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2 **Summer surface air temperature proxies point to near sea-ice-free conditions in the Arctic at**
3 **127 ka.**

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10 **Abstract.**

11 The Last Interglacial (LIG) period, which had higher summer solar insolation than today, has been
12 suggested as the last time that Arctic summers were ice-free. However, the latest suite of Coupled
13 Modelling Intercomparison Project 6 Paleoclimate (CMIP6-PMIP4) simulations of the LIG produce a
14 wide range of Arctic summer minimum sea ice area (SIA) results, ranging from a 30% to 96%
15 reduction from the pre-industrial (PI). Sea ice proxies are also currently neither abundant nor
16 consistent enough to determine the most realistic state. Here we estimate LIG minimum SIA
17 indirectly through the use of 21 proxy records for LIG Summer Surface Air Temperature (SSAT) and
18 11 CMIP6-PMIP4 models for the LIG. We use two approaches. First, we use two tests to determine
19 how skilful models are at simulating reconstructed Δ SSAT from proxy records (where Δ refers to
20 LIG-PI). This identifies a positive correlation between model skill and the magnitude of Δ SIA: the
21 most reliable models simulate a larger sea ice reduction. Averaging the most skilful two models yields
22 an average SIA of 1.3 mill. km^2 for the LIG. This equates to a 4.5 mill. km^2 , or a 79%, SIA reduction
23 from the PI to the LIG. Second, across the 11 models, the averaged Δ SSAT at the 21 proxy locations
24 as well the pan Arctic average Δ SSAT, is inversely correlated with Δ SIA ($r = -0.86$ and -0.79
25 respectively). In other words, the models show that a larger Arctic warming is associated with a
26 greater sea ice reduction. Using the proxy record-averaged Δ SSAT of 4.5 ± 1.7 K and the relationship
27 between Δ SSAT and Δ SIA suggests an estimated sea ice reduction of 4.2 ± 1.4 mill. km^2 or about 74%
28 less sea ice than the PI. The mean proxy-location Δ SSAT is well-correlated with the Arctic-wide
29 Δ SSAT north of 60°N ($r=0.97$) and this relationship is used to show that the mean proxy record
30 Δ SSAT is equivalent to an Arctic-wide warming of 3.7 ± 1.5 K at the LIG compared to the PI.
31 Applying this Arctic-wide Δ SSAT and its modelled relationship to Δ SIA, results in a similar estimate
32 of LIG sea ice reduction of 4.1 ± 1.2 mill. Km^2 . These LIG climatological minimum SIA of 1.3 to 1.5
33 mill. km^2 are close to the definition of a summer ice-free Arctic, which is a maximum sea ice extent
34 less than 1 mill. km^2 . The results of this study thus suggest that the Arctic likely experienced a
35 mixture of ice-free and near ice-free summers during the LIG.

37 **1. Introduction**

38 The rapid decline in Arctic sea ice over the last 40 years is an icon of contemporary climate change.
39 Climate models have struggled to fully capture this sea ice loss (Notz and Community, 2020), which
40 can sometimes reduce confidence in their future projections (e.g. IPCC, 2021). One line of
41 investigation to address this problem, that has not been fully exploited, is the use of past climates to
42 provide information on the future (e.g. Bracegirdle et al., 2019). Investigating the physics and causes
43 of sea ice change, concentrating on Arctic changes during the most recent warm climate periods can
44 help us address this problem (Guarino et al., 2020b). Interglacials are periods of globally higher
45 temperatures which occur between cold glacial periods (Sime et al., 2009; Otto-Bliesner et al., 2013;
46 Fischer et al., 2018). The differences between colder glacial and warmer interglacial periods are
47 driven by climate feedbacks alongside changes in the Earth's orbit which affect incoming radiation.
48 The Last Interglacial or LIG, occurred 130,000-116,000 years ago. At 127,000 years ago, at high
49 latitudes orbital forcing led to summertime top-of-atmosphere shortwave radiation $60-75 \text{ Wm}^{-2}$
50 greater than the PI period. Summer temperatures in the Arctic during the LIG are estimated to be
51 around 4.5 K above those of today (CAPE members, 2006; Kaspar et al., 2005; IPCC, 2013; Capron
52 et al., 2017). Prior to 2020, most climate models simulated summer LIG temperatures which were too
53 cool compared with these LIG temperature observations (Otto-Bliesner et al., 2013; IPCC, 2013).
54 This led Lunt et al. (2013); Otto-Bliesner et al. (2013) and IPCC (2013) to suggest that the
55 representation of dynamic vegetation changes in the Arctic might be key to understanding LIG
56 summertime Arctic warmth.

57

58 Guarino et al. (2020b) argued that loss of Arctic sea-ice in the summer could cause the warm summer
59 Arctic temperatures, without the need for dynamic vegetation. Using the HadGEM3 model, which
60 was the UK's contribution for the LIG CMIP6-PMIP4 project, Guarino et al. (2020b) found that the
61 model simulated a fully sea ice-free Arctic during the summer, i.e. it had less than 1 mill. km^2 of sea
62 ice extent at its minimum. This unique, near complete, loss of summer sea ice appears to happen in
63 the UK model, because it includes a highly advanced representation of melt ponds (Guarino et al.
64 2020b; Diamond et al. 2021). These are shallow pools of water which form on the surface of Arctic

65 sea ice and which determine how much sunlight is absorbed or reflected by the ice (Guarino et al.,
66 2020b).

67

68 Malmierca-Vallet et al. (2018) found the signature of summertime Arctic sea ice loss in Greenland ice
69 cores. Kageyama et al. (2021) then led the international community in compiling all available marine
70 core Arctic sea ice proxy data for the LIG and testing it against CMIP6-PMIP4 simulations. The
71 Kageyama et al. (2021) synthesis of ocean core-based proxy records of LIG Arctic sea-ice change,
72 like Malmierca-Vallet et al. (2018), showed that compared to the PI it is very likely that Arctic sea ice
73 was reduced. However, Kageyama et al. (2021) also showed that directly determining sea-ice changes
74 from marine core data is difficult. The marine core observations suffer some conflicting
75 interpretations of proxy data sometimes from the same core, and imprecision in dating materials to the
76 LIG period in the high Arctic. Thus, determining the mechanisms and distribution of sea ice loss
77 during the LIG by directly inferring sea ice presence (or absence) from these preserved biological data
78 alone is not possible (Kageyama et al., 2021).

79

80 The Coupled Model Intercomparison Project Phase 6 (CMIP6) Paleoclimate Model Intercomparison
81 Project Phase (PMIP4) or CMIP6-PMIP4 LIG experimental protocol prescribes differences between
82 the LIG and PI in orbital parameters, as well as differences in trace greenhouse gas concentrations
83 (Otto-Bliesner et al., 2017). This standardised climate modelling protocol is therefore an ideal
84 opportunity for the community to use models to explore the causes of Arctic warmth using multi-
85 model approaches. In particular, the existing non-dynamic-vegetation PMIP4 LIG protocol and
86 associated simulations offer the opportunity to address the question of whether the Arctic sea ice loss
87 alone is sufficient to explain LIG summertime temperature observations, or whether active vegetation
88 modelling, and the idea of vegetation feedbacks (Lunt et al., 2013; Otto-Bliesner et al., 2013; IPCC,
89 2013) are required. This said, we recognize that in reality there must also be LIG Arctic vegetation
90 feedbacks. These should be explored in future modelling work.

91

92 Guarino et al. (2020b) showed that the HadGEM3, the only CMIP-PMIP4 model with an ice-free
93 Arctic at the LIG, has an excellent match with reconstructed Arctic air temperature in summer. The
94 average Δ SSAT in HadGEM3, for all locations with proxy observations, is $+4.9 \pm 1.2$ K compared
95 with the proxy mean of $+4.5 \pm 1.7$ K. This model also matched all, except one, marine core sea-ice
96 datapoints from Kageyama et al. (2021). Here we investigate whether there are more CMIP6-PMIP4
97 models with a similarly good Δ SSAT and if so, whether other models with a good match also suggest
98 a much-reduced sea ice area (SIA) during the LIG. We further compute the correlation and linear
99 relationship in the models between Δ SSAT and Δ SIA and subsequently use this equation and proxies
100 for Δ SSAT to estimate Δ SIA. Section 2 describes the proxy data and models used in this study as well
101 as the analysis methods. The results are presented in Section 3 which first evaluates the modelled PI
102 and LIG sea ice distribution against proxy reconstructions and then use the above described
103 approaches to estimate the sea ice reduction at the LIG. Section 4 summarises the results and
104 discusses their shortcomings and implications.

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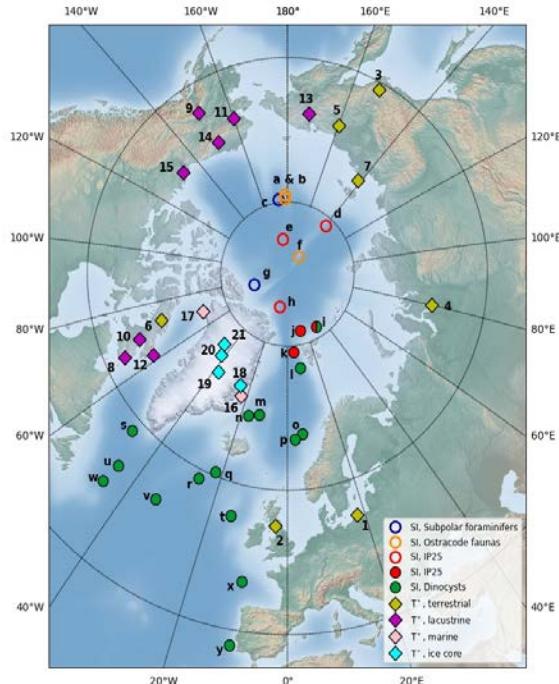
106 **2. Data and methods**

107 **2.1 Proxy reconstructions for LIG**

108 The LIG SSAT proxy observations used to assess LIG Arctic sea ice in the Guarino et al. (2020b)
109 study were previously published by CAPE members (2006); Kaspar et al. (2005) and 20 of them were
110 also used to assess CMIP5 models in the IPCC (2013) report. A detailed description of each record is
111 available (CAPE members, 2006; Kaspar et al., 2005; IPCC, 2013; Capron et al., 2017). Each proxy
112 record is thought to be of summer LIG air temperature anomaly relative to present day and is located
113 in the circum-Arctic region; all sites are from north of 51°N. There are 7 terrestrial based temperature
114 records; 8 lacustrine records; 2 marine pollen-based records; and 3 ice core records included in the
115 original IPCC (2013) compilation. Guarino et al. (2020b) added to this an additional new record from
116 the NEEM Greenland ice core from Capron et al. (2017), bringing the total number of proxies records
117 to 21 (Table 1). Figure 1 shows the location, and type, for each numbered proxy record. Terrestrial
118 climate can be reconstructed from diagnostic assemblages of biotic proxies preserved in lacustrine,

119 peat, alluvial, and marine archives and isotopic changes preserved in ice cores and marine and
120 lacustrine carbonates (CAPE, 2006; Guarino et al., 2020). Quantitative reconstructions of climatic
121 departures from the present-day are derived from range extensions of individual taxa, mutual climatic
122 range estimations based on groups of taxa, and analogue techniques (CAPE, 2006). These proxy
123 records are considered to represent the summer surface air temperature because summer temperature
124 is also the most effective predictor for most biological processes, though seasonality and moisture
125 availability may influence phenomena such as evergreen vs. deciduous biotic dominance (Kaplan et
126 al., 2003). Whilst the exact timing of this peak warmth has not yet been definitively determined, it is
127 reasonable to assume that these measurements are approximately synchronous across the Arctic. It is
128 however very unlikely that the peak warmth was synchronous across both hemispheres (see Capron et
129 al. (2014); Govin et al. (2015)), and further investigation of the synchronicity of peak warmth occurs
130 across the Northern Hemisphere is merited. For consistency with modelled data, temperature
131 anomalies computed against present day conditions (i.e. 1961-1990 baseline) were corrected to
132 account for a +0.4K of global warming between PI (1850) and present day (1961-1990). (Turney and
133 Jones, 2010). Therefore, Table 1 and Guarino et al. (2020b) values differ slightly (+0.4K) from the
134 original datasets so that they represent temperature anomalies relative to the PI.

135



136

137 *Figure 1: Map of data locations numbered to match Table 1. This combines the Kageyama et al.*
 138 *(2021) sea ice locations 1 to 20 alongside with the temperature proxies from Table 1. Open symbols*
 139 *correspond to records with uncertain chronology, and filled symbols correspond to records with good*
 140 *chronology.*

141 Most of the sites have temperature uncertainty (one standard deviation) estimates, which are provided
 142 in the Table 1. However, for 9 sites, the standard deviation of the temperature data was not available.
 143 A standard deviation of $\pm 0.5\text{K}$ was used to account for this missing uncertainty: this is the smallest
 144 standard deviation found in any proxy record across all sites, and is thus as a conservative estimation
 145 of the uncertainty associated to proxy data (Guarino et al., 2020b).

146

147 *Table 1: Compilation of LIG-PI summertime surface air temperature (SSAT) anomalies used by*
 148 *Guarino et al. (2020b).*

| Number | Lat | Lon | Site | Observation type | Observation (K) |
|--------|-------|--------|----------------------|--|-----------------|
| 1 | 55 | 18 | Europe | Terrestrial: pollen, plant macrofossils | 3.4 ± 0.5 |
| 2 | 55 | -3 | UK | Terrestrial: Pollen, plant macrofossils | 2.4 ± 0.5 |
| 3 | 61 | 152.5 | Magadan | Terrestrial: pollen | 6.4 ± 2 |
| 4 | 68 | 80 | West-central Siberia | Terrestrial: pollen, plant macrofossils | 5.4 ± 2 |
| 5 | 68 | 160 | Northeast Siberia | Terrestrial: pollen | 6.4 ± 2 |
| 6 | 70 | -72.5 | Flitaway | Terrestrial: insects, plant remains | 4.9 ± 0.5 |
| 7 | 73.33 | 141.5 | Bolshoy Lyadgovshy | Terrestrial: pollen | 4.9 ± 0.5 |
| 8 | 63 | -66 | Robinson Lake | Lacustrine: pollen | 5.4 ± 0.5 |
| 9 | 64 | -150 | Birch Creek/ky11 | Lacustrine: pollen | 1.4 ± 1 |
| 10 | 66 | -69.2 | Amarok Lake | Lacustrine: pollen | 4.9 ± 0.5 |
| 11 | 67 | -160 | Squirrel Lake | Lacustrine: pollen, plant macrofossils | 1.9 ± 1.5 |
| 12 | 67 | -62 | Cumber | Lacustrine: pollen | 5.9 ± 1.5 |
| 13 | 67.5 | 172.08 | Lake Elgygytgyn | Lacustrine: pollen | 3.4 ± 1 |
| 14 | 69 | -151 | Ahaliorak Lake | Lacustrine: pollen | 1.9 ± 1.5 |
| 15 | 69 | -133 | Lake Tuk 5 | Lacustrine: plant macrofossils and beetles | 2.4 ± 0.5 |
| 16 | 71.75 | -23 | Jameson | Marine: pollen, plant macrofossils, beetles, other invertebrates | 5.4 ± 0.5 |
| 17 | 76.35 | -68.3 | Thule | Marine: pollen, chironomids | 4.4 ± 0.5 |
| 18 | 73 | -25 | Renland | Ice core: d18O, dD | 5.4 ± 0.5 |
| 19 | 73 | -38 | GISP2 | Ice core: d18O, dD | 5.4 ± 0.5 |
| 20 | 75 | -42 | NGRIP | Ice core: d18O, dD | 5.4 ± 0.5 |
| 21 | 76.4 | -44.8 | NEEM(ds) | Ice core: d18O, dD | 8 ± 4 |
| 149 | - | - | Arctic | Mean of observations 1 to 21 | 4.5 ± 1.7 |

150

151 **2.2. Models and model output**

152 We analyse Tier 1 LIG simulations, based on the standard CMIP6-PMIP4 LIG experimental protocol
 153 (Otto-Bliesner et al., 2017). The prescribed LIG (127 ka) protocol differs from the CMIP6 PI
 154 simulation protocol in astronomical parameters and the atmospheric trace GHG concentrations. LIG
 155 astronomical parameters are prescribed according to orbital constants (Berger and Loutre, 1991), and

156 atmospheric trace GHG concentrations are based on ice core measurements: 275 ppm for CO₂; 685
157 ppb for CH₄; and 255 ppb for N₂O (Otto-Bliesner et al., 2017).

158

159 The CMIP6-PMIP4 model simulations were run following the Otto-Bliesner et al. (2017) protocol,
160 except CNRM-CM6-1, which used GHG at their PI values rather than using LIG values. For all
161 models, all other boundary conditions, including solar activity, ice sheets, aerosol emissions etc., are
162 identical to the PI simulation. In terms of the Greenland and Antarctica ice sheets, a PI configuration
163 for the LIG simulation is not unreasonable (Kageyama et al., 2021; Otto-Bliesner et al., 2020). LIG
164 simulations were initialized either from a previous LIG run, or from the standard CMIP6 protocol PI
165 simulations, using constant 1850 GHGs, ozone, solar, tropospheric aerosol, stratospheric volcanic
166 aerosol and land use forcing. Whilst PI and LIG spin-ups vary between the models, with CNRM the
167 shortest at 100 years, most model groups aimed to allow the land and oceanic masses to attain
168 approximate steady state *i.e.* to reach atmospheric equilibrium and to achieve an upper-oceanic
169 equilibrium - which generally seems to take around 300 to 400 years. LIG production runs are all
170 between 100-200 years long, which is an appropriate length for Arctic sea ice analysis (Guarino et al.,
171 2020a).

172

173 Whilst fifteen models have run the CMIP6-PMIP4 LIG simulation (Kageyama et al., 2021; Otto-
174 Bliesner et al., 2020), and have uploaded model data to the Earth System Grid Federation (ESGF), we
175 exclude four simulations for the following reasons. The AWI-ESM and Nor-ESM models have LIG
176 simulations with two versions of model. To avoid undue biasing of results, we include only the
177 simulation from the latest version for each model. Additionally, for INM-CM4-8 model, no ocean or
178 sea ice fields were available for download, excluding this model from our analysis. Finally, we
179 exclude the CNRM model in the analysis because apart from using PI instead of LIG GHG
180 concentrations and a short spin-up time, the model also has known issues with its sea-ice model. The
181 model produces much too thin sea ice in September and March compared with observational evidence
182 and the snow layer on the ice is considerably overestimated (Volodko et al., 2019). As a possible
183 consequence of these issues, the CNRM model is also an outlier in an otherwise highly correlated

184 (inverse) relationship in the models between the LIG-PI albedo change over the Arctic sea-ice and the
185 LIG-PI SSAT change over the ice, being the only model that produces a warmer LIG with almost no
186 reduction in albedo (Figure A1). While we consider the CNRM ice model unreliable for this study, we
187 note that the inclusion of the model in our analysis only reduces the correlation coefficients but does
188 not change the overall conclusions.

189

190 We thus analyse the difference between the PI and LIG simulations from eleven models. Out of the
191 eleven simulations of the LIG, seven have 200 years simulation length (data available to download in
192 ESGF), the remaining four are 100 years in length. For PI control runs, we use the last 200 years of PI
193 control run available in ESGF for each model. Details of each model: model denomination, physical
194 core components, horizontal and vertical grid specifications, details on prescribed vs interactive
195 boundary conditions, details of published model description, and LIG simulation length (spin-up and
196 production runs) are contained in (Kageyama et al., 2021). Data was downloaded from the ESGF data
197 node: <https://esgf-node.llnl.gov/projects/esgf-llnl/> (last downloaded on 23rd June 2021).

198

199 The spatial distribution of sea ice is usually computed in two ways, by its total area or its extent. The
200 sea ice extent (SIE) is the total area of the Arctic ocean where there is at least 15% ice concentration.
201 The total sea ice area (SIA) is the sum of the sea ice concentration times the area of a grid cell for all
202 cells that contain some sea ice. In this paper, the SIA refers to the SIA of the month of minimum sea
203 ice, as computed by using the climatology of the whole simulation.

204

205 **2.3. Assessing model skill to simulate reconstructions of Δ SSAT**

206 The model skill is quantified using two measures based on 1) the Root Mean Square Error (RMSE) of
207 the modelled SSAT compared to the proxies and 2) the percentage of the 21 proxies for Δ SSAT (in
208 Table 1) for which the model produce a value within the error bars. To assess whether the model
209 match a proxy point, we compute summer mean (June to August) surface air temperatures for every
210 year for the PI and LIG for each model. Climatological summer temperature is the time mean of these

211 summer temperatures for the entire simulation length. Our calculated model uncertainties on the
212 climatological summer mean temperatures are one standard deviation of summer mean time series for
213 each model. Bilinear interpolation in latitude-longitude space was used to extract values at the proxy
214 locations from the gridded model output. For climatological summer mean temperature, if there is an
215 overlap between proxy SSAT (plus uncertainty) and the simulated SSAT (plus model uncertainty)
216 then, for that location, the result is considered as a match. Similarly, the RMSE error is calculated
217 using the modelled SSAT values averaged over the summer months of the entire simulation length.
218

219 **3. Results**

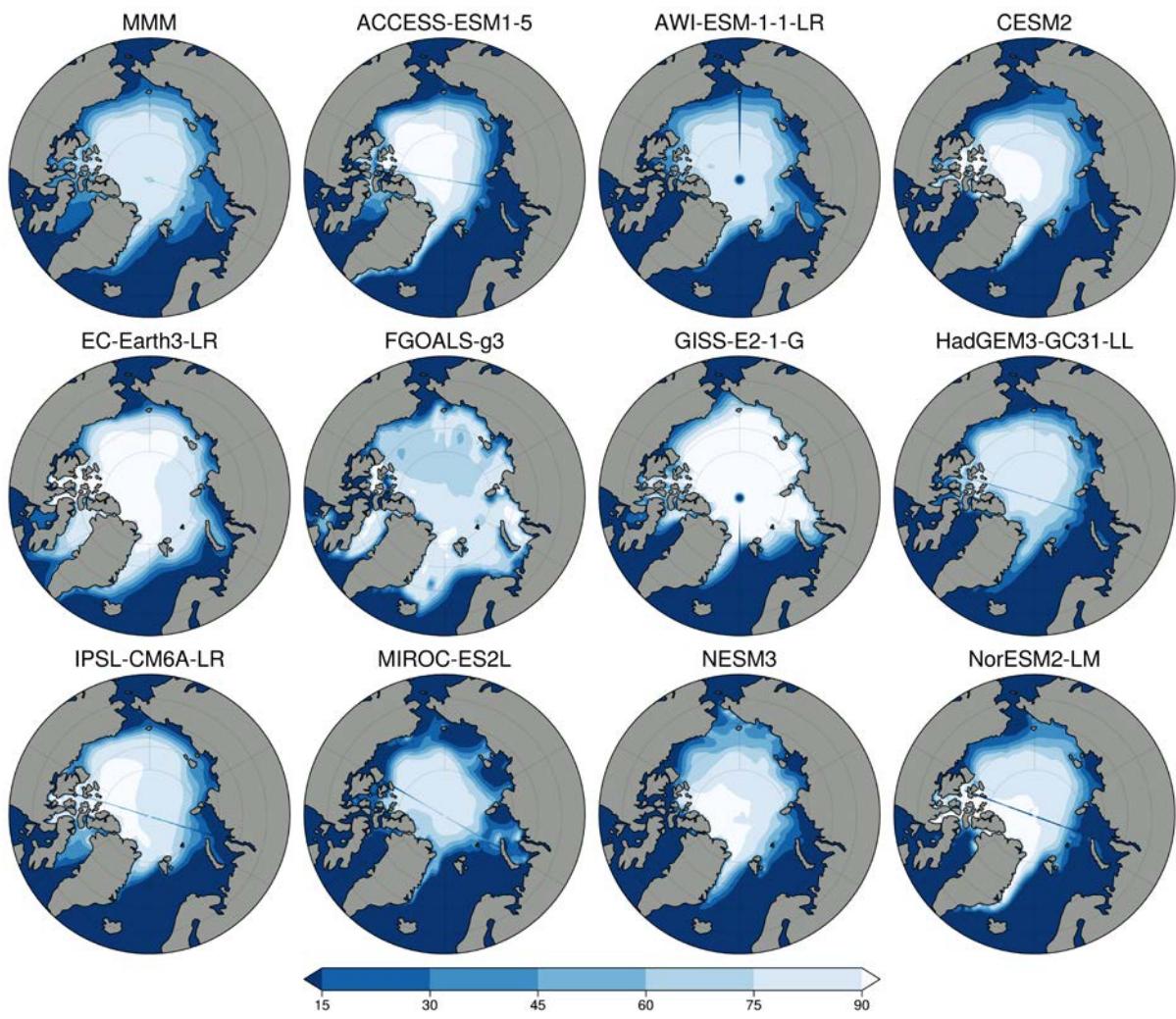
220 **3.1. Simulated Arctic sea ice distribution**

221 The sea ice distribution in the models have been reported previously in Kageyama et al. (2021) and is
222 included here to make this work self-reliant. For the PI, the model mean value for summer minimum
223 monthly SIA is 6.4 mill. km². Due to a lack of direct observations for the PI, the PI model results are
224 compared with 1981 to 2002 satellite observations, keeping in mind that the present day observations
225 are for a climate with a higher atmospheric CO₂ level of ~380 ppm, compared to the PI atmospheric
226 CO₂ levels of 280 ppm. The modern observed mean minimum SIA is 5.7 mill km² (Reynolds et al.,
227 2002). In general, the simulations show a realistic representation of the geographical extent for the
228 summer minimum. More models show a slightly smaller area compared to the present-day
229 observations, however EC-Earth, FGOALS-g3, and GISS170 E2-1-G simulate too much ice (Figure
230 2). Overestimations appear to be due to too much sea ice being simulated in the Barents-Kara area
231 (FGOALS-g3, GISS-E2-1-G), in the Nordic Seas (EC-Earth, FGOALS-g3) and in Baffin Bay (EC-
232 Earth). Kageyama et al. (2021) also note that MIROC-ES2L performs rather poorly for the PI, with
233 insufficient ice close to the continents. The other models have a relatively close match to the 15%
234 isoline in the NOAA Optimum Interpolation version 2 data (Reynolds et al., 2002; Kageyama et al.,
235 2021).

236

237 For the LIG, the model output is compared against the LIG sea ice synthesis of Kageyama et al.
238 (2021), which include marine cores collected in the Arctic Ocean, Nordic Seas and northern North
239 Atlantic (Figure 3). These data show that south of 79°N in the Atlantic and Nordic seas the LIG was
240 seasonally ice-free. These southern sea ice records provide quantitative estimates of sea surface
241 parameters based on dinoflagellate cysts (dinocysts). North of 79°N the sea-ice-related records are
242 more difficult to obtain and interpret. A core at 81.5°N brings evidence of summer being probably
243 seasonally ice-free during the LIG from two indicators: dinocysts and IP25/PIP25. However, an
244 anomalous core close by at the northernmost location of 81.9°N, with good chronology, shows IP25-
245 based evidence of substantial (> 75%) sea ice concentration all year round. Other northerly cores do
246 not currently have good enough chronological control to confidently date material of LIG age. All
247 models, except FGOALS, generally tend to match the results from proxies of summertime Arctic sea
248 ice in marine cores with good LIG chronology (Figure 3), apart from the anomalous northernmost
249 core for which the IP25 evidence suggest perennial sea ice (Kageyama et al., 2021). Steinet al. (2017)
250 suggest that PIP25 records obtained from the central Arctic Ocean cores indicating a perennial sea ice
251 cover have to be interpreted cautiously, given that biomarker concentrations are very low to absent, so
252 it is difficult to know how much weight to place on this particular result. Additionally, given Hillaire-
253 Marcel et al. (2017) question the age model of the data from the central Arctic Ocean, thus these IP25
254 data need to be interpreted with some caution. This may mean that all the models tend to have similar
255 problems in simulating Arctic sea ice during the LIG or that the LIG IP25 signal in the Arctic
256 indicates something else. What is clear is that a new approach with other Arctic datasets, such as
257 SSAT, may be needed to make progress on the LIG Arctic sea ice question.

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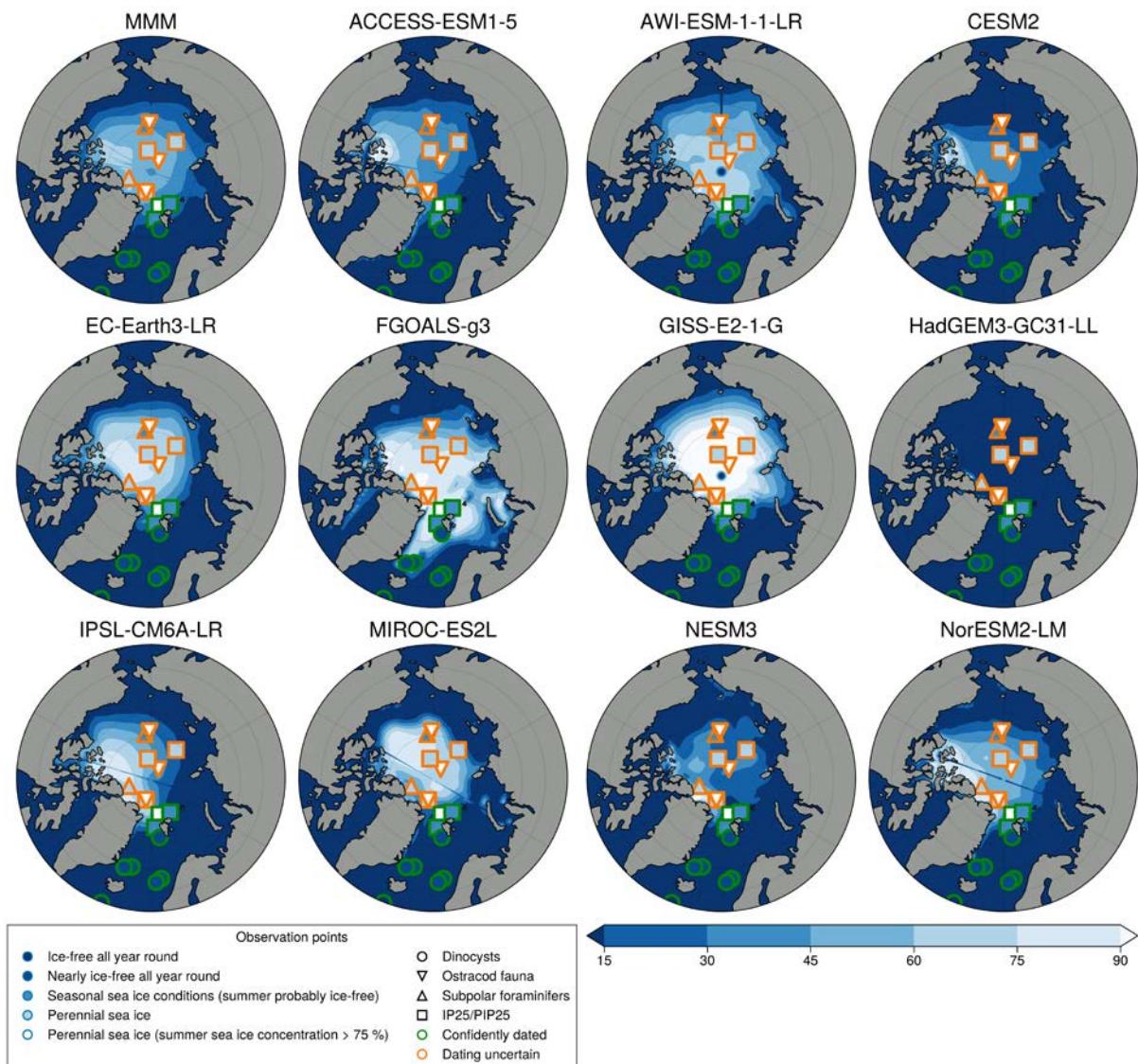
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267 *Figure 2: Climatological Minimum PI sea ice concentration maps for each model. The first panel*
 268 *represents the multi model mean (MMM).*

269



270

271 *Figure 3: Climatological minimum LIG sea ice concentration maps for each model. Marine core*
 272 *results are from Kageyama et al. (2021): orange outlines indicate that the dating is uncertain; green*
 273 *outlines indicate the datapoint is from the LIG. The first panel represents the multi model mean.*

274

275 For the LIG, there is very little difference between the maximum (wintertime) Arctic SIA and that of
 276 the PI (which is 15-16 mill. km² between the PI and the LIG in most models), but every model shows
 277 a reduction in summer sea ice in the LIG compared to the PI (Table 2). Our model mean LIG
 278 summertime Arctic is 2.9 mill. km², compared to 6.4 mill. km² for the PI, or a 55% PI to LIG
 279 decrease. There is large inter-model variability for the LIG SIA during the summer (Figure 4). All
 280 models show a larger sea-ice area seasonal amplitude for LIG than for PI, and the range of model SIA
 281 is larger for LIG than for PI (Figure A2). The results for individual years show that no model is close
 282 to the ice-free threshold fdel summer during their PI simulation (Figure 4) but for the LIG summer
 283 SIA, there are three models which are lower than 1 mill. km² for at least one summer during the LIG
 284 simulation (Figure 4). Of these three, HadGEM3, shows a LIG Arctic Ocean free of sea ice in all
 285 summers, *i.e.* its maximum SIE is lower than 1 mill. km² in all LIG simulation years. CESM2 and
 286 NESM3 show low climatological SIA values (slightly above 2 mill. km²) in summer for the LIG
 287 simulation, and both have at least one year with a SIE minimum which is below 1 mill. km², though
 288 their average minimum SIE values are just below 3 mill. km². Of these low LIG sea ice models,
 289 HadGEM3 and CESM2 realistically capture the PI Arctic sea ice seasonal cycle, whilst NESM3
 290 overestimates winter ice and the amplitude of the seasonal cycle (Cao et al., 2018).

291

292

293 *Table 2: The minimum climatological sea ice area for the PI and the LIG, changes, and the*
 294 *associated ΔSSAT anomalies. Percentage reductions are calculated from PI minimum SIA for each*
 295 *model.*

| MODEL (units) | SIA PI (mill. km ²) | SIA LIG (mill. km ²) | ΔSIA (mill. km ²) | SIA (% loss) | ΔSSAT (K) |
|------------------|------------------------------------|-------------------------------------|----------------------------------|-----------------|--------------|
| MMM | 6.36 | 2.93 | -3.43 | 53.87 | 3.6±1.3 |
| ACCESS-ESM1-5 | 5.48 | 2.39 | -3.09 | 56.44 | 2.6±1 |
| AWI-ESM-1-1-LR | 5.37 | 3.76 | -1.61 | 29.99 | 1.7±1.1 |
| CESM2 | 5.31 | 1.62 | -3.69 | 69.54 | 3.3±1 |
| EC-Earth3-LR | 8.86 | 3.65 | -5.21 | 58.84 | 5.7±2.6 |

| | | | | | |
|-----------------|------|------|-------|-------|-----------|
| FGOALS-g3 | 8.83 | 5.55 | -3.29 | 37.19 | 4.8±1.5 |
| GISS-E2-1-G | 8.87 | 5.54 | -3.32 | 37.47 | 3.4±1.4 |
| HadGEM3-GC31-LL | 5.21 | 0.13 | -5.07 | 97.48 | 4.9±1.2 |
| IPSL-CM6A-LR | 6.42 | 2.46 | -3.96 | 61.74 | 4.4±1.2 |
| MIROC-ES2L | 4.20 | 2.79 | -1.41 | 33.66 | 2.1 ± 0.6 |
| NESM3 | 5.50 | 1.64 | -3.86 | 70.14 | 3 ± 0.9 |
| NorESM2-LM | 5.92 | 2.75 | -3.17 | 53.52 | 3.6±1.1 |

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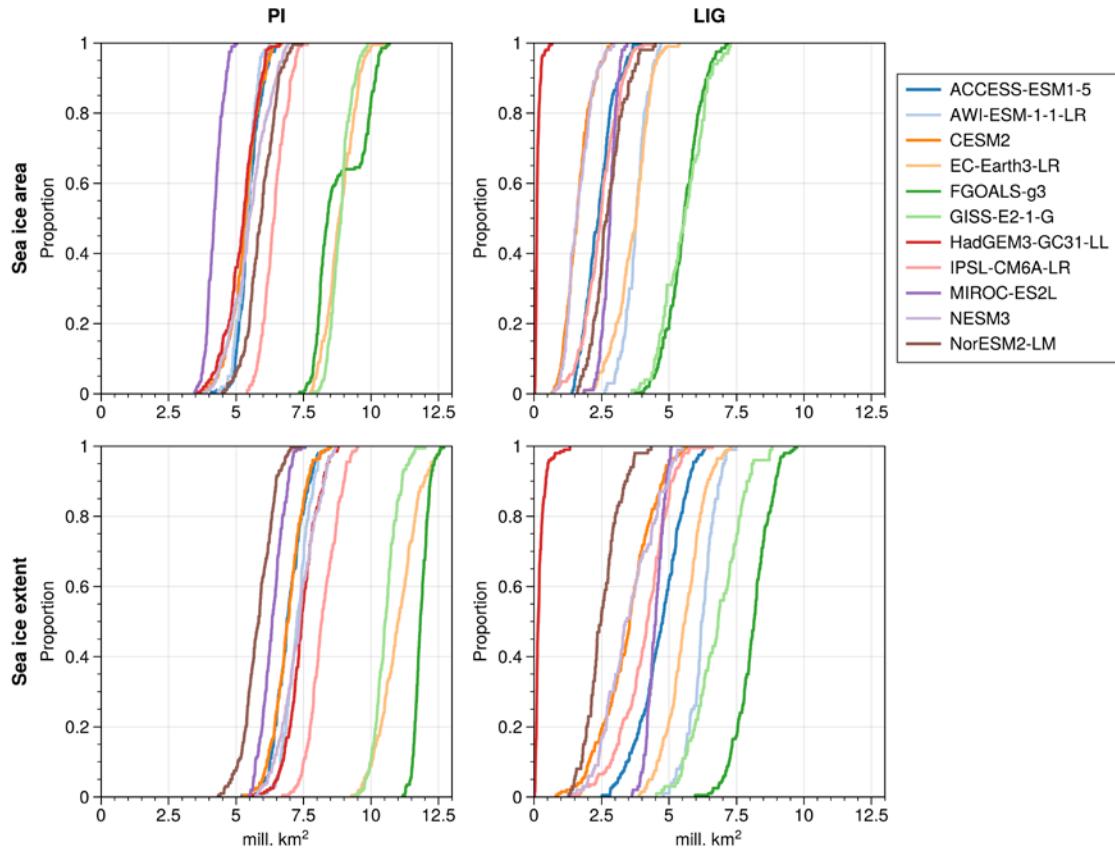


Figure 4: Cumulative distribution of minimum SIA of individual years in LIG and PI simulations, i.e SIA versus proportion of years which fall below the corresponding SIA value. HadGEM3 has minimum SIA below 1 mill km² for all years in LIG runs. CESM2 has 6.5%, and NESM3 8%, LIG years with SIA below 1 mill km². Lower Panels are same but for SIE.

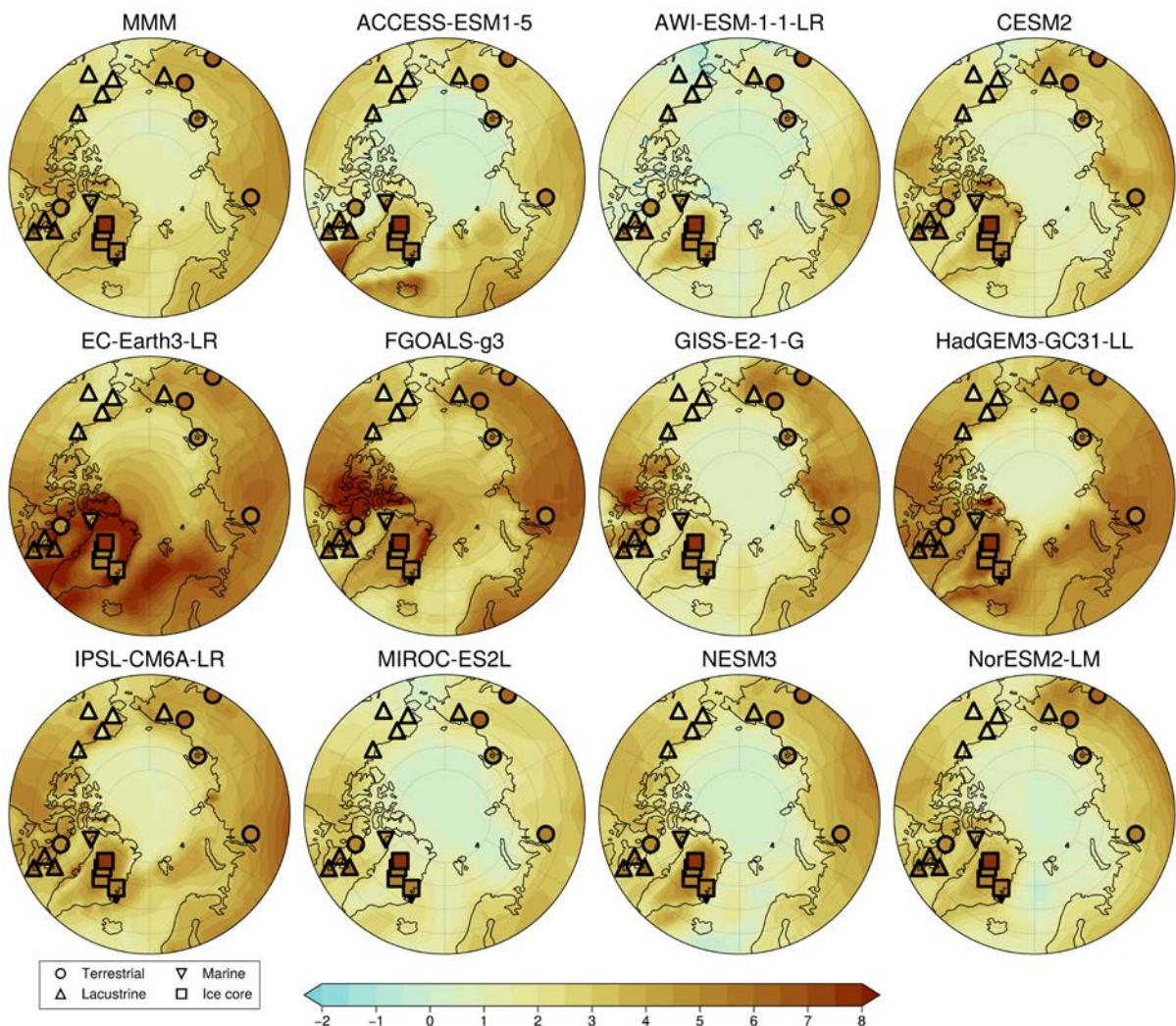
297 **3.2. Estimating Δ SIA from model skill to simulate Δ SSAT**

298 We first investigate whether there is a relationship between how well models match proxy Δ SSAT
 299 and the magnitude of SIA reduction that they simulate for the LIG. A visual comparison of modelled
 300 Δ SSAT and proxy estimates for Δ SSAT is also shown in Figure 5. As described in Section 2, two
 301 different approaches are used to quantify the skill of the models to simulate Δ SSAT, based on 1) the
 302 RMSE of the model-data Δ SSAT at the proxy record locations and 2) the percentage Δ SSAT proxies

303 that the model can correctly match, within model and data error. Here the focus is on quantifying
304 model skill across all data records, but for reference, the model-versus-proxy Δ SSAT for each
305 location is provided for each model individually in Figure A3. The RMSE skill estimate and the
306 percentage match estimate provide very similar indications of which models have good skill to
307 reproduce proxy Δ SSAT. The five models with the lowest RMSE also have the highest percentage
308 match and the two models with the highest RMSE have the lowest percentage match (Figure 6). Both
309 approaches show that the models with better skill to simulate Δ SSAT have a high absolute Δ SIA. The
310 only outlier is EC-Earth, which has an average skill (6th best model of 11) but a high SIA reduction at
311 the LIG. This occurs because the EC-Earth PI simulation has an excessive SIA, more than 3 million
312 km² compared with present day estimations; this enables it to have a large Δ SIA value, whilst likely
313 retaining too much LIG SIA. Quantitatively there is a correlation of $r=-0.65$ ($p=0.03$) between the
314 magnitude of Δ SIA and the RMSE, and a correlation with $r=0.67$ ($p=0.02$) between the magnitude of
315 Δ SIA and the percentage match of the model (Figure 6). Given that the SIA reduction from the PI to
316 the LIG could be dependent on the starting SIA at the PI, we repeat the analysis for percentage SIA
317 loss from the PI (rather than absolute SIA loss) and find that is correlates similarly to the model skill
318 to reproduce Δ SSAT (Figure A4).

319

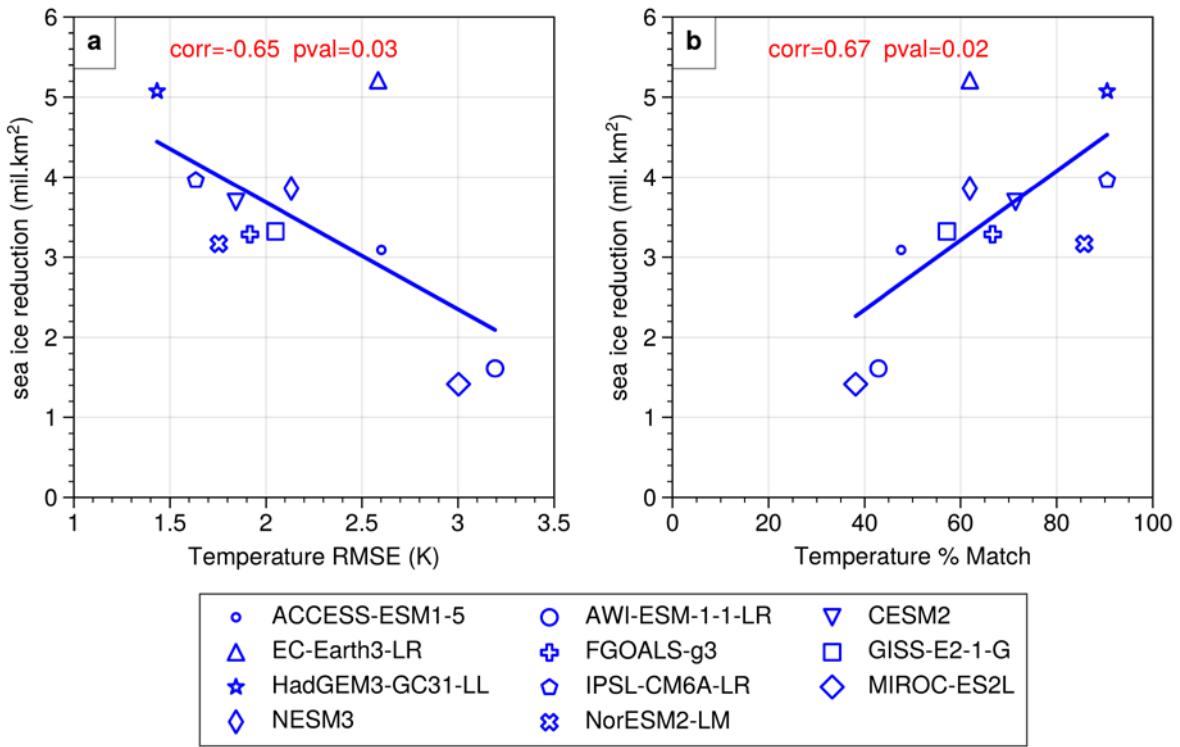
320



321

322 *Figure 5: Summertime surface air temperature (SSAT) anomaly (LIG - PI) maps for each model*
 323 *overlaid by reconstructed summer temperature anomalies. Proxies are detailed in Table 1 and*
 324 *Guarino et al. (2020b); colours are the same as used for the underlying model data. The first panel*
 325 *represents the multi model mean.*

326



327

328

329 *Figure 6: Modelled magnitude of ΔSIA versus model skill to simulate proxy $\Delta SSAT$. a) The modelled*
 330 *magnitude of ΔSIA is scattered against the RMS error of the modelled $\Delta SSAT$ compared to the proxy*
 331 *$\Delta SSAT$ for the 21 data locations. b) The modelled magnitude of ΔSIA scattered against the percentage*
 332 *of $\Delta SSAT$ data points that the model can match (see methods).*

333

334 In general, where models have a closer match with the $\Delta SSAT$, they have a higher absolute ΔSIA , as
 335 well as a larger percentage reduction of SIA from the PI. We thus look at our best performing models
 336 for an indication of true LIG Arctic sea ice reduction. The four models with the best agreement of
 337 $\Delta SSAT$ to proxies are in order of skill; HadGEM3, IPSL, NORESM2, and CESM2. The top two
 338 performing models simulate an average SIA loss of 4.5 mill. km^2 from an average starting PI SIA of
 339 5.8 mill. km^2 to a final LIG SIA of 1.3 mill. km^2 , which equates to a percentage SIA loss of 79%.
 340 Including also the two next-best performing models in the average results in an average SIA loss of

341 4.0 mill. km² to a final LIG SIA of 1.7 mill. km² from an average starting PI SIA of 5.7 mill. km²,
342 which equates to a percentage SIA loss of 71%.

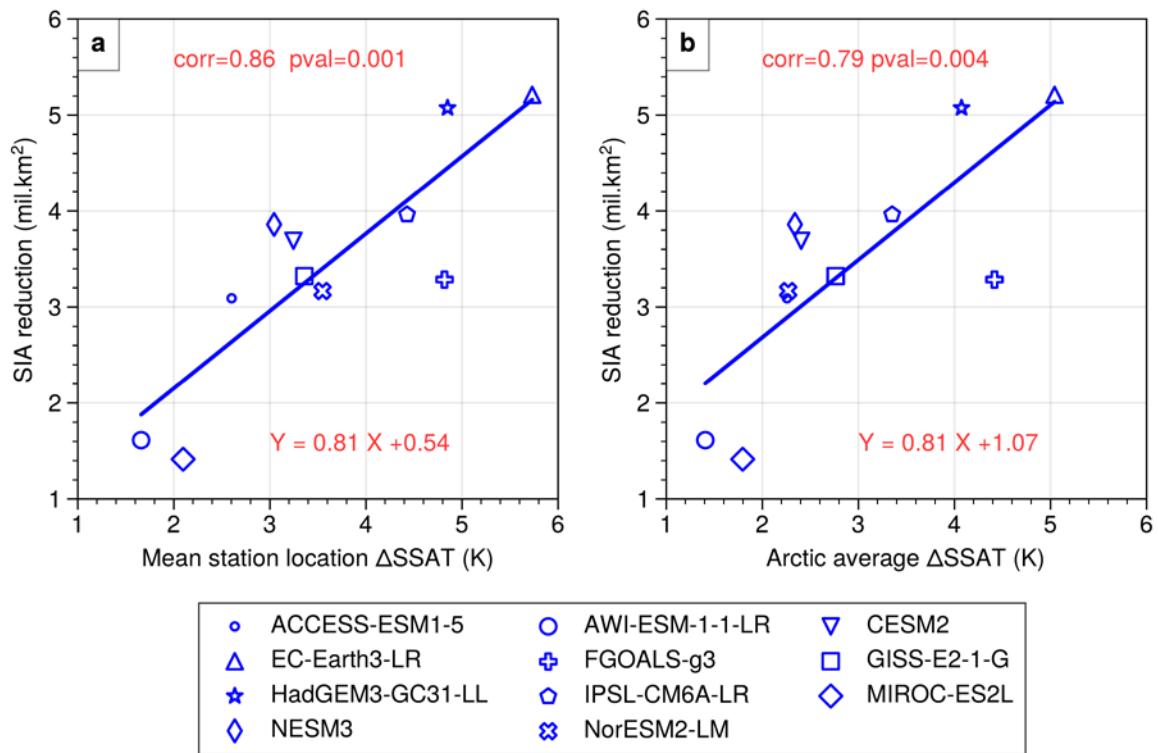
343

344 The question arises as to why there is a linear relationship between model skill to simulate Arctic
345 ΔSSAT and SIA reduction. One possibility is that the mean proxy ΔSSAT of 4.5 K is higher than
346 what most models produce, and that the warmer models are thus closer to the proxies and also more
347 likely to reduce sea ice. In the next section, this question is addressed by investigating whether ΔSIA
348 is closely related to ΔSSAT itself.

349

350 **3.3. Estimating ΔSIA from the modelled ΔSIA-ΔSSAT relationship and proxy ΔSSAT**

351 Here we investigate whether the models suggest a linear relationship between ΔSSAT and ΔSIA, and
352 if so, exploit that together with proxy ΔSSAT to estimate the most likely (true) value for ΔSIA. We
353 first calculate the mean ΔSSAT in the model at all 21 proxy data locations and compare it to the
354 magnitude of ΔSIA in each model (Figure 7a). The two are well correlated with $r=0.86$ ($p=0.001$) and
355 the regression equation provide a dependence of ΔSIA on ΔSSAT. Using this relation, the
356 reconstructed mean ΔSSAT at the proxy locations (4.5 ± 1.7) points to a SIA reduction of 4.2 ± 1.4 mill.
357 km² from the PI. This constitutes about 74% reduction from the present day observation of 5.7 mill.
358 km², which is also the average SIA for the PI in the two most skilful models identified in the previous
359 section. Using this value for the PI sea ice, suggests remaining minimum of 1.5 mill. km² of sea ice
360 during the LIG summer. An average LIG minimum of 1.5 mill. km² implies that some LIG summers
361 must have been ice-free (below 1 mill. km² in SIE) but that most summers would have had a small
362 amount of sea ice.



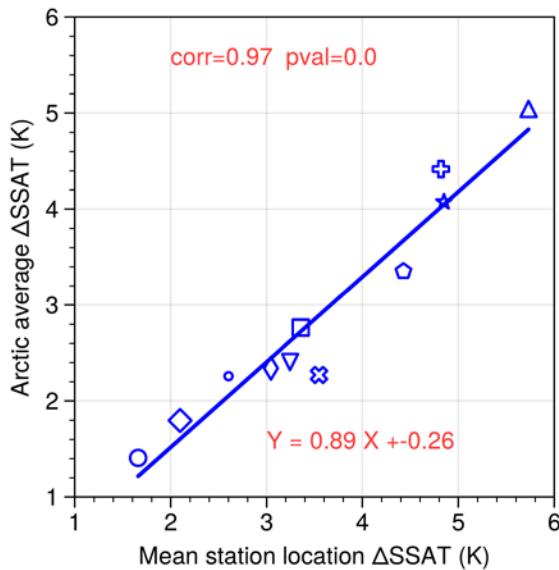
363

364 *Figure 7: Modelled magnitude of ΔSIA versus modelled $\Delta SSAT$ for the Arctic. a) The modelled ΔSIA*
 365 *is scattered against mean modelled $\Delta SSAT$ at the 21 data locations. b) The modelled ΔSIA is scattered*
 366 *against the mean modelled $\Delta SSAT$ averaged over the Arctic north of $60^{\circ}N$.*

367

368 The $\Delta SSAT$ relationship to ΔSIA has so far been computed using the mean $\Delta SSAT$ at the locations of
 369 the data. To test whether this method would also work for the Arctic in general, the $\Delta SSAT$ is next
 370 averaged over the whole Arctic north of $60^{\circ}N$ and compared with ΔSIA (Figure 7b). The correlation
 371 between $\Delta SSAT$ and ΔSIA is a somewhat reduced when calculating $\Delta SSAT$ across the whole Arctic,
 372 though it is still highly significant ($r=0.79$, $p=0.004$). An estimate for proxy-based Arctic-wide
 373 $\Delta SSAT$ can be derived by applying the close relationship between Arctic $\Delta SSAT$ and station $\Delta SSAT$
 374 in the models (Figure 8, $r=0.97$, $p < 0.001$). Inserting the $\Delta SSAT$ averaged over all proxy-records, of
 375 4.5 ± 1.7 K, in the regression equation in Figure 8, gives an estimate for proxy-based Arctic-wide

376 ΔSSAT of 3.7 ± 1.5 K. Applying the regression equation in Figure 7b and using this estimate for
377 Arctic-wide ΔSSAT suggests a PI to LIG sea ice reduction of 4.1 ± 1.2 mill. km^2 , which is very similar
378 to the estimate derived from the station data alone (of 4.2 ± 1.4 mill. km^2).
379



392 Figure 8: Modelled Arctic-wide ΔSSAT versus modelled mean ΔSSAT at the data locations for the 11
393 models. The markers for each model are same as in Figure 7
394

395 **4. Discussion and conclusions**

396 As discussed in the introduction, neither proxies nor modelling results alone allow currently for a
397 convincing estimate of the Arctic sea ice reduction at the LIG. Here we apply a joint approach to
398 make progress. We deduce how much sea ice was reduced during the LIG, using 11 of the most recent
399 CMIP6-PMIP4 LIG model simulations and proxy observations of summer air temperature changes.
400 The reduction of sea ice from the PI to the LIG in the models range from 30% to 96% with an average
401 of 55%. No model is close to the ice-free threshold, of maximum SIE lower than 1 mill. km^2 , for any
402 model year-summer during their PI simulation. During the LIG, the HadGEM3 model is the only one
403 that has an Arctic Ocean free of sea ice in all summers, although CESM2 and NESM3 show SIA

404 values of around 2 mill. km², in association with intermittently ice-free conditions. We found that
405 larger LIG SIA reduction from the PI is related to greater SSAT warming, the two being correlated
406 with $r=0.86$ across the models. In particular, 8 out of 11 models are able to match, within uncertainty,
407 the average PI to LIG summertime Arctic warming of 4.5 ± 1.7 K as recorded by surface temperature
408 proxies. This magnitude of warming was difficult to reach with previous generations of LIG models.
409 Among the models, two of them capture the magnitude of the observed dSSAT in more than 60% of
410 the total proxy locations. These models simulate an average LIG sea ice area of 1.3 mill. km² which is
411 a 4.5 mill. km² (or 79%) reduction from their PI values.

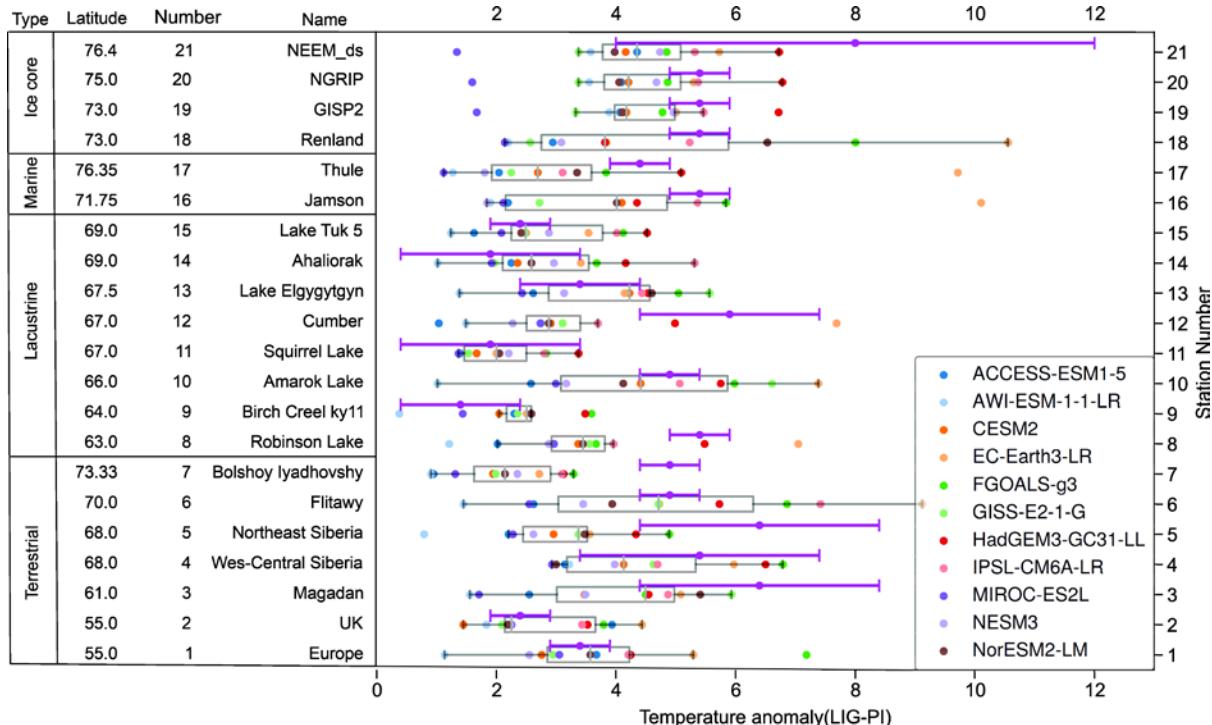
412

413 We find that the good match between the (ice-free) HadGEM3 and the Guarino et al. (2020b) summer
414 Arctic temperature dataset is not unique. However, we find that it is not random either and that there
415 is a correlation between model skill to match the Δ SSAT and the reduction of SIA from the PI to the
416 LIG (both when using an RMSE skill test and when using a best-match skill test). The two most
417 skilful models simulate an average LIG sea ice area of 1.3 mill. km² which is a 4.5 mill. km² or 79%
418 reduction from their PI values. Whilst we cannot assume all model error Δ SSAT is attributable to
419 Δ SIA, it is reasonable to assume that the better performing models for Δ SSAT are also better at
420 simulating Δ SIA, because of the close relationship between warming and sea ice loss.

421

422 Some of the proxies are more difficult for the models to simulate (Figure 9 and Figure A3). In
423 particular, it appears that the Greenland ice core SSAT value from NEEM of +8 (proxy record 21 in
424 Table 1 Figure 9) is higher than any model simulates; though with a ± 4 K uncertainty it is
425 nevertheless matched by some models. Terrestrial proxies three and six, with SSAT values of +6.4 K
426 are also only rarely matched. Further work on the observational side would be useful. These LIG
427 SSAT proxy reconstructions were used in the IPCC (2013) report and by Guarino et al. (2020b); and
428 were previously published by IPCC (2013); CAPE members (2006); Kaspar et al. (2005); Capron et
429 al. (2017). Thus, this dataset should ideally be improved. One start point for this would be adding
430 uncertainties to the (nine) sites which do not currently have these numbers.

431



432

433 *Figure 9: Proxy Δ SSAT (violet dots and uncertainty bars) and simulated Δ SSAT for all models*
 434 *(coloured dots) for each proxy record location (rows). Grey boxes extend from the 25th to the 75th*
 435 *percentile of each locations distribution of simulated values and the vertical lines represent the*
 436 *median.*

437

438 The correlation between model skill to simulate Δ SSAT and the magnitude of Δ SIA is convincing ($r=$
 439 0.66 and $p= 0.003$ on average for the two skill tests). However, the two quantities are not
 440 straightforward to relate through a dynamical process. On the other hand, it is well known that there is
 441 a positive feedback between Arctic temperature and Arctic sea-ice, with warmer temperatures more
 442 likely to melt sea ice, and less sea ice producing a smaller albedo to incoming solar radiation and so
 443 less cooling from solar reflection. Figure A6 shows the relationship between summer surface air
 444 temperature anomalies versus September sea ice area from the observational estimates for the period
 445 from 1979-2020. In present time, the relationship between minimum SIA and summer SAT is 1.32
 446 mil. Km^2 decrease per 1K temperature rise. This dynamic relationship is also evident in LIG

447 simulations, with a strong correlation of $r=0.86$ between the magnitude of ΔSIA and ΔSSAT across
448 all the models and the intermodel relationship suggests sea ice decrease of 1.9 mill km^2 per 1K
449 temperature rise (from the regression equation in Figure 7b). The reconstructed ΔSSAT from
450 proxies, of 4.5 ± 1.7 K, is larger than most models simulate, so the models that match the ΔSSAT most
451 closely would be the models with a larger ΔSSAT than average and thus also a larger ΔSIA . The only
452 model that has a large SIA reduction and not a good skill to match SSAT is EC-Earth, which features
453 a PI simulation with far too much sea ice, which allows an excessive LIG to PI Arctic warming. An
454 additional result of our study is that the mean ΔSSAT at the proxy locations is strongly correlated to
455 Arctic-wide ΔSSAT north of 60°N in the models ($r=0.97$). Applying the regression relation between
456 the two, implies that the mean ΔSSAT at the proxy locations, of 4.5 ± 1.7 K, is equivalent to an Arctic-
457 wide warming at the LIG of 3.7 ± 1.5 K. This is thus a more representative value for the Arctic
458 warming at the LIG, than using the simpler proxy-location average.

459

460 The strong linear correlation between the magnitude of ΔSIA and ΔSSAT is applied to the proxy-
461 reconstructed ΔSSAT to give an estimate of the reduction of SIA from the PI to LIG of 4.2 ± 1.4 mill.
462 km^2 , similar to that derived from our "best skill" approach. A similar value of 4.1 ± 1.2 mill. km^2 is
463 obtained when extrapolating the method to Arctic-wide ΔSSAT north of 60°N . The models and data
464 have uncertainties, and the regressions applied are not between perfectly correlated quantities.
465 However, it is clear from both applied methods (each with two variants) that proxy-reconstructed
466 ΔSSAT , in combination with the model output, implies a larger sea ice reduction than the
467 climatological multi-model mean of 55%. It suggests a LIG SIA of ~ 1.5 mill. km^2 , which is consistent
468 with intermittently ice-free summers – but with (low ice area) ice-present summers likely exceeding
469 the number of ice-free years.

470

471 Whilst we have focussed here on the Arctic SIA response to LIG insolation forcing, Kageyama et al.
472 (2021) found that the models that respond strongly to LIG insolation forcing also respond strongly to
473 CO_2 forcing. Indeed the models with the weakest response for the LIG had the weakest response to
474 the CO_2 forcing. This suggests that our assessment here of model skill against Arctic SIA and SSAT

475 change can also help, to some extent, ascertain the models which have a better Arctic SIA and SSAT
476 response to CO₂ forcing. Overall the results presented in this study suggest that: (i) the fully-ice free
477 HadGEM3 model is somewhat too sensitive to forcing; it loses summer sea ice too readily during the
478 LIG; and (ii) most other PMIP4 models are insufficiently sensitive - these models do not lose enough
479 sea ice.

480

481 *Code availability.* Python code used to produce the manuscript plots is available on request from the
482 authors.

483

484 *Data availability.* The summer air temperature dataset is available at [https://data.bas.ac.uk/full-
485 record.php?id=GB/NERC/BAS/PDC/01593](https://data.bas.ac.uk/full-record.php?id=GB/NERC/BAS/PDC/01593). All model data is available from the ESGF data node:
486 <https://esgf-node.llnl.gov/projects/esgf-llnl/>.

487

488

489

490

491 **Appendix**

492 **A1. Inter-model differences in LIG Sea ice simulation**

493

494 Sea ice formation and melting can be affected by a large number of factors inherent to the atmosphere
495 and the ocean dynamics, alongside the representation of sea ice itself within the model (i.e. the type of
496 sea ice scheme used). In coupled models it can therefore be difficult to identify the causes of this
497 coupled behavior (Kagayama et al. 2021, Sicard et al,2022). Nevertheless Kagayama et al. (2021;
498 Section 4), alongside Diamond et al. (2021) address the question of what drives model differences in
499 summertime LIG sea ice. In summary:

500 1. All PMIP4-LIG simulations show a major loss of summertime Arctic sea ice between the PI and
501 LIG.

502 2. Across all models, there is an increased downward short-wave flux in spring due to the imposed
503 insolation forcing and a decreased upward short-wave flux in summer, related to the decrease of the
504 albedo due to the smaller sea ice cover. Differences between the model results are due to a difference
505 in phasing of the downward and upward shortwave radiation anomalies.
506 3. The sea ice albedo feedback is most effective in HadGEM3. It is also the only model in which the
507 anomalies in downward and upward shortwave radiation are exactly in phase.
508 4. The CESM2 and HadGEM3 models (which both simulate significant sea ice loss) exhibit an
509 Atlantic Meridional Overturning Circulation (AMOC) that is almost unchanged between PI and LIG,
510 while in the IPSLCM6 model (with moderate sea ice loss) the AMOC weakens. This implies that a
511 reduced northward oceanic heat transport could reduce sea ice loss in the Central Arctic in some
512 models.
513 5. The two models (HadGEM3 and CESM2) which had the lowest sea ice loss contain explicit melt
514 pond schemes, which impact the albedo feedback in these models. Diamond et al. (2021) show that
515 that the summer ice melt in HadGEM3 is predominantly driven by thermodynamic
516 processes and those thermodynamic processes are significantly impacted by melt ponds.

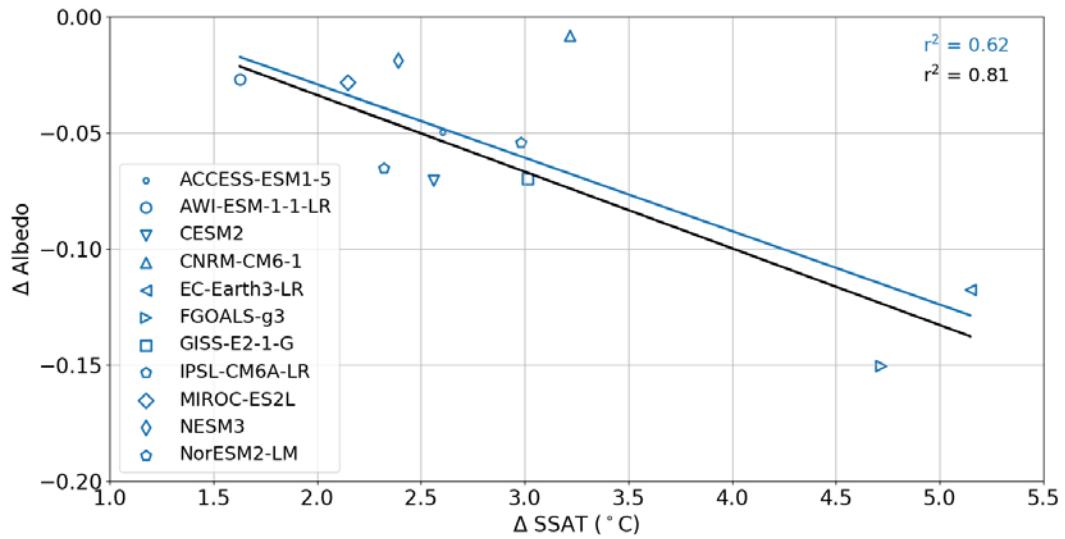
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519 **Appendix Figures**

520

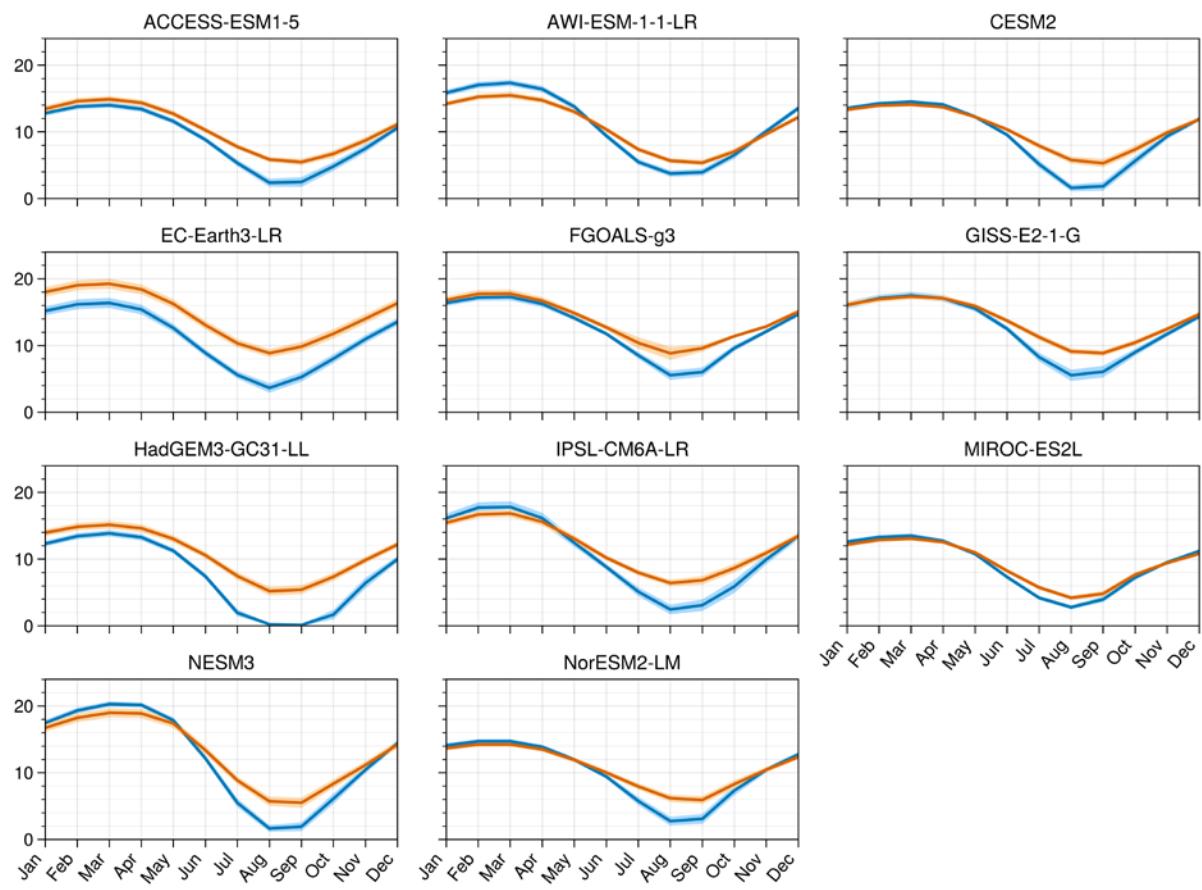
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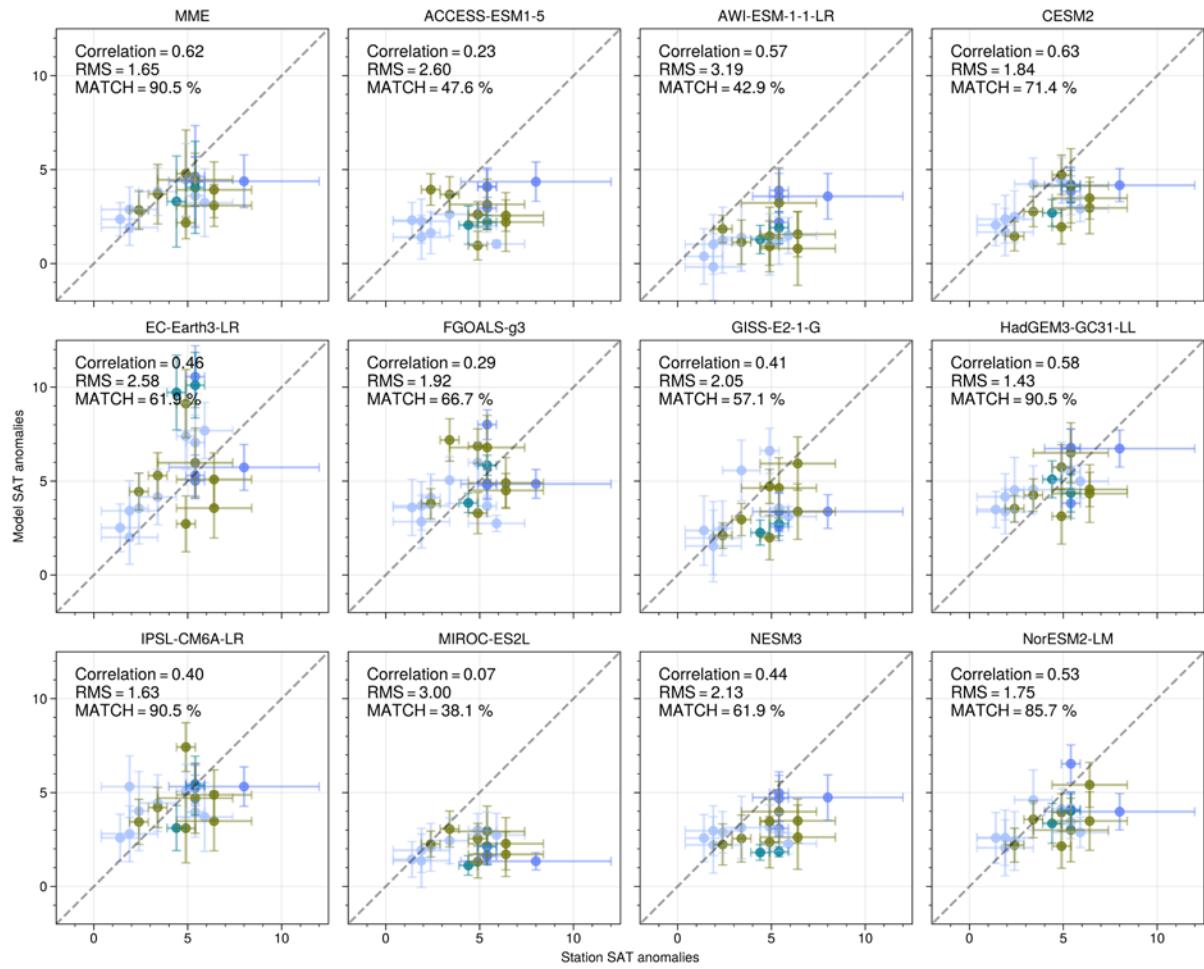
524 Figure A1. LIG-PI change in albedo over Arctic sea-ice as a function of LIG-PI change in SSAT (°C)
 525 over the ice. The r^2 values and the linear fit lines are for the models including CNRM (blue) and
 526 excluding CNRM (black). The CNRM model (upside triangle) is an outlier that influences the
 527 strength rather than the nature of the correlation.



528

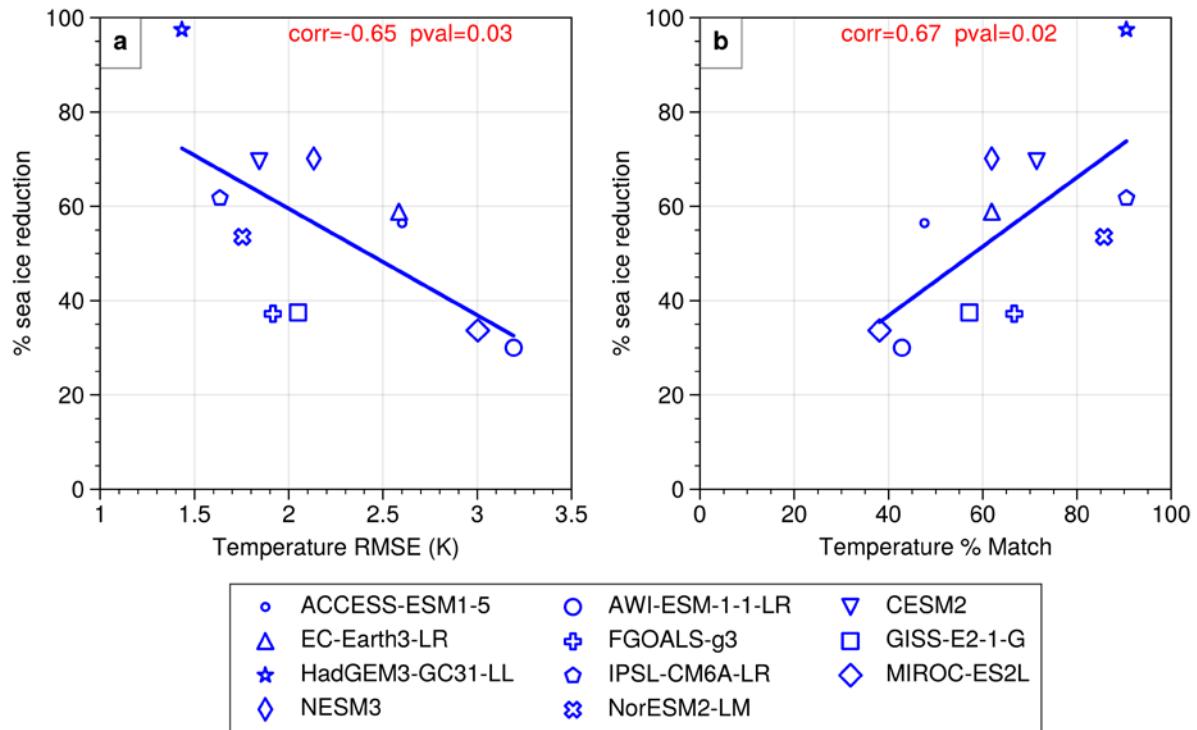
529 Figure A2. Sea ice area climatological seasonal cycle for each model.

530



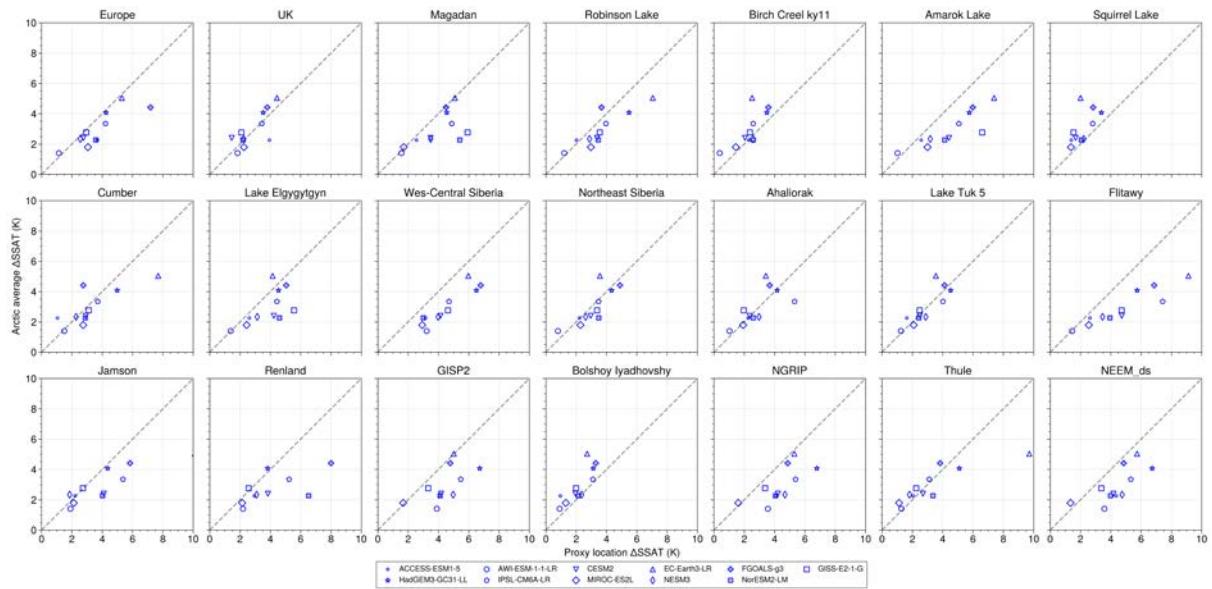
531 Figure A3. Modelled Δ SSAT versus proxy Δ SSAT. The scatter points show model data versus
 532 reconstructions for each proxy location. Error-bars represent one standard deviation on either side of
 533 the proxy estimate. The correlation coefficients, between X and Y, RMSE and percentage matches
 534 with proxy data for each model are indicated in each panel.

535



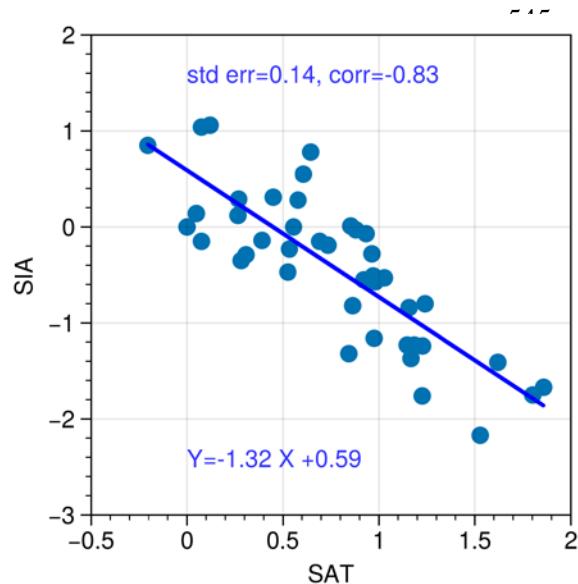
536

537 Figure A4: Modelled % sea ice area reduction from the LIG to the PI versus model skill to simulate
538 proxy ΔSSAT . a) The modelled %SIA reduction is scattered against the RMSE of the modelled
539 ΔSSAT compared to the proxy ΔSSAT for the 21 data locations. b) The modelled % SIA reduction
540 scattered against the percentage of ΔSSAT data points that the model can match (see methods).



541 Figure A5. Scatter Plot for climatological ΔSSAT at each proxy location versus climatological
 542 ΔSSAT averaged north of 60°N in each model

543
 544



557

558 Figure A6:- Scatter plot of SAT versus SIA for current period. JJA surface air temperature versus NH
559 September Sea ice area for each year from 1979-2020. Anomalies computed from year 1979 values.
560 SIA is from NSIDC (<https://nsidc.org/data/g02135/versions/3>) and Air temperature (area averaged
561 north of 60°N) is from ERA5 reanalysis (Hersbach et al. 2020).

562

563

564 *Author contributions.* LCS planned and wrote the original draft. RS analysed model results and
565 prepared the figures. Figure 1 which was prepared by IVM. AdB wrote the second draft. MS
566 undertook additional analysis, checks and researched particular model results. All authors contributed
567 to the final text.

568

569 *Competing interests.* The authors have no competing interests.

570

571 *Acknowledgements.* LCS and RS acknowledge the financial support of NERC research grant
572 NE/P013279/1 and NE/P009271/1. LCS and IVM have received funding from the European Union's
573 Horizon 2020 research and innovation programme under grant agreement No 820970. AdB and MS
574 were supported by Swedish Research Council grant 2020-04791. This work used the ARCHER UK
575 National Supercomputing Service (<http://www.archer.ac.uk>) and the JASMIN analysis platform
576 (<https://www.ceda.ac.uk/services/jasmin/>).

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