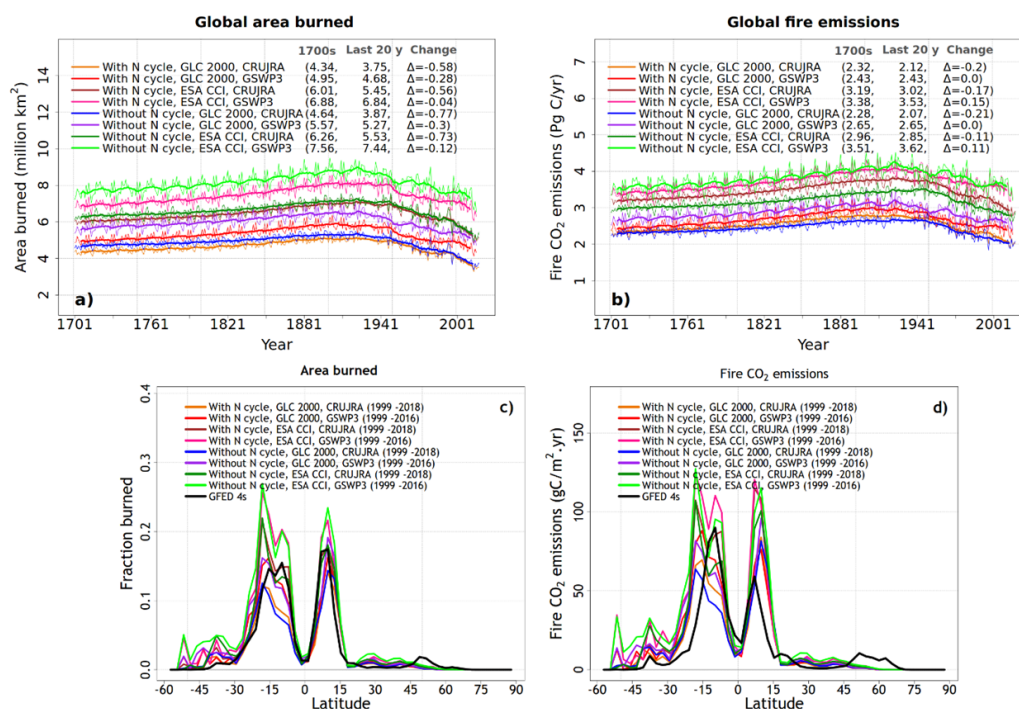


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1266 Figure 8: Comparison of time series of simulated global annual area burned (a) and fire CO<sub>2</sub> emissions (b)

1267 from the eight simulations summarized in Table 1. Panels (c) and (d) show the zonally-averaged area

1268 burned and fire CO<sub>2</sub> emissions from the right simulations averaged over last 20 years of each simulation.

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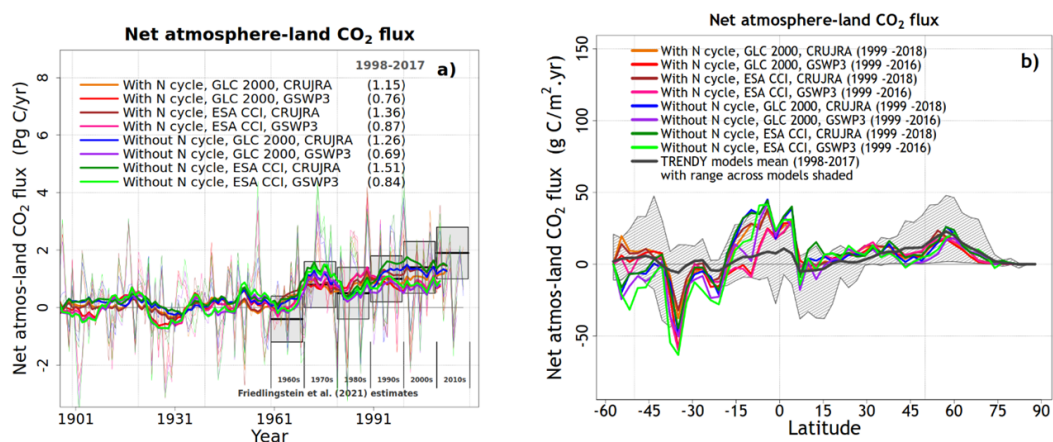


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1279 Figure 9: Comparison of time series of simulated global net atmosphere-land CO<sub>2</sub> flux (a) and its zonally-

1280 averaged values (b) from the eight simulations summarized in Table 1.

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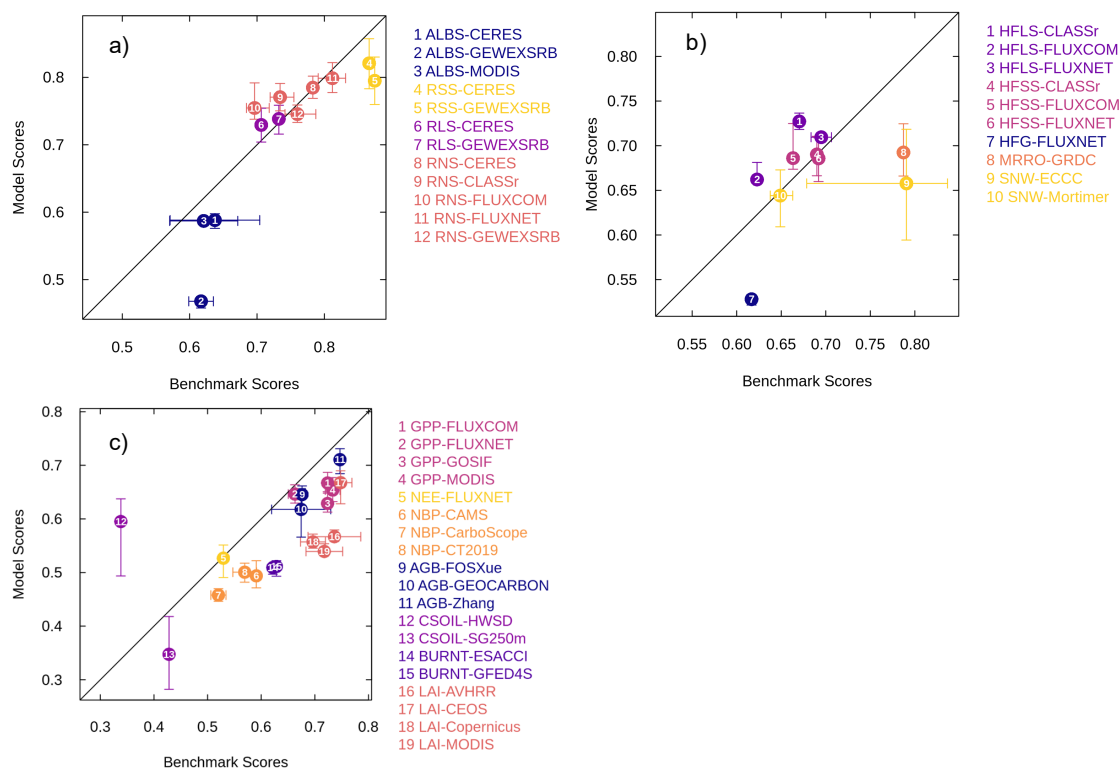
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1295 Figure 10: Comparison of benchmark scores with model overall scores for a range of energy, water, and  
 1296 carbon related quantities. The whiskers indicate the range for benchmark scores across different  
 1297 observation-based data sets and the range across the eight model simulations for the overall model  
 1298 scores. The quantities in in panel (a) are ALBS (surface albedo), RSS (net shortwave radiation), RLS (net  
 1299 longwave radiation), and RNS (net radiation). Quantities in panel (b) are HFSL (latent heat flux), HFSS  
 1300 (sensible heat flux), HFG (ground heat flux), MRRO (runoff), and SNW (snow water equivalent).  
 1301 Quantities in panel (c) are GPP (gross primary productivity), NEE (net ecosystem exchange), NBP (net  
 1302 biome productivity), AGB (above ground biomass), CSOIL (soil carbon mass), BURNT (area burned), and  
 1303 LAI (leaf area index).

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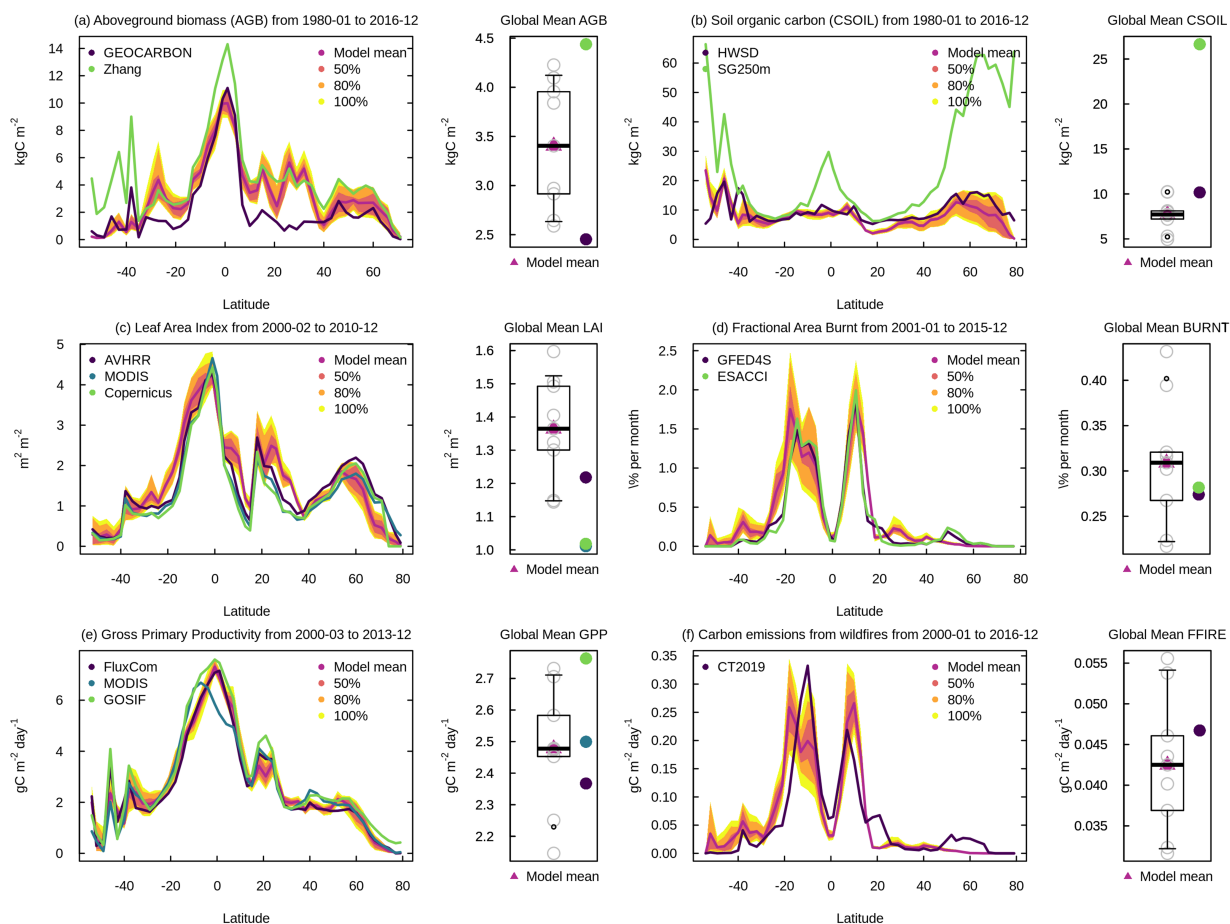
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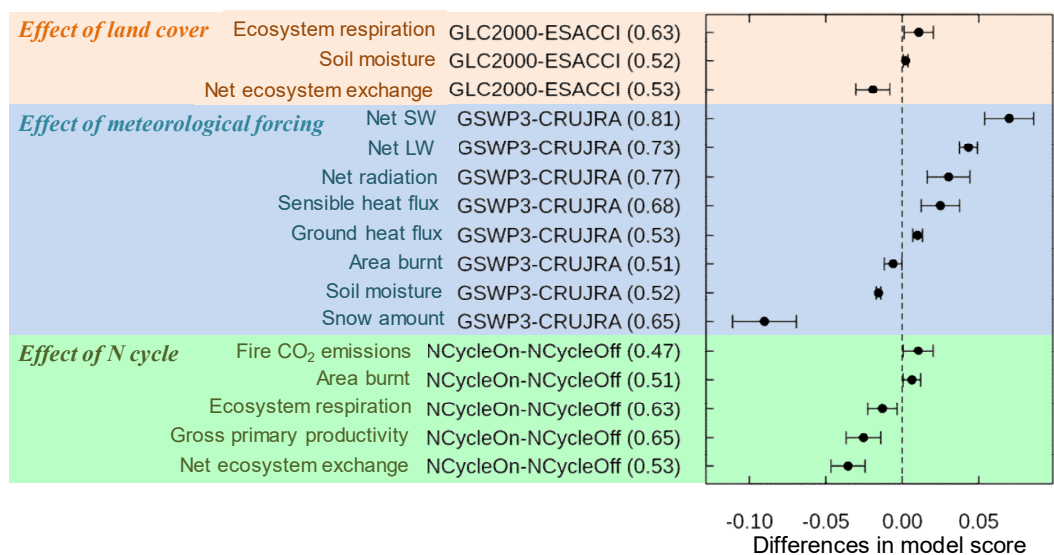
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1311 Figure 11: Zonally-averaged values of aboveground biomass (a), soil carbon mass (b), leaf area index (c),  
 1312 fractional area burnt (d), gross primary productivity (e), and fire CO<sub>2</sub> emissions (f) from the eight  
 1313 simulations summarized in Table 1 shown as their mean (dark purple line) and the spread across the eight  
 1314 simulations indicated by 50%, 80%, and 100% shading. The observation-based estimates used in AMBER  
 1315 to calculate scores are shown in black, blue, and green colours depending on how many observation-  
 1316 based datasets are available.

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1321 Figure 12: Summary of difference in overall scores for model simulated quantities and combinations for  
 1322 which the differences are statistically significant. The scores in parentheses for each quantity are the  
 1323 average scores across the eight simulations and provide context.

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