



1 Predicting trends in atmospheric CO₂ across the Mid-Pleistocene 2 Transition using existing climate archives

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10 **Abstract.** During the Mid-Pleistocene Transition (MPT), ca. 1250–800 kya, the Earth’s glacial cycles changed from 41 ky to
11 100 ky periodicity. The emergence of this longer ice-age periodicity was accompanied by higher global ice volume in glacial
12 periods and lower global ice volume in interglacial periods. Since there is no known change in external orbital forcing across
13 the MPT, it is generally agreed that the cause of this transition is internal to the earth system. Resolving the climate–carbon
14 cycle–cryosphere dynamics processes responsible for the MPT remains a major challenge in ice core and climate science. To
15 address this challenge, the international ice core community has prioritized recovery of an ice core record spanning the MPT
16 interval. The results from such ‘oldest ice’ projects are still several years away. Our objective here it to make an advanced
17 prediction of atmospheric CO₂ out to 1.5 my. Our prediction utilizes existing records of atmospheric carbon dioxide (CO₂)
18 from Antarctic ice cores spanning the past 800 ky along with the existing benthic water stable isotope ($\delta^{18}\text{O}$) record from
19 marine sediment cores. Our predictions assume that the relationship between CO₂ and benthic $\delta^{18}\text{O}$ over the past 800 thousand
20 years can be extended over the last one and a half million years. The implied null hypothesis is that there has been no
21 fundamental change in the global climate–carbon cycle–cryosphere feedback systems across the MPT. We find that our
22 predicted CO₂ record is significantly lower during glacial intervals than the existing blue-ice and boron isotope-based estimates
23 of CO₂ that pre-date the continuous 800 ky CO₂ record. Our predicted glacial CO₂ concentrations are ~9 ppm below glacial
24 CO₂ concentrations observed in blue ice data at ca. 1 mya and ~19 ppm below glacial CO₂ concentrations reconstructed from
25 boron isotopic data over ca ~1.1–1.25 mya. These results support rejection of our null hypothesis and provide quantitative
26 evidence of a fundamental shift in the global climate–carbon cycle–cryosphere feedback systems across the MPT. However,
27 the definitive test of the various theories explaining the MPT will be comparison of our predicted records with the forthcoming
28 oldest ice core records.

29



30 **1 Introduction**

31 Ice core records from Antarctica provide comprehensive and continuous records of many climate parameters over the last 800
32 thousand years, e.g., the Vostok (Petit *et al.*, 1999) and European Project for Ice Coring in Antarctica's Dome-C (EDC) ice
33 cores (Jouzel *et al.*, 2007). One of the major challenges in climate science lies beyond the current threshold of the ice core
34 record: The Mid-Pleistocene Transition (MPT), which spanned from ca. 1250–800 thousand years ago (kya) (Chalk *et al.*,
35 2017). The MPT is characterised by a change from regularly paced 40 ky glacial cycles with thinner glacial ice sheets to quasi-
36 periodic 100 ky glacial cycles in which ice sheets are more persistent and thicker (Clark *et al.*, 2006).

37

38 The MPT occurred in the absence of any changes to orbital insolation forcing, therefore, the mechanisms behind the MPT
39 must be internal to the earth's carbon cycle–climate system (Raymo, 1997; Ruddiman *et al.*, 1989). Multiple hypotheses have
40 been put forward to explain the transition. Three of the more prominent include: 1) A long term decrease in radiative forcing,
41 e.g., due to a reduction in atmospheric CO₂ across the transition (e.g., Hönlisch *et al.*, 2009; Raymo *et al.*, 1988; Berger *et al.*,
42 1999); 2) Removal of sub-glacial regolith and the subsequent transition from sliding to non-sliding Northern Hemisphere ice
43 sheets (Clark & Pollard, 1998); and 3) Phase-locking of the Northern and Southern Hemisphere ice sheet changes at the orbital
44 precession frequency (Raymo *et al.*, 2006; Raymo & Huybers, 2008). Key to all these hypotheses is a shift toward conditions
45 favorable to building thicker, more persistent, and more globally extensive ice sheets that can skip insolation peaks
46 corresponding to the 23 ky precession and 41 ky obliquity cycles, i.e., an increase in the threshold for deglaciation (Tzedakis
47 *et al.*, 2017).

48

49 The key to testing hypotheses on the cause of the MPT is the recovery of a continuous ice core that spans its duration. The
50 International Partnership in Ice Core Sciences (IPICS) has nominated recovery of such a record as a grand challenge in ice
51 core research (IPICS, 2020). Multiple national and international projects have commenced or are soon to commence drilling
52 for 'oldest ice'. In this project, we take inspiration from the "EPICA Challenge" in which the paleoclimate and modeling
53 community was challenged to predict the global atmospheric carbon dioxide and methane concentrations from 400–800 kya
54 based on the existing 400 ky Vostok ice core record (Wolff *et al.*, 2004). Here, we will use a statistical model on continuous
55 climate archives to predict a CO₂ record for the upcoming 1.5 my ice core and compare these predictions to the discontinuous
56 data available. We utilise two primary data sets: The existing 800 ky ice core composite record of atmospheric CO₂ (Bereiter
57 *et al.*, 2015); and the LR04 benthic stack of 52 globally distributed records of $\delta^{18}\text{O}$ which are a proxy for global ice volume
58 and ocean temperature (Lisiecki & Raymo, 2005). Regression modelling between CO₂ and $\delta^{18}\text{O}$ (sea level and global ice
59 volume proxy) is then used to make predictions of CO₂ spanning 800–1500 kya, spanning the MPT. The regression makes the
60 simple assumption that the relationships between the CO₂ and benthic $\delta^{18}\text{O}$ records can be extended beyond 800 ka; the implicit
61 null hypothesis is that there is no change to the carbon–climate feedback systems outside of the current existing records.

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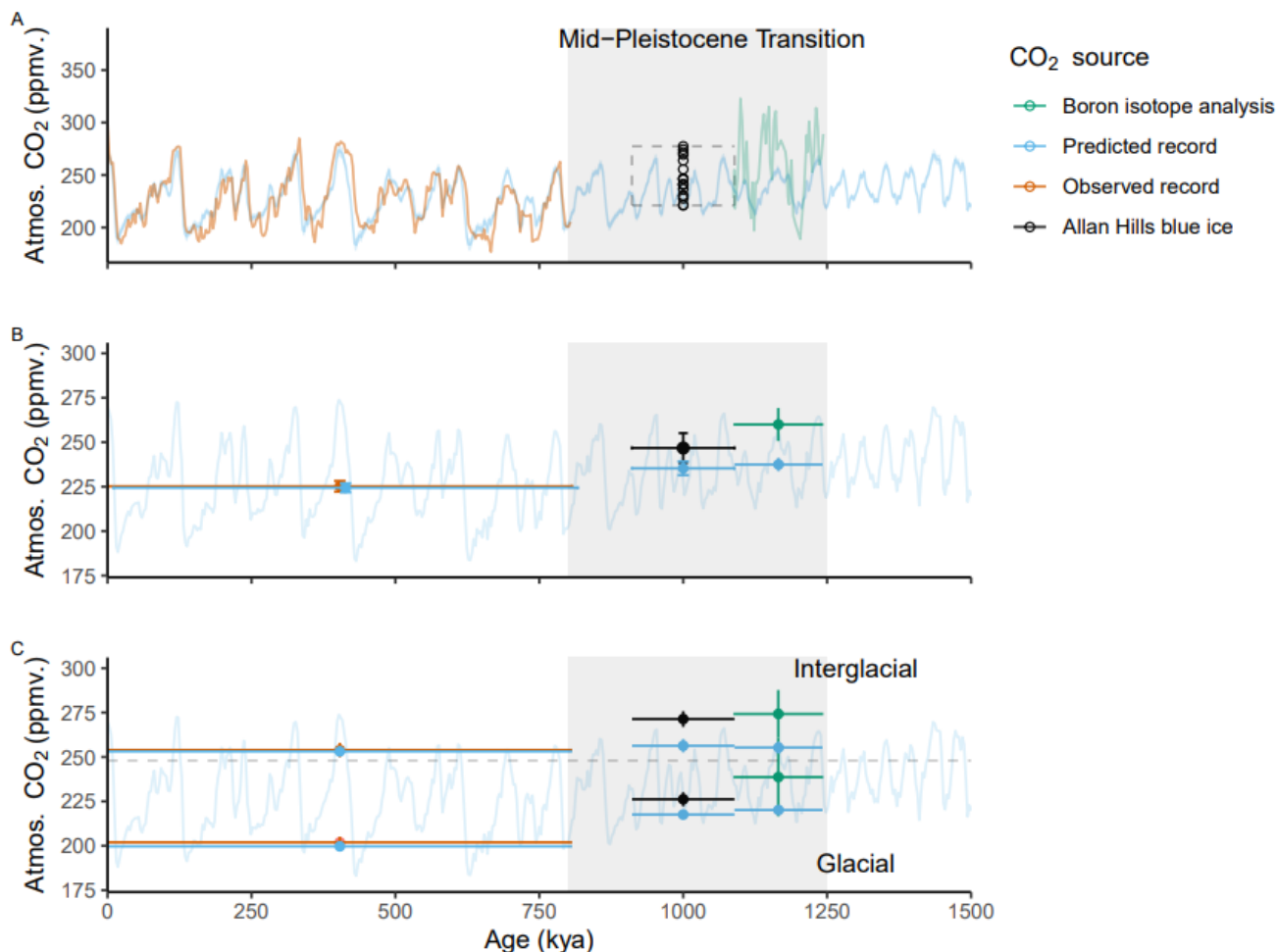
63 To test the null hypothesis, we compare our predicted CO₂ record to two sets of low resolution/imprecisely dated data that
64 exist within the predicted range: 1) CO₂ estimates from the analysis of boron isotope ratios in benthic sediment cores which
65 present a proxy for ocean pH to which a transfer function is applied to reconstruct atmospheric CO₂ (hereafter referred to as
66 BOR-CO₂) (Chalk, *et al.*, 2017; Henehan *et al.*, 2013) and 2) direct CO₂ measurements from 1 million year old “blue ice” from
67 the Allan Hills in East Antarctica (hereafter referred to as BI-CO₂) (Higgins *et al.*, 2015). Here we use the term blue ice to
68 describe deep, ancient glacial ice that has been brought to the near surface of an ice sheet by ice flow processes. This makes it
69 some of the oldest, easily accessible ice. However, the vertical migration of the ice is associated with high deformation making
70 the ice samples stratigraphically complex and hard to date (Higgins *et al.*, 2015). As a result, blue ice is not adequate in itself
71 to provide a continuous CO₂ record across the MPT.

72 **2 Methods**

73 We calculated the mean of the Bereiter *et al.*, (2015) CO₂ record at 3 ky resolution time bins. To obtain constant resolution
74 between the predictor and response variables to run the model, we also binned the LR04 Benthic Stack to this resolution. To
75 account for autocorrelation in the data, which would lead in inaccurate predictions in an ordinary least squares model, we
76 utilized generalized least squares (GLS) regression models with a correlation factor for the model. The factor used yielded the
77 lowest Akaike information criterion (AIC) value from a test of multiple correlation factors. Ultimately, we chose an AR(1)
78 correlation factor for the model. The GLS regression model was performed over the 0–800 ky range of the predictor variable
79 (LR04 Benthic Stack) and the response variable (CO₂). Based on the regression model the δ¹⁸O values of the LR04 Benthic
80 Stack from 800–1500 kya were used to predict CO₂ concentration over this range (hereafter referred to as PRED-CO₂). We
81 took a bootstrap approach, selecting a random 50% subset of our data and running the model 1000 times to determine 95%
82 confidence intervals for the predictions. Finally, we compared our PRED-CO₂ record to some sparse and discrete data that
83 exists outside of the current continuous ice-core data from 800–1500 kya.

84 **3 Results**

85 Our model skillfully predicts atmospheric CO₂ over the past 800 ky (Fig. 1A) ($r(226) = .86$, $p = <<0.01$). However, across the
86 MPT the PRED-CO₂ data is systematically lower when averaged over its common intervals with the Allan Hills BI-CO₂ and
87 BOR-CO₂ beyond 800 kya (Fig. 1B). The average BI-CO₂ concentration (at 1000 ± 89 kya) is ~11 ppm higher than our
88 predicted value (averaged over the age uncertainty of the BI-CO₂) and the 95% confidence interval (1.96σ) overlap by 0.88
89 ppm; see blue and black bars in Fig. 1B. Similarly, the average BOR-CO₂ data from the early MPT (ca. ~1.1–1.15 mya) is ~22
90 ppm higher than our predicted value (green and blue bars in Fig. 1B). Our model appears to underpredict CO₂ increasingly
91 with time, although the rate of this change may not be uniform.



92

93 **Figure 1: A) Comparison of our PRED-CO₂ (ppm) record to the current continuous composite record; CO₂ estimates**
 94 **from boron isotope analysis of benthic foraminifera shells (BOR-CO₂) (Chalk, et al., 2017), and direct CO₂**
 95 **measurements from Allan Hills blue ice core data (BI-CO₂) (Higgins et al., 2015). Indicators for age uncertainty**
 96 **boundaries (± 89 ky) of the blue ice represented by dashed boundaries. B) The mean CO₂ concentration of the predicted**
 97 **record over the range of the observed composite record (offset for clarity) and the age uncertainty range of the BI-CO₂**
 98 **data; mean concentrations of the observed composite CO₂ record; mean concentration of BI-CO₂ over its age**
 99 **uncertainty range; and the average BOR-CO₂ concentration. C) The same as B) but filtered by the highest and lowest**
 100 **25th percentile of $\delta^{18}\text{O}$ to represent glacial and interglacial periods; but BI-CO₂ data filtered by highest and lowest**
 101 **25th percentile of CO₂.**

102

103 We define the interglacial and glacial thresholds of CO₂ to be the top and bottom 25th percentile of the $\delta^{18}\text{O}$ signal, respectively
 104 (following Chalk *et al.*, 2017). Applying this filtering to the predicted record and the observed composite CO₂ record for the



105 post MPT (0–800 kya) interval demonstrates a close match (Fig. 1C). Applying the same filtering to our predicted record
106 across the MPT (800–1500 kya) indicates a significant lowering of glacial stage CO₂ concentration; while no significant change
107 in the interglacial stage CO₂ concentration was detected (ANOVA, $F_{1,57} = 25.49$, $p = 4.86e^{-06}$; ANOVA, $F_{1,57} = 1.47$ $p = 0.23$
108 respectively). As a change in radiative forcing (ΔRF) is a direct conversion of CO₂ concentration (IPCC, 2001), the PRED-
109 CO₂ data would translate to a significant decline in ΔRF in glacial, but not interglacial stages across the MPT (as was suggested
110 by Chalk *et al.*, 2007; Hönisch *et al.*, 2009).

111

112 Filtering BOR-CO₂, and BI-CO₂ by the same definition and averaging over their respective range ($\delta^{18}O$ linearly interpolated
113 for BOR-CO₂ data) indicates that the model underpredicts relative to both the BI-CO₂ and BOR-CO₂ data for *both* glacial and
114 interglacial stages during the MPT interval (800–1250 kya) (Fig. 1C). Overall, we see increasing difference between our
115 predicted data and the sparse estimates over the MPT (BOR-CO₂ and BI-CO₂) going further back in time.

116

117 Various studies conclude that glacial stage draw-down of CO₂ occurs across the MPT in the absence of interglacial draw-down
118 (e.g., Chalk *et al.*, 2017; Hönisch *et al.*, 2009). This trend is seen in our predicted record, and in the filtered BI-CO₂ and BOR-
119 CO₂ data (Fig. 1C). Importantly, the periodicity is consistent between our predicted record and the BOR-CO₂ data (i.e., the
120 glacial and interglacial peaks and troughs coincide). Hence, the BOR-CO₂ and BI-CO₂ challenge the amplitude but not the
121 periodicity of our predicted data. These results support rejection of our null hypothesis and provide quantitative evidence of a
122 fundamental change in the carbon–climate feedback system having occurred across the Mid-Pleistocene Transition.

123 4 Discussion

124 In 2004, the community of Earth System modelers were issued a challenge: to predict what an 800 ky carbon dioxide record
125 may look like prior to the final analysis of the EPICA Dome-C (EDC) ice core (Wolff *et al.*, 2004). Here we have adapted the
126 EPICA Challenge to examine the MPT problem of the currently unknown mechanisms behind the transition from the 41 ky to
127 100 ky glacial cycle. However, unlike the EPICA challenge, we had the opportunity to compare our predictions to discrete
128 data outside of the range of the continuous training data sets prior to the recovery of a continuous ice-core spanning the MPT.
129 These data were direct CO₂ measurements from ~1 my old blue ice, and CO₂ estimates from the analysis of boron ratios in
130 deep sea sediments (ca. ~1.1–1.25 mya). This has allowed us to preemptively examine differences in climate responses over
131 the last 1.5 my. We now consider the implications of our results for hypotheses on the cause of the MPT.

132 4.1 Predicted changes to the climate–carbon cycle–cryosphere feedback system

133 The BOR-CO₂ and BI-CO₂ data supports the conclusion that an increase in the glacial to interglacial CO₂ difference has
134 occurred across the MPT and that this difference is dominated by glacial stage CO₂ drawdown. We estimate the CO₂ glacial to



135 interglacial difference has increased from ~36 ppm in the early MPT (ca. ~1.1–1.25 mya, BOR-CO₂) to ~52 ppm (observed
136 composite CO₂ record) after the MPT (0–800 kya). Our PRED-CO₂ record also presents significant glacial-stage reduction
137 over the MPT, although to a lesser extent to than BOR/BI-CO₂ (FIG. 1C). The reduction in only glacial stage CO₂ across the
138 MPT is inconsistent with the theory that a long term decline in radiative forcing exerted by CO₂ (in both glacial and interglacial
139 stages) was the main cause of the climate transition from the 40 ky world to the 100 ky world. This conclusion is consistent
140 with results from Chalk *et al.* (2017) and Hönisch *et al.*, (2009) who associate the *glacial*-stage CO₂ draw-down to a change
141 in the global carbon cycle across the MPT.

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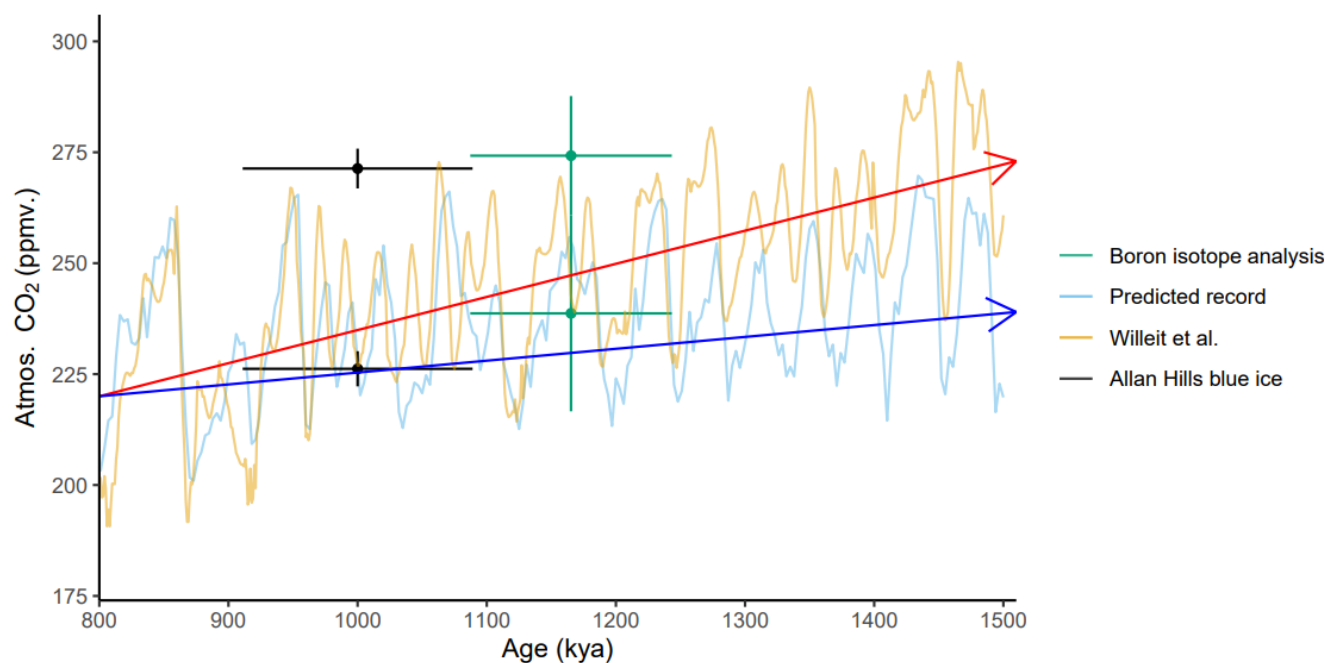
143 Glacial-stage CO₂ draw-down across the MPT may itself be a positive climate–carbon cycle–cryosphere feedback response to
144 changes in ice sheet dynamics that favours enhanced glacial persistence over time (Chalk *et al.*, 2017). Potential processes
145 allowing for the stabilization and persistence of ice sheets include the removal of sub-glacial regolith (Clark & Pollard, 1998),
146 or Northern and Southern Hemisphere phase locking (Raymo *et al.* 2006; Raymo & Huybers, 2008). Either (or both) processes
147 could allow for the persistence of ice sheets through obliquity (and potentially precession) dominated orbital cycles, i.e., a
148 gradual rise in the threshold for deglaciation (Tzedakis *et al.*, 2017). This persistence would promote glacial stage CO₂ decline,
149 potentially through iron fertilisation of the Southern Ocean in response to increased ice volume and the planetary drying
150 associated with colder climate conditions (Chalk *et al.*, 2017). In turn, further glacial stage build-up of ice sheets would be
151 favoured by the reduced radiative forcing (Chalk *et al.*, 2017). Colder glacial temperatures that enhance the solubility of CO₂
152 in the oceans, and changes to ocean circulation have also been implicated in enhanced ocean storage of glacial-stage CO₂
153 (Hasenfratz *et al.*, 2019). Furthermore, relative sea level (SL) changes in the Mediterranean Sea (derived from a reconstruction
154 through local benthic δ¹⁸O) (Rohling *et al.*, 2014) between the early MPT and late Pleistocene reveal increased sensitivity to
155 radiative forcing. That is, 1 Wm⁻² reduction in radiative forcing (RF) by CO₂, results in a more pronounced lowering of SL in
156 the late Pleistocene than at the early MPT (Chalk *et al.*, 2017).

157

158 The clear offset between our PRED-CO₂ data and the sparse data pre-dating this record provides further evidence of a
159 fundamental shift in the climate–carbon cycle–cryosphere feedbacks across the MPT. However, BOR-CO₂ and BI-CO₂ both
160 have large concentration and timing uncertainties respectively, so the definitive test awaits a continuous ice core across the
161 MPT. One modelling study that captures enhanced atmospheric CO₂ draw-down during glacial stages across the MPT is that
162 of Willeit *et al.*, (2019). These investigators reconstructed atmospheric CO₂ over the past three million years under a regolith
163 removal scenario, which can be compared to our modelled data (Fig. 2). Note the increasing difference with time between our
164 predicted CO₂ level and that of Willeit *et al.*, (2019). Our simple model underpredicts CO₂ levels compared to the sparse
165 observations (BOR/BI-CO₂) and Willeit *et al.*, (2019). The implication is that additional physics, not captured in our LR04 stack-
166 based predictions, is required to explain the divergence. These additional physics could include the gradual removal of sub-
167 glacial regolith, allowing for increased “stickiness” of Northern Hemisphere ice sheets (as described by Clark and Pollard
168 (1998), and the scenario for the model by Willeit *et al.*, (2019)); and/or the phase locking of the Northern and Southern



169 Hemisphere ice sheets at the precession frequency due to a transition to marine-based ice sheet margins in Antarctica (as
 170 described by Raymo *et al.*, (2006)). Both scenarios would have enabled the northern hemisphere ice sheets to persist past the
 171 obliquity paced threshold for deglaciation prior to the onset of the MPT.



172

173 **Figure 2: The modelled CO₂ record of Willeit *et al.*, (2019) overlaid on our predicted CO₂ record across and beyond**
 174 **the MPT (800 – 1500 kya). Arrows represent the trajectory of atmospheric CO₂ under two conditions: 1) CO₂**
 175 **reconstructed under our null hypothesis that no change to the carbon – climate feedback system has occurred before**
 176 **and across the MPT (blue). 2) The trajectory of atmospheric CO₂ that more accurately represents the discrete**
 177 **measurements/estimates we have across the MPT (red).**

178 **4.2 Our model with respect to the phase-locking hypothesis**

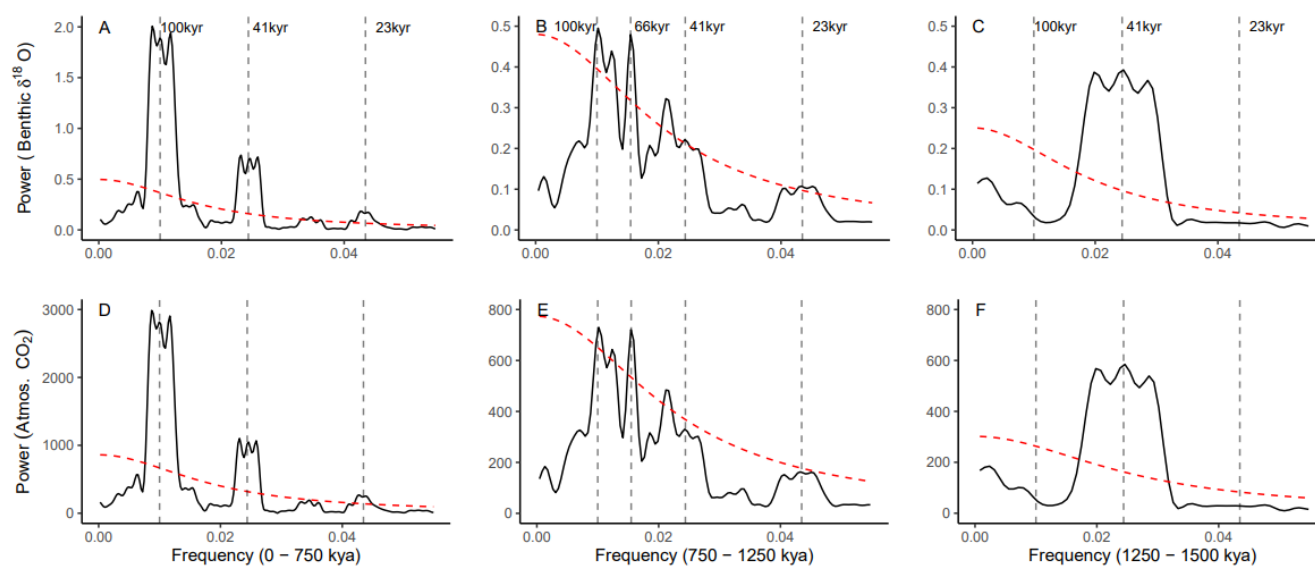
179 The predicted CO₂ record presented in this study cannot decisively test the phase locking hypothesis for the MPT until
 180 continuous oldest ice records have been recovered. Being entirely based on the LR04 Benthic Stack, our predictions inherit all
 181 the observed power spectra in the training data (Fig. A). Our predictions also inherit the climate–carbon cycle–cryosphere
 182 relationships over the past 800 ky during which time the Northern and Southern Hemisphere ice sheets have been in phase
 183 with each other on orbital timescales (Raymo *et al.*, 2006). For this reason, differences between the observed oldest ice records
 184 and our predicted data will shed light on the phase locking hypothesis. If the Northern and Southern hemisphere ice sheets did
 185 vary out of phase in the “40 ky world” then we would expect to see large discrepancies between the PRED-CO₂ record
 186 presented here and the realised data.



187 Conclusions

188 Here we have presented a predicted CO₂ record extending past the MPT. Our predictions are based on the relationships between
189 CO₂, sea level, global ice volume and ocean temperature over the past 800 ky and therefore assume that these relationships
190 have remained constant from 800–1500 kya; this has defined our null hypothesis. The departure of our predicted CO₂ record
191 across the MPT from existing sparse data outside of the current continuous record reveals that climate–carbon cycle–
192 cryosphere relationships over the last 800 ky do not apply across and prior to the MPT. Our results provide quantitative
193 support of a fundamental change in the internal carbon–climate feedback systems of the earth over the Mid-
194 Pleistocene Transition. Comparison of the predictions from our simple model to real data, once gathered, from 1.5 my old
195 ice will provide further constraints on the processes involved in the MPT.

196 Appendices



197

198 **Figure A: Thomson Multi-taper Method (MTM) spectral analysis representing relative power of signal periodicity for:**
199 **A) Benthic $\delta^{18}\text{O}$ after the Mid-Pleistocene Transition (MPT); B) Benthic $\delta^{18}\text{O}$ across the MPT (800–1250 kya); C)**
200 **Benthic $\delta^{18}\text{O}$ prior to the onset of the MPT (1250 kya–1500 kya); D) CO₂ after the MPT; E) CO₂ across the MPT; F)**
201 **CO₂ prior to the onset of the MPT. Each with a robust AR (1) 95 % Confidence interval (red dashed line).**



202 **Author contributions**

203 Project design by Jordan R.W. Martin, Joel Pedro, Tessa R. Vance. Data analysis and writing led by Jordan Martin with
204 contributions from Joel Pedro and Tessa R. Vance.

205 **Competing interests**

206 The authors declare that they have no competing interests.

207 **Disclaimer**

208 This study, to the best of the author(s) knowledge and belief, contains no material previously published or written by another
209 person, except where due reference is made in the text of the study.

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213 available for use in this study. Data will be publicly archived during the review process and by publication.

214

215 **Data availability**

216 Data will be publicly archived during the review process and by publication.

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