

# Improving trajectory calculations by FLEXPART 10.4+ using **deep learning-inspired** single image superresolution

Rüdiger Brecht<sup>1</sup>, Lucie Bakels<sup>2</sup>, Alex Bihlo<sup>3</sup>, and Andreas Stohl<sup>2</sup>

<sup>1</sup>Department of Mathematics, University of Bremen

<sup>2</sup>Department of Meteorology and Geophysics, University of Vienna, Josef-Holaubek-Platz 2

<sup>3</sup>Department of Mathematics and Statistics, Memorial University of Newfoundland

**Correspondence:** Rüdiger Brecht (rbrecht@uni-bremen.de)

**Abstract.** Lagrangian trajectory or particle dispersion models as well as semi-Lagrangian advection schemes require meteorological data such as wind, temperature and geopotential at the exact spatio-temporal locations of the particles that move independently from a regular grid. Traditionally, this high-resolution data has been obtained by interpolating the meteorological parameters from the gridded data of a meteorological model or reanalysis, e.g. using linear interpolation in space and time. However, interpolation errors are a large source of error for these models. Reducing them requires meteorological input fields with high space and time resolution, which may not always be available and can cause severe data storage and transfer problems. Here, we interpret this problem as a single image superresolution task. That is, we interpret meteorological fields available at their native resolution as low-resolution images and train deep neural networks to up-scale them to higher resolution, thereby providing more accurate data for Lagrangian models. We train various versions of the state-of-the-art *Enhanced Deep Residual Networks for Superresolution (EDSR)* on low-resolution ERA5 reanalysis data with the goal to up-scale these data to arbitrary spatial resolution. We show that the resulting up-scaled wind fields have root-mean-squared errors half the size of the winds obtained with linear spatial interpolation at acceptable computational inference costs. In a test setup using the Lagrangian particle dispersion model FLEXPART and reduced-resolution wind fields, we demonstrate that absolute horizontal transport deviations of calculated trajectories from “~~ground-truth~~true” trajectories calculated with undegraded  $0.5^\circ \times 0.5^\circ$  winds are reduced by at least 49.5% (21.8%) after 48 hours relative to trajectories using linear interpolation of the wind data when training on  $2^\circ \times 2^\circ$  to  $1^\circ \times 1^\circ$  ( $4^\circ \times 4^\circ$  to  $2^\circ \times 2^\circ$ ) resolution data.

## 1 Introduction

Recent years have seen a considerable increase of interest in the application of machine learning to virtually all areas of the ~~mathematical~~natural sciences, with meteorology being no exception. Machine learning, and specifically deep learning, which is concerned with training deep artificial neural networks, holds great promise for problems for which vast amounts of data are available (LeCun et al., 2015). This is the case for meteorology ~~; where a dense network of observational instruments, such as satellites, rawinsondes, and ground-based stations in conjunction with sophisticated~~where numerical weather prediction and ~~reanalysis models observations~~ generate a large ~~store of available data, which are a prerequisite for training deep neural networks.~~amount of data. Breakthroughs in the availability of affordable graphics processing units and sub-

stantial improvements in training algorithms for deep neural networks have equally contributed to making deep learning a promising new tool for applications in computer vision [Krizhevsky et al. \(2012\)](#) ([Krizhevsky et al., 2012](#)), speech generation [Oord et al. \(2016\)](#) ([Oord et al., 2016](#)), text translation and generation [Vaswani et al. \(2017\)](#) ([Vaswani et al., 2017](#)), and reinforcement learning [Silver et al. \(2017\)](#), just to name a few ([Silver et al., 2017](#)). Applications of deep learning to meteorology so far include weather nowcasting [Shi et al. \(2015\)](#) ([Shi et al., 2015](#)), weather forecasting [Rasp et al. \(2020\)](#); [Weyn et al. \(2019\)](#) ([Rasp et al., 2020](#); [Weyn et al., 2019](#)), ensemble forecasting [Bihlo \(2021\)](#); [Brecht and Bihlo \(2022\)](#); [Scher and Messori \(2018\)](#) ([Bihlo, 2021](#); [Brecht and Bihlo, 2022](#); [Scher and Messori, 2018](#)), subgrid-scale parameterization [Gentine et al. \(2018\)](#) ([Gentine et al., 2018](#)), and downscaling [Mouatadid et al. \(2017\)](#) ([Mouatadid et al., 2017](#)).

In recent years image super resolution by neural networks has made considerable progress. The main application is to scale a low resolution image to an image with a higher resolution, which is referred to as *single image superresolution* (SISR), although similar techniques are also used to up-scale both the spatial resolution and the frame rates for videos as well. Before the advent of efficiently trainable convolutional neural networks, ~~the~~ (i.e., neural networks whose layers are convolutions, which put the input images through a set of filters, each of which activates certain features from the input), the superresolution problem for images was solved using interpolation based methods, ~~see e.g. Li and Orchard (2001), which is surprisingly such as in the paper of Li and Orchard (2001). Surprisingly, such simple interpolation methods are~~ still the state of the art for Lagrangian models.

SISR is a topic of substantial interest in computer vision, with applications in computational photography, surveillance, medical imaging and remote sensing (Chen et al., 2022). A variety of architectures have been proposed in this regard, essentially all of which use a convolutional neural network architecture, following the seminal contribution of Krizhevsky et al. (2012) which kindled the explosive interest in modern deep learning. Among these SISR architectures, some important milestones are Super-Resolution Convolutional Neural Network (SRCNN) (Dong et al., 2014), a standard convolutional neural network, Very Deep Super Resolution (VDSR) (Kim et al., 2016), a convolutional neural network based on the popular Visual Geometry Group (VGG) architecture (a standard deep convolutional neural network architecture with multiple layers), Super Resolution Generative Adversarial Network (SRGAN) (Ledig et al., 2017), a generative adversarial network, and EDSR (Lim et al., 2017), based on a convolutional residual network architecture. For a recent review on SISR providing an overview over the aforementioned architectures and others, the reader may wish to consult Yang et al. (2019).

While deep learning has been used extensively over the past several years in meteorology for a variety of use cases, including weather prediction (Rasp et al., 2020; Weyn et al., 2019), ensemble prediction (Bihlo, 2021; Brecht and Bihlo, 2022; Scher and Messori, 2021), nowcasting (Bihlo, 2019; Shi et al., 2015), downscaling (Mouatadid et al., 2017; Sha et al., 2020), and subgrid-scale parameterization (Gentine et al., 2018), there have only been a few applications of deep learning to meteorological interpolation that go beyond downscaling. This is surprising, as many tasks in numerical meteorology routinely involve interpolation, such as the time-stepping in numerical models using the semi-Lagrangian method requiring trajectory origin interpolation (Durrant, 2010), or Lagrangian particle models (Stohl et al., 2005).

Semi-Lagrangian advection schemes in numerical weather prediction models rely on simple interpolation methods for the wind components [Durrant \(2010\)](#) ([Durrant, 2010](#)). For instance, the semi-Lagrangian scheme in the Integrated Forecast Sys-

tem model of the European Centre for Medium Range Weather Forecasts (ECMWF) uses a linear interpolation scheme. In trajectory models and Lagrangian particle dispersion models, similarly simple interpolation methods are used. Higher-order interpolation schemes such as bicubic interpolation can reduce the wind component interpolation errors compared to linear interpolation ~~Stohl et al. (1995)~~ [\(Stohl et al., 1995\)](#). However, error reductions for higher-order schemes are less than 30%, while computational costs increase by about an order of magnitude ~~Stohl et al. (1995)~~ [\(Stohl et al., 1995\)](#). Therefore, many trajectory and Lagrangian particle dispersion models still use linear interpolation, e.g., FLEXPART ~~Pisso et al. (2019)~~, LAGRANTO ~~Sprenger and Wernli (2015)~~ [\(Pisso et al., 2019\)](#), LAGRANTO ~~(Sprenger and Wernli, 2015)~~, or MPTRAC ~~Hoffmann et al. (2021)~~ [\(Hoffmann et al., 2021\)](#).

The purpose of this paper is to implement variable-scale superresolution based on deep convolutional neural networks to ~~showcase~~ [demonstrate](#) their potential for Lagrangian models. Here, we make use of the self-similarity of meteorological fields, such that a neural network can be repeatedly applied to interpolate a velocity field to higher resolutions. The paper’s further organization is as follows. ~~In Section ?? we review some of the common architectures used in computer vision to train SISR models. Here, we choose the Enhanced Deep Residual Network for Single Image Super-Resolution (EDSR), which is near state-of-the-art for SISR in computer vision, and which is straightforward to use for meteorological fields.~~ [Section 2](#) describes the numerical setup and the data being used in this work. In [Section 3](#) we present the results of our study, illustrating substantial improvements of both the quality of up-scaled wind fields using the EDSR model in comparison to standard linear interpolation, as well as of trajectory calculations using the Lagrangian particle dispersion model FLEXPART ~~Pisso et al. (2019)~~ [\(Pisso et al., 2019\)](#). A summary of this paper and thoughts for future research can be found in [Section 4](#).

## 2 Related work

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## 2 Methods

The aim of this project is to train a neural network which can then be used to interpolate meteorological velocity fields.

100 For the training and evaluation we note that meteorological fields are characterized by self-similarity over a variety of spatio-temporal scales. This makes it possible to train the neural network model to increase the resolution from a down-sampled velocity field to a higher resolution and then apply the model repeatedly to obtain even higher resolutions.

Below we introduce the data used to train the neural network, describe the details of the neural network model and how we train the model. Moreover, we explain how we used the interpolated fields to run a simulation with FLEXPART.

105 To train our neural networks, we use data from the ECMWF ERA5 reanalysis Hersbach et al. (2020). The data are available at an hourly global spatial resolution of  $0.5^\circ \times 0.5^\circ$  in latitude-longitude coordinates and a total of 138 vertical levels. We use a total of 296 hours (from January 1 to January 12, 2000) for training and test our model for 24 hours in each season (on January 15, April 15, July 15, October 15, 2000). While this may seem like comparatively little data, as we train a two-dimensional model on each horizontal layer, each hourly data point corresponds to a total of 138 layers, yielding a total of roughly 15000 sample fields.

110 The low-resolution data is obtained from the high-resolution ERA5 data by simply sampling every 1st, 2nd and 4th degree. In this work we focus solely on the spatial upscaling problem. The temporal upscaling problem will be considered elsewhere; see further discussions in the conclusions. We also only interpolate the horizontal wind components, and interpolate only horizontally.

### 2.1 Neural network architecture

115 We use the EDSR Neural network architecture Lim et al. (2017) ]Training data

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## 2.1 Neural network architecture

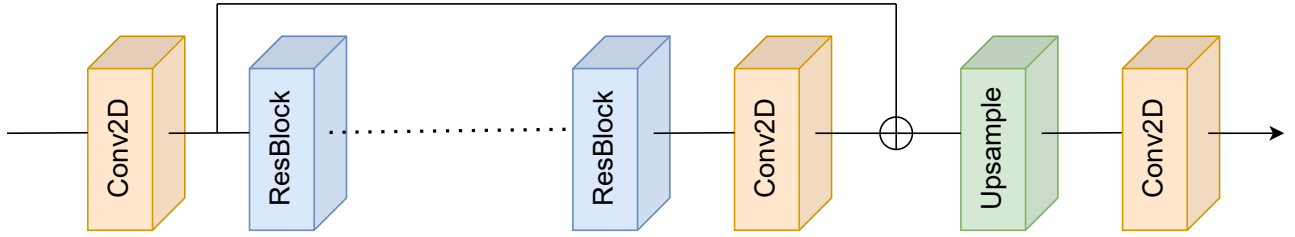
We use the EDSR Neural network architecture [Lim et al. \(2017\)](#). The neural network is designed to take a  $(n, n)$  matrix as an input and predict a  $(2n, 2n)$  matrix as output. Doing this repeatedly allows one to increase the size of the meteorological fields arbitrarily. We use the Enhanced Deep Residual Network for Single Image Super-Resolution (EDSR) architecture ([Lim et al., 2017](#)) with additional channel attention, [which gives higher importance to specific channels over others](#). The main building block of this architecture is a simplified version of a standard convolutional residual network block without batch normalization (Fig. 1b). This residual block consists of two convolutional layers, each of which uses a filter size of 3 in the present work, with the first convolutional layer being followed with a standard rectified linear unit activation function. After the second convolutional layer, a scaling of the output feature maps is performed, where we use the same constant residual scaling factor of 0.1 as proposed in the original work [Lim et al. \(2017\)](#) of [Lim et al. \(2017\)](#). The final operation of each residual block is given via channel attention (Fig. 1c). The overall architecture of this channel attention module follows [Choi et al. \(2020\)](#) [Choi et al. \(2020\)](#). The purpose of attention mechanisms in a convolutional neural network is to enable it to focus on the most important regions of the neural network’s perceptive field. Channel attention re-weights each respective feature map from a convolutional layer following a learn-able [scale transformation](#) [scale transformation](#). The last building block of our architecture is the upsampling module (Fig. 1d). This module consists of a convolutional layer with a total of  $64 \times \text{upscale\_factor}^2$  feature maps, followed by a depth-to-space transformation called PixelShuffle [Shi et al. \(2016\)](#) ([Shi et al., 2016](#)) which re-distributes feature maps into an  $\text{upscale\_factor}$ -times larger spatial field. In this work,  $\text{upscale\_factor} = 2$ .

The main residual network blocks are repeated 8 times, with an extra skip connection being added before the first convolutional layer in the network and before the upsample module. The upsampled image passes all convolutions in our architecture, with the exception of the convolutional layer in the upsample module using a total of 64 filters.

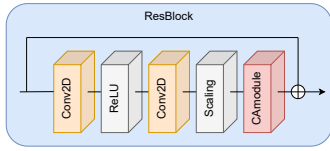
We have experimented with a variety of other architectures, including a conditional convolutional generative adversarial network called pix2pix [Isola et al. \(2017\)](#) ([Isola et al., 2017](#)) and the super-resolution GAN [Ledig et al. \(2017\)](#) ([Ledig et al., 2017](#)), but have found the EDSR network giving the most impressive results with the greatest ease of training and setup. Hence we exclusively report the results from the EDSR model below.

## 2.1 Training data

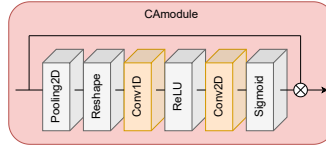
To train our neural networks, we use data from the ECMWF ERA5 reanalysis ([Hersbach et al., 2020](#)). These global data are available hourly at a spatial resolution of  $0.5^\circ \times 0.5^\circ$  in latitude-longitude coordinates and with a total of 138 vertical levels (levels here are counted from the bottom, not from the top as in ECMWF). We use a total of 296 hours (from January 1 to January 12, 2000) for training and test our model for 24 hours in each season (on January 15, April 15, July 15, October 15, 2000). Each hourly horizontal layer data point corresponds to a total of 138 layers, yielding a total of roughly 15000 sample fields.



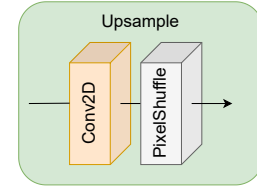
(a) The complete model architecture



(b) The residual block



(c) The channel attention module in the residual block.



(d) The upsampling block.

**Figure 1.** The overall layout of the neural network model is presented which (panel a) consists of an upsampling block (panel d) and residual blocks (panel b), which again contain a channel attention module (panel c). Here,  $\oplus$  means adding the layers and  $\otimes$  means multiplying them. The dotted line indicates that the residual block (panel b) is repeated multiple times.

The low-resolution data are obtained from the high-resolution ERA5 data by simply sampling every 1st, 2nd and 4th degree. In this work we focus solely on the spatial upscaling problem. The temporal upscaling problem will be considered elsewhere; see further discussions in the conclusions. We also only interpolate the horizontal wind components, and interpolate only horizontally.

## 2.2 Neural network training

For each velocity component horizontal velocity component ( $u$  and  $v$ ) we train a separate neural network to interpolate a field from degraded  $2^\circ \times 2^\circ$  resolution data to  $1^\circ \times 1^\circ$  resolution data, we call this neural network model12. In a second experiment, another set double its resolution data. Then, (if necessary) the trained neural network is applied multiple times to interpolate  $0.5^\circ$  resolution data. We train three sets of neural networks (model14) is trained to interpolate the respective velocity fields from degraded:

**model11** to interpolate a field from degraded  $1^\circ \times 1^\circ$  resolution data to  $0.5^\circ \times 0.5^\circ$  resolution data.

**model12** to interpolate a field from degraded  $2^\circ \times 2^\circ$  resolution data to  $1^\circ \times 1^\circ$  resolution data.

~~model14 to interpolate a field from degraded  $4^\circ \times 4^\circ$  resolution data to  $2^\circ \times 2^\circ$  resolution data, with the goal to then apply the~~  
~~trained model again to obtain the fields at the  $0.5^\circ \times 0.5^\circ$  resolution. Moreover, for testing purposes we train a neural~~  
~~network (model11) to interpolate  $1^\circ \times 1^\circ$  to  $0.5^\circ \times 0.5^\circ$  resolution~~

For the evaluation we only use models 2 and 4 since those are the only models that allow us to apply to resolution not seen during training. Model 1 is trained using the highest resolution data and we can not therefore use it to upscale to a higher resolution as we do not have the associated data for comparison.

~~One neural~~ We found that the wind field structure is different at higher and lower levels in the atmosphere and it is thus beneficial to train separate neural networks for these two regions of the atmosphere. Thus, one neural network is trained for the lower atmospheric levels (until up to level 50, approximately below the tropopause) and another one is trained for the higher ~~ones (level levels~~ (51 to 138), ~~because the lower and higher level fields have a rather different structure owing to the vertical stratification of the atmosphere.~~ Each neural network is trained on the data of 294 hourly wind fields with 50 ~~or and~~ 88 vertical  
 180 levels, ~~this.~~ This results in 14700 or 25578 training samples, respectively.

The model was implemented using TensorFlow 2.8 and ~~will be after publication publicly available on Github~~ is made available in a repository<sup>1</sup>. We trained the model on a dual NVIDIA RTX 8000 machine, with each training step taking approximately 100 ms for the  $u$  and  $v$  field. Total training took roughly 2.5 hours for each field.

### 2.3 Interpolation error metrics

~~In the following we report the results of our study using the~~ To evaluate the accuracy of the interpolation and trajectory simulation we use the root mean square error (RMSE) and the structural similarity index measure (SSIM) as performance measures. The RMSE is defined as

$$\text{RMSE}_z = \sqrt{(\bar{z}_{\text{interpolated}} - \bar{z}_{\text{reference}})^2}, \quad (1)$$

where ~~in the following~~  $z \in \{u, v\}$ , with the bar denoting spatial averaging. The reference solution is given by the original  
 190  $0.5^\circ \times 0.5^\circ$  ERA5 data, assumed to represent the ground truth. The smaller the RMSE value, the better the interpolated results coincide with the original reference solution.

The SSIM is a measure of the perceived similarity of two images  $a, b$  and is defined as

$$\text{SSIM}(\underline{x}, \underline{y}) = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_2)} \frac{(2\mu_a\mu_b + C_1)(2\sigma_{ab} + C_2)}{(\mu_a^2 + \mu_b^2 + C_1)(\sigma_a^2 + \sigma_b^2 + C_2)}. \quad (2)$$

with  ~~$\mu_x$  and  $\mu_y$~~   $\mu_a$  and  $\mu_b$  denoting the means of the two images  ~~$x$  and  $y$~~   $a$  and  $b$  (computed with an  $11 \times 11$  Gaussian filter  
 195 of width 1.5),  ~~$\sigma_x$  and  $\sigma_y$~~   $\sigma_a$  and  $\sigma_b$  denoting their standard deviations and  ~~$\sigma_{xy}$~~   $\sigma_{ab}$  being their co-variance. The constants  $C_1$  and  $C_2$  are defined as  $C_1 = (K_1 L)^2$  and  $C_2 = (K_2 L)^2$ , respectively, with  $K_1 = 0.01$  and  $K_2 = 0.03$  and  $L = 1$ . The closer the SSIM value is to 1, the more similar the two images are. See ~~Wang et al. (2004)~~ (Wang et al., 2004) for further details. In the following  ~~$x = z_{\text{interpolated}}$  and  $y = z_{\text{reference}}$~~ ,  $a = z_{\text{interpolated}}$  and  $b = z_{\text{reference}}$ , with each of them being interpreted as a gray-scale image.

<sup>1</sup><https://zenodo.org/record/7350568>



## 200 2.4 Trajectory calculations

To test the impact of the neural network interpolated wind fields on trajectory calculations, we used the Lagrangian particle dispersion model FLEXPART ~~Pisso et al. (2019); Stohl et al. (2005)~~ (Pisso et al., 2019; Stohl et al., 2005). The calculations ~~were based on~~ are conducted using a stripped down version 10.4 of FLEXPART, ~~which has been modified to interpolate directly the ECMWF model-level data, instead of first transforming it to terrain-following coordinates~~. We switched off all  
205 turbulence and convection parameterizations and used FLEXPART as a simple trajectory model. Ideally, the neural network interpolation should be implemented directly in FLEXPART. However, as the neural network and FLEXPART run on different computing architectures (Graphics vs. Central Processing Unit), ~~this~~ directly implementing the neural network interpolation into FLEXPART is outside of the scope of this exploratory study. Instead, we replaced the gridded ERA5 wind data with the gridded up-sampled testing data produced by the neural network. ~~This~~ Using gridded up-sampled testing data does not make  
210 full use of the neural network capabilities, ~~as we ingest these data since the neural network only produces values~~ at a fixed resolution of  $0.5^\circ \times 0.5^\circ$  latitude/longitude ~~and~~, while we still use linear interpolation of the wind data to the exact particle position, ~~while in principle when computing their trajectories~~. However, the neural network could in principle also determine the wind components almost exactly at the particle positions (upon repeatedly using the trained SISR model to increase the resolution high enough to obtain the wind values at the respective particle positions). FLEXPART also needs other data than  
215 the wind data, for which we use linear interpolation of the ERA5 data. For temporal and vertical interpolation, we also used linear interpolation, as is standard in FLEXPART.

We started multiple simulations with 10 million trajectories on a global regular grid with 138 vertical levels and traced the particles for 48 hours. This simulation was repeated in each season for the following cases:

- the original ERA5 data at  $0.5^\circ \times 0.5^\circ$  resolution, serving as the ~~ground truth~~ ground truth reference case;
- 220 – a data set, for which the winds were interpolated from degraded  $1^\circ \times 1^\circ$  and from  $2^\circ \times 2^\circ$  resolution data, using linear interpolation (~~the linear interpolation case~~) as is standard in FLEXPART;
- a data set, for which the winds were interpolated from degraded  $1^\circ \times 1^\circ$  (using the neural network `model2` trained to interpolate  $2^\circ \times 2^\circ$  to  $1^\circ \times 1^\circ$ ) and from  $2^\circ \times 2^\circ$  resolution data (using the neural network `model4` trained to interpolate  $4^\circ \times 4^\circ$  to  $2^\circ \times 2^\circ$ ), and then interpolated to the particle position using linear interpolation in FLEXPART (~~the neural~~  
225 ~~network interpolation case~~).

## 2.5 Trajectory error metrics

As in previous studies ~~Kuo et al. (1985); Stohl et al. (1995)~~ (Kuo et al., 1985; Stohl et al., 1995), we compared the trajectory positions for trajectories calculated with the interpolated data to those calculated with the reference data set, using the Absolute Horizontal Transport Deviation (AHTD), defined as:

$$230 \quad \text{AHTD} \text{AHTD}(t) = \frac{1}{N} \sum_{n=1}^N D[(X_n, Y_n, \underline{t}), (x_n, y_n, \underline{t})] \quad (3)$$



where  $N$  is the total number of trajectories,  $D[(X_n, Y_n), (x_n, y_n)] - D[(X_n, Y_n, t), (x_n, y_n, t)]$  is the great circle distance of trajectory points with longitude/latitude coordinates  $(X_n, Y_n)$  for the reference trajectories and  $(x_n, y_n)$  for the trajectories using interpolated winds at time  $t$ , for trajectory pair  $n$  starting at the same point. AHTD values are evaluated hourly along the trajectories, up to 48 hours.

## 235 3 Results

In this section ~~we first show that the interpolation using the neural network gives better results compared~~, we first compare the neural network interpolated data to the linear ~~interpolation~~ interpolated data. Then, we use these data to compute trajectories with FLEXPART to compare them to trajectories that are advanced using the original, non-interpolated wind fields. Then, we demonstrate that the trajectories computed with FLEXPART using the interpolated fields of the neural network are more accurate compared to linear interpolation.

### 3.1 Interpolation

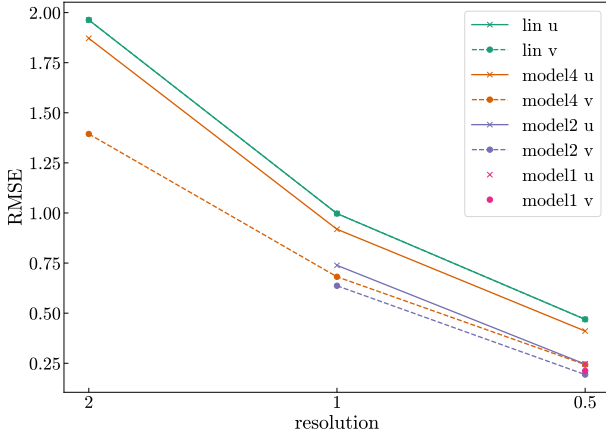
We demonstrate the self-similarity of the spatial scales by interpolating the fields multiple times using the same model trained to up-scale the wind fields from lower resolutions. For the interpolation we use linear and neural network interpolation. First, we compare the fields which are interpolated from  $1^\circ \times 1^\circ$  to  $0.5^\circ \times 0.5^\circ$  resolution data. Then, we demonstrate that the neural network interpolation can be used multiple times to generate arbitrary resolution.

In Fig. 2 we show the interpolation results for three different neural networks and for the linear interpolation. We see that each neural network almost always has better metrics (i.e., lower RMSE and higher SSIM values) than the corresponding linear interpolation. This is true both for the resolution the neural network has been trained for, as well as for higher resolutions.

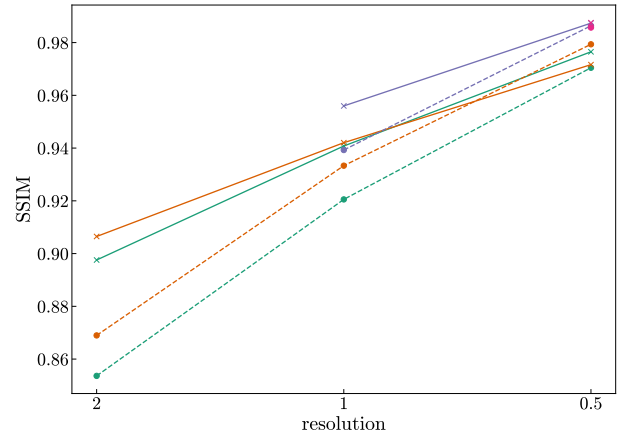
#### 3.1.1 One time up-scaling

250 We consider the neural network `model2` (trained to interpolate  $2^\circ \times 2^\circ$  to  $1^\circ \times 1^\circ$  resolution data). For the evaluation we interpolate a field from degraded  $1^\circ \times 1^\circ$  resolution data to  $0.5^\circ \times 0.5^\circ$  resolution data. Notice that we have trained the model separately for levels 0–50 and ~~51–138~~ 51–137 (counting from the ground), and evaluate the correspondingly trained model.

In Fig. 3 ~~and Fig. ??~~ we show the RMSE for the interpolated field for level 10 (corresponding approximately to an altitude of 245 m above ground level) and for one particular time (14th of January at 10:00 UTC) as an example ~~and observe that the~~.  
 255 Fig. 3 also shows a blown-up region focusing on a cold front south of Australia, where we clearly see that the largest RMSE errors for both linear and neural network interpolation ~~has overall a lower RMSE and is closer to the reference velocity field. With the linear interpolation, large occur along the cold front. The winds in the boundary layer show a large wind shear between the southwesterly winds to the southeast of the cold front and the northwesterly winds to the northeast of the cold front. This causes large interpolation errors in the frontal zone. However, these large errors occur especially near fronts or shear zones, and these errors~~ are substantially reduced by the neural network interpolation compared to the linear interpolation. This also means that especially the largest interpolation errors are avoided by the neural network, compared to the linear interpolation



(a) RMSE for different interpolation methods.



(b) SSIM for different interpolation methods.

**Figure 2.** RMSE and mean SSIM of the validation data set (14th January 2000) for linear and neural network interpolation evaluated at different resolutions. Here, we do not interpolate the fields multiple times (as explained in section 3.1.2) but rather once for each resolution starting from the resolution the model is trained on. The solid lines are computed for the  $u$  velocity and the dashed lines for the  $v$  velocity.

(see Fig. 4). This finding also holds for other conditions, as can be seen by the general error reduction in other regions on the globe (Fig. 3) and the smaller but still significant error reductions in cases with generally smaller interpolation errors (Fig. 4).

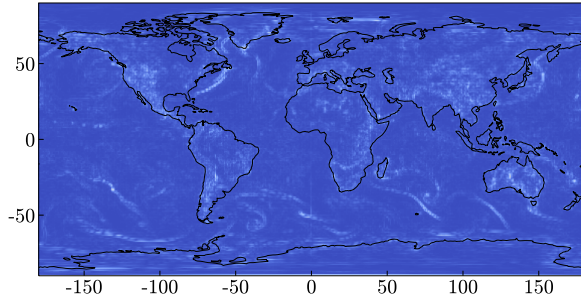
More example figures for the different months (January, April, July and October) can be seen on the git found in the (zenodo) repository. We note that the results are similar , which can be seen for the different months, as shown in Table 1, where we computed the RMSE and SSIM for a whole day in the months January, April, July and October and all levels. The neural network interpolation has less than half the RMSE of the linear interpolation and achieves a higher SSIM value.

Moreover, we We note that the interpolation time on our hardware for one field for a given level and time for the linear interpolation is about 0.002 s while 10 times faster compared to the same interpolation using the neural network takes about 0.02 s.

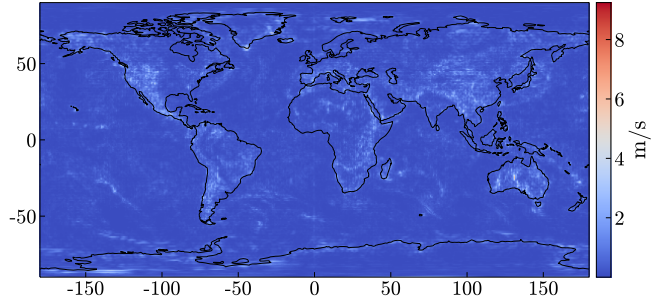
Comparison of a section of the  $\mathbf{v} = (u, v)$  vector field at level 10 using linear interpolation and the interpolation by the neural network model2 (black arrows). The date of the field is 14th January 2000 at 10:00 UTC. The data is interpolated from degraded  $1^\circ \times 1^\circ$  resolution data. The arrows in green show the reference vector field and the color bar shows the RMSE. Notice that the checkerboard structures occurring with the linear interpolation are caused by the fact that winds in every fourth grid cell do not have to be interpolated and are therefore error-free.

### 3.1.2 Multiple time up-scaling

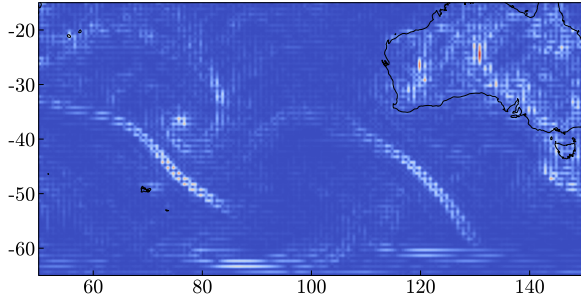
To demonstrate that the neural network can be used to interpolate a field to arbitrary resolution, we consider the neural network model4 (trained to interpolate  $4^\circ \times 4^\circ$  to  $2^\circ \times 2^\circ$  resolution data). We evaluate apply the network to interpolate  $2^\circ \times 2^\circ$  to  $1^\circ \times 1^\circ$  and evaluate apply it another time to interpolate  $1^\circ \times 1^\circ$  to  $0.5^\circ \times 0.5^\circ$  resolution data. In Fig. 5 and Fig. ?? we show



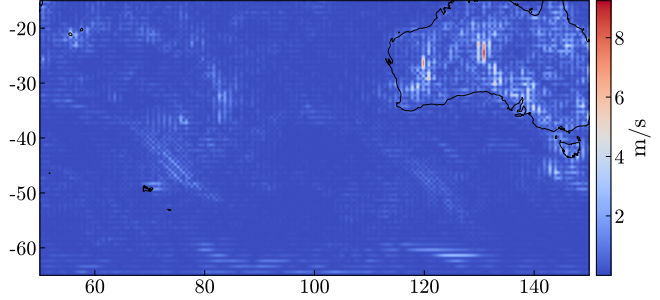
(a) Differences of  $\mathbf{v} = (u, v)$  field on 14th January 2000 at 10:00 UTC for level 10 (around 220m) when compared to the ground-truth ERA5 data, for linear interpolation (left panels) and for the interpolation by the model12 neural network (right panels). The data is interpolated from degraded  $1^\circ \times 1^\circ$  resolution data. The bottom row shows a blown-up section of each field. The title of each plot shows the error RMSE of the region: 0.713



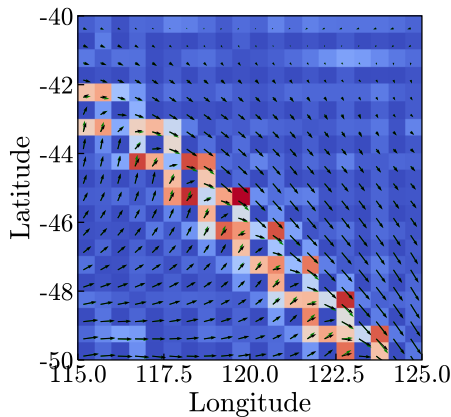
(b) Neural network interpolation error, RMSE: 0.532



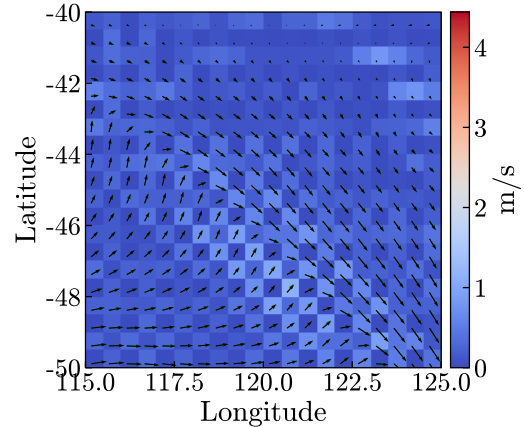
(c) Section of linear interpolation error, RMSE: 0.353



(d) Section of neural network interpolation error, RMSE: 0.236

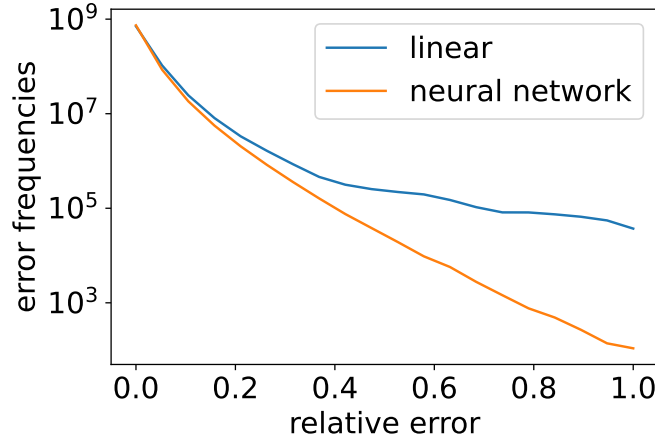


(e) Section of linear interpolation error with interpolated vector field (black) and true vector field (green).



(f) Section of neural network interpolation error with interpolated vector field (black) and true vector field (green).

**Figure 3.** Differences between the  $\mathbf{v} = (u, v)$  fields on the 14th of January 2000 at 10:00 UTC for level 10 (around 245m) and the truth ERA5 data, for linear interpolation (left panels) and for the interpolation by the model12 neural network (right panels). The data are interpolated from degraded  $1^\circ \times 1^\circ$  resolution data. The top row shows the error on the globe and the panels below show a blown-up section of the top panels.



**Figure 4.** Comparison of the error frequencies for linear and neural network interpolation (model2) for ~~the same field as in Fig. 3~~ (14th January 2000 ~~at 10:00 UTC~~) (over all 137 vertical levels and over 24 hours). Here, we ~~normalized the error and~~ split the error frequencies into ~~10-20~~ bins of different intensities.

RMSE ↓	Linear		Neural Network		SSIM ↑	Linear		Neural Network	
	u	v	u	v		u	v	u	v
January	0.469	0.398	0.214	0.181	January	0.976	0.970	0.988	0.987
April	0.393	0.36	0.206	0.182	April	0.976	0.968	0.988	0.986
July	0.447	0.444	0.222	0.193	July	0.975	0.968	0.988	0.986
October	0.589	0.532	0.216	0.191	October	0.975	0.969	0.988	0.987

**Table 1.** RMSE and mean SSIM of the validation data set for linear and neural network interpolation using model2. Considering the RMSE, the neural network interpolation is at least 49% more accurate compared to the linear interpolation.

280 ~~we evaluate~~ the RMSE of an interpolated field in January at level 10 (around ~~220m~~245m) and compare it to the RMSE of the linear interpolation.

For each method the RMSE is higher ~~than before~~compared to the one time up-scaling, since we start with a lower resolution and less information. Nevertheless, the RMSE of the neural network interpolation is again lower compared to the linear interpolation, albeit the relative error reduction is smaller than with one-time up-scaling (see Table 2). This also holds for  
285 other samples ~~in different seasons~~ which we omitted showing here. When evaluating the RMSE for a day in January, April, July and October for all levels (Table 2), we observe that the neural network interpolation ~~again achieves better results~~is 19% more accurate than the linear interpolation. Here, we are limited to the resolution of the reference data. Thus, we can only demonstrate the interpolation for two times. ~~Even coarser~~Coarser data does not have enough small scales represented, ~~such that it is~~and it is therefore not meaningful to train the network on ~~even~~coarser data and upscale the data more oftentimes.

RMSE ↓	Linear		Neural Network		SSIM ↑	Linear		Neural Network	
	u	v	u	v		u	v	u	v
January	1.107	0.938	0.787	0.708	January	0.864	0.824	0.892	0.849
April	0.96	0.863	0.777	0.677	April	0.860	0.808	0.882	0.837
July	1.095	1.031	0.84	0.77	July	0.856	0.809	0.881	0.835
October	1.289	1.155	0.796	0.744	October	0.862	0.820	0.890	0.845

**Table 2.** RMSE and mean SSIM of the validation data set for linear and neural network interpolation, using `model4`. Considering the RMSE, the neural network interpolation is at least 19% more accurate compared to the linear interpolation.

290

~~Comparison of a section of the  $\mathbf{v} = (u, v)$  vector field at level 10 using linear interpolation and the interpolation by the neural network `model4` (black arrows). The date of the field is 14th January 2000 at 10:00 UTC. The data is interpolated from degraded  $2^\circ \times 2^\circ$  resolution data. The arrows in green show the reference vector field and the color bar shows the RMSE.~~

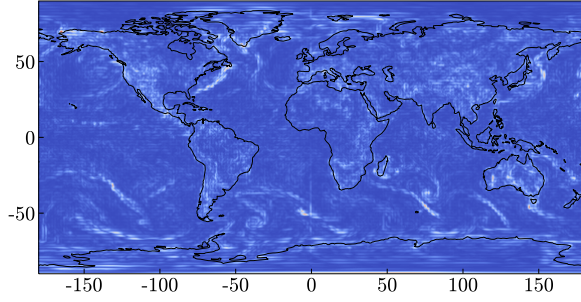
### 295 3.2 Trajectory accuracy

~~We have shown that In the previous sections, we showed that our~~ neural network interpolated wind velocity fields are more similar to the original  $0.5^\circ \times 0.5^\circ$  resolution data than their linearly interpolated equivalents. ~~It is thus likely that trajectories calculated based on~~ However, this does not necessarily mean that trajectories advanced using the neural network interpolated fields are ~~also more accurate than those based on wind fields based on linear interpolation. However, trajectories more accurate.~~

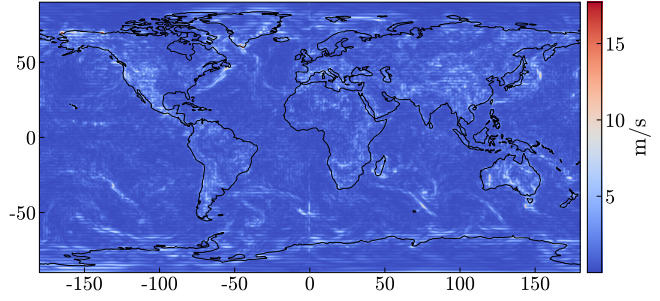
300 Trajectories are not always equally sensitive to wind interpolation errors, and it is therefore important to show that the individual trajectories that are advanced using neural network interpolated wind fields are indeed more similar to trajectories that are advanced using the original "ground-truth" wind fields.

Fig. 6 shows that this is indeed the case. Here we show the results of the horizontal transport deviation (Eq. (3)) and standard deviations of particles advanced for 48 hours, using FLEXPART, after being initially globally distributed. Both the average horizontal transport deviation from the original *ground truth* trajectories as well as its standard deviation are smaller for the neural network as compared to the linear interpolation. The absolute deviations after 48 hours are on average  $\sim 53.5\%$  ( $1^\circ \times 1^\circ$  resolution) and  $\sim 29.4\%$  ( $2^\circ \times 2^\circ$  resolution) smaller for all seasons when using the neural network. Moreover, the standard deviation of the neural network is consistently smaller, no matter the season (on average  $\sim 36.1\%$ ,  $\sim 17.9\%$  smaller for the  $1^\circ \times 1^\circ$  and  $2^\circ \times 2^\circ$  resolution, respectively). The improvement of the neural network over the linear interpolation is smaller with multiple time up-scaling from  $2^\circ \times 2^\circ$  resolution than with one time up-scaling from  $1^\circ \times 1^\circ$  resolution, ~~in agreement with the since the latter has~~ smaller wind interpolation errors ~~in this case~~ (see Fig. 2). The reduced standard deviation we see in the neural network interpolated trajectories as compared to the linear interpolated ones ~~, directly corresponds to~~ is likely a result

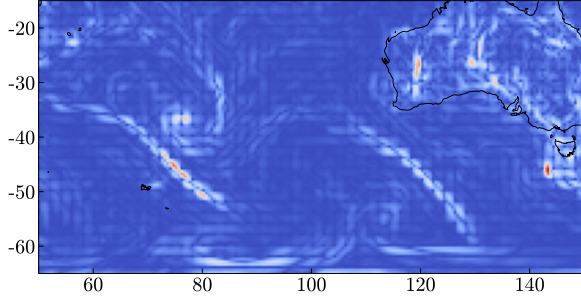




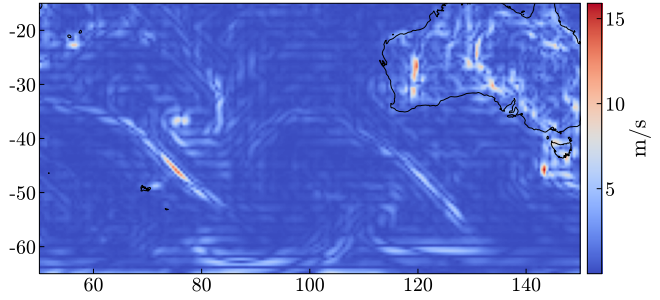
(a) RMSE of  $\mathbf{v} = (u, v)$  field at level 10 when compared to the ground truth ERA5 data. The date of the field is 14th January 2000 at 10:00 UTC. Linear interpolation (left panels) and the interpolation by the neural network model 14 (right panels). The data is interpolated from degraded  $2^\circ \times 2^\circ$  resolution data. The bottom row shows a section of each field. The title of each plot shows the error, RMSE: 1.632



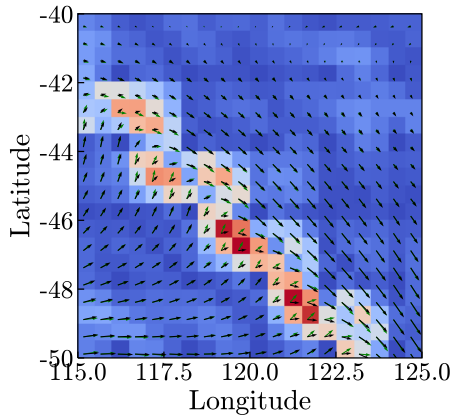
(b) Neural network interpolation error, RMSE: 1.443



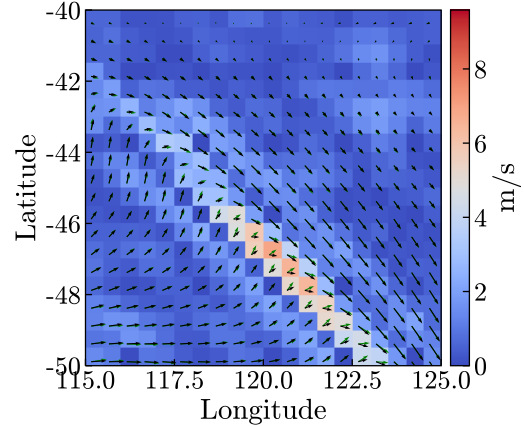
(c) Section of linear interpolation error, RMSE: 1.028



(d) Section of neural network interpolation error, RMSE: 0.904

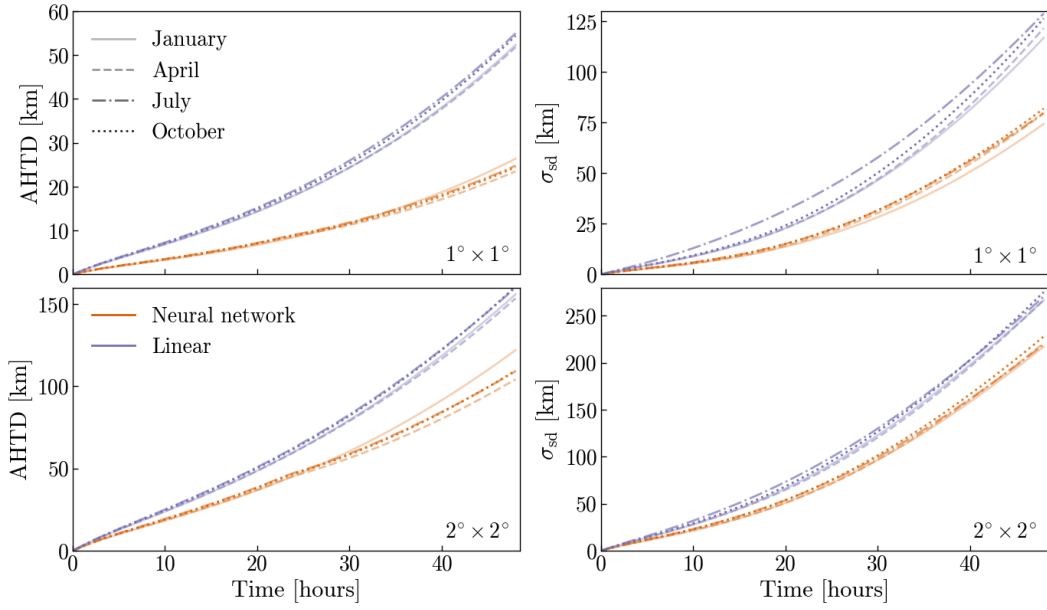


(e) Section of linear interpolation error with interpolated vector field (black) and true vector field (green).



(f) Section of neural network interpolation error with interpolated vector field (black) and true vector field (green).

**Figure 5.** RMSE of  $\mathbf{v} = (u, v)$  field at level 10 when compared to the truth ERA5 data. The date of the field is the 14th of January 2000 at 10:00 UTC. Linear interpolation (left panels) and the interpolation by the neural network model 14 (right panels). The data is interpolated from degraded  $2^\circ \times 2^\circ$  resolution data. The top row shows the error on the globe and the panels below show a blown-up section of the top panels



**Figure 6.** Absolute horizontal transport deviations (Eq. (3)) and standard deviations of 10 million particles advanced with FLEXPART, using two different degraded resolution data (as described in section 3.1) and two interpolation methods, as compared to the same particles advanced using the original full resolution data. The top panels show the results for the degraded  $1^\circ \times 1^\circ$  resolution data (neural network interpolation using `model2`), and the bottom panels those of the degraded  $2^\circ \times 2^\circ$  resolution data (neural network interpolation using `model4`). Orange lines show the AHTD (left panels) and standard deviation (right panels) of particles advanced using the neural network, and purple lines show these for the linearly interpolated data. Results for different seasons are shown with different line styles.

of the lower frequency of extreme deviations found in the neural network interpolated wind fields as compared to the linear  
 315 interpolated ones (see Fig. 4). Thus, trajectories using the neural network interpolation are not only more accurate on average  
 than trajectories using linear interpolation, but large trajectory errors are avoided more efficiently as well. This is important  
 for avoiding misinterpretation when trajectories are used to interpret source-receptor relationships, e.g. for air pollutants or  
 greenhouse gases.

We have also checked how well quasi-conserved meteorological properties such as potential vorticity and potential temper-  
 320 ature are conserved along the trajectories. This showed generally small differences between the different trajectory data sets.  
 However, the trajectories based on neural network interpolated wind data had slightly better tracer conservation than the ones  
 based on linear interpolation, confirming that these trajectories are indeed more accurate.

**Notice:** Note that we have not changed vertical and time interpolation of the winds and that we have not ~~at all~~ changed the  
 interpolation of the vertical wind. Furthermore, we have not made full use ~~even~~ of the neural network horizontal interpolation  
 325 of the horizontal winds, as interpolation below  $0.5^\circ \times 0.5^\circ$  resolution was still done using linear interpolation. We therefore  
 consider substantial further error reductions possible, if neural network interpolation both in space and time is fully imple-



mented directly in the trajectory model. This also suggests that semi-Lagrangian advection schemes could be made much more accurate with neural network interpolation.

## 4 Conclusions

330 In this paper we have considered the problem of increasing the spatial resolution of meteorological fields using techniques of machine learning, namely using methods originally proposed for the problem of single image superresolution. Higher-resolution meteorological fields are relevant for a variety of meteorological and engineering applications, such as particle dispersion modeling, semi-Lagrangian advection schemes, down-scaling, and weather nowcasting, ~~just to name a few~~.

What sets the present work apart from a pure computer vision problem is that meteorological fields are characterized by  
335 self-similarity over a variety of spatio-temporal scales. This gives rise to the possibility of training a neural network to learn to increase the resolution from a down-sampled meteorological field to the original native resolution of that field, and then to repeatedly apply the same model to further increase the resolution of that field beyond the native resolution. We have shown in this paper that this is indeed possible. Wind interpolation errors are at least 49% and 19% smaller than errors using linear interpolation, with one time up-scaling, and with multiple time up-scaling, respectively. Here, we note that the multiple  
340 time up-scaling has a lower improvement than the one time up-scaling because we use different neural networks based on the available resolution. This means that the neural network trained on lower resolution data has less information and is less accurate than the neural network trained on the higher resolution data, ~~see Fig. 2.~~ We have also shown that corresponding absolute horizontal transport deviations for trajectories calculated using these wind fields are 52% (from degraded  $1^\circ \times 1^\circ$  resolution data) and 24% (from degraded  $2^\circ \times 2^\circ$  resolution data) smaller than with winds based on linear interpolation. This  
345 is a substantial reduction, given that we have not changed vertical and time interpolation and that we have not at all changed the interpolation of the vertical wind. Furthermore, we have not even made full use of the neural network interpolation, as interpolation below  $0.5^\circ \times 0.5^\circ$  resolution was still done using linear interpolation.

While in the present work we have exclusively focused on the spatial interpolation improvement problem, similar techniques as presented here are applicable to the temporal interpolation case as well. Here, the problem can be interpreted as increasing  
350 the frame rate in a given video clip, with the native resolution given by the temporal resolution as made available by numerical weather prediction centres. We are presently working on this problem as well, and the results will be presented in future work. Subsequently, spatial and temporal resolution improvements can be combined to provide a seamless way to increase the overall resolution of meteorological fields for a variety of spatio-temporal interpolation problems.

Lastly, we should like to stress that meteorological fields are quite different from conventional photographic images as  
355 typically considered for superresolution tasks. Namely, meteorological fields follow largely a well-defined system of partial differential equations, which we have not considered when increasing the spatial resolution of the given ~~datasets~~data sets. This means that potentially important meteorological constraints such as energy, mass and potential vorticity conservation may be violated by obtained up-scaled ~~datasets~~data sets, as is also the case for other interpolation methods. Incorporating these meteorological constraints would be critical if these fields would be used in conjunction with numerical solvers, and correspond-

360 ingly the proposed methodology would have to be modified to account for these constraints. This will constitute an important area of future research, with a potential avenue being provided through so-called physics-informed neural networks. See e.g. ~~Raissi et al. (2019) and Bihlo and Popovych (2022)~~ the papers by Raissi et al. (2019) and Bihlo and Popovych (2022) for an application of this methodology to solving the shallow-water equations on the sphere. Physics-informed neural networks allow one to take into account both data and the differential equations underlying these data, which would enable one to train a neural  
365 network based interpolation method that is also consistent with the governing equations of hydro-thermodynamics. Including consistency with these differential equations will be another potential avenue of research in the near future.

*Code and data availability.* The code to train the neural network and data to reproduce the plots is available at: <https://zenodo.org/record/7350568>. ERA5 re-analysis data (Hersbach et al., 2020) was downloaded from the Copernicus Climate Change Service (C3S) Climate Data Store. The results contain modified Copernicus Climate Change Service information. Neither the European Commission nor ECMWF is  
370 responsible for any use that may be made of the Copernicus information or data it contains. We used flex\_extract to download the ERA5 re-analysis data, see (Tipka et al., 2020). The documentation can be found here [https://www.flexpart.eu/flex\\_extract/ecmwf\\_data.html](https://www.flexpart.eu/flex_extract/ecmwf_data.html).

*Author contributions.* All authors contributed equally to the conceptualization, methodology and writing of the manuscript. RB and LB carried out the numerical simulations and code development.

*Competing interests.* The authors declare that they have no conflict of interest.

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