



20



21 **Abstract**

22 The diversity of surface flux perturbations, especially for heat-flux perturbations,
23 notably results in uncertainties surrounding the responses of ocean climate change
24 under the global warming scenarios projected by climate/earth system models.
25 However, when imposing heat-flux perturbations on the models, there are strong
26 feedbacks between atmosphere and ocean, causing nearly doubled heat-flux
27 perturbation over North Atlantic (NA). In this study, we quantitatively evaluated the
28 impacts of magnitude changes of heat-flux perturbations over NA on the changes in
29 the Atlantic Meridional Overturning Circulation (AMOC), ocean heat uptake (OHU)
30 and dynamic sea level (DSL) by analyzing eight model responses to the heat flux
31 perturbations experiments in Flux-Anomaly-Forced Model Inter-comparison Project
32 (FAFMIP). We found that the magnitude of the AMOC change was very sensitive to
33 the magnitude change of imposed NA heat-flux perturbation, and the weakening
34 amplitude of the AMOC was nearly halved as the imposed heat-flux perturbation F
35 halved over the NA. The most significant responses of both DSL and OHU to the
36 magnitude changes of NA heat-flux perturbation were mainly found in the Atlantic
37 and Arctic (AA) basin, especially for the NA region. Both the added ocean heat
38 uptake (OHUa) and redistributed ocean heat uptake (OHUr) play roles in OHU
39 changes among the different NA heat-flux perturbation experiments. The magnitude
40 change of NA-mean OHUa was almost linearly related to the imposed NA heat-flux
41 perturbation, while the magnitude change of NA-mean OHUr, which is mainly caused



42 by AMOC change and redistributed heat flux, was not proportional to the imposed NA

43 heat-flux perturbation.

44

45 **Key Words:** heat-flux perturbation; ocean heat uptake; North Atlantic; Atlantic

46 Meridional Overturning Circulation; coupled general circulation model

47



1 Introduction

The ocean climate change under the global warming scenario is of great significance for human survival and development. The ocean climate change includes changes in the Atlantic Meridional Overturning Circulation (AMOC), the dynamic sea level (DSL) and the ocean heat uptake (OHU). The changes in AMOC are mainly induced by buoyancy forcing, including heat-flux and freshwater flux perturbation (Bouttes et al, 2014), in the North Atlantic (NA), and the AMOC changes are also tightly coupled to the redistribution of OHU (Banks and Gregory, 2006; Huber and Zanna, 2017). The thermal expansion of seawater due to OHU is a major contributor to the rise in the global-mean sea level, accounting for 21-43% of the total rise projected for the years 2081-2100 under a mid-range-emission scenario (SSP2/RCP4.5) (Hermans et al, 2021). Coupled climate/earth system models are widely used to predict climate change of DSL, AMOC and OHU under global warming, but there are large uncertainties in the results (Yin et al, 2010; Yin, 2012; Eyring et al, 2016; Weijer et al, 2020; Jin et al, 2021). Thus, it is vitally important to investigate the uncertainties surrounding ocean climate change under global warming scenarios.

The 1% yr⁻¹ CO₂ increase (1pctCO₂) experiment initialized in a preindustrial control state is a baseline experiment for all the phases of the Coupled Model Inter-comparison Project (CMIP), which refers to a series of climate change experiments exploring how the climate system responds to greenhouse gas forcing.



69 However, the DSL change shows different patterns in 1pctCO₂ experiments among
70 different climate models (Church et al, 2013; Pardaens et al, 2011). The spreads
71 across models may come from the differences of initial fields (i.e. different
72 preindustrial control states), the differences of the surface-flux perturbation (including
73 the heat flux, freshwater flux, and momentum flux) or the differences of coupled
74 model formulation.

75

76 Bouttes and Gregory (2014) pointed out that differences in preindustrial control
77 (piControl) states have little impact on the diversity of sea-level changes. Furthermore,
78 many previous studies showed that the differences in surface-flux perturbations,
79 especially for heat-flux perturbations, could be major factors causing the differences
80 in model-estimated ocean climate change under global warming (Stammer et al, 2011;
81 Slangen et al, 2014; Jin et al, 2021). The momentum-flux and heat-flux perturbations
82 play important roles in reproducing the key features of sea-level change, such as the
83 dipole patterns over the NA (positive to the north of 40°N, negative to the south), the
84 Southern Ocean (positive to the north of 50°S, negative to the south) and the North
85 Pacific (positive to the south of 40°N, negative to the north) (Xie et al, 2012; Bouttes
86 et al, 2012; Bouttes et al, 2014). Most of the AMOC weakening and OHU are caused
87 by surface heat-flux perturbations (Huber and Zanna, 2017). Notably, the diverse
88 patterns of CO₂-forced sea-level changes cannot be totally reproduced by imposing
89 several sets of surface-flux perturbation (simulated by different coupled models) on a
90 single model (Bouttes et al, 2014). Bouttes et al. (2014) proposed that part of the



91 diversity in sea-level changes, which cannot be explained by different surface-flux
92 perturbation forcing, may be related to the differences in ocean model formulation of
93 coupled models.

94

95 To determine the roles that different model formulations play in contributing to the
96 inter-model uncertainty regarding ocean climate change under global warming, the
97 CMIP6 launched the Flux-Anomaly-Forced Model Inter-comparison Project
98 (FAFMIP) to compare the responses of different models to consistent surface-flux
99 perturbations (Gregory et al, 2016). The surface-flux perturbations in the FAFMIP are
100 derived from the ensemble-mean differences between years 61 and 80 of the 13
101 CMIP5 atmosphere–ocean coupled models of a 1pctCO₂ scenario experiment
102 (corresponding to a doubled CO₂ concentration). By applying a same set of surface
103 flux perturbations to different CMIP6 models, the FAFMIP excludes the diversity that
104 arises directly from surface-flux perturbations simulated by different
105 Atmospheric–Oceanic General Circulation Models (AOGCMs).

106

107 Consistent with the previous work, the heat-flux perturbation, especially the heat-flux
108 perturbation over NA, is the most dominant factor leading to ocean climate change
109 among all the surface flux perturbations in FAFMIP (Rahmstorf and Ganapolski, 1999;
110 Gregory et al, 2016; Jin et al, 2021). However, when imposing heat-flux perturbations
111 on the models, the prescribed heat flux perturbations induce the changes in ocean
112 circulation, which redistribute the ocean heat and the sea surface temperature (SST),



113 causing strong redistributed feedback on heat flux over NA (Gregory et al, 2016). A
114 quantitative evaluation of the influence of different magnitudes of heat-flux
115 perturbations over the NA on the ocean climate change under global warming has
116 been lacking. In this study, we mainly focused on the heat-flux perturbation
117 experiments (faf-heat, faf-heat-NA50pct, faf-heat-NA0pct and faf-passiveheat) in the
118 FAFMIP to quantitatively evaluate the impacts of the magnitude changes of heat-flux
119 perturbations in the NA region on the changes in the AMOC, OHU and DSL.

120

121 The paper is structured as follows: the details of the models and methods are
122 introduced in Section 2, the results are detailed in Section 3, and the discussion and
123 summary are presented in Section 4.

124

125 **2 The experiments, method and models:**

126 **2.1 Experiments**

127 The FAFMIP is designed to isolate the ocean uncertainty by imposing a fixed set of
128 surface flux (the heat flux, freshwater flux, and momentum flux) perturbation, which
129 are obtained as the ensemble-mean difference of the monthly-mean flux in the CMIP5
130 1pctCO₂ simulation at double CO₂ concentration relative to the corresponding
131 monthly mean flux in the control simulation. The FAFMIP includes seven
132 experiments: one equivalent to a piControl experiment with an extra passive tracer



133 (faf-passiveheat), three experiments with individual applications of flux perturbations
134 (faf-heat, faf-water and faf-stress), and one experiment with all the perturbations
135 (faf-all). Additionally, two new experiments, faf-heat-NA50pct and faf-heat-NA0pct
136 experiments, are attached to FAFMIP. Although method B (as described below) was
137 applied in the heat-flux perturbation experiments, the heat flux imposed to the models
138 was not applied as intended. Since the prescribed heat flux perturbations induce the
139 changes in ocean density, which lead to changes in advection and diffusion, causing a
140 change in ocean heat transport and SST. The SST changes, in turn, result in strong
141 redistributed feedback on heat flux. The redistributed heat-flux Q'_r has significant
142 influence over the NA region, nearly doubles the prescribed heat flux perturbation F
143 over NA. The newly added experiments are designed to figure out the influence of
144 unintended exaggerated heat flux over NA on ocean climate changes. This study
145 evaluated the output from three heat-flux perturbation experiments and
146 faf-passiveheat in the FAFMIP, as described below:

147

148 The faf-heat experiment: a perturbation of the heat flux was directly imposed on the
149 ocean surface temperature as an external heat-flux forcing. Large positive heat-flux
150 perturbation was occurs in the mid-high-latitude NA region (about 80°W–10°E, 30–
151 65°N) and in the Southern Ocean (78°S–35°N). To eliminate the strong negative
152 feedback between the surface heat flux and SST and to maximize the effect of the
153 prescribed surface heat-flux perturbation, we adopted the tracer approach method B
154 recommended by the FAFMIP.



155

156 The faf-heat-NA50pct experiment: the experiment was exactly the same as the
157 faf-heat experiment except that the heat-flux perturbation in the NA was multiplied by
158 50% within a portion of the NA region, as proposed at the FAFMIP meeting in April
159 2019. The purpose of this experiment was to reproduce the simulation which is
160 similar to that in 1pctCO₂ experiments, since the faf-heat experiment provided greater
161 weakening because of the redistribution feedback (Gregory et al, 2016; Couldrey et al,
162 2020).

163

164 The faf-heat-NA0pct experiment: This experiment was similar to faf-heat and
165 faf-heat-NA50pct but with a zero perturbation in the NA region, as was also proposed
166 at the FAFMIP meeting in April 2019.

167

168 The faf-passiveheat experiment: The experiment was equivalent to the piControl
169 experiment. The heat-flux perturbation was applied to surface without affecting the
170 evolution of the ocean state. The passive tracer T_a initialized to zero, which can be
171 used to diagnose the effect of added heat on ocean temperatures due to ocean
172 circulation changes through a comparison of faf-passiveheat with other heat-flux
173 perturbation experiments.

174 2.2 Methods

175 For the three heat-flux perturbation experiments in the FAFMIP, the method used by



176 Bouttes et al. (2014) as “method B” in the FAFMIP is recommended for computing
177 the heat flux. According to Gregory et al. (2016), when the heat flux perturbation is
178 applied to the surface layer, surface air temperature rise, significantly reducing the net
179 surface heat flux into ocean. The strong negative feedback damps the effect of
180 prescribed surface heat-flux perturbation, F . In order to maximize the effect of F on
181 the sea surface, two tracers are introduced in method B: the added temperature tracer,
182 T_a , and redistributed temperature tracer, T_r . T is the sum of T_a and T_r and refers
183 to total ocean temperature change. T_r was used to calculate the SST and surface heat
184 flux, T was applied to compute the seawater density. The main difference between T
185 and T_r is that T_r was not directly forced by the prescribed heat-flux perturbation F .
186 Thus, the SST cannot be directly affected by heat flux perturbation and the SST-heat
187 flux negative feedback was damped. The redistributed tracer, T_r , and T were both
188 initialized with the same piControl state, and transported by the same velocities and
189 diffusion coefficients. The prescribed heat-flux perturbation F resulted in the
190 temperature change, T , which lead to changes in ocean density, ocean circulation and
191 oceanic heat transport, causing indirect influence on the SST change.

192

193 The added tracer, T_a , was only affected by the prescribed surface heat-flux
194 perturbation, F , which was initialized at zero, and mainly reflected the influence of
195 the prescribed heat-flux perturbation F . The T_a change (T_a') was calculated from the
196 difference in T_a between the heat-flux perturbation experiments and faf-passiveheat.
197 The T_a' equation for the heat-flux perturbation experiments can be schematically



198 expressed as follows:

$$\frac{\partial T_a'}{\partial t} = -\nabla \cdot (v T_a') + F \quad (1)$$

199

200 where $\nabla \cdot (v T_a')$ is the transport operator and v includes all the transport and
201 diffusion processes.

202

203 The T_r change (T_r') was calculated from the difference in T_r between the heat-flux
204 perturbation experiments and faf-passiveheat, which resulted from the ocean transport
205 change (including changes in advection and diffusion) and redistributed heat-flux Q_r' ,
206 the corresponding T_r' can be expressed as follows:

$$\frac{\partial T_r'}{\partial t} = -\nabla \cdot (v_c T_r' + v' T_c) + Q_r' \quad (2)$$

207

208 where the first term to the right of Equation (2) is the ocean transport change due to
209 the temperature change, $v_c T_r'$, and the circulation change, $v' T_c$. The subscript c
210 denotes the state in the faf-passiveheat experiment (control experiment).

211

212 The temperature change (T') calculated from the difference between the heat-flux
213 perturbation and faf-passiveheat can be regarded as the sum of T_r' and T_a' .

$$T_r' + T_a' = T' \quad (3)$$

214

215 2.3 The calculation of sea-level change

216 The DSL change can be divided into the steric sea-level (SSL) change due to the



217 density change in the seawater and the mass sea-level (MSL) change due to the
218 convergence and divergence caused by the ocean circulation change; the MSL is
219 calculated from the difference between the DSL and SSL. The SSL equation can be
220 schematically expressed as follows:

$$SSL = - \int_{-H}^0 \frac{\rho(T, S) - \rho(T_R, S_R)}{\rho(T_R, S_R)} dz \quad (4)$$

221 where ρ is the seawater density; T is the temperature of the seawater; S is the salinity
222 of the seawater; T_R and S_R are the temperature and salinity averaged over years
223 61-70, respectively; and H is the depth of the ocean. Additionally, the SSL change can
224 be divided into the halosteric sea level (HSSL) and thermosteric sea level (TSSL).

225

226 The TSSL and HSSL equations can be schematically expressed as follows:

$$TSSL = - \int_{-H}^0 \frac{\rho(T, S_R) - \rho(T_R, S_R)}{\rho(T_R, S_R)} dz \quad (5)$$

$$HSSL = - \int_{-H}^0 \frac{\rho(T_R, S) - \rho(T_R, S_R)}{\rho(T_R, S_R)} dz \quad (6)$$

227

228 **2.4 Models**

229 In this study, we analyzed the responses of eight CMIP6 AOGCMs (ACCESS,
230 CAS-ESM2, CanESM5, FGOALS-g3, GFDL-ESM2M, MPI-ESM1-2-HR,
231 MRI-ESM2.0 and MIROC6) involved in the FAFMIP heat flux perturbation
232 experiments; the details of the eight models are presented in Table 1. The output from
233 the faf-heat experiment are available for all the models except the AMOC in GFDL-



234 ESM2M, but some of the output from the faf-heat-NA50pct and faf-heat-NA0pct
235 experiments is not available. And not all the variables were submitted for some
236 models. For instance, the AMOC of GFDL-ESM2M and DSL of MIROC6 are not
237 available for faf-heat-NA50pct. And MPI-ESM1-2-HR did not conduct a
238 faf-heat-NA0pct experiment. The AMOC of GFDL-ESM2M and MIROC6, and the
239 DSL of MIROC6 are not available for faf-heat-NA0pct. The details of the available
240 data are described in Table2.

241 The ensemble-mean in this paper were averaged across all the available output data of
242 the eight models. Both the horizontal and vertical model fields were linearly
243 interpolated to the same grid as CAS-ESM2 for the ensemble-mean. The ocean
244 component of CAS-ESM2 is with a 1° zonal resolution between 78.5°S and
245 87.5°N , and a meridional resolution refined to 0.5° between 10°S and 10°N , increased
246 gradually from 0.5° to 1° between 10° and 20° . The AMOC change, DSL change,
247 temperature change and OHU were defined as the differences of the three heat-flux
248 perturbation experiments (faf-heat, faf-heat-NA50pct and faf-heat-NA0pct)
249 simulation relative to faf-passiveheat experiment simulation of corresponding years.

250 **3 Results**

251 **3.1 AMOC change**

252 The imposed heat-flux perturbation enhances the ocean stratification by increasing the
253 temperature T over NA, which might reduce the convection and weakens the AMOC.



254 Figure 1 shows the time series of the AMOC strength change relative to
255 faf-passiveheat for the three heat-flux perturbation experiments. The strength of the
256 AMOC is defined as the maximum of the overturning stream function between 20°N
257 and 70°N in the Atlantic, and between the depths of 300 m and 2000 m, calculated
258 from the residual overturning stream function (Yang et al, 2016). AMOC weakening
259 was exhibited in all the models for the faf-heat and faf-heat-NA50pct experiments. All
260 models showed continuous AMOC declines in the first decades and gradually reached
261 a quasi-equilibrium state at around 50 years in faf-heat and faf-heat-NA50pct. For the
262 faf-heat-NA0pct experiment, most of the models exhibited slight weakening of the
263 AMOC; only CanESM5 and FGOALS-g3 showed a positive change in the AMOC
264 strength.

265

266 The magnitude of the AMOC weakening is very sensitive to the magnitude change of
267 heat-flux perturbation over the NA region. The AMOC changes for faf-heat,
268 faf-heat-NA50pct and faf-heat-NA0pct averaged over the final decade were $-11.33 \pm$
269 3.98 , -6.88 ± 2.74 and -1.06 ± 1.57 Sv (ensemble-mean AMOC change \pm standard
270 deviation), respectively. This reveals that the AMOC weakening was nearly
271 proportional to the NA heat-flux perturbation, F , and the slight AMOC weakening in
272 faf-heat-NA0pct may result from the effect of the F outside the NA. The model
273 spreads of AMOC changes averaged over the final decade range from -6.02 to -16.14
274 Sv in faf-heat and from -5.32 to -10.35 Sv in faf-heat-NA50pct. In the
275 faf-heat-NA0pct experiment, the corresponding spread ranged from -2.44 to 1.07 Sv.



276

277 The AMOC weakening would prevent warm seawater being transported northward
278 from the low latitudes to high latitudes in the Atlantic, strengthening the T_r cooling
279 over the high-latitude Atlantic and the T_r warming over the low-latitude Atlantic.
280 Since the sea surface turbulence flux is calculated by T_r , the T_r cooling over the NA
281 would lead to positive Q'_r into the ocean in the NA region, which in turn, enhances
282 the AMOC weakening, resulting in positive feedback. However, SST cooling due to
283 the AMOC weakening decreases the stratification and helps with convection activity,
284 which damps AMOC weakening, resulting in negative feedback. The redistributed
285 heat-flux Q'_r over NA is a result from the combination of these two feedbacks.
286 Gregory et al. (2016) pointed out that the Q'_r is nearly equal to F over the NA in the
287 faf-heat experiment. To further confirm whether the Q'_r was equal to F over the NA
288 in the two newly added faf-heat-NA50pct and faf-heat-NA0pct experiments, Figure 2
289 shows the patterns of the ensemble-mean Q'_r averaged in the final decade. As
290 expected, the Q'_r shows a positive pattern over the NA in both the faf-heat and
291 faf-heat-NA50pct experiments, but the Q'_r shows a negative pattern over the NA in
292 the faf-heat-NA0pct experiment. The full integration averages of the Q'_r over the NA
293 are about 9.49W/m^2 and 0.12W/m^2 in faf-heat and faf-heat-NA0pct, respectively. In
294 the faf-heat-NA50pct experiment, the 70-year-mean Q'_r (4.64W m^{-2}) is almost equal
295 to the prescribed F (4.96W m^{-2}) over the NA, which verifies that the Q'_r doubles the
296 prescribed F over the NA in faf-heat-NA50pct. The total heat flux, $F+Q'_r$ (9.60W
297 m^{-2}), in faf-heat-NA50pct is approximately equal to the prescribed F (9.92W m^{-2}) in



298 faf-heat, suggesting that the prescribed F in faf-heat over the NA can be roughly
299 reproduced by the total heat flux ($F+Q_r'$) imposed in faf-heat-NA50pct. Additionally,
300 there is a strong anti-correlation between the Q_r' in the NA region and AMOC change,
301 and the coefficients are -0.80 and -0.57 in faf-heat and faf-heat-NA50pct, respectively.

302

303 Outside the NA regions, there are almost similar patterns in the Q_r' differences of
304 faf-heat-NA0pct relative to faf-heat-NA50pct, and faf-heat-NA50pct relative to
305 faf-heat (Figure 2d and 2e): a positive difference occurred in the Arctic, west coast of
306 the Pacific and tropical and mid-latitude south Atlantic, and negative differences were
307 found in the equatorial Pacific and mid-latitude Indian. This indicates that the
308 imposed heat flux over the NA had a remote influence on these regions.

309

310 3.2 OHU

311 Figure 3 shows the time series of the volume-mean temperature changes (T' , T_r' and
312 T_a') in the three experiments. Although the rate of the temperature change differed,
313 there were substantial increases in T' in all the experiments. The increase in T' was
314 dominated by T_a' (Figure 3a-f) for all the models in all three experiments. The most
315 significant increase in the ensemble-mean T' was observed in faf-heat, followed by
316 faf-heat-NA50pct, and the weakest increase was observed in faf-heat-NA0pct. For
317 instance, the global-mean T' averaged at the final year were 0.23 ± 0.05 , 0.19 ± 0.03
318 and $0.14\pm0.03^\circ\text{C}$ (global-mean T' ensemble-mean \pm standard deviation) for the three



319 perturbation experiments, respectively. This indicates that halving the heat
320 perturbation over the NA would reduce the global-mean T' by about 0.05°C. The
321 global-mean T_a' averaged at the final year accounts for 78-86% of the T' , and the
322 remaining part is attributed to Q_r' . There were large spreads in the global-mean T'
323 exhibited among the models in all three experiments. For example, the model spreads
324 in T' at the final year ranged from 0.17 to 0.30 °C, 0.15 to 0.25 °C, and 0.13 to 0.20 °C
325 in faf-heat, faf-heat-NA50pct and faf-heat-NA0pct, respectively. The corresponding
326 model spreads in T_a' ranged from 0.17 to 0.18 °C, 0.14 to 0.16 °C, and 0.11 to 0.13 °C
327 in the three experiments, respectively. The model spreads in T_a' can only account for
328 10-20% of the spreads of T' , suggesting that the model spreads of T' in the three
329 experiments mainly result from T_r' rather than T_a' . The results from FGOALS-g3
330 showed outliers for T' (0.13 °C in faf-heat, 0.09 °C in faf-heat-NA50pct, and 0.03 °C
331 in faf-heat-NA0pct), which might be due to the larger negative changes in the sea ice
332 cover or SST of FGOALS-g3 than in other models (Wang et al, 2020).

333

334 To find out how different basins responded to different NA heat-flux perturbations, we
335 divided the global ocean into the Pacific-Indo Ocean (PI, 22°–134°E and 35°S–65°N),
336 the Arctic and Atlantic Ocean (AA, 35°S–90°N) and the Southern Ocean (SO, 78°S–
337 35°N). The vertical profiles of the temperature change averaged over the final decade
338 in the different basins are presented in Figure 4. The basin-scale T' and T_a'
339 increases were confined to the upper ocean (upper 2 km) in the SO and PI basins, and
340 the AA basin temperature change penetrated into deeper layers relative to the SO and



341 PI basins. The ensemble-mean T' in the PI and SO basins was dominated by T_a' .
342 Around 75% (faf-heat)-97% (faf-heat-NA0pct) of the ensemble-mean
343 vertical-averaged T' was attributable to the T_a' in the SO basin. The
344 vertical-averaged T' values were 0.26 ± 0.04 , 0.21 ± 0.03 and 0.18 ± 0.01 °C (T'
345 ensemble-mean \pm standard deviation), and the T_a' values were 0.19 ± 0.02 , 0.18 ± 0.01
346 and 0.18 ± 0.01 °C (T_a' ensemble-mean \pm standard deviation) in the SO basin for
347 faf-heat, faf-heat-NA50pct and faf-heat-NA0pct experiments, respectively. For the PI
348 basin, the T_a' was equal to 84% (faf-heat)-102% (faf-heat-NA0pct) of the T' in the
349 three heat-flux perturbation experiments. The vertical-averaged T' values were 0.17
350 ± 0.02 , 0.16 ± 0.01 and 0.14 ± 0.01 °C in the three experiments, respectively, while the
351 T_a' values were about 0.14 ± 0.01 °C for all the three experiments. Comparing the
352 increasing T' and T_a' values, we can see the magnitude changes in T_a' among the
353 three heat-flux experiments only accounted for 10% of the magnitude changes in T' ,
354 which indicates the magnitude changes in T' mainly arose from the T_r' in the SO
355 and PI basins. This also implies that the effects of T_r' in the PI and SO basins are
356 different for the three experiments, with warming in the PI and SO basins in faf-heat
357 and faf-heat-NA50pct, and weak cooling in the PI basin in faf-heat-NA0pct. The close
358 similarities of the T' and T_a' in the PI and SO basins in the three heat-flux
359 perturbation experiments reveal that the magnitude change of NA heat-flux
360 perturbations have little influence on the T' and T_a' of the PI and SO basins.

361

362 The AA basin is the region that was most affected by the prescribed heat perturbation



363 over the NA (Figure 4c and 4f). The magnitude changes among the three experiments
364 are much larger than the changes in the SO and PI basins. The vertical-averaged T'
365 values were 0.27 ± 0.04 , 0.18 ± 0.03 and 0.10 ± 0.02 °C, and the vertical-averaged T_a'
366 were 0.33 ± 0.05 , 0.21 ± 0.03 and 0.07 ± 0.03 °C in the AA basin in faf-heat,
367 faf-heat-NA50pct and faf-heat-NA0pct, respectively. There were large inconsistencies
368 between the T' and T_a' in AA basin in all the three experiments, which implies that
369 the T' in the AA basin was not only dominated by the T_a' , but the T_r' also played a
370 non-negligible role. The ensemble-mean T_a' in the AA basin was approximately 120%
371 of the T' for faf-heat and faf-heat-NA50pct, which means that the basin-scale T_r'
372 tended to make a negative contribution up to 20% of the T' . However, the T_r' in
373 faf-heat-NA0pct made a positive contribution to the T' , accounting for 30% of the T'
374 in faf-heat-NA0pct. Additionally, there were substantial disagreements in the T' and
375 T_a' across the models shown in the AA basin, which may be related to the different
376 degrees of AMOC weakening across the models (Figure 3).

377

378 The OHU is one of the key indicators of global climate change, and it is determined
379 by temperature change. Figure 5 shows the patterns of the OHU ($\int \rho c_p T' dz$), added
380 ocean heat uptake ($\int \rho c_p T_a' dz$) (OHUa) and redistributed ocean heat uptake
381 ($\int \rho c_p T_r' dz$) (OHUr) in the final decade. A significant positive OHU mainly occurred
382 in the AA and SO basins in all the three experiments (Figure 5a-c). Consistent with
383 the vertical profiles of the temperature changes, the OHU was dominated by OHUa in
384 the SO and PI basins, while the OHU was charged by both the OHUa and OHUr in



385 the AA basin in all three experiments (i.e. Figure 5b, 5e and 5h). The positive OHUa
386 mainly appeared in the NA and SO region (Figure 5d-f). The positive OHUr were
387 observed in the south low-to-mid-latitude Atlantic and the Equatorial Pacific, while
388 the negative OHUr could be observed in the NA and Arctic regions in all the three
389 experiments (Figure 5g-i).

390

391 The most significant difference in the OHU among the three experiments was located
392 in the AA basin, especially in the NA region (Figure 5a-c). The difference in OHUa
393 caused by the NA heat-flux perturbations was located in the NA and Arctic (Figure
394 5d-f), and the difference in OHUr was located in the Atlantic for the three experiments
395 (Figure 5g-i). As mentioned above, the ocean circulation change has huge influence
396 on ocean heat transport, which affects the ocean heat redistribution. The difference in
397 the OHU over the NA resulted from the combined effect of the OHUa and OHUr. For
398 the NA region, the ensemble-mean regional-mean OHUs were 6.36, 3.00 and 0.68
399 GJ/m^2 in *faf-heat*, *faf-heat-NA50pct* and *faf-heat-NA0pct*, respectively. The
400 corresponding NA-mean OHUas were 10.33, 6.70 and 1.31 GJ/m^2 in the three
401 experiments, respectively, making a crucial contribution to the OHU in the NA region.

402 The magnitude change in OHUa over the NA among the three experiments was
403 roughly proportional to the imposed F over the NA, which indicates the influence of
404 the circulation changes on the T_a' was relatively small. The NA-mean OHUr were
405 -3.97, -3.70 and -0.63 GJ/m^2 in the three experiments, respectively. The magnitude
406 change in OHUr over the NA among the three experiments was not proportional to the



407 imposed NA heat-flux perturbation, which may be related to the ocean heat transport.
408

409 For the regions out of the NA, the ensemble-mean regional-mean OHU_r warming at
410 low latitudes (30°N-30°S) of the Atlantic were 3.76, 1.94 and 0.82 GJ/m² in the three
411 experiments, respectively. The low-latitude Atlantic warming due to the OHU_r was
412 closely related to the AMOC weakening. The correlation coefficient between the
413 low-latitude regional-mean OHU_r and AMOC change is -0.87 in faf-heat and -0.96 in
414 faf-heat-NA50pct for the available models. This is consistent with previous studies
415 showing that most of the warming at low latitudes results from tropical heat
416 convergence and reduced northward heat transport due to a weakened AMOC
417 (Gregory et al, 2016; Dias et al, 2020b; Couldrey et al, 2021). In addition, the SO
418 regional-mean OHU would increase by 0.25 GJ/m² as half of the prescribed heat
419 flux F , over the NA was added to the ocean, which implies that the magnitude change
420 of the heat flux perturbation over NA has a remote influence on the SO, but the
421 connections are unclear.
422

423 The largest model spreads in the OHU were mainly located in the AA basin,
424 specifically, in the NA region (Figure 6). The standard deviations of the OHU over
425 NA were 3.55, 2.86 and 1.46 GJ/m² in faf-heat, faf-heat-NA50pct and
426 faf-heat-NA0pct, respectively. The standard deviation of the OHU accounts for more
427 than 50% of the ensemble-mean change of OHU. The standard deviation of the OHU_a
428 accounts for less than 25% of the ensemble-mean change in faf-heat and



429 faf-heat-NA50pct, which were only 2.45 GJ/m^2 in faf-heat and 1.70 GJ/m^2 in
430 faf-heat-NA50pct. The standard deviation of OHUr over NA is almost equal to
431 ensemble mean change, ranging from 3.66 GJ/m^2 in faf-heat to 1.05 GJ/m^2 in
432 faf-heat-NA0pct. This further demonstrates that the model spreads of the OHU over
433 the NA are mainly attributable to the model spreads of the OHUr over the NA in all
434 three heat-flux perturbation experiments.

435

436 **3.3 DSL change**

437 The patterns of the multi-model ensemble-mean changes in the DSL, HSSL and TSSL
438 averaged over the final decade in the three experiments are shown in Figure 7. The
439 common spatial characteristics of the DSL changes are shown in all the three
440 experiments: the dipoles in the Antarctic Circumpolar Current (ACC) region (positive
441 in the north, switching to negative values further south) and the North Pacific
442 (positive south of 40°N to negative further north, Figure 7a-c), which mainly resulted
443 from the TSSL change (Gregory et al, 2016; Todd et al, 2020; Couldrey et al,
444 2021). The TSSL changes pattern resemble to the OHU. The most obvious differences
445 in the DSL change among the three experiments were observed in the NA, which was
446 affected by both the TSSL change and HSSL change. The positive DSL change
447 covered the whole regions of the NA in the faf-heat experiment, with a strong positive
448 DSL change at the north of 40°N due to the combined effects of the positive TSSL
449 and HSSL changes, and a weak positive DSL change at the south of 40°N resulted



450 from the countervailing influence of the positive TSSL change and negative HSSL
451 change (Figure 7d and 7g). Compared to faf-heat, a weaker positive DSL change over
452 NA was observed in faf-heat-NA50pct, and even a negative DSL change appeared
453 over 20-40°W in the NA, which mainly resulted from the smaller magnitude of the
454 TSSL and HSSL changes, especially the negative TSSL change over the east of NA.
455 Inconsistent with the simulations of the faf-heat and faf-heat-NA50pct experiments,
456 the DSL change in faf-heat-NA0pct exhibited a significant negative pattern over most
457 areas of the NA (Figure 7c), which mainly resulted from the weakened positive HSSL
458 change and negative TSSL change in faf-heat-NA0pct (Figure 7f and 7i). The
459 regional-mean DSL changes over NA are 0.17, 0.06 and 0.00m in faf-heat,
460 faf-heat-NA50pct and faf-heat-NA0pct, respectively (Figure 7a-c). The TSSL
461 components contribute 0.15, 0.03 and -0.03m (Figure 7d-f) and HSSL components
462 contribute 0.02, 0.03 and 0.03m (Figure 7g-i) to the DSL change over NA in the three
463 experiments, respectively.

464

465 The substantial model spreads of the DSL were mainly located in the NA region and
466 Arctic (Figure 8a-c), which resulted from the combined effects of the spreads of the
467 TSSL and HSSL. The standard deviation of the DSL change was almost of the same
468 order as the ensemble-mean change in DSL in the three experiments. Interestingly, the
469 standard deviations of the TSSL and HSSL changes were even larger than the
470 ensemble-mean TSSL and HSSL changes in the three experiments. This suggests
471 there are large uncertainties surrounding the TSSL and HSSL changes over the NA



472 across the models. However, the model spreads of the DSL change, the combination
473 of the spreads of TSSL and HSSL change, are much smaller. The standard deviations
474 of the DSL over the NA were 0.13, 0.05 and 0.03m in faf-heat, faf-heat-NA50pct and
475 faf-heat-NA0pct, respectively. The standard deviations of the TSSL over the NA were
476 at around 0.18m, and the standard deviations of the HSSL were 0.12, 0.10 and 0.07m
477 in the three experiments, respectively.

478

479 **4 Summary and discussion**

480 In this study, we quantitatively evaluated how climate/earth system models responded
481 to the different magnitudes of heat-flux perturbations in the NA region by comparing
482 the outputs of the three heat-flux perturbation experiments (faf-heat,
483 faf-heat-NA50pct and faf-heat-NA0pct) and faf-passiveheat in the FAFMIP, focusing
484 on the changes in the AMOC, OHU and DSL. We found that the magnitude of the
485 AMOC weakening is sensitive to the imposed NA heat-flux perturbation, consistent
486 with the results of Bouttes et al. (2014). It is notable that the AMOC weakening is
487 nearly proportional to the imposed F over NA. The heat-flux perturbation outside the
488 NA region has little effect on the AMOC weakening (only -1.06 Sv in
489 faf-heat-NA0pct). Large model spreads of AMOC weakening are still observed,
490 especially in the faf-heat experiment.

491

492 There is a significant anti-correlation between the Q_r' over the NA and AMOC



493 change, the coefficient reached -0.80 in faf-heat. The SST cooling over the NA region
494 due to AMOC weakening enhance the positive heat flux over NA, which further
495 enhance the AMOC weakening ,while the SST cooling decreases the stratification
496 simultaneously, which damps AMOC weakening .The two effects together lead to a
497 positive Q_r' over NA. The total heat flux ($F+Q_r'$) nearly doubles the intended
498 heat-flux perturbation imposed on the NA region in faf-heat-NA50pct, which is
499 consistent with the results of faf-heat experiment. Therefore, the effect of the intended
500 F over the NA in faf-heat can be roughly reproduced by the total heat flux ($F+Q_r'$)
501 imposed over the NA in faf-heat-NA50pct.

502

503 The global mean T' mainly results from the T_a' in all the three experiments,
504 accounting for 78-86% of the T' . Halving the perturbation over the NA would reduce
505 the global-mean T' by about 0.05 °C (accounting for 20% of the T'). Different
506 basins respond differently to the magnitude change of heat-flux over NA. T' is
507 dominated by T_a' in the SO and PI basins, while most of the magnitude changes in
508 T' among the three heat-flux perturbation experiments are attributable to T_r' instead
509 of T_a' in the SO and PI basins. The AA basin is most affected by the prescribed heat
510 perturbation over the NA. Different from the PI and SO basins, the T' is charged by
511 both the T_a' and T_r' in the AA basin, and both the magnitude changes in T_a' and
512 T_r' play roles in determining the magnitude changes in T' among the heat-flux
513 perturbation experiments. There are substantial disagreements across the models for
514 T' and T_a' shown in the AA basin, which may be related to the different degrees of



515 AMOC weakening across the models.

516

517 Consistent with basin-scale T' , the most significant difference in the OHU among the
518 three experiments was observed in the AA basin, especially in the NA region. The
519 differences in both OHUa and OHUr in the three experiments have a strong influence
520 on the total OHU difference over the NA region. This is consistent with the findings
521 of previous work (Gregory et al, 2016; Dias et al, 2020b; Couldrey et al, 2021). The
522 magnitude change in OHUa over the NA is almost proportional to the imposed heat
523 flux over the NA. However, the magnitude change in OHUr over the NA is not
524 proportional to the imposed NA heat-flux perturbation, which may be attributed to the
525 heat transport due to the ocean circulation change. It is worth mentioning that we find
526 the magnitude change of low-latitude OHUr in Atlantic is closely related to AMOC
527 change, with a correlation coefficient up to -0.87. The largest model spreads of the
528 OHU are located in the NA region. The standard deviation of OHU and OHUr over
529 NA are almost of the same order as the ensemble-mean change of them, indicating
530 that there are large disagreements across the models for the OHU and OHUr.

531

532 Magnitude change of heat-flux perturbations over the NA had little influence on the
533 dipole patterns in the North Pacific and SO. The largest DSL change response to
534 different NA heat-flux perturbations was observed in the NA, which is affected by
535 both the TSSL change and HSSL change. As the heat flux perturbation over NA
536 region decreases, the magnitude of the positive DSL change over the NA region tends



537 to be weakened, and even turn to be significant negative pattern over most parts of
538 NA. There are substantial model spreads of the DSL for the NA region, which result
539 from the combined effects of the spreads of the TSSL and HSSL. The standard
540 deviation of the DSL change is almost of the same order as the ensemble-mean
541 change in the three experiments.

542

543 Reducing the perturbation in the NA would result in changes in the ocean interior
544 processes, such as resolved advection, parameterized eddy advection, isopycnal
545 mixing, and diapycnal mixing, which would benefit the further understanding of
546 changes in OHU including global and basin scales. Evaluating the ocean interior
547 processes will be our initial focus on future work. Another point is to figure out how
548 the heat-flux perturbation changes over NA affect other basins and how the
549 tele-connections are built.

550



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559



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714 **Tables**

715

Models	Ocean horizontal resolution	References
ACCESS-CM2	MOM 1→1/3° tripolar z	Kiss et al., 2020
CanESM5	ORCA1→1/3° tripolar 46z	Swart et al., 2019
CAS- ESM2	LICOM2 1→1/2° 30z	Jin et al., 2021
FGOALS-g3	LICOM3 1→1/3° 30z	Li et al., 2020
GFDL-ESM2M	MOM5 1→1/3° 50z	Dunne et al., 2012
MPI-ESM1.2-HR	MPIOM1.65 0.4° tripolar 40z	Mauristsen et al., 2019
MRI-ESM2.0	MRI.COMv4 1° x 0.5° tripolar 61z	Yukimota et al., 2019
MIROC6	COCO4.9 1° tripolar 63z	Tatebe et al., 2019

716

717 **Table 1** Key features of the main AOGCMs studied.

718



Q'_r	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
Salinity	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
T'_r	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
T'_a	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
DSL	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
AMOC	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
T	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat	NA0	NA50	heat
	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○	○
Experiments models	ACCESS	CamESM5	CAS-ESM2	FOGALS-g3	GFDL-ESM2M	MPI-ESM1-2-HR	MRI-ESM2-0	MIROC6										

Table 2 Available output data: Available: ○; not available: ×.



721 Figures

722 **Figure 1** Annual time series of the weakening of the maximum of the Atlantic
723 meridional overturning stream function (unit: Sv) of different AOGCMs, all showing
724 the difference between faf-heat, faf-heat-NA50pct and faf-heat-NA0pct and the
725 corresponding year of the control. The black solid line indicates the ensemble-mean
726 results, and different colors indicate different results for the AOGCMs.

727 **Figure 2** The ensemble-mean redistributed heat flux Q'_r (unit: W m^{-2}) due to the
728 circulation change for faf-heat (a), faf-heat-NA50pct (b) and faf-heat-NA0pct (c). (d)
729 and (e) indicate the difference between the faf-heat-NA50pct and faf-heat and the
730 difference between the faf-heat-NA0pct and faf-heat.

731 **Figure 3** Top row shows the annual time series of the volume-mean ocean temperature
732 change T' (Unit: $^{\circ}\text{C}$); (d), (e) and (f) are the same as (a), (b) and (c), but for the
733 added temperature changes, T'_a (Unit: $^{\circ}\text{C}$); all show the differences between faf-heat
734 (left), faf-heat-NA50pct (center) and faf-heat-NA0pct (right) and corresponding years
735 for the control. The black solid line indicates the ensemble-mean T' , and the black
736 dashed line indicates the ensemble-mean T'_a . Different colors indicate the results for
737 different AOGCMs.

738 **Figure 4** Vertical profiles of ensemble-mean zonal-mean temperature change,
739 T' (Unit: $^{\circ}\text{C}$) and added temperature changes T'_a (Unit: $^{\circ}\text{C}$) in the time-mean for years
740 61–70 for faf-heat, faf-heat-NA50pct and faf-heat-NA0pct relative to the control for
741 different basins: the Southern Ocean (left), Pacific–Indian Ocean (middle), and



742 Arctic–Atlantic Ocean (right). Black, red and blue lines denoting experiments of
743 faf-heat, faf-heat-NA50pct and faf-heat-NA0pct, respectively. Lines with lighter
744 colors indicate temperature changes of different AOGCMs.

745 **Figure 5** Spatial patterns of the ensemble-mean total ocean heat uptake (OHU) (top),
746 added portions (OHUa) (middle) and redistributed portions (OHUr) (bottom) (unit:
747 GJ/m^2 , vertical integral of the change in the tracer multiplied by the volumetric heat
748 capacity), averaged for the time-mean of years 61–70 for faf-heat (left),
749 faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the control.

750 **Figure 6** Spatial patterns of the ensemble standard deviation of total ocean heat uptake
751 (OHU) (top), added portions (OHUa) (middle) and redistributed portions (OHUr)
752 (bottom) (unit: GJ/m^2), averaged for the time-mean for years 61–70 for faf-heat (left),
753 faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the control.

754 **Figure 7** Spatial patterns of the ensemble-mean change in the dynamic sea level (DSL)
755 (first row), thermosteric sea level (TSSL) (second row) and halosteric sea level
756 (HSSL) (bottom row) (unit: m), averaged for the time-mean of years 61–70 for
757 faf-heat (left), faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the
758 control in the experiments.

759 **Figure 8** Spatial patterns of the ensemble standard deviation of the dynamic sea level
760 (DSL) (first row), thermosteric sea level (TSSL) (second row) and halosteric sea level
761 (HSSL) (bottom row) (unit: m), averaged for the time-mean of years 61–70 for
762 faf-heat (left), faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the
763 control in the experiments.



Figures

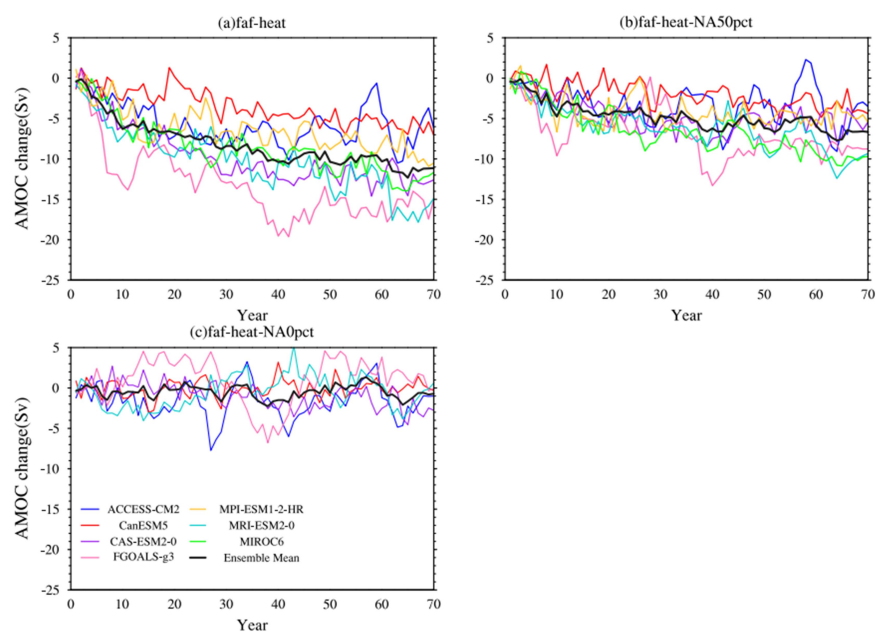
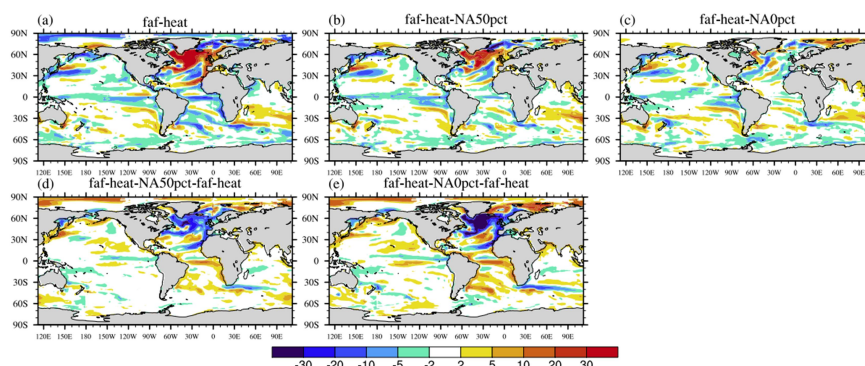


Figure 1 Annual time series of the weakening of the maximum of the Atlantic meridional overturning stream function (unit: Sv) of the different AOGCMs, all showing the difference between faf-heat, faf-heat-NA50pct and faf-heat-NA0pct and corresponding year of the control. The black solid line indicates the ensemble-mean results, and different colors indicate different results for the AOGCMs.



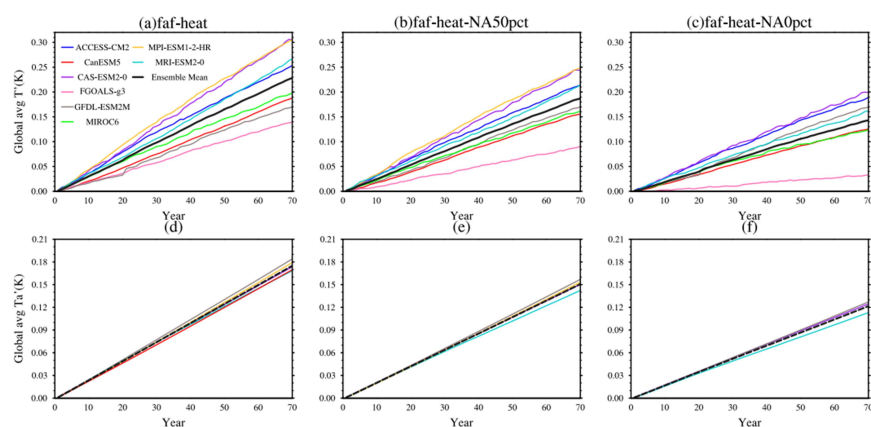
772

773 **Figure 2** The ensemble-mean redistributed heat flux Q'_r (unit: W m^{-2}) due to the
 774 circulation change for faf-heat (a), faf-heat-NA50pct (b) and faf-heat-NA0pct (c). (d)
 775 and (e) indicate the difference between the faf-heat-NA50pct and faf-heat and the
 776 difference between the faf-heat-NA0pct and faf-heat.

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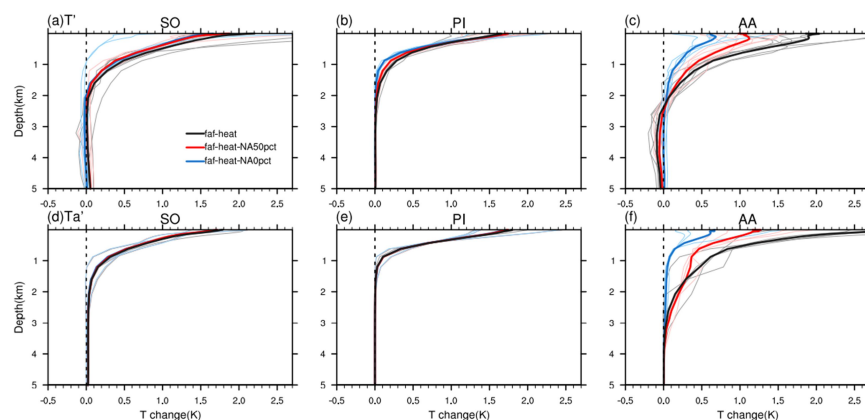
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781 change, T' (Unit: °C). (d), (e) and (f) are the same as (a), (b) and (c), but for the added
782 temperature changes, T_a' (bottom row) (Unit: °C), all showing the differences between
783 faf-heat (left), faf-heat-NA50pct (center) and faf-heat-NA0pct (right) and
784 corresponding years of the control. The black solid line indicates the ensemble-mean
785 T' , and the black dashed line indicates the ensemble-mean T_a' . Different colors
786 indicate the results of different AOGCMs.

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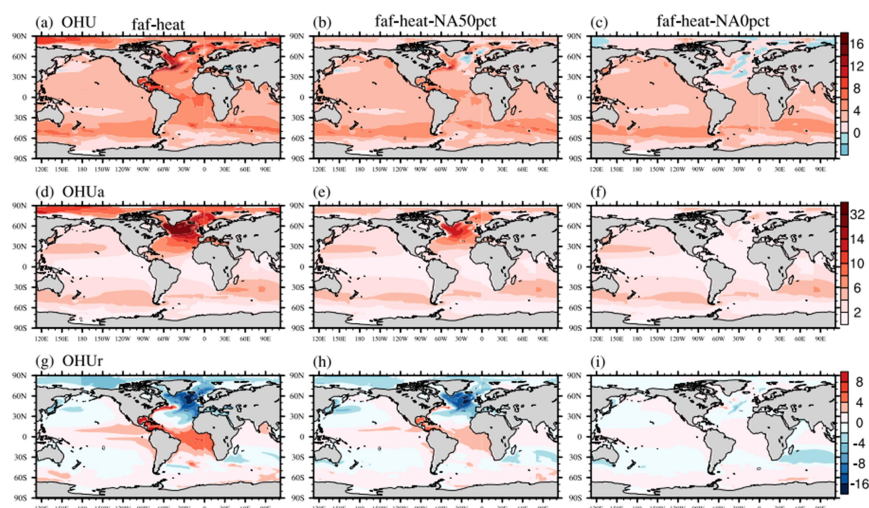
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790

791 **Figure 4** Vertical profiles of ensemble-mean zonal-mean temperature change T' (top
792 row) (Unit: °C) and added temperature changes, T_a' (bottom row) (Unit: °C) in the
793 time-mean of years 61–70 for faf-heat, faf-heat-NA50pct and faf-heat-NA0pct
794 relative to the control for different basins: the Southern Ocean (left), Pacific–Indian
795 Ocean (middle), and Arctic–Atlantic Ocean (right). Black, red and blue lines denote
796 the faf-heat, faf-heat-NA50pct and faf-heat-NA0pct experiments, respectively. Lines
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798



799

800 **Figure 5** Spatial patterns of the ensemble-mean total ocean heat uptake (OHU) (top),

801 added portions (OHUa) (middle) and redistributed portions (OHUr) (bottom) (unit:

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803 capacity), averaged for the time-mean of years 61–70 for faf-heat (left),

804 faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the control.

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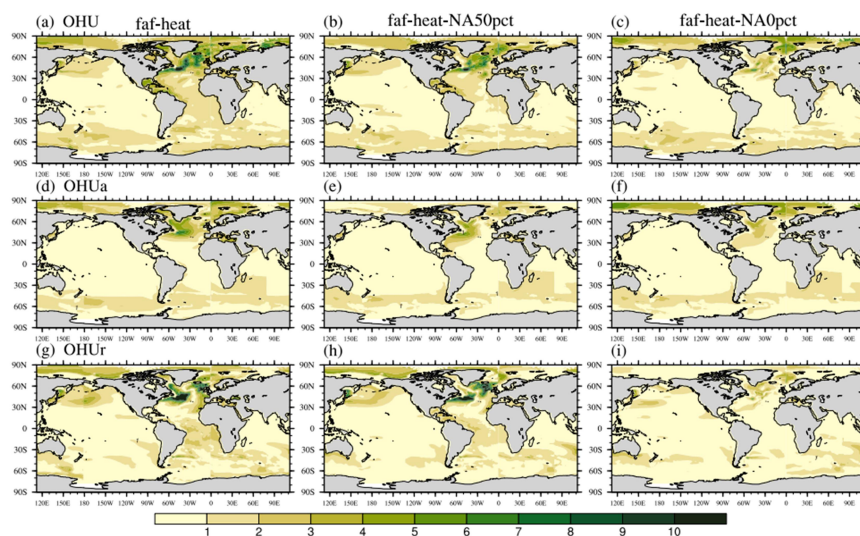
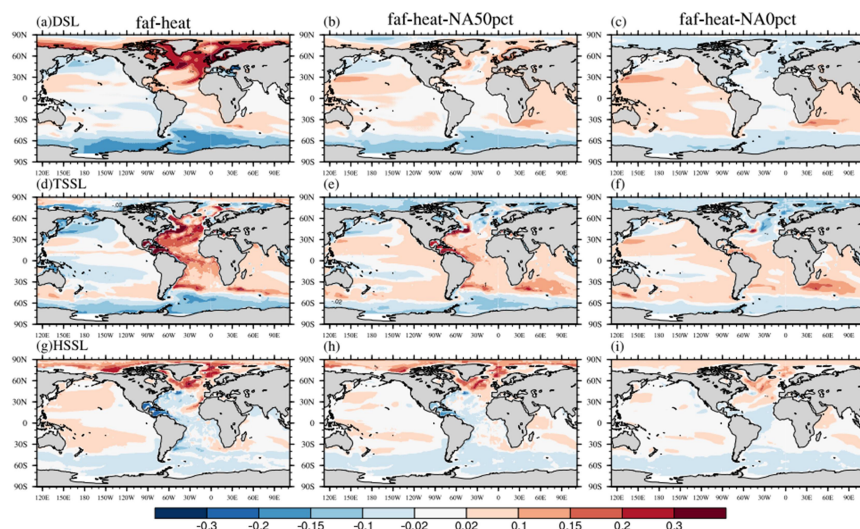


Figure 6 Spatial patterns of the ensemble standard deviation of total ocean heat uptake (OHU) (top), added portions (OHUa) (middle) and redistributed portions (OHUr) (bottom) (unit: GJ/m^2), averaged for the time-mean of years 61–70 for faf-heat (left), faf-heat-NA50pct (center) and faf-heat-NA0pct (right) relative to the control.



812

813 **Figure 7** Spatial patterns of the ensemble-mean change in the dynamic sea level (DSL)

814 (first row), thermosteric sea level (TSSL) (second row) and halosteric sea level

815 (HSSL) (bottom row) (unit: m), averaged for the time-mean of years 61–70 for

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817 control in the experiments.

818

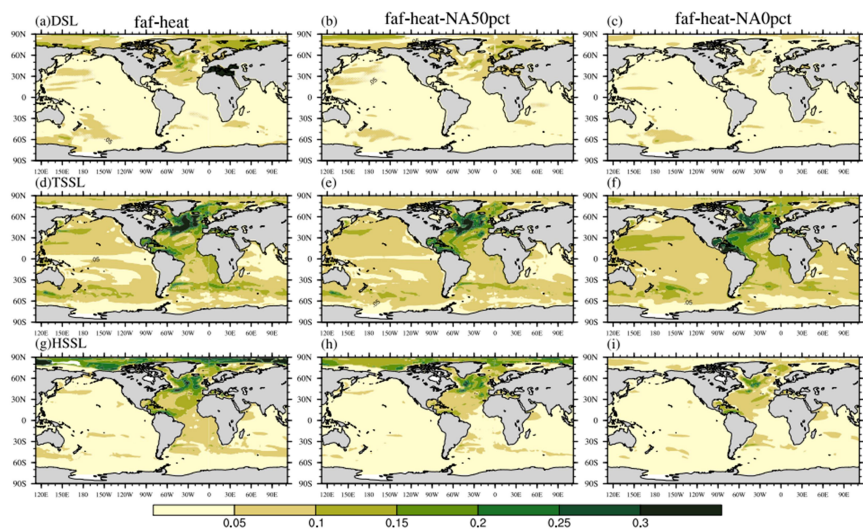


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Data availability

All data acquired or used in this analysis are available from CMIP6 website (CMIP6; <https://esgf-node.llnl.gov/projects/cmip6/>), obtained between 20 June 2021 and 30 June 2021.

Author Contribution

YW performed data analysis and prepared the paper. JJ and ZG provided advice on the analysis and the paper.



833 **Competing interests**

834 The contact author has declared that neither they nor their co-authors has any
835 competing interests.