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- 2 Summer surface air temperature proxies point to near sea-ice-free conditions in the Arctic at
- 3 127 ka.
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# 11 Abstract.

12 The Last Interglacial (LIG) period, which had higher summer solar insolation than today, has been 13 suggested as the last time that Arctic summers were ice-free. However, the latest suite of Coupled 14 Modelling Intercomparison Project 6 Paleoclimate (CMIP6-PMIP4) simulations of the LIG produce a wide range of Arctic summer minimum sea ice area (SIA) results, ranging from a 30% to 96% 15 16 reduction from the pre-industrial (PI). Sea ice proxies are also currently neither abundant nor consistent enough to determine the most realistic state. Here we estimate LIG minimum SIA 17 18 indirectly through the use of 21 proxy records for LIG Summer Surface Air Temperature (SSAT) and 19 11 CMIP6-PMIP4 models for the LIG. We use two approaches. First, we use two tests to determine 20 how skilful models are at simulating observed proxies for  $\Delta$ SSAT (where  $\Delta$  refers to LIG-PI). This 21 identifies a positive correlation between model skill and the magnitude of  $\Delta$ SIA: the most reliable 22 models simulate a larger sea ice reduction. Averaging the most skilful two models vields an average 23 SIA of 1.3 mill. km<sup>2</sup> for the LIG. This equates to a 4.5 mill. km<sup>2</sup>, or a 79%, SIA reduction from the PI 24 to the LIG. Second, across the 11 models, the averaged  $\Delta$ SSAT at the 21 proxy locations is inversely 25 correlated with  $\Delta$ SIA (r = -0.86). In other words, the models show that a larger Arctic warming is associated with a greater sea ice reduction. Using the proxy record-averaged  $\Delta$ SSAT of 4.5 ± 1.7 K 26 27 and the relationship between  $\Delta$ SSAT and  $\Delta$ SIA, suggests an estimated  $\Delta$ SIA of 4.4 mill. km<sup>2</sup> or 77% 28 less than the PI. The mean proxy-location  $\Delta$ SSAT is well-correlated with the Arctic-wide  $\Delta$ SSAT 29 north of 60°N (r=0.97) and this relationship is used to show that the mean proxy record  $\Delta$ SSAT is equivalent to an Arctic-wide warming of  $3.7\pm0.1$  K at the LIG compared to the PI. Applying this 30 31 Arctic-wide  $\triangle$ SSAT and its modelled relationship to  $\triangle$ SIA, results in a similar estimate of LIG sea ice 32 reduction of 4.5 mill. km<sup>2</sup>. The LIG climatological minimum SIA of 1.3 mill. km<sup>2</sup> is close to the 33 definition of a summer ice-free Arctic, which is a maximum sea ice extent less than 1 mill.  $km^2$ . The 34 results of this study thus suggest that the Arctic likely experienced a mixture of ice-free and near ice-35 free summers during the LIG.





#### 37 **1. Introduction**

38 The rapid decline in Arctic sea ice over the last 40 years is an icon of contemporary climate change. 39 Climate models have struggled to fully capture this sea ice loss (Notz and Community, 2020), which 40 can sometimes reduce confidence in their future projections (e.g. IPCC, 2021). One line of 41 investigation to address this problem, that has not been fully exploited, is the use of past climates to 42 provide information on the future (e.g. Bracegirdle et al., 2019). Investigating the physics and causes 43 of sea ice change, concentrating on Arctic changes during the most recent warm climate periods can 44 help us address this problem (Guarino et al., 2020b). Interglacials are periods of globally higher 45 temperatures which occur between cold glacial periods (Sime et al., 2009; Otto-Bliesner et al., 2013; 46 Fischer et al., 2018). The differences between colder glacial and warmer interglacial periods are 47 driven by climate feedbacks alongside changes in the Earth's orbit which affect incoming radiation. 48 The Last Interglacial or LIG, occurred 130,000-116,000 years ago. At 127,000 years ago, at high latitudes orbital forcing led to summertime top-of-atmosphere shortwave radiation 60-75 Wm<sup>-2</sup> 49 50 greater than the PI period. Summer temperatures in the Arctic during the LIG are estimated to be 51 around 4.5 K above those of today (CAPE members, 2006; Kaspar et al., 2005; IPCC, 2013; Capron 52 et al., 2017). Prior to 2020, most climate models simulated summer LIG temperatures which were too 53 cool compared with these LIG temperature observations (Otto-Bliesner et al., 2013; IPCC, 2013). 54 This led Lunt et al. (2013); Otto-Bliesner et al. (2013) and IPCC (2013) to suggest that the 55 representation of dynamic vegetation changes in the Arctic might be key to understanding LIG 56 summertime Arctic warmth.

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58 Guarino et al. (2020b) argued that loss of Arctic sea-ice in the summer could cause the warm summer 59 Arctic temperatures, without the need for dynamic vegetation. Using the HadGEM3 model, which 60 was the UK's contribution for the LIG CMIP6-PMIP4 project, Guarino et al. (2020b) found that the 61 model simulated a fully sea ice-free Arctic during the summer, i.e. it had less than 1 mill. km<sup>2</sup> of sea 62 ice extent at its minimum. This unique, near complete, loss of summer sea ice appears to happen in 63 the UK model, because it includes a highly advanced representation of melt ponds (Guarino et al. 64 2020b; Diamond et al. 2021). These are shallow pools of water which form on the surface of Arctic





sea ice and which determine how much sunlight is absorbed or reflected by the ice (Guarino et al.,2020b).

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68 Malmierca-Vallet et al. (2018) found the signature of summertime Arctic sea ice loss in Greenland ice 69 cores. Kageyama et al. (2021) then led the international community in compiling all available marine 70 core Arctic sea ice proxy data for the LIG and testing it against CMIP6-PMIP4 simulations. The 71 Kageyama et al. (2021) synthesis of ocean core-based proxy records of LIG Arctic sea-ice change, 72 like Malmierca-Vallet et al. (2018), showed that compared to the PI it is very likely that Arctic sea ice 73 was reduced. However, Kageyama et al. (2021) also showed that directly determining sea-ice changes 74 from marine core data is difficult. The marine core observations suffer some conflicting 75 interpretations of proxy data sometimes from the same core, and imprecision in dating materials to the 76 LIG period in the high Arctic. Thus, determining the mechanisms and distribution of sea ice loss 77 during the LIG by directly inferring sea ice presence (or absence) from these preserved biological data 78 alone is not possible (Kageyama et al., 2021).

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80 The Coupled Model Intercomparison Project Phase 6 (CMIP6) Paleoclimate Model Intercomparison 81 Project Phase (PMIP4) or CMIP6-PMIP4 LIG experimental protocol prescribes differences between 82 the LIG and PI in orbital parameters, as well as differences in trace greenhouse gas concentrations 83 (Otto-Bliesner et al., 2017). This standardised climate modelling protocol is therefore an ideal 84 opportunity for the community to use models to explore the causes of Arctic warmth using multi-85 model approaches. In particular, it offers the opportunity to address the questions of whether the Arctic sea ice loss is sufficient to explain LIG summertime temperature observations, or whether the 86 87 Arctic vegetation changes idea (Lunt et al., 2013; Otto-Bliesner et al., 2013; IPCC, 2013), is still 88 potentially required.

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90 Guarino et al. (2020b) showed that the HadGEM3, the only CMIP-PMIP4 model with an ice-free 91 Arctic at the LIG, has an excellent match with observed Arctic air temperature in summer. The 92 average  $\Delta$ SSAT in HadGEM3, for all locations with proxy observations, is +4.9 ± 1.2 K compared





93 with the observational mean of  $+4.5 \pm 1.7$  K. This model also matched all, except one, marine core 94 sea-ice datapoints from Kageyama et al. (2021). Here we investigate whether there are more CMIP6-95 PMIP4 models with a similarly good  $\Delta$ SSAT and if so, whether other models with a good match also 96 suggest a much-reduced sea ice area (SIA) during the LIG. We further compute the correlation and 97 linear relationship in the models between  $\Delta$ SSAT and  $\Delta$ SIA and subsequently use this equation and 98 proxies for  $\Delta$ SSAT to estimate  $\Delta$ SIA. Section 2 describes the proxy data and models used in this 99 study as well as the analysis methods. The results are presented in Section 3 which first evaluates the 100 modelled PI and LIG sea ice distribution against observations and then use the above described 101 approaches to estimate the sea ice reduction at the LIG. Section 4 summarises the results and 102 discusses their shortcomings and implications.

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#### 104 **2. Data and methods**

# 105 2.1 Observational data

106 The LIG SSAT proxy observations used to assess LIG Arctic sea ice in the Guarino et al. (2020b) 107 study were previously published by CAPE members (2006); Kaspar et al. (2005) and 20 of them were 108 also used to assess CMIP5 models in the IPCC (2013) report. A detailed description of each 109 observation is available (CAPE members, 2006; Kaspar et al., 2005; IPCC, 2013; Capron et al., 110 2017). Each observation is thought to be of summer LIG air temperature anomaly relative to present 111 day and is located in the circum-Arctic region; all sites are from north of 51°N. There are 7 terrestrial 112 based temperature records; 8 lacustrine records; 2 marine pollen-based records; and 3 ice core records 113 included in the original IPCC (2013) compilation. Guarino et al. (2020b) added to this an additional 114 new observation from the NEEM Greenland ice core from Capron et al. (2017), bringing the total 115 number of proxies records to 21 (Table 1). Figure 1 shows the location, and type, for each numbered observation. Whilst the exact timing of this peak warmth has not yet been definitively determined, it 116 is reasonable to assume that these measurements are approximately synchronous across the Arctic. It 117 118 is however very unlikely that the peak warmth was synchronous across both hemispheres (see Capron 119 et al. (2014); Govin et al. (2015)), and further investigation of the synchronicity of peak warmth





- 120 occurs across the Northern Hemisphere is merited. For consistency with modelled data, temperature
- 121 anomalies computed against present day conditions (i.e. 1961-1990 baseline) were corrected to
- 122 account for a +0.4K of global warming between PI (1850) and present day (1961-1990) conditions
- 123 (Turney and Jones, 2010). Therefore, Table 1 and Guarino et al. (2020b) values differ slightly (+0.4K)
- 124 from the original datasets so that they represent temperature anomalies relative to the PI.
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Figure 1: Map of data locations numbered to match Table 1. This combines the Kageyama et al.
(2021) sea ice locations 1 to 20 alongside with the temperature proxies from Table 1.

129 Most of the sites have temperature uncertainty (one standard deviation) estimates, which are provided

130 in the Table 1. However, for 9 sites, the standard deviation of the temperature data was not available.

131 A standard deviation of  $\pm 0.5$ K was used to account for this missing uncertainty: this is the smallest

132 standard deviation found in any proxy record across all sites, and is thus as a conservative estimation

133 of the uncertainty associated to proxy data (Guarino et al., 2020b).





134

135 Table 1: Compilation of LIG-PI summertime surface air temperature (SSAT) anomalies used by

136 *Guarino et al. (2020b).* 

Number	Lat	Lon	Site	Observation type	Observation (K)
1	55	18	Europe	Terrestrial: pollen, plant macrofossils	$3.4\pm0.5$
2	55	-3	UK	Terrestrial: Pollen, plant macrofossils	$2.4\pm0.5$
3	61	152.5	Magadan	Terrestrial: pollen	$6.4\pm2$
4	68	80	West-central Siberia	Terrestrial: pollen, plant macrofossils	$5.4\pm2$
5	68	160	Northeast Siberia	Terrestrial: pollen	$6.4 \pm 2$
6	70	-72.5	Flitaway	Terrestrial: insects, plant remains	$4.9\pm0.5$
7	73.33	141.5	Bolshoy Lyadhovshy	Terrestrial: pollen	$4.9\pm0.5$
8	63	-66	Robinson Lake	Lacustrine: pollen	$5.4\pm0.5$
9	64	-150	Birch Creek/ky11	Lacustrine: pollen	$1.4 \pm 1$
10	66	-69.2	Amarok Lake	Lacustrine: pollen	$4.9\pm0.5$
11	67	-160	Squirrel Lake	Lacustrine: pollen, plant macrofossils	$1.9 \pm 1.5$
12	67	-62	Cumber	Lacustrine: pollen	$5.9 \pm 1.5$
13	67.5	172.08	Lake Elgygytgyn	Lacustrine: pollen	$3.4 \pm 1$
14	69	-151	Ahaliorak Lake	Lacustrine: pollen	$1.9\pm1.5$
15	69	-133	Lake Tuk 5	Lacustrine: plant macrofossils and beetles	$2.4\pm0.5$
16	71.75	-23	Jameson	Marine: pollen, plant macrofossils, bee-	$5.4\pm0.5$
				tles, other invertebrates	
17	76.35	-68.3	Thule	Marine: pollen, chironomids	$4.4\pm0.5$
18	73	-25	Renland	Ice core: d18O, dD	$5.4\pm0.5$
19	73	-38	GISP2	Ice core: d18O, dD	$5.4\pm0.5$
20	75	-42	NGRIP	Ice core: d18O, dD	$5.4\pm0.5$
21	76.4	-44.8	NEEM(ds)	Ice core: d18O, dD	$8\pm4$
-	-	-	Arctic	Mean of observations 1 to 21	$4.5 \pm 1.7$

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### 139 **2.2. Models and model output**

We analyse Tier 1 LIG simulations, based on the standard CMIP6-PMIP4 LIG experimental protocol (Otto-Bliesner et al., 2017). The prescribed LIG (127 ka) protocol differs from the CMIP6 PI simulation protocol in astronomical parameters and the atmospheric trace GHG concentrations. LIG astronomical parameters are prescribed according to orbital constants (Berger and Loutre, 1991), and





144 atmospheric trace GHG concentrations are based on ice core measurements: 275 ppm for CO<sub>2</sub>; 685

145 ppb for  $CH_4$ ; and 255 ppb for  $N_2O$  (Otto-Bliesner et al., 2017).

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147 The CMIP6-PMIP4 model simulations were run following the Otto-Bliesner et al. (2017) protocol, 148 except CNRM-CM6-1, which used GHG at their PI values rather than using LIG values. For all 149 models, all other boundary conditions, including solar activity, ice sheets, aerosol emissions etc., are 150 identical to the PI simulation. In terms of the Greenland and Antarctica ice sheets, a PI configuration 151 for the LIG simulation is not unreasonable (Kageyama et al., 2021; Otto-Bliesner et al., 2020). LIG 152 simulations were initialized either from a previous LIG run, or from the standard CMIP6 protocol PI 153 simulations, using constant 1850 GHGs, ozone, solar, tropospheric aerosol, stratospheric volcanic 154 aerosol and land use forcing. Whilst PI and LIG spin-ups vary between the models, with CNRM the 155 shortest at 100 years, most model groups aimed to allow the land and oceanic masses to attain 156 approximate steady state *i.e.* to reach atmospheric equilibrium and to achieve an upper-oceanic 157 equilibrium - which generally seems to take around 300 to 400 years. LIG production runs are all 158 between 100-200 years long, which is an appropriate length for Arctic sea ice analysis (Guarino et al., 159 2020a).

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161 Whilst fifteen models have run the CMIP6-PMIP4 LIG simulation (Kageyama et al., 2021; Otto-162 Bliesner et al., 2020), and have uploaded model data to the Earth System Grid Federation (ESGF), we 163 exclude four simulations for the following reasons. The AWI-ESM and Nor-ESM models have LIG 164 simulations with two versions of model. To avoid undue biasing of results, we include only the simulation from the latest version for each model. Additionally, for INM-CM4-8 model, no ocean or 165 sea ice fields were available for download, excluding this model from our analysis. Finally, we 166 167 exclude the CNRM model in the analysis because apart from using PI instead of LIG GHG 168 concentrations and a short spin-up time, the model also has known issues with its sea-ice model. The 169 model produces much too thin sea ice in September and March compared with observational evidence 170 and the snow layer on the ice is considerably overestimated (Voldoire et al., 2019). As a possible 171 consequence of these issues, the CNRM model is also an outlier in an otherwise highly correlated





(inverse) relationship in the models between the LIG-PI albedo change over the Artic sea-ice and the LIG-PI SSAT change over the ice, being the only model that produces a warmer LIG with almost no reduction in albedo (Figure A1). While we consider the CNRM ice model unreliable for this study, we note that the inclusion of the model in our analysis only reduces the correlation coefficients but does not change the overall conclusions.

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178 We thus analyse the difference between the PI and LIG simulations from eleven models. Out of the 179 eleven simulations of the LIG, seven have 200 years simulation length (data available to download in 180 ESGF), the remaining four are 100 years in length. For PI control runs, we use the last 200 years of PI 181 control run available in ESGF for each model. Details of each model: model denomination, physical 182 core components, horizontal and vertical grid specifications, details on prescribed vs interactive 183 boundary conditions, details of published model description, and LIG simulation length (spin-up and 184 production runs) are contained in (Kageyama et al., 2021). Data was downloaded from the ESGF data 185 node: https://esgf-node.llnl.gov/projects/esgf-llnl/ (last downloaded on 23rd June 2021).

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The spatial distribution of sea ice is usually computed in two ways, by its total area or its extent. The sea ice extent (SIE) is the total area of the Arctic ocean where there is at least 15% ice concentration. The total sea ice area (SIA) is the sum of the sea ice concentration times the area of a grid cell for all cells that contain some sea ice. In this paper, the SIA refers to the SIA of the month of minimum sea ice, as computed by using the climatology of the whole simulation.

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# 193 2.3. Assessing model skill to simulate reconstructions of ΔSSAT

The model skill is quantified using two measures based on 1) the percentage of the 21 proxies for  $\Delta$ SSAT (in Table 1) for which the model produce a value within the error bars, and 2) the Root Mean Square Error (RMSE) of the modelled SSAT compared to the proxies. To assess whether the model match a proxy point, we compute summer mean (June to August) surface air temperatures for every year for the PI and LIG for each model. Climatological summer temperature is the time mean of these





199 summer temperatures for the entire simulation length. Our calculated model uncertainties on the 200 climatological summer mean temperatures are one standard deviation of summer mean time series for 201 each model. Bilinear interpolation in latitude-longitude space was used to extract values at the 202 observation locations from the gridded model output. For climatological summer mean temperature, if 203 there is an overlap between observation SSAT (plus observational uncertainty) and the simulated 204 SSAT (plus model uncertainty) then, for that location, the result is considered as a match. Similarly, 205 the RMSE error is calculated using the modelled SSAT values averaged over the summer months of 206 the entire simulation length.

207

### 208 3. Results

### 209 3.1. Simulated Arctic sea ice distribution

210 The sea ice distribution in the models have been reported previously in Kageyama et al. (2021) and is 211 included here to make this work self-reliant. For the PI, the model mean value for summer minimum 212 monthly SIA is 6.4 mill. km<sup>2</sup>. Due to a lack of direct observations for the PI, the PI model results are 213 compared with observed 1981 to 2002 satellite observations, keeping in mind that the modern 214 observations are for a climate with a higher atmospheric CO2 level of ~380 ppm, compared to the PI 215 atmospheric CO2 levels of 280 ppm. The modern observed mean minimum SIA is 5.7 mill km<sup>2</sup> 216 (Reynolds et al., 2002). In general, the simulations show a realistic representation of the geographical 217 extent for the summer minimum. More models show a slightly smaller area compared to the presentday observations, however EC-Earth, FGOALS-g3, and GISS170 E2-1-G simulate too much ice 218 219 (Figure 2). Overestimations appear to be due to too much sea ice being simulated in the Barents-Kara 220 area (FGOALS-g3, GISS-E2-1-G), in the Nordic Seas (EC-Earth, FGOALS-g3) and in Baffin Bay 221 (EC-Earth). Kageyama et al. (2021) also note that MIROC-ES2L performs rather poorly for the PI, 222 with insufficient ice close to the continents. The other models have a relatively close match to the 223 15% isoline in the NOAA Optimum Interpolation version 2 data (Reynolds et al., 2002; Kageyama et 224 al., 2021).





226 For the LIG, the model output is compared against the LIG sea ice synthesis of Kageyama et al. 227 (2021), which include marine cores collected in the Arctic Ocean, Nordic Seas and northern North 228 Atlantic (Figure 3). These data show that south of 79°N in the Atlantic and Nordic seas the LIG was 229 seasonally ice-free. These southern sea ice records provide quantitative estimates of sea surface 230 parameters based on dinoflagellate cysts (dinocysts). North of 79°N the sea-ice-related records are 231 more difficult to obtain and interpret. A core at 81.5°N brings evidence of summer being probably 232 seasonally ice-free during the LIG from two indicators: dinocysts and IP25/PIP25. However, an 233 anomalous core close by at the northernmost location of 81.9°N, with good chronology, shows IP25based evidence of substantial (> 75%) sea ice concentration all year round. Other northerly cores do 234 235 not currently have good enough chronological control to confidently date material of LIG age. All 236 models, except FGOALS, generally tend to match the results from proxies of summertime Arctic sea 237 ice in marine cores with good LIG chronology (Figure 3), apart from the anomalous northernmost 238 core for which the IP25 evidence suggest perennial sea ice (Kageyama et al., 2021). This may mean 239 that all the models tend to have similar problems in simulating Arctic sea ice during the LIG or that the LIG IP25 signal in the Arctic indicates something else. What is clear is that a new approach with 240 241 other Arctic datasets, such as SSAT, may be needed to make progress on the LIG Arctic sea ice 242 question. 243

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252 Figure 2: Climatological Minimum PI sea ice concentration maps for each model. The first panel 253 represents the multi model mean (MMM).







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Figure 3: Climatological minimum LIG sea ice concentration maps for each model. Marine core
results are from Kageyama et al. (2021): orange outlines indicate that the dating is uncertain; green
outlines indicate the datapoint is from the LIG. The first panel represents the multi model mean.





- 260 For the LIG, there is very little difference between the maximum (wintertime) Arctic SIA and that of 261 the PI (which is 15-16 mill. km<sup>2</sup> between the PI and the LIG in most models), but every model shows 262 a reduction in summer sea ice in the LIG compared to the PI (Table 2). Our model mean LIG 263 summertime Arctic is 2.9 mill. km<sup>2</sup>, compared to 6.4 mill. km<sup>2</sup> for the PI, or a 55% PI to LIG 264 decrease. There is large inter-model variability for the LIG SIA during the summer (Figure 4). All 265 models show a larger sea-ice area seasonal amplitude for LIG than for PI, and the range of model SIA 266 is larger for LIG than for PI (Figure A2). The results for individual years show that no model is close to the ice-free threshold for any model summer during their PI simulation (Figure 4) but for the LIG 267 summer SIA, there are three models which are lower than 1 mill. km<sup>2</sup> for at least one summer during 268 the LIG simulation (Figure 4). Of these three, HadGEM3, shows a LIG Arctic Ocean free of sea ice in 269 all summers, *i.e.* its maximum SIE is lower than 1 mill. km<sup>2</sup> in all LIG simulation years. CESM2 and 270 NESM3 show low climatological SIA values (slightly above 2 mill. km<sup>2</sup>) in summer for the LIG 271 simulation, and both have at least one year with a SIE minimum which is below 1 mill. km<sup>2</sup>, though 272 273 their average minimum SIE values are just below 3 mill. km<sup>2</sup>. Of these low LIG sea ice models, HadGEM3 and CESM2 realistically capture the PI Arctic sea ice seasonal cycle, whilst NESM3 274 275 overestimates winter ice and the amplitude of the seasonal cycle (Cao et al., 2018).
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277	Table 2: The minimum climatological sea ice area for the PI and the LIG, changes, and the
278	associated ASSAT anomalies. Percentage reductions are calculated from PI minimum SIA for each
279	model.

MODEL	SIA PI	SIA LIG	ΔSIA	SIA	ΔSSAT
(units)	(mill. km <sup>2</sup> )	(mill. km <sup>2</sup> )	(mill. km <sup>2</sup> )	(% loss)	(K)
MMM	6.36	2.93	-3.43	53.87	3.6±1.3
ACCESS-ESM1-5	5.48	2.39	-3.09	56.44	2.6±1
AWI-ESM-1-1-LR	5.37	3.76	-1.61	29.99	$1.7{\pm}1.1$
CESM2	5.31	1.62	-3.69	69.54	3.3±1
EC-Earth3-LR	8.86	3.65	-5.21	58.84	5.7±2.6
FGOALS-g3	8.83	5.55	-3.29	37.19	4.8±1.5





GISS-E2-1-G	8.87	5.54	-3.32	37.47	3.4±1.4
HadGEM3-GC31-LL	5.21	0.13	-5.07	97.48	4.9±1.2
IPSL-CM6A-LR	6.42	2.46	-3.96	61.74	4.4±1.2
MIROC-ES2L	4.20	2.79	-1.41	33.66	2.1 ± 0.6
NESM3	5.50	1.64	-3.86	70.14	3 ±0.9
NorESM2-LM	5.92	2.75	-3.17	53.52	3.6±1.1







Figure 4: Cumulative distribution of minimum SIA of individual years in LIG and PI simulations, i.e SIA versus proportion of years which fall below the corresponding SIA value. HadGEM3 has minimum SIA below 1 mill km<sup>2</sup> for all years in LIG runs. CESM2 has 6.5%, and NESM3 8%, LIG years with SIA below 1 mill km<sup>2</sup>. Lower Panels are same but for SIE.





#### 281 **3.2. Estimating** $\Delta$ **SIA** from model skill to simulate $\Delta$ **SSAT**

282 We first investigate whether there is a relationship between how well models match proxy  $\Delta$ SSAT 283 and the magnitude of SIA reduction that they simulate for the LIG. A visual comparison of modelled 284  $\Delta$ SSAT and proxy estimates for  $\Delta$ SSAT is also shown in Figure 5. As described in Section 2, two 285 different approaches are used to quantify the skill of the models to simulate  $\Delta$ SSAT, based on 1) the 286 RMSE of the model-data  $\Delta$ SSAT at the proxy record locations and 2) the percentage  $\Delta$ SSAT proxies 287 that the model can correctly match, within model and data error. Here the focus is on quantifying 288 model skill across all data records, but for reference, the model-versus-proxy  $\Delta$ SSAT for each 289 location is provided for each model individually in Figure A3. The RMSE skill estimate and the 290 percentage match estimate provide very similar indications of which models have good skill to 291 reproduce proxy  $\Delta$ SSAT. The five models with the lowest RMSE also have the highest percentage 292 match and the two models with the highest RMSE have the lowest percentage match (Figure 6). Both 293 approaches show that the models with better skill to simulate  $\Delta$ SSAT have a high absolute  $\Delta$ SIA. The 294 only outlier is EC-Earth, which has an average skill (6<sup>th</sup> best model of 11) but a high SIA reduction at 295 the LIG. This occurs because the EC-Earth PI simulation has an excessive SIA, more than 3 million 296  $km^2$  compared with observations; this enables it to have a large  $\Delta$ SIA value, whilst likely retaining too 297 much LIG SIA. Quantitively there is a correlation of r=-0.65 (p=0.03) between the magnitude of 298  $\Delta$ SIA and the RMSE, and a correlation with r=0.67 (p=0.02) between the magnitude of  $\Delta$ SIA and the 299 percentage match of the model (Figure 6). Given that the SIA reduction from the PI to the LIG could 300 be dependent on the starting SIA at the PI, we repeat the analysis for percentage SIA loss from the PI 301 (rather than absolute SIA loss) and find that is correlates similarly to the model skill to reproduce 302  $\Delta$ SSAT (Figure A4).

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Figure 5: Summertime surface air temperature (SSAT) anomaly (LIG - PI) maps for each model
overlain by observed summer temperature anomalies. Proxies are detailed in Table 1 and Guarino et
al. (2020b); colours are the same as used for the underlying model data. The first panel represents the
multi model mean.







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Figure 6: Modelled magnitude of  $\Delta$ SIA versus model skill to simulate proxy  $\Delta$ SSAT. a) The modelled magnitude of  $\Delta$ SIA is scattered against the RMS error of the modelled  $\Delta$ SSAT compared to the proxy

315  $\triangle SSAT$  for the 21 data locations. b) The modelled magnitude of  $\triangle SIA$  scattered against the percentage

316 of  $\Delta$ SSAT data points that the model can match (see methods).

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In general, where models have a closer match with the  $\Delta$ SSAT, they have a higher absolute  $\Delta$ SIA, as well as a larger percentage reduction of SIA from the PI. We thus look at our best performing models for an indication of true LIG Arctic sea ice reduction. The four models with the best agreement of  $\Delta$ SSAT to proxies are in order of skill; HadGEM3, IPSL, NORESM2, and CESM2. The top two performing models simulate an average SIA loss of 4.5 mill. km<sup>2</sup> from an average starting PI SIA of 5.8 mill. km<sup>2</sup> to a final LIG SIA of 1.3 mill. km<sup>2</sup>, which equates to a percentage SIA loss of 79%.

324 Including also the two next-best performing models in the average results in an average SIA loss of





- $4.0 \text{ mill. } \text{km}^2$  to a final LIG SIA of 1.7 mill.  $\text{km}^2$  from an average starting PI SIA of 5.7 mill.  $\text{km}^2$ ,
- 326 which equates to a percentage SIA loss of 71%.
- 327

328 The question arises as to why there is a linear relationship between model skill to simulate Arctic 329  $\Delta$ SSAT and SIA reduction. One possibility is that the mean proxy  $\Delta$ SSAT of 4.5 K is higher than 330 what most models produce, and that the warmer models are thus closer to the proxies and also more 331 likely to reduce sea ice. In the next section, this question is addressed by investigating whether  $\Delta$ SIA 332 is closely related to  $\Delta$ SSAT itself.

333

# 334 **3.3. Estimating ΔSIA from the modelled ΔSIA-ΔSSAT relationship and proxy ΔSSAT**

335 Here we investigate whether the models suggest a linear relationship between  $\Delta$ SSAT and  $\Delta$ SIA, and if so, exploit that together with proxy  $\Delta$ SSAT to estimate the most likely (true) value for  $\Delta$ SIA. We 336 337 first calculate the mean  $\Delta$ SSAT in the model at all 21 proxy data locations and compare it to the 338 magnitude of  $\Delta$ SIA in each model (Figure 7a). The two are well correlated with r=0.86 (p=0.001) and 339 the regression equation provide a dependence of  $\Delta$ SIA on  $\Delta$ SSAT. Using this relation, the observed 340 mean  $\Delta$ SSAT at the proxy locations points to a SIA reduction of 4.4 mill. km<sup>2</sup> from the PI. This constitutes a 77% reduction from the present day observation of 5.7 mill. km<sup>2</sup>, which is also the 341 342 average SIA for the PI in the two most skilful models identified in the previous section. Using this value for the PI sea ice, suggests remaining minimum of 1.3 mill. km<sup>2</sup> of sea ice during the LIG 343 summer. An average LIG minimum of 1.3 mill. km<sup>2</sup> implies that some LIG summers must have been 344 345 ice-free (below 1 mill. km<sup>2</sup> in SIE) but that most summers would have had a small amount of sea ice.







346

Figure 7: Modelled magnitude of  $\Delta$ SIA versus modelled  $\Delta$ SSAT for the Arctic. a) The modelled  $\Delta$ SIA is scattered against mean modelled  $\Delta$ SSAT at the 21 data locations. b) The modelled  $\Delta$ SIA is scattered

349 against the mean modelled  $\Delta$ SSAT averaged over the Arctic north of 60°N.

350

351 The  $\Delta$ SSAT relationship to  $\Delta$ SIA has so far been computed using the mean  $\Delta$ SSAT at the locations of 352 the data. To test whether this method would also work for the Arctic in general, the  $\Delta$ SSAT is next 353 averaged over the whole Arctic north of 60°N and compared with  $\Delta$ SIA (Figure 7b). The correlation 354 between  $\triangle$ SSAT and  $\triangle$ SIA is a somewhat reduced when calculating  $\triangle$ SSAT across the whole Arctic, 355 though it is still highly significant (r=0.79, p=0.004). An estimate for proxy-based Arctic-wide 356  $\Delta$ SSAT can be derived by applying the close relationship between Arctic  $\Delta$ SSAT and station  $\Delta$ SSAT in the models (Figure 8, r=0.97, p <0.001). Inserting the  $\Delta$ SSAT averaged over all proxy-records, of 357 4.5 K, in the regression equation in Figure 8, gives an estimate for proxy-based Arctic-wide ΔSSAT 358





- of 3.7±0.1 K. Applying the regression equation in Figure 7b and using this estimate for Arctic-wide
- $\Delta$ SSAT suggests a PI to LIG sea ice reduction of 4.5 mill. km<sup>2</sup>, which is very similar to the estimate
- 361 derived from the station data alone (of 4.4 mill. km<sup>2</sup>).
- 362



Figure 8: Modelled Arctic-wide  $\triangle$ SSAT versus modelled mean  $\triangle$ SSAT at the data locations for the 11 models.

377

### 378 **4. Discussion and conclusions**

379 As discussed in the introduction, neither proxies nor modelling results alone allow currently for a 380 convincing estimate of the Arctic sea ice reduction at the LIG. Here we apply a joint approach to 381 make progress. We deduce how much sea ice was reduced during the LIG, using 11 of the most recent 382 CMIP6-PMIP4 LIG model simulations and proxy observations of summer air temperature changes. 383 The reduction of sea ice from the PI to the LIG in the models range from 30% to 96% with an average 384 of 55%. No model is close to the ice-free threshold, of maximum SIE lower than 1 mill. km<sup>2</sup>, for any 385 model year-summer during their PI simulation. During the LIG, the HadGEM3 model is the only one 386 that has an Arctic Ocean free of sea ice in all summers, although CESM2 and NESM3 show SIA





values of around 2 mill. km<sup>2</sup>, in association with intermittently ice-free conditions. We found that larger LIG SIA reduction from the PI is related to greater SSAT warming, the two being correlated with r=0.86 across the models. In particular, the 8 models with largest SIA reduction are all able to match, within uncertainty, the mean PI to LIG summertime Arctic warming of  $4.5 \pm 1.7$  K at the 21 proxy locations. This magnitude of warming was difficult to reach with previous generations of LIG models.

393

394 We find that the good match between the (ice-free) HadGEM3 and the Guarino et al. (2020b) summer Arctic temperature dataset is not unique. However, we find that it is not random either and that there 395 is a correlation between model skill to match the  $\Delta$ SSAT and the reduction of SIA from the PI to the 396 397 LIG (both when using an RMSE skill test and when using a best-match skill test). The two most skilful models simulate an average LIG sea ice area of 1.3 mill. km<sup>2</sup> which is a 4.5 mill. km<sup>2</sup> or 79% 398 reduction from their PI values. Whilst we cannot assume all model error  $\Delta SSAT$  is attributable to 399 400  $\Delta$ SIA, it is reasonable to assume that the better performing models for  $\Delta$ SSAT are also better at 401 simulating  $\Delta$ SIA, because of the close relationship between warming and sea ice loss.

402

403 Some of the proxies are more difficult for the models to simulate (Figure 9 and Figure A3). In 404 particular, it appears that the Greenland ice core SSAT value from NEEM of +8 K (observation 21 in 405 Table 1 Figure 9) is higher than any model simulates; though with a  $\pm 4$  K uncertainty it is 406 nevertheless matched by some models. Terrestrial proxies three and six, with SSAT values of +6.4 K 407 are also only rarely matched. Further work on the observational side would be useful. These LIG 408 SSAT proxy reconstructions were used in the IPCC (2013) report and by Guarino et al. (2020b); and 409 were previously published by IPCC (2013); CAPE members (2006); Kaspar et al. (2005); Capron et 410 al. (2017). Thus, this dataset should ideally be improved. One start point for this would be adding 411 uncertainties to the (nine) sites which do not currently have these numbers.







# 413

414 Figure 9: Proxy  $\Delta$ SSAT (violet dots and uncertainty bars) and simulated  $\Delta$ SSAT for all models 415 (coloured dots) for each proxy record location (rows). Grey boxes extend from the 25th to the 75th 416 percentile of each locations distribution of simulated values and the vertical lines represent the 417 median.

418

419 The correlation between model skill to simulate  $\Delta$ SSAT and the magnitude of  $\Delta$ SIA is convincing (r= 420 0.66 and p = 0.003 on average for the two skill tests). However, the two quantities are not 421 straightforward to relate through a dynamical process. On the other hand, it is well known that there is 422 a positive feedback between Arctic temperature and Arctic sea-ice, with warmer temperatures more 423 likely to melt sea ice, and less sea ice producing a smaller albedo to incoming solar radiation and so 424 less cooling from solar reflection. This dynamic is evident in the strong correlation of r=0.86 between 425 the magnitude of  $\Delta$ SIA and  $\Delta$ SSAT. The reconstructed  $\Delta$ SSAT from proxies, of 4.5 ±1.7 K, is larger 426 than most models simulate, so the models that match the  $\Delta$ SSAT most closely would be the models 427 with a larger  $\Delta$ SSAT than average and thus also a larger  $\Delta$ SIA. The only model that has a large SIA





428 reduction and not a good skill to match SSAT is EC-Earth, which features a PI simulation with far too 429 much sea ice, which allows an excessive LIG to PI Arctic warming. An additional result of our study 430 is that the mean  $\Delta$ SSAT at the proxy locations is strongly correlated to Arctic-wide  $\Delta$ SSAT north of 431 60°N in the models (r=0.97). Applying the regression relation between the two, implies that the mean 432  $\Delta$ SSAT at the proxy locations, of 4.5 K, is equivalent to an Arctic-wide warming at the LIG of 3.7 K. 433 This is thus a more representative value for the Arctic warming at the LIG, than using the simpler 434 proxy-location average.

435

436 The strong linear correlation between the magnitude of  $\Delta$ SIA and  $\Delta$ SSAT is applied to the proxyreconstructed  $\Delta$ SSAT to give an estimate of the reduction of SIA from the PI to LIG of 4.4 mill. km<sup>2</sup>, 437 438 similar to that derived from our "best skill" approach. A similar value of 4.5 mill. km<sup>2</sup> is obtained 439 when extrapolating the method to Arctic-wide  $\Delta$ SSAT north of 60°N. The models and data have 440 uncertainties, and the regressions applied are not between perfectly correlated quantities. However, it 441 is clear from both applied methods (each with two variants) that proxy-reconstructed  $\Delta$ SSAT, in 442 combination with the model output, implies a larger sea ice reduction than the climatological multi-443 model mean of 55%. It suggests a LIG SIA of  $\sim 1.3$  mill. km<sup>2</sup>, which is consistent with intermittently 444 ice-free summers – but with (low ice area) ice-present summers likely exceeding the number of ice-445 free years. This result suggests that the fully-ice free HadGEM3 is somewhat too sensitive, and loses 446 summer sea ice too readily during the LIG, alongside that most other PMIP4 models are insufficiently 447 insensitive do not lose enough sea ice.

448

449 *Code availability.* Python code used to produce the manuscript plots is available on request from the450 authors.

451

452 Data availability. The summer air temperature dataset is available at https://data.bas.ac.uk/full-

453 record.php?id=GB/NERC/BAS/PDC/01593. All model data is available from the ESGF data node:

454 https://esgf-node.llnl.gov/projects/esgf-llnl/.





# 456 Appendix



457

Figure A1. LIG-PI change in albedo over Arctic sea-ice as a function of LIG-PI change in SSAT (°C) over the ice. The  $r^2$  values and the linear fit lines are for the models including CNRM (blue) and excluding CNRM (black). The CNRM model (upside triangle) is an outlier that influences the strength rather than the nature of the correlation.







463

464 Figure A2. Sea ice area climatological seasonal cycle for each model.







466 Figure A3. Modelled  $\Delta$ SSAT versus proxy  $\Delta$ SSAT. The scatter points show model data versus 467 observations for each proxy location. Error-bars represent one standard deviation on either side of the 468 proxy estimate. The correlation coefficients, between X and Y, RMSE and percentage matches with 469 observations for each model are indicated in each panel.







Figure A4: Modelled % sea ice area reduction from the LIG to the PI versus model skill to simulate proxy  $\Delta$ SSAT. a) The modelled %SIA reduction is scattered against the RMSE of the modelled  $\Delta$ SSAT compared to the proxy  $\Delta$ SSAT for the 21 data locations. b) The modelled % SIA reduction scattered against the percentage of  $\Delta$ SSAT data points that the model can match (see methods).







477 Figure A5. Scatter Plot for climatological ΔSSAT at each observational location versus climatological
478 ΔSSAT averaged over entire Northern Hemisphere in each model





479 Author contributions. LCS planned and wrote the original draft. RS analysed model results and 480 prepared the figures. Figure 1 which was prepared by IVM. AdB wrote the second draft. MS 481 undertook additional analysis, checks and researched particular model results. All authors contributed 482 to the final text.

483

484 *Competing interests.* The authors have no competing interests.

485

486 Acknowledgements. LCS and RS acknowledge the financial support of NERC research grant 487 NE/P013279/1 and NE/P009271/1. LCS and IVM have received funding from the European Union's 488 Horizon 2020 research and innovation programme under grant agreement No 820970. AdB and MS 489 were supported by Swedish Research Council grant 2020-04791. This work used the ARCHER UK 490 National Supercomputing Service (http://www.archer.ac.uk) and the JASMIN analysis platform 491 (https://www.ceda.ac.uk/services/jasmin/).





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