Analysis Meteorological history of multi-seasonal meteorological storylines leading to reduced low forest greenness events in Europe in 2000-20202002–2022

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Abstract.

Recent forest decline in Europe Forest dieback in Europe has recently intensified and become more extensive. This dieback is strongly influenced by meteorological conditions imposed by seasonal variations of temperature, T2m, and precipitation, P, and can be monitored with forest greenness. This study quantitatively investigates anomalous characteristics of the three-year

- 5 meteorological storyline history preceding events of reduced forest greenness in Europe's temperate and Mediterranean biome in the phase space of seasonal-mean anomalies of (T2m, P) with a systematic approach. A specific focus is on the amplitude, persistence, and co-variability of these anomalies lies on the timing of unusually persistent and of unusually strong anomalies of T2m and P, as well as their relation to synoptic weather systems. A pragmatic approach based on remote sensing observations of normalized difference vegetation index NDVI serves to identify low forest NDVI events at the 50 km scale in Europe
- 10 in June to August 20002002--2020. An independent forest disturbance data set is used to qualitatively validate the identified more than 1'500-2022. We quantify the impact of the hottest summer on record in Europe in 2022, which, according to our criteria, negatively affected 37% of temperate and Mediterranean forest regions, and thereby reduced forest greenness more extensively than any other summer in 2002-2022.

The low NDVI events . These events occur in summers with occurred in particularly dry and hot conditions summers but

- 15 their meteorological storylines feature significant anomalies during multiple seasons preceding the eventshistories featured also significant anomalies further in the past, with clear differences between the two biomes. In the Mediterranean biome, the anomalously dry conditions persist over more than 1.5 y prior to the events, whereas T2m is anomalously warm only during the last 0.4 y. In contrast, in temperate and Mediterranean biome. A key feature is the anomalous accumulation of dry periods (i.e., periods with a P deficit) over the preceding 26 and 34 months in the temperate and Mediterranean biome,
- 20 respectively. In the temperate biome only, T2m is anomalously large during most of the 2.5 y prior to the events and , most interestingly, the autumn/winter preceding the events is characterized by anomalously wet and warm conditions . These anomalies potentially induce a negative legacy on the following summerdrought. The seasonal-mean anomalies of P are

strongly determined by synoptic-scale weather systems, such that long dry periods are characterized by a deficit of cyclones and an excess of anticyclones. A final analysis investigates the peculiarities of low was anomalously persistent during almost the

- 25 same 26-month period and featured distinctive peaks late in the past three growing seasons. While anomalously strong hot-dry conditions were characteristic for temperate low NDVI events that occur in two consecutive summers and the potential role of drought legacy effects. In the events already in the previous summer, we find hardly any other systematic meteorological precursor in the Mediterranean prior to the event year. The identified dry periods went along with reduced cyclone activity in the Mediterranean, and positive anticyclone frequency in the temperate biome, the second event summer of an event sequence
- 30 has less hot and less dry anomalies than the first one and than during a single event . respectively. The occurrence of these two weather systems is locally more nuanced, showing, e.g., consistently increased and decreased cyclone frequency over western and northern Europe, respectively, in all event summers. Finally, the systematic meteorological histories are useful to test whether locally observed meteorological impacts, e.g., structural overshoot, systematically influenced the investigated events. In summary, detailed systematic investigations of the multi-annual meteorological storyline of low forest NDVI events history
- 35 provided clear evidence that anomalies of of how surface weather and synoptic-scale weather systems over time periods of up to 2.5 y up to three years can negatively impact European forest activity, with important differences between the temperate and Mediterranean biomesgreenness. The observation of the record-extensive low NDVI event in summer 2022 underlines that understanding the forest-meteorology interaction is of particular relevance for forest dieback in a changing climate.

1 Introduction

- 40 European forest ecosystems have typically been in balance with their bio-physical climatic environment and are, thus, largely adapted and acclimated to the local mean elimate and its variability meteorological variability on a larger scale. This balance is increasingly disturbed by anthropogenic climate change (Seidl et al., 2017; McDowell et al., 2020). As a consequence, the exposure and vulnerability of European forests to climatic disturbances has increased in the recent two decades and forests were affected on up to the continental scale (Seidl et al., 2014; Bastos et al., 2020b). On the continental that scale, drought has been a key driver of excess forest mortality in Europe (Senf et al., 2020). In addition to meteorological drought, i.e., reduced
- 45 been a key driver of excess forest mortality in Europe (Senf et al., 2020). In addition to meteorological drought, i.e., reduced precipitation, high temperatures enhance the atmospheric water demand and, thus, water loss from the vegetated surface by evapotranspiration (Yuan et al., 2019; Grossiord et al., 2020). Heatwaves (Meehl and Tebaldi, 2004; IPCC, 2021), meteorological droughts (Trenberth et al., 2014; IPCC, 2021), and compound hot droughts (Allen et al., 2015) are expected to intensify future forest decline dieback (Brodribb et al., 2020). Such meteorological conditions, for example during 2003 and 2018, led
- 50 to stem dehydration (Salomón et al., 2022), reduced forest growth (Ciais et al., 2005; Trotsiuk et al., 2020), complete hydraulic failure (Schuldt et al., 2020), and ultimately increased tree mortality in Europe (Allen et al., 2010; Hansen et al., 2013). At the same time, recent hot-dry conditions fostered bark beetle outbreaks (Rouault et al., 2006; Jakoby et al., 2019) and forest fires (Seidl et al., 2017). Although some forest ecosystems are adapted to low summer water availability water availability in summer, e.g., in the summer-dry Mediterraneanor, or throughout the year, e.g., in dry inner-Alpine regions, extended and
- 55 more frequent hot droughts will strongly affect their dynamics including growth and survival (Rigling et al., 2013; Tague et al.,

2019; Ogaya et al., 2020). In this context, forest greenness as measured by satellites is an effective measure related to forest performance vitality to monitor the recent forest decline dieback in Europe (Orth et al., 2016; Buras et al., 2020, 2021).

While forests can endure short-term weather extremes (e.g., an individual multi-day heatwave), they are more suscepti-

- 60 ble to longer-term extreme conditions. Particularly harmful long-term extremes include persisting or sequentially occurring droughtsthat disturb forests beyond the summed individual impacts, as the initial drought exerts a negative legacy effect on the following one by reducing forest, whereby additional negative legacy effects are mediated through reduced tree resilience (Anderegg et al., 2015, 2020; Bose et al., 2020). However, drought legacy effects have also been suggested to provide acclimation to following droughts (Gessler et al., 2020). Moreover, compound hot and dry periods cause an impact exceeding that
- of the summed uni-variate extremes (Zscheischler and Seneviratne, 2017). Furthermore, a stormy winter followed by a hot-dry growing season allows bark beetles to spread out and attack damaged and dying trees (Temperli et al., 2013; Biedermann et al., 2019; Jakoby et al., 2019). Additionally, persisting heat, and/or precipitation deficits can given fuel availability trigger forest fires year-round, occurring most intensely in the Mediterranean (Turco et al., 2017). In strongly fuel-limited regions, however, forest fires can in the long run negatively feed back on fire activity (Pausas and Ribeiro, 2013). Lastly, beneficial conditions
- 70 in the early growing season past can exert a negative legacy on forest activity in dry summers, as early growth fosters canopy buildup and vitality in following dry periods through soil moisture depletion (Bastos et al., 2020a) and structural overshoot, i.e., an excessive canopy buildup relative to average climatic conditions (Bastos et al., 2020a; Zhang et al., 2021). These examples highlight that in addition to the (co-)occurrence, magnitude, and duration of heat and drought, their position in a the position of such meteorological precursors in the longer-term meteorological storyline modulates their interplay with other
- 75 disturbances and, thus, also their history likely modulates their impact on forest performancegreenness.

In the context of such interactions interaction and legacy effects the time-scale over which the meteorological storyline is point in time when such meteorological precursors are relevant to forest performance-greenness is intensively discussed . The meteorological in the literature. Meteorological impact has often been investigated by considering the mean over the

- 80 current growing season (e.g., Hlásny et al., 2017; Seftigen et al., 2018; Seidl et al., 2020). A recent comprehensive analysis of drought-induced canopy mortality Senf et al. (2020) revealed that hot-dry conditions are particularly harmful for canopy mortality in March to July(Senf et al., 2020). Neumann et al. (2017) stressed. Neumann et al. (2017) identified warm summer temperatures and high variability in seasonal precipitation as meteorological drivers of tree mortality. In a drought-prone region, forest drought stress was about equally determined by temperature in summer and the previous autumn, and precipitation
- 85 during the cold season (Williams et al., 2013). More generally, drought-induced partial or complete tree mortality shows a threshold behaviour (Brodribb et al., 2020; Senf et al., 2020); however, water stress is not equally harmful at all times. Especially outside or at the margins bounds of the growing season there are complicating factors such as growth compensation, soil moisture coupling, and snow melt (e.g., Harpold et al., 2015; Bastos et al., 2020a). Despite increasing understanding, a systematic analysis of the meteorological storyline of reductions in forest performance in Europe is still missing.

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The meteorological processes that are relevant for low forest <u>performance_greenness</u> cover a wide range of timescales. <u>Although longer-term Longer-term</u> meteorological extremes are of particular interest, <u>they can also be however</u>, <u>they are</u> <u>typically</u> composed of multiple shorter-term anomalies, which are not necessarily extreme at <u>that their respective</u> timescale (Röthlisberger et al., 2020). On the timescale of about 3–10 days, atmospheric blocking can induce surface heatwaves and

- 95 suppress precipitation by large-scale subsidence and high solar insulation (Pfahl and Wernli, 2012a; Zschenderlein et al., 2019). At the same time, the involved On somewhat longer time scales, recurrent and quasi-stationary upper-tropospheric ridge leads to reduced precipitationRossby wave patterns may also lead to co-occurring hot and dry conditions (Wolf et al., 2018; Röthlisberger and Ma In central Europe, summer heatwaves can also arise from weak synoptic forcing, which, in combination with a Scandinavian blocking, allowed for widespread hot-dry conditions in 2018 (Spensberger et al., 2020). During heatwaves with no or reduced
- 100 precipitation, the soil moisture-atmosphere coupling exacerbates the near-surface warming over drying soils (Fischer et al., 2007; Seneviratne et al., 2010). Accordingly, and especially in the Mediterranean, an extremely hot summer is more likely in years of a winter/spring precipitation deficit (Russo et al., 2019). (Russo et al., 2019). As Europe hardly experiences drought over a longer (multi-annual) time scale (Schubert et al., 2016), seasonal meteorology, which is strongly linked to weather system dynamics, is of particular interest for forest performancegreenness, and, therefore, in the focus of the present study.

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Despite great progress in understanding the eco-hydraulic mechanisms linking drought to events of reduced forest performance and, thus, greenness (Brodribb et al., 2020), a systematic analysis of meteorological storylines accompanying the meteorological history of such events is still lacking. The purpose of this study is to systematically document and characterize these meteorological storylines significant aspects of these meteorological histories in Europe's temperate and Mediterranean forests. Specifically,

- 110 this study seeks to identify meteorological precursors over the three years prior to reduced forest greenness in Europe. Hereby "precursors" are features in the meteorological histories that occur at a statistically significantly higher rate preceding reduced forest greenness events than in climatology, and that are shared among many events. We focus on the evolution of 90-day average 2-m temperature ($T2m_{90d}$) and precipitation (P_{90d}) as key eharacteristics of the three-year meteorological storylines variables and quantitatively address the following research questionsin Sect. 3.3: (1) What is the average meteorological
- 115 storyline preceding When and how deviated $T_{2m_{90d}}$ and P_{90d} significantly from climatology during the meteorological history of low forest greenness events in Europe's temperate and Mediterranean forests? (2) How anomalous is the magnitude and persistence of $T_{2m_{90d}}$ and P_{90d} anomalies at different time lags prior to the events? (3) Which changes Which anomalies in weather system frequency go frequencies went along with the meteorological storyline precursors identified in (1)? (4) How does the meteorological storyline differ for sequences of To identify low forest greenness events as compared to single events?
- 120 To do so, we use, generally characteristic for low productivity crown defoliating and tree mortality (Buras et al., 2021), we use persistently low values of the normalized difference vegetation index (*NDVI*) in summer 2000–2020 as a measure of reduced forest greenness 2002–2022 (Sects. 2.2 & 2.3). We also aim to answer whether the identified Based on a sub-sampling of the resulting low *NDVI* events feature more forest disturbances than non-events by evaluating them with a more comprehensive European forest disturbance data set by Senf and Seidl (2021a, Sect. ??).in combination with a bootstrapping test, we then

125 identify meteorological precursors along their meteorological history, and further investigate the spatial variation of weather system frequencies in Sect. 3. Finally, we critically discuss our results and the limitations of our analyses in Sect. 4.

The term "meteorological storyline" is central to this study. It encompasses the evolution of P_{90d} and $T2m_{90d}$ over the three years prior to low *NDVI* events, and, thereby, emphasizes the two fundamental meteorological variables at the seasonal time scale. Throughout the story we focus on different periods within, and use various measures of the meteorological storyline, respectively, e.g., anomalies of P_{90d} and $T2m_{90d}$ or their bi-variate evolution, to capture all its facets.

2 Data and methods

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We differentiate <u>broadly</u> between the temperate and Mediterranean biome according to Schultz (2005) in the domain extending from 10° W to 45° E and 35° N to 6065° N, excluding all boreal forests (Fig. 1a).

(a) forest grid cells in the study domain, separated into temperate and Mediterranean forests by the black dashed line. The
 boreal biome is cropped by the second dashed line in the Northeast of the domain. Hatching indicates where events cannot be evaluated with the disturbance data set (see Sect. ??). (b) the identification of low *NDVI* events in an example forest grid cell (details provided in the text).

2.1 Forest cover data

Forest Land surface cover observations are available from the global tree canopy cover data version 1.7 derived from Landsat

- 140 at one arc-second (\sim 30 m) Corine Land Cover (CLC) data set from the Copernicus Land Monitoring Service at 100 m horizontal resolution from the year 2000 (Hansen et al., 2013)2018 (Büttner et al., 2004). For comparison with the other data sets introduced below, we first interpolate the surface cover to 250 m resolution by nearest-neighbor interpolation. Following that, we mask all pixels except forest land cover classes (coniferous, broad-leaved, and mixed forest) to retain only forest pixels. We then coarse grain fractional forest area to $0.5^{\circ} \times 0.5^{\circ}$ grid cells, which is the spatial resolution of the meteorological
- 145 data set used here (ERA5, see below), FA, to 0.05° (~5 km)and to 0.5° (~50 km), hereafter denoted as FA^{0.05} and FA^{0.5}; respectively. For our analysis we only consider sufficiently forest-covered 0.05°×0.05° pixels, i.e., pixels with FA^{0.05} ≥ 50%, termed forest pixels. We additionally request that they lie within 0.5°×0.5° grid cells with a significant fraction of forest cover, defined here as FA^{0.5} ≥ FA^{min} = 10%, and hereafter refer to these grid cells as "forest coverage FA^{0.5} ≥ 20%, termed forest grid cells". According to this definition, there are 1'387 and 343 260 and 544 forest grid cells in the temperate and Mediterranean biome, respectively , as shown in (Fig. 1a).

2.2 Normalized difference vegetation index

We use the monthly 16-daily normalized difference vegetation index (NDVI) at $\frac{0.05^{\circ}}{2200}$ horizontal resolution from February 2000 to August 2020 March 2002 to August 2022 from NASA MODIS Terra provided on the Giovanni data system (Acker and Leptoukh, 2007) (Didan, 2015). It is based on the red (*RED*) and near-infrared (*NIR*) spectral irradiance:

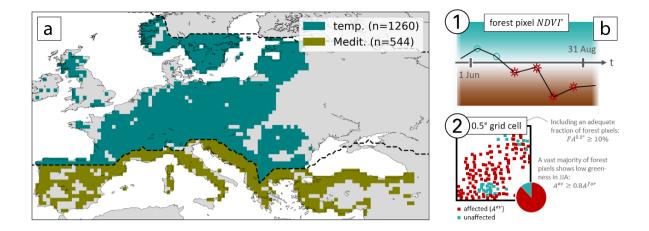


Figure 1. (a) forest grid cells $(FA^{0.5} \ge 10\%)$ in the study domain, separated into temperate and Mediterranean forests by the black dashed line. The boreal biome is cropped by the second dashed line in the Northeast of the domain. (b) an example of the identification of low NDVI grid cells, where (1) forest pixels are flagged if at least 4/6 time steps show negative NDVI', and (2) $0.5^{\circ} \times 0.5^{\circ}$ forest grid cells are flagged if more than 80% of the forest pixels within are flagged (details provided in the text).

$$155 \quad NDVI = \frac{NIR - RED}{NIR + RED} \tag{1}$$

The greener a vegetated surface is, the closer its *NDVI* is to +1 (Tucker, 1979). Note that at forest pixels, the *NDVI* additionally depends on the remaining vegetation cover, i.e., on within-pixel heterogeneity in surface reflectance properties. The *NDVI* serves as a measure of vegetation performance greenness and has previously been used to assess drought impact on ecosystems (Anyamba and Tucker, 2012; Orth et al., 2016; Buras et al., 2020). We linearly interpolate missing values from the previous and subsequent month, and The Application for Extracting and Exploring Analysis Ready Samples (AppEEARS) additionally provides MODIS pixel quality. We mask *NDVI* values that are of poor quality due to snow and clouds, and only retain *NDVI* values with good and marginal quality according to MODIS pixel quality. The resulting *NDVI* time series contain missing values, which we linearly interpolate from neighbouring time steps as in Buras et al. (2021). Finally, we perform a linear detrending of the entire time series as in Buras et al. (2020) due to a detected greening trend (Bastos et al., 2017). Gap interpolation is mainly necessary over water and hardly affects our analysis.

After this post-processing, we only consider normalized at every pixel j at time step t in year n we consider NDVI anomalies (NDVI') at forest pixels and $NDVI'_{j,t,n}$) from the median in June–August (JJA). At every forest pixel j in month m and year n, $NDVI'_{j,mn}$ results from dividing the absolute anomaly To later compare anomalies at different pixels, we standardize the anomalies by the local standard deviation $\sigma_j(NDVI)$, which is calculated from all 63 monthly NDVI

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anomalies in JJA 2000–2020. The respective climatology $\overline{NDVI_{j,m}}$ is calculated as the mean in 2000–2020. inter-quartile range $IQR_j(NDVI)$:

$$NDVI'_{\underline{j,mnj,t,n}} = \frac{NDVI_{j,mn} - \overline{NDVI_{j,m}}}{\sigma_j(NDVI)} \frac{NDVI_{j,t,n} - \overline{NDVI_j}}{IQR_j(NDVI)}$$
(2)

Note that $\sigma_j(NDVI)$ is merely used as a measure of inter-annual The climatological median $\overline{NDVI_j}$ and $IQR_j(NDVI)$ 175 are both calculated from all 126 NDVI variability in JJA, and not for quantifying the likelihood or the return period of a given value. The normalization is used here because the short data record precludes more sophisticated statistical modelling, and, at least to some extent, enables comparisons of NDVI values across Europe and over the study period. Also, according to a Shapiro-Wilk test, the assumption of normally distributed NDVI anomalies in JJA 2000–2020, which is implicit to normalization, cannot be rejected at 72% of all forest pixels (p-value > 0.05)2002–2022.

180 2.3 Identification of low NDVI eventsgrid cells

The aim of the scheme approach presented here is to identify persistently low NDVI events at $0.5^{\circ} \times 0.5^{\circ}$ forest grid cells, as this is the resolution in JJA at the relatively large 0.5° scale of the meteorological data used in this study (see below).reanalysis data, i.e., wide-spread low NDVI. In essence, it the approach (1) considers all forest pixels j within a forest grid cell J and flags them if their at least four out of the six NDVI' is below a specified threshold values in JJA are negative, and (2) identifies

an event in at forest grid cell *J* if the majority (i.e., a 0.5° × 0.5° grid cell with at least 10% forest cover) if at least 80% of forest pixels inside *J* is are flagged (Fig. 1b). Our identification scheme thus features three tuning parameters: (1) the *FA^{min}*.
(2) the minimum number of negative *NDVI'* per JJA at the pixel level (*n^{min}_{t,ev}*), and (3) the fraction of affected forest pixels per forest grid cell. An extensive sensitivity analysis to reasonable variations in these parameters is presented in Appendix A. Hereafter we detail the technical implementation of the approach.

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In the first step (1 in Fig. 1b), we calculate the annual minimum of the three $NDVI'_{j,mn}$ values in JJA of count the number of negative $NDVI'_{j,t,n}$ values for the six 16-daily values during JJA in year n, termed $NDVI'_{j,n}$. At every forest pixel j, an event flag $ev_{j,n}$ is determined according to the following criterion:

$$ev_{j,n} = \begin{cases} 1 & \text{if } NDVI'_{j,t,n} < 0 \text{ for } n_{t,ev} \ge n_{t,ev}^{min} = 4; t \in \{1, 2, ..., 6\}, \\ 0 & \text{otherwise.} \end{cases}$$
(3)

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In the second step (2 in Fig. 1b), we check whether more than half of the forest pixels in J are flagged as
$$ev_{j,n} = 1$$
. Therefore,
the the total area of forest pixels with $ev_{j,n} = 1$ in $J(A_{J,n}^{ev})$ has to be at least 5080% of the total forest pixel area in $J(A_J^{for}) = 1$ for which we use the term minimum affected ratio $AR^{min} = 80\%$ hereafter:

$$EV_{J,n} = \begin{cases} 1 & \text{if } A_{J,n}^{ev} \ge 0.8 A_J^{for}, \\ 0 & \text{otherwise.} \end{cases}$$
(4)

For Lastly, for the identified low NDVI events $(EV_{I,n} = 1)$ grid cells, we calculate a measure of event intensity. It is equal

to the spatial average of summer minimum $NDVI_{i,n}^{min}$ at as the average of JJA minimum $NDVI_{i,n}^{l}$ over all flagged forest 200 pixels ($ev_{j,n} = 1$), and, hereafter, termed $NDVI'_{Ln}^{min}$. Subscripts are omitted whenever possible without loss of clarity.

The resulting low NDVI grid cells ($EV_{Ln} = 1$) are tested for their sensitivity to the three threshold parameters AR^{min} , FA^{min} , and n_{tev}^{min} in Appendix A. Our choice of $AR^{min} = 80\%$ and $FA^{min} = 10\%$ was guided by compromising sufficient low NDVI grid cells for statistical evaluation and reasonable peculiarity of the low NDVI events, which represent a form of 205 extreme event. Furthermore, the main results of this study demonstrate a very low sensitivity to variation in these two threshold parameters, as to a reduction in n_{ten}^{min} . A substantial change of the identified low NDVI grid cells results only when increasing $n_{d,ent}^{min}$ to five, i.e., almost uninterruptedly negative NDVI in JJA. While these most extreme events would be worth studying, a robust statistical evaluation thereof would not be possible as the number of low NDVI grid cells diminishes drastically (by

210 almost a factor of ten).

2.4 ERA5 reanalysis data

Atmospheric fields are used from ERA5 reanalysis from the European Centre for Medium-Range Weather Forecasts (ECMWF, Hersbach et al., 2020) available hourly on 137 vertical levels and interpolated to on a regular grid with 0.5° horizontal resolution.

2.4.1 Seasonal 2-m temperature and precipitationNormalized meteorological 90-day anomalies 215

Our analyses focuses on seasonal averages focus on 90-day mean values of 2-m temperature $(T2m_{90d})$ and, total precipitation (P_{90d}) for the periods Sep-Nov (SON), Dec-Feb (DJF), Mar-May (MAM), cyclone frequency $(f_{90d}(C))$, and anticyclone frequency ($f_{90d}(A)$). The standard ERA5 variables $T2m_{90d}$ and Jun-Aug (JJA). For consistency - as we also use moving seasonal (90-day) averages - we calculate seasonal mean values always over 90 days, P_{90d} can directly be averaged, while cyclones and anticyclones are first identified from hourly sea level pressure (SLP) fields. These two most central weather 220 systems are of interest to the low NDVI events' meteorological history as they not only determine vertical and horizontal atmospheric transport of heat, momentum, and moisture, but are further of great importance to the temperature and humidity

- structure of the atmosphere. Cyclones (anticyclones) are identified according to Wernli and Schwierz (2006) and Sprenger et al. (2017) as objects of low (high) SLP and are, hence, identified from the outermost closed SLP isoline around local SLP minima
- (maxima). From these hourly object masks we then calculate weather system frequencies over ninety days, i.e., $f_{90d}(C)$ and 225 $f_{90d}(A)$. For all four variables, we calculate 90-day mean values as a right-aligned at the last day of the season moving average. Each 90-day mean value, therefore, is labelled by the time step of the last value that contributes to the average. Leap days are discarded from the analysis. The respective climatologies cover SON 1999 to JJA 2020. Throughout the study, we calculate at every grid cell normalized anomalies denoted as $T2m'_{90d}$ and P'_{90d} , e.g., climatologies of the four variables cover 90-day 230 averages from 1 September 2001 to 31 August 2022.

Based on these 90-day mean values, we compute normalized anomalies at every forest grid cell for variables $X = T2m_{90d}$, P_{90d} , $f_{90d}(C)$, and $f_{90d}(A)$ as follows:

$$\underline{PX'_{90d}} = \frac{\underline{P_{90d} - \overline{P_{90d}}}}{\sigma_{P_{90d}}} \frac{X_{90d} - \overline{X_{90d}}}{\sigma_{X_{90d}}}$$
(5)

235 where $\overline{P_{90d}}$ and $\sigma_{P_{90d}} X'_{90d}$ denotes the normalized anomaly, $\overline{X_{90d}}$ and $\sigma_{X_{90d}}$ denote the climatological seasonal mean and standard deviation in the considered 21 years, respectively. Note that as for NDVI' (Sect. 2.3), normalization of P'_{90d} and $T2m'_{90d}$, i.e., are calculated over 21 values. Note that the normalization of X_{90d} anomalies is used merely for scaling and spatiotemporal comparison, not with local variability. The scaling enables the spatiotemporal comparison of these anomalies and is not used to estimate the values anomalies' return period or likelihood.

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For better interpretability of individual meteorological histories, we express $f_{90d}(C)$, and $f_{90d}(A)$ also as anomalies relative to the climatological mean. These relative anomalies are calculated as follows, e.g.,: 2.4.2 ($T2m'_{90d}$, P'_{90d}) phase space

$$f_{90d}^{\prime rel}(C) = \frac{f_{90d}(C) - \overline{f_{90d}(C)}}{\overline{f_{90d}(C)}}$$
(6)

- 245 Moreover, we characterize the meteorological storylines in the $(T2m'_{90d}, P'_{90d})$ phase space, which we divide into five compartments (see dashed lines in Fig. ??). Q0 denotes the central area within a circle of radius 1 and indicates weak seasonal mean anomalies . Outside this circle, the remaining four compartments correspond to the quadrants of the phase space. We label them clockwise as Q1 (warm-wet), Q2 (hot-dry), Q3 (cold-dry), and Q4 (cold-wet). The frequency of a phase space compartment Q in a given set of events is termed f(Q). The climatological distributions of $(T2m'_{90d}, P'_{90d})$ over all forest
- 250 grid cells in both biomes and all seasons are shown in Fig. ??. In both biomes and all seasons, f(Q0) is about 40%. $T2m'_{90d}$ and P'_{90d} are anti-correlated in MAM, JJA, and SON (Fig. ??a,c,d,c,g,h), meaning that hot-dry and cold-wet conditions occur more frequently than warm-wet and cold-dry. In the temperate (Mediterranean) biome in JJA, $f(Q2 \lor Q4) = 44.0\%$ (40.8%), while Q1 and Q3 only make up for 14.9% (18.4%) of the season. In contrast, DJF seasonal anomalies are weakly positively correlated in both biomes (Fig. ??b,f), and are, therefore, more often in Q1 or Q3 compared to the other seasons.
- 255 2D hexagonal binning of the climatological frequency distribution of $(T2m'_{90d}, P'_{90d})$ in (a,c) SON, (b,f) DJF, (c,g) MAM, and (d,h) JJA for the (a-d) temperate (n = 29127), and (e-h) Mediterranean biome (n = 7203), respectively. Dashed lines mark the division into the phase space compartments Q0–Q4, and numbers indicate their climatological frequency

2.4.2 Significance assessment

We conduct two similar types of bootstrapping tests to assess significant differences in the meteorological storylines. Both tests a bootstrapping test to identify statistically significant meteorological precursors that are shared among the low *NDVI* grid cells. The details of how the test is conducted are described in greater detail in Appendix B. Broadly, the bootstrapping produces 101'000 synthetic samples of low *NDVI* events meteorological histories with a sample size equal to the number of low NDVI events under consideration, and we use a significance level of $\alpha = 5\%$. In Sects. 3.3.1, 3.3.2 & ?? we test the grid cells under consideration. These samples correspond to many realizations of meteorological histories that are expected in the

265 climatological reference period of 2002–2022, and are used to construct the null distributions for our statistical tests. We test the following null hypothesis $H_{0,EV}$ at different time lags Δt prior to the event time t_{ev} with a significance level of $\alpha = 5\%$:

 $H_{0,EV}$: The meteorological storyline at $t_0 - \Delta t$ history at $t_{ev} - \Delta t$ is unrelated to the occurrence of low NDVI events at $t_0 t_{ev}$.

- We use different test statistics to investigate several measures (with corresponding null distributions) to investigate different aspects of the meteorological storyline. These measures are the event history under consideration. These statistics are the sample mean $T2m'_{90d}$ and P'_{90d} , respectively, $f'_{90d}(C)$ and $f'_{90d}(A)$, respectively, and the fraction of Δt that is on average covered by warm ($T2m'_{90d} \gtrsim 0$) and dry periods , respectively, and the occurrence frequency of each ($T2m'_{90d}, P'_{90d}$) phase space compartment Q per event set($P'_{90d} < 0$), respectively. Moreover, the re-sampling our statistical procedure is designed to retain the spatial correlation of the original T2m and P meteorological fields (for details see Appendix B). The
- 275 median over all 10In the bootstrapping test, p-values are estimated from the percentiles of the 1'000 synthetic measures of the meteorological storyline is referred to as the reference climatology $\overline{T2m_{90d}^{\prime r}}$, $\overline{P_{90d}^{\prime r}}$, or $\overline{f(Q^r)}$. The reference confidence interval is computed from the 2.5th and 97.5th reference values. Values of the meteorological history under consideration that lie outside the range of the 1'000 corresponding synthetic values of the reference meteorological histories receive a p-value of 0 (Röthlisberger et al., 2016). We reject the above null hypothesis if an observed value lies outside the 2.5th to 97.5th per-
- 280 centile of all 10the null distribution (defined by the 1'000 values. At time lags values obtained from the bootstrapping). That is, we reject the null hypothesis at the 5% significance level. At time lags Δt when the meteorological storyline of the events lies outside the confidence interval, when the meteorological history of the low *NDVI* grid cells lies outside the confidence interval, $H_{0,EV}$ is rejected for time lag Δt .

In Sect. ?? we employ an analogous technique to identify statistically significant differences in the meteorological storylines of single low *NDVI* events (EV10) and sequences of events (EV11). Specifically, we draw 10'000 times a sample of n_{EV11} from the meteorological storylines of EV10, where n_{EV11} is the number of EV11. We again receive a 95% confidence interval - this time for the EV10 reference - and thereby test the null hypothesis $H_{0,EV11}$:

 $H_{0,EV11}$: The meteorological storyline of EV11 at Δt is the same as that of EV10.

2.4.3 Weather systems

290 Cyclones and anticyclones are identified from the outermost closed sea level pressure (*SLP*) contour around local *SLP* minima and maxima, respectively, according to Wernli and Schwierz (2006) and Sprenger et al. (2017). We calculate seasonal eyclone and anticyclone frequencies, $f_{90d}(C)$ and $f_{90d}(A)$, respectively, as right-aligned averages over 90 days. Moreover, we evaluate $T2m'_{90d}$, f'_{90d} , $f_{90d}(C)$, and $f_{90d}(A)$ continuously over time, i.e., moving 90-day averages.

2.5 Forest disturbances

- 295 For evaluating our set of low NDVI events we use the forest disturbance data set by Senf and Seidl (2021a) with an original resolution of 30 m. It is based on a time-series segmentation approach called LandTrendr (Kennedy et al., 2010) and identifies tree canopy mortality. The approach uses two spectral bands (shortwave infrared I and II) and two spectral indices (tasselled cap wetness and normalized burn ratio) from Tier 1 Landsat 4, 5, 7, and 8 images in Jun–Sep. For more details see Senf and Seidl (2021a). From this data set we use the annual disturbance area D_{J,n}, which is aggregated for every forest grid cell. D isshown for some
- 300 exemplary years in Fig. ??. More specifically, we use two measures: the disturbance anomaly D', and the rank of D among the 21 annual values $DR_{J,n}$:

$$D'_{J,n} = \frac{D_{J,n}}{\overline{D_J}} - 1$$

 $DR_{J,n} = rank(D_{J,n})$

at forest grid cell J in year n, with $\overline{D_J}$ denoting the climatological mean disturbance area in 2000–2020. The disturbance 305 data overlaps with 73% of the forest grid cells (Fig. 1a), which include 81% of all low *NDVI* events.

3 Results

3.1 Reduced forest performanceLow NDVI events in JJA 2002–2022

3.1.1 Low NDVI events in 2000–2020

- Low NDVI events cover covered substantial parts of both biomes in 2000–2020 JJA 2002–2022, and were by far the most
 frequent in 2022 (Fig. 2a,d). In the temperate biome, the years with the most wide spread event coverage most low NDVI grid cells in descending order were 2018, 2020, 2022, 2019, 2003, and 2010–2018, and 2020 (Fig. 2a,d). In 2018 close to 20Noteworthy, these four years all lie in the last five years of the study period. In 2022, 37% of temperate forests were affected by reduced greenness, while the two subsequent years covered 11–14% of which large parts were already affected in the low NDVI event, which far exceeded the previous record years 2019 and 2018 by 13% and 15%, respectively (Fig. 2d).
- 315 Additionally, parts of France and eastern Europe that were unaffected by any event in 2018 experienced some events in the succeeding summers. The top five-The top years in terms of affected forest area grid cells in the Mediterranean biome were 2017, 2012, 2000, 2001, and 20062022, 2008, 2005, and 2007, again sorted by decreasing area affected. In the most extensive event year 2017, low greenness affected 16% of the Mediterranean forest area Low *NDV1* grid cells were on average almost twice as frequent in the Mediterranean biome (9% yr⁻¹) compared to the temperate biome (5% yr⁻¹; Fig. 2d). Lastly, most low
- 320 *NDVI* events in each biome go along with increased disturbance area as measured by forest canopy mortality according to Senf and Seidl (2021a, Appendix C). More specifically, in the overlap period of the two data sets, most low *NDVI* grid cells

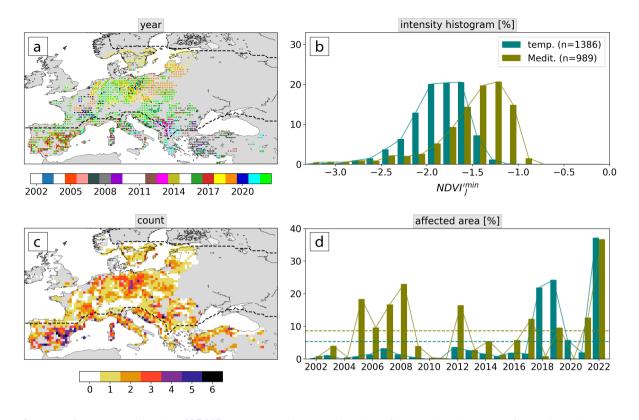


Figure 2. Maps of (a) years with a low *NDVI* eventyear, and (c) total number of events. (b) Histogram of event intensity as measured by *NDVI*^{*min*}, (c) *NDVI*^{*min*} in the number of events per forest grid celltwo biomes, and (d) time series of the event-affected forest biome-integrated area of low *NDVI* grid cells relative to the total temperate and Mediterranean biome all forest grid cells (in %). Years with less than 15 events few low *NDVI* grid cells are shown in white in (a). In (a) and (b) each grid cell is split into four quads, therefore showing the variables for event year of up to the four most intense events. Dashed lines in different (d) show the average over all years. Dashed lines in (a, ordered by intensity from top left to bottom rightc) delineate the temperate and Mediterranean biome.

are among the top four and five ranks regarding the disturbance area in temperate and Mediterranean forests, respectively.

- Furthermore, the intensity and frequency of the low NDVI events varied over Europe. Mediterranean forests faced more
 but typically less intense events, as measured by the grid cell-wide average of summer minimum NDVI' (Fig. 2b, Sect. 2.3).
 NDVI'^{min} was usually between -1 and -2 IQRs, whereas it was about 0.5 lower for temperate forests. Hot-spot regions with two to three three or more events during the 21 years were Germany, France, and southeastern Europe northeastern Germany, the Balkans, and large parts of the Mediterranean biome (Fig. 2c). The latterwas affected mainly in 2000, In the latter, we find many low NDVI grid cells in Spain in 2005, in Turkey in 2007 , and in 2012–20132008, in Italy in 2017, and in 2022 in
- 330 the northern Mediterranean (Appendix D). Central Europe was largely affected by the 2018–2019 events, while further to the Southwest, 2003, 2005–2006, 2015, and 2019–2020 were the most dominant event years (Fig. 2d). Event intensity as measured

by NDVI/min (see Sect. 2.3) averaged at -2.7 in both biomes, and was strongest in events in southeastern Europe and in the 2010 event in Russia (Fig. 2b). Two forest grid cells in the French Massif Central and one in the UK were struck by four or five events, which, however, were of only intermediate intensity past five years, while the 2018–2019 events extended further

335 to Scandinavia and the Baltic, and 2020 and 2022 affected also parts of southern France and eastern Europe, respectively. Note that one third 26% of the forest regions in the study domain never experienced an event in JJA $\frac{2000-2020}{2002-2002}$ (Fig. 2c). These grid cells are in northeastern Europe or in mountainous regions including the Alps, the Carpathians, the southern Dinaric Alps, and the Eastern Black Sea Mountains. After a brief comparison of low NDVI events to independent forest disturbance data in the following section, we use these events in both biomes separately as the basis for specific meteorological analyses in 340 Sect. 3.3.

3.1.1 Event evaluation

3.2 **Examples of meteorological histories**

To evaluate the low Low NDVI events identified in the previous sections, we qualitatively compare them to the independent disturbance data set of Senf and Seidl (2021a). In 67% of all affected different forest regions all over Europe with varying

- intensity, each with its own meteorological history. We first present three examples of low NDVI events the disturbance area D 345 is larger than on average in 2000–2020 - more often in the temperate (69%)than in the Mediterranean biome (56% that affected regions in Spain in 2005 (SPA05), in the Balkans in 2013 (BAL13), and in France in 2022 (FRA22; Fig. Clacc). The median disturbed area increases by +23% and +13% during events in the temperate and Mediterranean biome, respectively. Furthermore, non-events typically go along with negative D' in the temperate (64% of non-events) and the Mediterranean biome (66%). Figure C1b, daddit
- shows the disturbance area rank, DR, from 3). First, these examples illustrate that the low NDVI events identified in this study 350 not necessarily featured a very low NDVI/min, i.e., a strong magnitude of negative NDVI'. For example, some grid cells of the SPA05 region were identified as low NDVI grid cells with $NDVI_{I}^{min}$ just above -1(smallest D in 2000–2020)to 21 (largest D). With 1-5 events per affected forest grid cell (Fig. 2)an event would go along with DR 17-21 if the event years were equal to the years of largest disturbed area. Most events indeed cover ranks 17-21 and 16-21 for the temperate
- and Mediterranean biome, respectively. We conclude that, while others with $NDVI_{1}^{min} < -1.75$ in northern Spain were not 355 identified (Fig. 3a,d). In fact, this is an expected behaviour of our identification scheme as low NDVI grid cells are meant to indicate that a very large fraction of forest pixels in that grid cell experienced persistently low NDVI (Sect. 2.3). In many cases, however, event intensity as a JJA-integrated quantity is in many cases increased at low NDVI events tend to go along with more forest disturbances, i.e., enhanced canopy mortality, and rank among the largest forest disturbances at forest grid
- eells, grid cells, compared to their event-unaffected surrounding, illustrated at the example of France in 2022 (Fig. 3c,f). Lastly, 360 another interesting case (not shown) occurred in 2014 in Slovenia, where an ice storm in DJF-6m caused a few low NDVI grid cells (Appendix D; Buras et al., 2021; Senf and Seidl, 2021c).

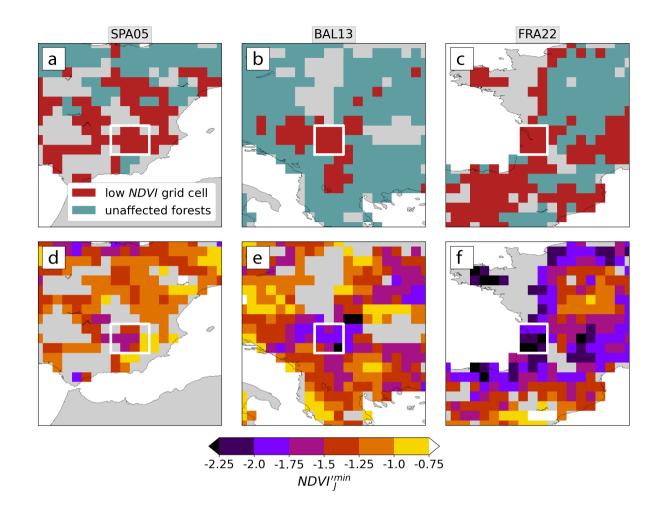


Figure 3. Event evaluation for (a,ba-c) the temperate-Low NDVI grid cells and (e,d-f) the Mediterranean biome. event intensity measured by $NDVI_{I}^{min}$ in (a,ed) box plots of the disturbance anomaly D' of low NDVI event Spain in 2005, (redb,c) and non-event grid cells the Balkans in 2013, (turquoisec,f) -France in 2022. The distribution mean is shown by a yellow trianglefocus regions of SPA05, outliers BAL13, and FRA22 are omitted framed with white boxes (b,d) histograms of ranks 1-21 of disturbance area DR of low NDVI event grid cells. The median is shown by the vertical line.

While the two data sets correspond particularly well in years of widespread events, e. g., 2003, 2018, 2019, and 2020 (see 365 We now introduce the concept of a three-year meteorological history prior to low NDVI events for these three examples. For SPA05, the meteorological history was characterized by a shift from a precipitation surplus during SON-21m to JJA-12m to a precipitation deficit during the year prior to the low NDVI event (Fig. ??), there are substantial discrepancies between the two data sets in other years. For example, 36% of non-event grid cells experience a positive D'. We show in Appendix ?? that several severe winter storms caused large D but did not manifest in very low NDVI in the following summer. Examples 370 for storms that were not followed by a 4a). Note that we here use a notation for seasons (e.g., SON-21m) that indicates their

negative time lag to the events (i.e., SON-21m is the autumn 21 months prior to the low NDVI event are Lothar in western Europe in winter 1999/2000, Gudrun in Scandinavia in 2004/05, Kyrill in Germany in 2006/07, and Vaia in northern Italy in 2018/19. Furthermore, D of several low NDVI events ranks among the lowest during the study period, which can be explained by a number of conceptual, technical, and physical reasons (see discussion in Sect. 4.1). Nevertheless, Fig. C1 reveals that low

NDVI events are also associated with increased canopy mortality and we, thus, proceed to analyzing their meteorological

375

storyline.

3.3 Meteorological storyline of low *NDV1* events

We investigate four characteristics of the meteorological storyline prior to low NDVI events separately for the two biomes. Thereby, we first consider the anomalies T2m'_{90d} and P'_{90d} and their link to anomalous weather system frequencies continuously
(summer, termed JJA-ev). While the cyclone frequency was more than doubled during the former wet period, the period with negative P'_{90d} featured almost no cyclones at all. The relative cyclone frequency anomaly f'^{rel}_{00d}(C) was most negative (-50% to -100%) in the climatological cyclone season from SON to MAM (Fig. 4a). For example in early MAM-3m, P'_{90d} = -1.5 coincided with f^{rel}_{00d}(C) of close to -75%. The meteorological history of SPA05 further featured strong cold spells in MAM-15m to JJA-12m and in DJF-6m to MAM-3m with T2m'_{90d} as low as -2.6 (Fig. 4b). Warm periods occurred
in JJA-ev and DJF-18m and coincided with an increased frequency of anticyclones, i.e., a right-aligned 90-day running mean) positive f'^{rel}_{00d}(A). In the second example, BAL13, the magnitude of negative P'_{90d} was also a dominant feature of the meteorological history with even more distinctive persistence over the three years prior to the events (Sect. 3.3.1). Second, we assess the persistence of these anomalies (Sect. 3.3.2). Based on these results, we focus on the shorter meteorological

- storyline of the six previous seasons to, thirdly, compare the meteorological storyline of event sequences (i.e., two consecutive summers with (Fig. 4c). Similar to SPA05, a precipitation deficit was often related to negative $f_{90d}^{\prime rel}(C)$ with some exceptions, e.g., in SON-9m. JJA-12m was characterized by the largest $T2m'_{90d} = +2.9$ of all examples and neither coincided with substantial changes in $f_{90d}^{\prime rel}(A)$ or in $f_{90d}^{\prime rel}(C)$ (Fig. 4c,d). Despite continuously positive $T2m'_{90d}$ from JJA-12m to JJA-ev, the meteorological conditions became less dry and less hot than in JJA-12m, when, interestingly, the BAL13 region was mostly unaffected by low NDVI events) with those of single events (Sect. ??). Lastly in Sect. ??, we analyze anomalies in the
- frequency of seasonal (T2m'_{90d}, P'_{90d}) phase space compartments, again addressing the link to weather systems.(Appendix D). The most recent event FRA22 had again a different meteorological history. It stands out with anomalously high T2m'_{90d} over the six months preceding the event that was related to negative f'^{rel}_{and}(A) over considerable portions of that period (Fig. 4f). Moreover, P'_{90d} during most of these six months was only slightly negative, and strongly positive when going further back in the meteorological history, e.g., in JJA-12m (+2.6; Fig. 4e). One last noteworthy disparity of FRA22 compared to BAL13 is that JJA-12m was persistently colder alongside negative f'^{rel}_{90d}(A).

3.2.1 Uni-variate P'_{90d} and $T2m'_{90d}$ and weather system frequencies

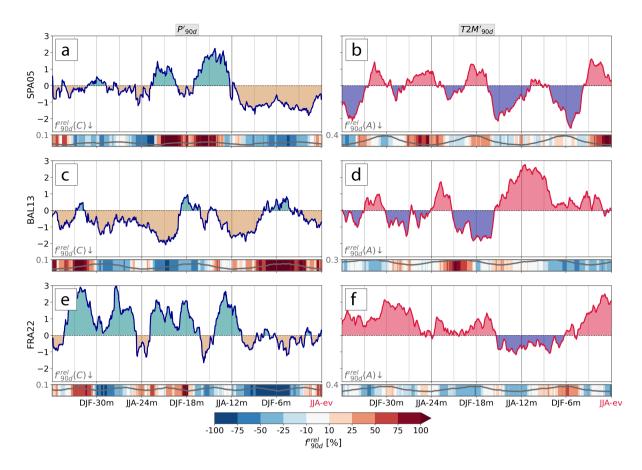


Figure 4. Average three-year Three-year evolution of $(a,c,e) P'_{90d}$ and $(b,d,f) T2m'_{90d}$ leading up to low NDVI events in (red linesa,b) The grey shading displays the confidence interval of the tested null hypothesis $H_{0,EV}$ Spain in 2005, i.e., the 2.5th 97.5th percentile of the reference climatology (see Sect. 2.4.2c,d) - Solid and dashed lines show the 90-day Balkans in 2013, (e,f) cyclone and (g,h) anticyclone frequency-France in elimatology and during events, respectively. Colored shading indicates the respective anomalies $f'_{90d}(C)$ and $f'_{90d}(A)$. Plots apply to events in the 2022. The relative anomaly of (a,c,e,g) temperatecyclone frequency, and of (b,d,f,h) Mediterranean biomeanticyclone frequency is shaded and their climatological mean is shown as grey line in the heat map panels.

Figure 5 displays the evolution of P'_{90d} and $T2m'_{90d}$ and collocated weather system frequencies for events both biomes. For instance, the red line in Fig. 5a shows the time evolution of the 90-day averaged precipitation anomaly during the three years While they are illustrative, these exemplary meteorological histories of SPA05, BAL13, and FRA22 reveal great variability and

405 While they are illustrative, these exemplary meteorological histories of SPA05, BAL13, and FRA22 reveal great variability and clearly do not allow to draw any causal inferences about how certain aspects of these histories alter the likelihood of low NDVI events. The events' meteorological histories share certain characteristics but also clear disparities emerge, for example, P'_{90d} in JJA-ev or $T2m'_{90d}$ in the last year before the event. In the next section we thus systematically analyze the meteorological history of all identified low NDVI events, and use our sub-sampling and bootstrapping procedure to identify statistically

410 significant meteorological precursors to these events. Again recall that these precursors are features of the low NDVI events'

meteorological histories that were statistically significantly more frequent prior to low *NDVI* events , averaged for all 1'228 compared to climatology.

3.3 Systematic meteorological precursors of low NDVI events

The previous two sections have illustrated that (i) low NDVI events were unequally distributed over the study period -

- 415 especially in temperate forests -, and (ii) a more systematic analysis of meteorological histories is needed to assess their relevance for the low NDVI events grid cells. To account for the uneven distribution of events across years, we investigate the average meteorological history of a random sub-sample of low NDVI grid cells in the temperate biome. The events occur in JJA-0m on the very right of the diagram and are characterized by a precipitation deficit of about 1 standard deviation. This is clearly outside of the confidence interval of the hypothesis test that evaluates $H_{0,EV}$: "The meteorological storyline is
- 420 not related to the occurrence of and Mediterranean biome separately. The sub-sample includes a maximum of ten randomly selected low *NDVI* grid cells per year. Given the 21-year-long study period, there is a maximum of 210 contributing low *NDVI* grid cells. For years with less than or exactly ten low *NDVI* grid cells, all events of the respective year contribute to the sub-sample. The resulting average meteorological history of the temperate and Mediterranean biome is a mean over 170 and 164 low *NDVI* grid cells, respectively (see Appendix A). Due to the randomness involved in the sub-sampling, we
- 425 repeat the procedure $n_{samp} = 10$ times to create a variety of average meteorological histories that account for the variability in years when many low *NDVI* grid cells were identified. Our bootstrapping test (significance level $\alpha = 5\%$) is then applied to the anomalies of the averaged meteorological histories to identify statistically significant meteorological precursors of the low *NDVI* events "in 2002–2022 (Sect. 2.4.2).

First of all, event anomalies more than two years back (before JJA-24m) are mostly within the reference confidence interval,

430 i.e., are not significantly different from the climatological reference. After that, periods of negative P'_{90d} are highly prominent in both biomes (In the first part of this section, we analyse the magnitude of $T2m'_{90d}$, P'_{90d} , $f'_{90d}(C)$, and $f'_{90d}(A)$ during the three years leading to low NDVI events - similar to Fig. 5a, b). In 4. Second, we investigate the persistence of dry ($P'_{90d} < 0$) and hot ($T2m'_{90d} > 0$) meteorological anomalies.

435 3.3.1 Magnitude of meteorological anomalies

The most remarkable meteorological precursors of low NDVI events in the temperate biome , a first period of are significantly negative P'_{90d} stretches over almost the entire year prior to events , and is significant at DJF-18m and MAM-15m (and positive $T2m'_{90d}$ during the four months preceding the events (bold lines in Fig. 5a). Interestingly, DJF-6m is wetter than usual with a peak anomaly of +0.4 that is significantly different from the reference elimatology. Afterwards, P'_{90d} drops abruptly and

440 is significantly reduced in ,c). Note that the 90-day anomaly four months prior to events, reaching -1.0 during JJA-0m. In the Mediterranean biome during twenty the event represents the conditions during the six to four months prior to events, JJA-ev, i.e., the time instances denoted here and in the following mark the end of the anomalous time periods. Furthermore, the meteorological history of low *NDVI* events in temperate forests showed significantly reduced P'_{90d} in JJA-12m (Fig. 5a).

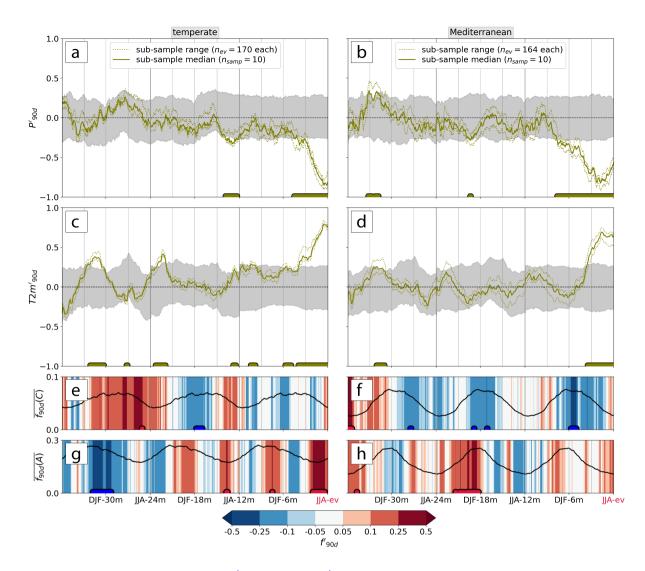


Figure 5. Average three-year evolution of (a,c) P'_{90d} and (b,d) $T2m'_{90d}$ at low NDVI grid cells (olive lines). The range spanned up by the $n_{samp} = 10$ sub-samples (of n_{ev} low NDVI grid cells each) is dotted, their median in solid. The confidence interval (CI), i.e., the $2.5^{th} - 97.5^{th}$ percentile of the reference climatology is shaded grey (see Sect. 2.4.2). The normalized (e,f) cyclone and (g,h) anticyclone frequency anomalies $f'_{90d}(C)$ and $f'_{90d}(A)$, respectively, as median over the 10 samples are shaded in colors. The 90-day climatology of the weather system frequencies is displayed as solid line. Plots apply to events in the (a,c,e,g) temperate, and (b,d,f,h) Mediterranean biome. Statistically significant median values outside the 95% CI are marked by colored dots at the bottom of each panel.

445

In between JJA-12m and JJA-ev, P'_{90d} is continuously negative and exerts distinct significant peak values (Fig. 5b). The $H_{0,EV}$ ean further be rejected for P'_{90d} in JJA-0m, when P'_{90d} drops to about -1.0. All in all, distinct features of the events' previous P'_{90d} evolution are a dry JJA-0m, negative P'_{90d} already in the previous year, and, in the temperate biome, a remarkable wet period in the cold season prior to the events.

In some contrast remained mostly negative but not with a statistically significant magnitude. Similar to P'_{90d} , after JJA-24m the meteorological storyline in the Mediterranean biome features positive $T2m'_{90d}$ in JJA and negative also for $T2m'_{90d}$

- 450 significant signals along the meteorological history were always of the same sign (positive in DJF, although these anomalies are largely not statistically significant the case of $T2m'_{990d}$). Further warm peaks occurred in MAM-3m, in SON-9m, in JJA-12m, in SON-21m, and in DJF-30m (Fig. 5d). In the temperate biome, $T2m'_{90d}$ is almost exclusively positive after JJA-24m and is unusually increased during SON-21m and SON-9m c). Many of the highlighted periods when the magnitude of meteorological conditions were unusual coincided with larger spread of the ten low *NDVI* grid cell sub-samples. For example, P'_{90d} in
- 455 JJA-12m ranged between -0,2 and -0.4, indicating that the years with many low NDVI grid cells (e.g., 2022, 2019, and 2018) showed increased variability at that point in time (Fig. 5e). The most prominent (and highly significant) warm anomaly of +1.2 and +0.8 arises during the three and four months prior to events in the temperate and Mediterranean biome, respectively a). That is, some NDVI grid cells showed a stronger, and others a weaker or no precipitation deficit in JJA-12m, respectively.
- 460 In Mediterranean forests, the magnitude of hot and dry anomalies in JJA-ev were comparable to those in temperate forests, with the difference that significantly negative P'_{90d} emerged already eight months before low NDVI events during DJF-6m (Fig. 5eb,d). So a hot JJA-0m, and, in One more dry period in DJF-18m was significantly different from climatology, as was a wet and warm anomaly in DJF-30m (Fig. 5b). Apart from the few mentioned anomalies, the temperate biome, warm periods in SON-21m and meteorological anomalies further back than three seasons (before SON-9memerge as key constituents of the period in birth and the prior to be a birth of the period back that there is blick the period back that the seasons (before SON-9memerge as key constituents of the period back that the period back that the seasons (before SON-9memerge as key constituents of the period back that the period back that the seasons (before SON-9memerge as key constituents of the period back that the period back that the period back that the period back that the seasons (before SON-9memerge as key constituents of the period back that the period ba
- 465 the meteorological storyline of) were within the variability expected from the climatology. Similar to temperate forests, the uncertainty induced by the random sub-sampling is larger when anomalies were of greater magnitude. This applies in particular for the anomalies preceding the low *NDVI* events by more than one year.
- Most of these distinct anomalies relate to a specific collocated weather system frequency anomaly. In Mediterranean forest regions, the climatological peak in 90-day averaged cyclone frequency, $f_{90d}(C)$, of ~10% occurs from DJF to MAM the highlighted anomalies in surface meteorology went along with significant anomalies in the occurrence frequency of weather systems (Fig. 5f). In particular in the 20 month-long dry period, $f_{90d}(C)$ is reduced twice during that peak phase by up to -3%. The onset of that dry period in SON-21m is related to the strongest increase in anticyclone frequency during the entire meteorological storyline of $f'_{90d}(A) = +5\%$ e-h). Note that we here explore the median history of $f'_{90d}(C)$ and $f'_{90d}(A)$, i.e.,
- 475 of normalized anomalies that are comparable across space and time, and not of $f_{90d}^{\prime rel}(C)$ and $f_{90d}^{\prime rel}(A)$ as in Sect. 3.2, which are more meaningful in a local context (Sect. 2.4). In the temperate biome, the hot-dry conditions leading up to JJA-ev related to a concurrent positive $f_{90d}^{\prime}(A)$ (Fig. 5b,h). Further, g). Already in JJA-12m, negative P_{90d}^{\prime} went along with significantly increased $f_{90d}(A)$ is increased at the beginning and during the most intense phase of the significantly drier period from DJF-6m to JJA-0m. Similarly in the temperate biome, These positive anomalies thereby occurred in the season when anticyclones are
- 480 climatologically the least frequent. Further back in time, negative $f'_{90d}(C)$ in MAM-15m had no direct connection to anomalies in surface meteorology, and the DJF-30m warm anomaly related to persistently negative $f'_{90d}(A)$. In the Mediterranean biome, there were four periods of significantly negative $f'_{90d}(C)$ co-occurs with the pronounced dry periods from SON-21m to JJA-12m

and from MAM-3m to JJA-0m: two of them, in DJF-18m and during the 8-month long dry period before the event, coincided with $P'_{90d} \leq 0$ (Fig. 5a,e). Positive $f'_{90d}(C)$ only occurs during the intermittent wet period when the anticyclone frequency

- 485 is reduced by $\sim -2\%$ (note the elimatological value of 20–30%; f). Note that these were during periods when cyclones are climatologically the most frequent. Moreover in the drier than usual DJF-18m, $f'_{90d}(A)$ was persistently positive (Fig. 5g). As for Mediterranean forests, the three significantly drier periods in temperate forests in DJF-18m, JJA-12m, and JJA-0m correspond to peaks in $f'_{90d}(A)$ of +2 to +5%. The effect of the two weather systems on h). To summarize, significant changes in weather system frequencies often occurred simultaneously with the time periods when the magnitude of P'_{90d} and $T2m'_{90d}$
- 490 depends on the season. Anticyclones cause locally warmer conditions in JJA (were identified as meteorological precursors of low *NDVI* grid cells.

The systematic assessment of meteorological histories across all low *NDV1* grid cells in 2002–2022 has revealed several meteorological precursors of low *NDV1* events in temperate and Mediterranean forests. In addition to the mere magnitude

- 495 of $T2m'_{90d}$ and P'_{90d} , some of these anomalies have co-occurred with a significantly altered frequency of cyclones and/or anticyclones. At the biome scale, anticyclones went along with locally drier conditions across all low NDVI grid cells, e.g., in JJA-0m) and colder conditions in DJF (e.g., JJA-ev and in JJA-12m (temperate), and in DJF-18m), respectively (Fig. 5c, d, g,h). The effect of cyclones on (Mediterranean). Further, the lack of cyclones in the Mediterranean in their climatological peak season linked to significantly reduced P'_{90d} . Figure 5 reveals that some $T2m'_{90d}$ is less systematic at the biome scale (cf. and
- 500 P'_{00d} signals were not significantly unusual in their magnitude, however, seem to have been of unusual persistence. For example, warm anomalies in temperate forests were hardly ever interrupted during the entire meteorological history (Fig. ??)and will be addressed amongst others in Sect. ??. All in all, collocated weather systems seem to have a clear imprint on the most anomalous periods of the meteorological storyline5c). Therefore, we next analyze the persistence of dry and warm anomalies.

3.3.2 Persistence of dry and warm periods

505 The percentage of the integration period with a biome-wide average (a,c) dry $(P'_{90d} \le 0)$ and (b,d) warm periods $(T2m'_{90d} \ge 0)$ for decreasing integration period Δt prior to low *NDVI* events. The grey shading displays the 95% confidence interval (CI) of the reference climatology (see Sect. 2.4.2).

The previous section also pointed to not necessarily intense but unusually persistent dry and warm periods, which we investigate in more detail in FigureFig. 6. To do so we take the data displayed in Fig. 5 and compute the fraction of positive and

510 negative anomalies of $T2m'_{90d}$ and P'_{90d} , respectively, from a single seasonal 90-day average to three years prior to the low NDVI events(red line in Fig. 6). This is done, again, for the ten sub-samples individually, of which we calculate a median time series. Moreover, analogously to Fig. 5, we contrast the respective fractions to values expected under the null hypothesis $H_{0,EV}$ that the fraction of dryand/warm periods preceding the low NDVI events (i.e., during Δt) are were unrelated to these events (grey shading in Fig. 6; Sect. 2.4.2).

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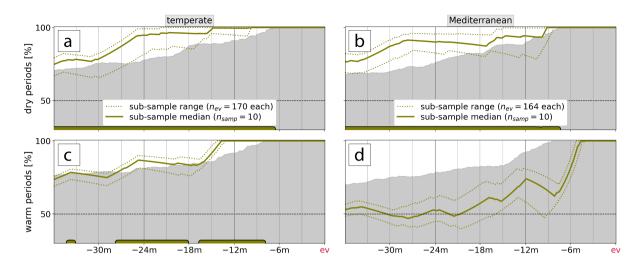


Figure 6. The average fraction of the integration period with a (a,c) dry $(P'_{90d} < 0)$ and (b,d) warm period $(T2m'_{90d} > 0)$ for decreasing integration period Δt prior to low *NDVI* events. The range spanned up by the $n_{samp} = 10$ sub-samples (of n_{ev} low *NDVI* grid cells per biome) is dotted, their median in solid. The grey shading displays the 95% confidence interval (CI) of the reference climatology. Statistically significant median values outside the 95% CI are marked by colored dots at the bottom of each panel.

In the temperate biome, the 5-month persistence of the most recent dry period up to JJA-Om is not unusual when going back more than 8-months prior to low NDVI events, the persistence of warm and dry anomalies was each a statistically significant meteorological precursor to low NDVI grid cells in 2002–2022 (Fig. 6a),contrary to its-,c). While $T2m'_{90d}$ and P'_{90d} prior to this period were only shortly of significant magnitude (Fig. 5a). However, when ,c), their persistence was unusual farther back along the meteorological history. When considering two years before events, dry periods make up almost 80accounted for 82–99% of that time period, where the range is spanned up by the ten random sub-samples, which is significantly different

for 82–99% of that time period, where the range is spanned up by the ten random sub-samples, which is significantly different from more than the climatological expectation . Thus, the fact that 80(Fig. 6a). Similarly, the persistence of warm anomalies during 81–90% of the preceding two years are anomalously dry emerges as a further key aspect of the meteorological storyline of temperate biome low-were significantly anomalous (Fig. 6c) for nine out of the ten sub-sample meteorological histories (not

520

- 525 shown). When considering all ten sub-samples separately, we find that the persistence of dry periods and warm periods was significantly different from climatology at least over 26 and 25 months prior to low NDVI events. Warm periods accumulate to a highly unusual degree when integrating over more than ten preceding months, and are interrupted by hardly any cold period (Fig. 6c). This characteristic is events, respectively. This is also the integration period when these two meteorological precursors were most distinct - i.e., most clearly rejects when $H_{0,EV}$ - at a time scale of 18 months before low NDVI events.
- 530 So persistent and recurrent warm anomalies and is most clearly rejected. So an accumulation of both warm and dry periods over the about two previous years are were peculiarities of events in the temperate biome.

In the Mediterranean biome, warm periods are more frequent were more frequent than usual but not significantly so compared to the reference climatology (Fig. 6d), while which is an interesting contrast to the temperate biome. None of the ten

- 535 sub-samples indicates that positive $T2m'_{900d}$ were unusually persistent for any Δt during the meteorological history. Similar to the temperate biome, dry periods accumulate to a highly unusual degree . When when going back more than eight months , the frequency of dry periods is clearly unique to low *NDVI* events (Fig. 6b). The lowest p-value is reached 20-28 months prior to events, when the uninterrupted dry period starts to arise. So in the Mediterranean, prior to low *NDVI* events dry periods persist over 18 months and are a distinct feature of the events' meteorological storyline considering time periods up
- 540 to 31 months prior to the events dry periods persisted over 81–95% of the time afterwards. Again considering each of the 10 sub-samples individually, we conclude that the persistence of dry periods was increased over at least 34 months preceding low NDVI events, which is longer than for low NDVI events in temperate forests. In contrast, the persistence of warm conditions appears not to be crucial for Mediterranean does not emerge as a significant precursor to low NDVI events in the Mediterranean.

545 3.3.3 Event sequences

3.4 Spatial patterns of weather system anomalies

In addition Additional to the biome-wide analyses of the previous sections, Figure ?? shows the meteorological storyline of two subgroups: two-year event sequences (EV11) and single events including their recovery (EV10). The two-digit binary code indicates whether there was an event in JJA-0m, and in JJA+12m, respectively. This time, we test the null hypothesis $H_{0,EV11}$ that the meteorological storyline of EV11 is the same as that of EV10 (blue shaded confidence interval in Fig. ??;

- Sect. 2.4.2). Note that the meteorological storyline of the two subgroups are fairly similar especially for the temperate biome as forest regions belonging to EV10 and EV11 can be neighbouring, and as EV11 mostly occur when EV10 are wide-spread too. The signal of EV10 stems to 42% from 2018/19 and 2019/20 when also 82% of EV11 occur. Nevertheless, averages shown in Fig. 5e-h, anomalies in weather system frequencies exert some typical spatial patterns along the meteorological history, which we illustrate at the example of the differences between the two can be instructive about why a second event occurs
- in EV11 but not in EV10. Moreover, the meteorological storyline of EV10 up to JJA-0m is very similar to that of all events (Fig. 5), as due to the grouping only sequential events (n = 92) and those in 2020 past year prior to JJA-ev. Based on our set of low NDVI grid cells, we identify common patterns in the anomalies of weather system frequencies in the grid cells' meteorological history. Note, however, that the robustness of such an analysis is inherently low at the local scale due to the
- 560

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rarity of low *NDVI* events (Sect. 3.1). Figure 7 shows the consistency in sign of $f_{90d}^{\prime rel}(A)$ and $f_{90d}^{\prime rel}(C)$ for the 90-day periods of approximately JJA-ev (n = 186) are excluded.

Several aspects point to a contrasting meteorological storyline during event sequences as compared to single events in temperate forests. Firstly, P'_{90d} up to MAM-0m are similar for EV10 and EV11 (Fig. ??a), while $T2m'_{90d}$ is slightly increased for EV11-7a,b) and MAM-3m (Fig. ??c). In JJA-0m, when both groups experience an event, the hot-dry anomaly is more pronounced for EV11 events. Afterwards in EV10 hot and dry anomalies gradually weaken and DIE+6m to MAM+0m

565 pronounced for EV11 events . Afterwards in EV10 hot and dry anomalies gradually weaken and DJF+6m to MAM+9m

features a wet period of +0.4. The following JJA+12m exerts conditions close to climatology. In contrast, anomalies during EV11 are more pronounced and significantly different from EV10 from SON+3m to JJA+12m. While the wet period during the cold season peaks at +1.37c,d), P'_{90d} decreases again towards JJA+12m (Fig. ??a). $T2m'_{90}$ is by about 0.5 larger in EV11 as compared to EV10 from SON+3m until JJA+12m (Fig. ??c).Interestingly, the meteorological storylines also contrast between

- 570 the one event of EV10 (in JJA-0m), and in the second event of EV11 (in JJA+12m). Despite showing a larger wet anomaly in the previous cold season, the second event of EV11 goes along with less anomalous dry conditions in JJA+12 as compared to EV10 respectively, for all forest grid cells that experienced at least two low *NDV1* events in JJA-0m. The same applies for the warm anomaly during JJA, and when comparing the meteorological anomalies of the second with the first event summer of EV11. These contrasts between the second event of EV11 and EV10 or the first event of EV11 in JJA are an indication of
- 575 legacy effects, which are not related to the prevailing seasonal meteorology. What fosters the occurrence of another low *NDV1* event are, therefore, either the warm anomalies more than one year back, the hot-dry anomalies in the previous JJA, or the warm-wet anomalies in the previous cold season, which were all significantly stronger for the second event of EV11 than for the first event or for EV10,2002–2022. The results for DJF-6m and SON-9m are shown in Appendix E.
- 580 Mediterranean forests show a clear sign of recovery in EV10 and fewer differences between the second event of EV11 and single events. The first clearly significant difference between the meteorological storylines of EV11 In the temperate biome, changes in weather system frequencies that were consistent among most or all low NDVI events at one location occurred in 66-81% and in 54-64% of the considered forest grid cells in JJA-ev and EV10 arises in MAM-3m, when EV11 exerts much lower $T2m'_{00d}$, respectively. Most prominently, northeastern Europe showed a consistent increase of anticyclones and decrease of cyclones, respectively, in JJA-ev (Fig. 7a,b). Negative $f_{00d}^{rrel}(C)$ was consistent among all low NDVI events at the 585 southern edge of the storm track, i.e., south of the region of climatologically high $f_{90d}(C)$ (Fig. ??d). Then in JJA-0m, i.e., during the first event, meteorological anomalies are not significantly different in EV11 with $(T2m'_{90d}, P'_{90d}) = (+0.6, -0.7)$ and in EV10 with $(T2m'_{90d}, P'_{90d}) = (+0.8, -0.8)$ 7b). In MAM-3m, however, positive $f_{90d}^{\prime rel}(C)$ occurred simultaneously with positive $f_{90d}^{\prime rel}(A)$ in Germany and parts of northwestern Europe. Positive $f_{90d}^{\prime rel}(A)$ in northern Europe was not only prevalent in JJA-ev, but also in MAM-3m (Fig. ??b,d). The meteorological storyline of EV10 after JJA-0m is characterized by more and 590 more wet conditions, especially in MAM+9m, and only small $T2m'_{90d}$ (7a), in DJF-6m, and partly in SON-9m (Supplementary Fig. ??b,d). Contrarily, negative P'_90d continues over all seasons prior to the second event of EV11 and is significantly different during MAM+9m and JJA+12m. In JJA+12m, both hot and dry anomalies are similar to those in the year before E1). In MAM-3m this signal further extended towards the Balkans (Fig. ??b,d). So it appears that event recovery is aided by positive P'_{90d} in the Mediterranean biome. The meteorological storyline of EV11 events significantly differs from that of EV10 events 595 in terms of lower P'_{90d} after the first event. Anomalies in that time period, i. e., prior to the second event of EV11 are, to some degree, similar to the meteorological anomalies of the initial event, which does not indicate strong legacy effects. In summary,
- for the temperate biomes we identify signatures of potential legacy effects in both $T2m'_{90d}$ 7b). Southern France showed an opposite signal of increased cyclone frequency and negative $f'^{rel}_{90d}(A)$ in JJA-ev. Farther back in the meteorological history
- 600 in DJF-6m and P'_{90d} , while for the Mediterranean biome Fig. 7 suggests that legacy effects are less pronounced. SON-9m

Two and a half year-evolution of (a,c) P'_{90d} and (b,d) $T2m'_{90d}$ averaged over all low NDVI events in the subgroups EV10 (blue) and EV11 (brown) in (a,b) the temperate and (c,d) the Mediterranean biome. The blue shading displays the 95% confidence interval (CI) of the tested null hypothesis $H_{0,EV11}$ (see Sect. 2.4.2). EV10 exhibits an event in JJA-0m, and EV11 does so in JJA-0m and JJA+12m, as indicated by the colored horizontal bars. Vertical grey lines show the end of each season, i.e., when the seasonal average value

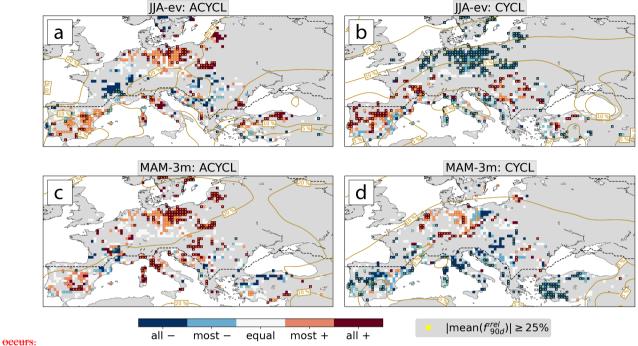


Figure 7. The consistency in sign of (a,c) $f_{90d}^{(rel}(A)$, and (b,d) $f_{90d}^{(rel}(C)$ for all forest grid cells with at least two low *NDVI* events in 2002–2022. Maps are shown for the last day of (a,b) JJA-ev, and (c,d) MAM-3m. Stippling indicates that the absolute average over all anomalies of the same sign is at least 25%. 2D-Gaussian-smoothed (2σ) climatological weather system frequencies are shown in beige contours.

 $f_{90d}^{\prime rel}(C)$ was negative for most or all of the low *NDVI* events in 56–58% of the considered forest grid cells (Supplementary Fig. E2). To summarize, positive $f_{90d}^{\prime rel}(A)$ and negative $f_{90d}^{\prime rel}(C)$ were prominent features in northern Europe in the warm and cold season, respectively. Other regions, such as southern France, show interesting differences to this prominent signal.

605 3.4.1 Bi-variate $(P'_{90d}, T2m'_{90d})$ and weather system frequencies

To conclude Sect. 3.3, we examine the bi-variate evolution of the meteorological storylines preceding low NDVI events and relate it to weather system frequencies. To do so we display histograms of The Mediterranean showed overall greater spatial coherence than its temperate counterpart. First of all, as Germany in MAM-3m, the five $(T2m'_{90d}, P'_{90d})$ phase space compartments for the six seasons prior to low NDVI events in both biomes Iberian Peninsula was a region of generally

- increased weather system activity in JJA-ev. There was a dipole pattern of consistently positive $f_{0nd}^{rel}(C)$ in the West, and 610 a mostly to consistently positive $f_{00d}^{rel}(A)$ in the East (Fig. ??). Analogously to the analyses presented in Figs. 5 & 6 we moreover test $H_{0,EV}$ that the occurrence (7a,b). This synoptic pattern fosters more frequent southerly advection. Note that the Iberian Peninsula was also a hot spot of low NDVI eventsand the meteorological storyline (characterized here with the five compartments) are unrelated. Details about the spatial distribution of the seasonal phase space compartments are shown
- in Fig. ??. The meteorological storyline of most events is characterized by hot-dry conditions (Q2) in MAM-3m and JJA-0m 615 (Figs. ??e.f.k.l). In both biomes, around +30% (MAM-3m) to +45% (JJA-0m) more forest regions experience O2 than expected from the reference climatology. This mostly comes at the expense of cold-wet, i.e., results there were consistent among up to six events (Q4) and Q0 conditions, meaning that there is a diagonal shift in the $(T2m'_{90d}, P'_{90d})$ phase space from Q4 to Q2. In the Mediterranean biome in DJF-6m, dry conditions go along with colder than usual $T2m_{90,d}$, and thus, +20% more forest
- regions experience cold-dry conditions instead of Q1, manifesting a diagonal shift from Q1 to Q3 (Fig. ??i). For some regions, 620 e.g., Greece or parts of Italy, Q2 conditions only occur in DJF-6m or MAM-3m and not, as during most events, in JJA-0m (see Fig. 2c). The typical signal of positive $f_{00d}^{\prime rel}(A)$ and negative $f_{00d}^{\prime rel}(C)$ occurred in the central Mediterranean in JJA-ev, and in most of Mediterranean forest grid cells in MAM-3m (Fig. ??d-f).

Histograms of the seasonal phase space compartments Q0-Q4 at event grid cells in (a-f) the temperate and (g-l) the

625 Mediterranean biome, respectively, during the six seasons prior to low NDVI events from MAM-15m to JJA-0m. Median and CI of the reference climatology are shown in black solid and dashed lines, respectively. Significantly anomalous frequencies are highlighted with a pink arrow indicating the direction of change.

As we have seen in earlier sections, many low NDVI events in temperate forests also experience wet periods in their meteorological storyline. For 20-30% of the events, mostly occurring in central to western Europe and the Baltic, 7c,d) and in

- 630 DJF-6m and/or SON-9m are warm-wet ((Supplementary Figs. ??e,dE1 & ??). At the biome scale, the increase of around +10% more Q1-E2). In these two seasons, only 5% and 6% of considered forest grid cells showed mostly or consistently positive $f_{90d}^{(rel}(C)$. Also in SON-9mis significantly different from the climatological reference. Note that this signal does not stem from a single event, but includes, for example, event years 2001, 2003, 2016, and 2018–2020 (Figs 2a & ??d). Further in the temperate biome, there are a few exceptional forest regions, which do not show hot-dry conditions in JJA-0m (cyclones were mostly less
- 635 frequent than usual (Supplementary Figs. E2). Thus, Mediterranean low NDVI grid cells very often experienced negative $f_{00d}^{\prime rel}(C)$ in the past year of the meteorological history, consistent with the results in Fig. ??f). This mostly relates to Q0 conditions in JJA 2020 affecting parts of western Europe, the Ukraine, and Russia. Also, a cold-wet JJA-0m occurred during the 2017 event in Russia. Lastly, in both biomes, cold-wet conditions are reduced over the entire meteorological storyline. 5f. Low NDVI events in the western Iberian Peninsula hot spot region, however, experienced the opposite change in cyclone frequency in JJA-ev, which might be a signal of intensified Iberian thermal lows (Santos et al., 2015).
- 640

While the link of weather system frequency anomalies to $T2m'_{00d}$ and P'_{90d} was rather straightforward at the biome scale (Sect. 3.3.1), the surface impact of weather systems is locally more nuanced. Details are provided in Figs. ??, ?? & ??, which show maps of the seasonal phase space compartment, the seasonal cyclone frequency anomaly $f'_{90d}(C)$, and the seasonal anticyclone frequency anomaly $f'_{90d}(A)$, respectively. Some important conclusions from these spatial considerations are:

- 645 In the temperate biome, the prominent (warm-)wet seasons between SON-9m and MAM-3m are accompanied by large positive $f'_{90d}(C)$ in some regions (northern Europe, the Balkans), and by weak or negative $f'_{90d}(C)$ in other regions (France, eastern Europe).
 - While hot-dry conditions in JJA-0m and JJA-12m mostly go along with positive $f'_{90d}(A)$, $f_{90d}(A)$ in western Europe is reduced by up to -10%.
- 650 In continental eastern Europe and the Mediterranean, cold-dry winters and hot-dry summers both peculiarities of the meteorological storyline - are caused by more frequent anticyclones.

All in all, there is large spatiotemporal variability in the coupling of synoptic-scale weather systems and surface meteorology, consistent with findings from previous studies (e.g., Hawcroft et al., 2012; Pfahl and Wernli, 2012a; Chan et al., 2019; Röthlisberger and N

655 4 Discussion

4.1 Low NDVI events

Events of low-

The low NDVI as identified in this study typically represent summers with a grid cells identified in this study typically represented summers with a heat- and/or drought-induced reduction in forest performance, as shown in earlier studies (Anyamba and Tucker

- 660 However, we also identify loss of forest greenness (Anyamba and Tucker, 2012; Orth et al., 2016; Buras et al., 2020). Known drought and heat events were identified as low NDVI events, e.g., the Iberian drought in 2005 (Gouveia et al., 2009), a two-year-long drought in 2007–2008 in Turkey (Varol and Ertuğrul, 2016), the 2011–2013 drought in the Balkans (Cindrić et al., 2016), the hot summer 2017 in Italy (Rita et al., 2020), and the Central European hot drought in 2018 (Schuldt et al., 2020; Senf and Seidl, 2021b). Additionally, we identify 2022 as record-breaking year of the most widespread low NDVI events covering 37% of the
- 665 Mediterranean and temperate forest biome each. In 2022, Europe experienced its hottest JJA on record alongside dry soils (Copernicus Climate Change Service, 2022), and the largest carbon emissions from wildfires since 2007 were recorded (Copernicus Atmos Specifically, among the countries with most low *NDVI* grid cells were also those that faced extreme anomalies in wildfire activity: the burnt area in Romania, Germany, France, Spain, and Croatia was 11, 10, 7, 4, and 3 times larger in 2022, respectively, than the 2006–2021 average (EFFIS, 2022). First observations of early leaf senescence as in 2018 are mentioned
- 670 by Kittl (2022). The regions spared by the low *NDV1* event in 2022 mainly Scandinavia, parts of France, and a belt from the Austrian Alps to the Baltic were the only regions that showed a surplus in surface soil moisture compared to 1991–2020 conditions (Copernicus Climate Change Service, 2022). Our approach, therefore, not only identifies low *NDV1* events related to large-scale logging in 2009 (Senf and Seidl, 2021a), due to heat and drought but also events due to positively interacting disturbances such as fire and insect outbreaks. Finally, however, there was at least one example of a drought-unrelated low
- 675 NDVI event, namely an ice storm in that hit Slovenia in February 2014 (Senf and Seidl, 2021c), or cold-wet conditions in

Russia in 2017. Late frost is another potential source of (Senf and Seidl, 2021c; Buras et al., 2021). Consequently, we cannot rule out that other disturbances that were not necessarily linked to heat or drought, e.g., also late frost (Bascietto et al., 2018; Vitasse et al., 2 have impacted some of the low *NDVI*, which is not related to drought (Bascietto et al., 2018; Vitasse et al., 2019). Nevertheless, large events of drought-induced decline in forest performance are well-captured by our method. Exemplary, grid cells.

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As our approach identifies persistent and wide-spread *NDVI* losses, more localized and potentially more extreme reductions in forest greenness are often not captured (Appendix D), e.g., logging in France in 2009 (Senf and Seidl, 2021a), or low *NDVI* events co-occurred with reduced forest growth and carbon uptake following the winter windstorm Gudrun in southern Sweden in 2005 (Buras et al., 2021). Interestingly, also the hot drought in 2003 hardly lead to low *NDVI* grid cells in Europe. The

- 685 NDVI reduction in that summer was most prominent for grassland and crops but less so for forests (Buras et al., 2020). Forests are capable of resisting a temporally limited drought much better than grassland, as they can respond with reduced evapotranspiration and increased water use efficiency (Wolf et al., 2013). Note, however, that grassland is typically recovering better after long-lasting droughts than forests (Stuart-Haëntjens et al., 2018). The only forest regions that were affected by a low NDVI event in 2003, and with premature leaf senescence, reduced productivity, and canopy mortality in are in southern

4.2 Meteorological histories and their inter-biome differences

- 695 The purpose of systematically analyzing meteorological histories of low *NDVI* events with forest disturbance data of Senf and Seidl (2021 more specifically canopy mortality, helps to further characterize the nature of the identified events. Large-scale windthrow, such as that during the destructive storms Lothar, Gudrun, and Kyrill, do not appear in our event set because reflectance properties of the still green understory likely dominate the was to identify statistically significant meteorological precursors to these events. Hereby it should be noted that this statistical analysis alone does not allow to infer causation between the
- 700 precursors and the low *NDVI* signal (MeDowell et al., 2015). Nevertheless, fresh deadwood and partial tree damage from wind disturbances promote multiplication of bark beetles and , thus, may have a non-negligible effect on some of the events (Temperli et al., 2013; Jakoby et al., 2019). There is also conceptual discrepancy that arises from the fact that we only consider information at sufficiently forest-covered pixels with an area of $\sim 5 \times 5 \text{ km}^2$, while *D* is originally assessed at every $30 \times 30 \text{ m}^2$ pixel. Also, our aggregation method potentially ignores localized disturbances that affect less than half of the forest pixels
- 705 within a $\sim 50 \times 50 \text{ km}^2$ grid cell, and includes events, but identifies unusual co-occurrence of these precursors and the low *NDVI* events. The causation surmised in our interpretation of these precursors below is inferred from the large body of process-focused literature we cite. We neither identify a universally valid meteorological history leading to low *NDVI* signals of only partly forest-covered pixels (Sect 2.3). So we acknowledge that the greenness-based event set identified heremisses some forest disturbances that reduce forest performance. However, given the above-mentioned previous assessments and the

- 710 affirming event evaluation, we are confident that the event set covers large-scale reductions in forest performance in Europe in 2000–2020.events nor establish hitherto unknown causal links between seasonal time scale meteorology and low *NDV1* events. Rather, the value of our approach is that we can systematically examine which aspects of the meteorological history stands out of the noise and variability that are invariably present across the large set of meteorological histories (e.g., Fig. 4) identified here.
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4.3 Meteorological storyline

The case study of low *NDVI* grid cells in Spain in 2005 (SPA05) is one example that illustrates this value of our approach (Sect. 3.2). The meteorological history of SPA05 showed a precipitation surplus in the previous spring and summer, which was not a significant meteorological precursor to low *NDVI* events in the Mediterranean in general (Sect. 3.3.1). A preceding surplus in precipitation in a water-limited region such as Spain could cause structural overshoot, i.e., the build-up of large crowns with high water demand, which was suggested to worsen the following drought impact (Zhang et al., 2021). Long-term irrigation experiments at dry sites revealed reduced tree growth over several years as a response to ceasing irrigation (Rigling et al., 2003; Fe Furthermore, short-term irrigation causes more pronounced responses in tree growth than long-term irrigation, while both

potentially increase the sensitivity to drought in the following years indirectly via increased leaf area and tree height (Feichtinger et al., 2015

725 So while there is strong evidence for lagged responses to a previous precipitation surplus due to structural overshoot, our approach shows that this process does not translate to a systematic meteorological precursor at the biome scale in the Mediterranean.

We first mention that the complex challenge of identifying periods when ecosystems are most sensitive to meteorology has been recognized for crops too, and was successfully tackled with storyline approaches (e.g., Goulart et al., 2021). For the

- 730 forest-related low The most striking meteorological precursors of low NDVI events, statistically more prevalent dry periods in the two preceding years, and the magnitude of concurrent hot-dry conditions are common features of the meteorological storyline in the two biomes. Both signals, especially the prevalence of dry conditions $(P'_{90d} \le 0)$ in the Mediterranean biome, are highly implausible when assuming no event-meteorology relationship at these lagsgrid cells were the persistence of a precipitation deficit (both biomes) and of positive temperature anomalies (temperate biome) over at least two years. Continu-
- 735 ously dry conditions are crucial and typical in the Mediterranean biome with potentially reached farther back in Mediterranean than in temperate forests, which might be an important difference due to year-round growth of widespread evergreen tree species in the Mediterranean (Camarero et al., 2021). Also, they these conditions play an important role for forest fires, which likely aggravate aggravated the meteorological impact on NDVI indirectly (Nagel et al., 2017; Turco et al., 2017). In both biomes, P_{god} is reduced before $T2m_{god}$ is elevated during the event year. This might follow from the fact that,
- 740 especially in southern Europe, soil moisture drought in DJF and MAM increases the likelihood of a hot subsequent JJA through an enhanced soil moisture-atmosphere feedback (Seneviratne et al., 2010; Russo et al., 2019). Regardless, our results emphasize the damaging consequences of drought in the early growing season as highlighted by, e.g., Senf et al. (2020), Bigler and Vitasse (2021), and Bose et al. (2021). Despite the generally subordinate role of heat compared to drought, the

The identified extremely unusual accumulation of warm periods over up to three years around 25 months prior to events in

the temperate biome points to its indirect effects on insect populations and fire, as well as to the joint amplification of drought impacts (Seidl et al., 2017; Sommerfeld et al., 2018; Seidl et al., 2020; Forzieri et al., 2021).

In the temperate biome, meteorological drought is interrupted by a wetter than usual period in the cold season. Warm and wet conditions in SON-9m and DJF-6m, respectively, could extend the Also, because the significantly pronounced warm periods occurred in the (late) growing season of the previous year. Favorable growing conditions at the margins of the

- 750 growing season can exacerbate the impact on following droughts, which was shown for an early start into the growing season (Buermann et al., 2013; Bastos et al., 2020a). Furthermore, a wet-warm cold season can relate to more rain, lower snow cover, and earlier snow disappearance, which favors all three preceding years, continuously increased temperatures might have worsened the impact of the event-concurrent hot drought through structural overshoot and soil moisture depletion (Bastos et al., 2020a; Zhang et al., 2021). This four-month-long hot drought in JJA-ev was of significant magnitude for both
- 755 meteorological anomalies in the studied low NDVI grid cells. In the Mediterranean, a large precipitation deficit preceded positive T2m'_{90d} by another four months, which could follow from the fact that winter/spring drought in forests (Buermann et al., 2013; Bla forest fire activity (Westerling, 2016). Given the prevalent dry periods in the previous year and the extended growing season, soil moisture drought likely penetrated to deeper levels (Barnard et al., 2021). Alternatively, the surplus in winter precipitation could locally foster growth in the dormant season or spring and, thus, promote larger canopy development which can aggravate
- 760 the impact of the following summer drought (Bastos et al., 2020a).Both mechanisms suggest that the warm-wet interruption of the meteorological drought fosters soil moisture drought in the subsequent growing season, which is itself again characterized by low precipitation. Additionally, increased cyclone frequencies alongside warm-wet conditions possibly enhance windthrow and could indirectly foster bark beetle multiplication (Temperli et al., 2013; Biedermann et al., 2019). These results, therefore, suggest a negative legacy effect of warm-wet DJF-6m, in addition to drought legacy discussed in Sect. ??. In the context of
- 765 the drier JJA-12m and JJA-0m, these wet conditions also relate to large seasonal variability in precipitation, which has been identified as driver of tree mortality in Europe (Neumann et al., 2017)southern Europe increases the likelihood of a hot JJA through an enhanced soil moisture-atmosphere feedback (Seneviratne et al., 2010; Russo et al., 2019). More generally and in both biomes, the emergence of an unusually strong 90-day precipitation deficit already in spring can be particularly damaging (Senf et al., 2020; Bigler and Vitasse, 2021; Bose et al., 2021).

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Apart from the accumulation of dry periods reaching far back in time in both biomes, primarily temperate forests show meteorological precursors that occurred more than one year in the past. Significantly reduced P_{90d} and increased $T2m_{90d}$ occurred during the previous JJA, which points towards drought legacy effects (Anderegg et al., 2015). This legacy might not always be reflected in NDVI (Kannenberg et al., 2019), however, it can indirectly affect future forest vitality via reduced tree

775 resilience (Bose et al., 2020). Moreover, the succession of drought in consecutive summers is particularly harmful for temperate forests, while Mediterranean forests show a decreased sensitivity to the second drought (Anderegg et al., 2020). To summarize, the systematic meteorological histories of low *NDV1* events and differences between the two biomes can be linked to much of the current mechanistic understanding of forest vitality in the two bio-climatic regions.

4.3 Weather The role of weather systems perspective

- 780 Our results highlight , amongst others, that the timing and positioning of weather systems is crucially determining their impact on surface meteorology relevant for low *NDVI* events. The 20-month long grid cells. At the biome scale, the at least 34-month-long dry period in the Mediterranean biome-is accompanied by reduced seasonal 90-day cyclone frequency, especially-most so in DJF and MAM when cyclones are climatologically most frequent (Wernli and Schwierz, 2006). Cyclones are the main contributor to cold season precipitation in these forest regions (Rüdisühli et al., 2020), and also to
- 785 extreme precipitation (Pfahl and Wernli, 2012b). On the other hand, more frequent anticyclones in JJA-Om likely Other water-limited forest regions show a similar sensitivity to cold season precipitation, and therefore, to the precipitation-causing weather phenomenon (Williams et al., 2013). More frequent anticyclones were typical in JJA-ev and MAM-3m and relate to an upper-level subtropical ridge extending into the Mediterranean , which is known to be important for heat extremes (Sousa et al., 2018; Zschenderlein et al., 2019). a known driver of heat extremes in southern Europe (Sousa et al., 2018; Zschenderlein et al., 2019).
- 790 Over the western Iberian Peninsula in JJA-ev, specifically, more frequent cyclones likely occurred as Iberian thermal lows that favor summer heat extremes through increased diabatic heating over the continent (Santos et al., 2015). Thus, reduced cyclone activity all along the meteorological history of Mediterranean low *NDVI* events appears to have been the main contributor to the hot-dry meteorological precursors with the exception of Iberian thermal lows in JJA. The combination of absent cyclones and more frequent anticyclones enables hot-dry conditions as during the events' meteorological storyline.

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The distinct warm-wet anomaly in the temperate biome during SON-9m In temperate forests, the JJA-ev and JJA-12m hot-dry conditions were both accompanied by more frequent anticyclones, especially in regions at the southern edge of the storm track. The accompanying reduction in cyclone frequency in northern Europe corresponds to MAM-3m is related to more frequent cyclones. In particular, regions such as Scandinavia experience a wet anomaly with a collocated increase in cyclones corresponding to a northward shift in the cyclone steering jet stream(Messori et al., 2022). Some other regions in central Europe

- show weak or no increase in cyclone frequency, as they typically receive precipitation from fronts located outside the cyclone center (Rüdisühli et al., 2020).Hot-dry conditions during JJA are partly related to more frequent anticyclones.The of the jet stream, which can lead to reduced forest greenness in these regions (Messori et al., 2022). More frequent anticyclones, on the other hand, often relate to an upper-level blocking that causes heat and precipitation suppression in central to northern
- 805 Europe (Pfahl and Wernli, 2012a; Zschenderlein et al., 2019). A few regions in western Europe show an opposite signal, i.e., reduced anticyclone frequency in JJA-0m in western Europe could relate JJA-ev. This relates to the fact that summer precipitation frequently occurs in there frequently occurs within high-pressure systems (Rüdisühli et al., 2020), i. e., a more northerly displaced jet stream favors reduced greenness in that region (Messori et al., 2022). An anticyclone centered over Europe often goes along with convective precipitation in its-. In these cases, convective precipitation occurs in the moist and unstable in-
- 810 flow over southwestern Europe (Mohr et al., 2020). Contrarily, continental to northern Europeis a region where stable European anticyclones and upper-level blocking typically cause heat waves and precipitation suppression (Pfahl and Wernli, 2012a; Zschenderlein et These-west of the anticyclone center (Mohr et al., 2020). So while in JJA a European-centered anticyclone can favor low

NDVI grid cells in northern Europe, it might be unfavorable for low *NDVI* grid cells in western Europe. All in all, these considerations highlight the importance of weather systems and the necessity of considering their spatiotemporally varying impact on surface meteorology, also when interested in events of substantial forest impact.

4.4 Drought legacy effects and event recovery

In Sect. ?? we compared single events to sequences of events and found indications of drought legacy effects in the temperate biome. Drought legacy effects are known to reduce tree-level growth (Anderegg et al., 2015), and, more broadly, resilience (Bose et al., 2020). Thus, the previous drought-induced low *NDV1* event could be a sign of reduced tree vigor and higher
susceptibility for a following drought, as detected, e.g., in 2019 (Schuldt et al., 2020; Bastos et al., 2021). Moreover, forests potentially acclimate to increased drought stress, which might also lead to reduced leaf area and productivity (Gessler et al., 2020). However, drought legacy is not always reflected in *NDV1* (Kannenberg et al., 2019). Furthermore, it is important to remember that *P*'_{90d} ≤ 0 does not necessarily indicate soil moisture drought, nor critically low precipitation sums due to normalization (Zang et al., 2020, see also Sect. 4.4). Nevertheless, our meteorological findings support that increased vulnerability to drought
sequences can out-compete acclimation (Anderegg et al., 2020), and also that drought legacy effects - at least partly - reflect in *NDV1*. They further suggest that temperate forests are more sensitive to droughts in consecutive years, while Mediterranean

forests show a decrease in sensitivity to the second drought in agreement with Anderegg et al. (2020).

During event recovery in both biomes, hot-dry conditions as prior to low *NDV1* events are clearly absent. In the Mediterranean biome, seasonal precipitation is around normal in DJF and increased in MAM, which are periods of increased drought-sensitivity

830 (Bose et al., 2021; Camarero et al., 2021). In the temperate biome, it seems that average seasonal precipitation - also in the more drought-sensitive MAM (Bose et al., 2021) - allows for forest recovery after a low *NDVI* event. This is also supported by the fact that low *NDVI*, potentially by defoliation, can be followed by re-greening instead of mortality, depending on subsequent growing conditions (Dobbertin and Brang, 2001; Kannenberg et al., 2019; Rohner et al., 2021).

4.4 Caveats

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- 835 The main caveat two main caveats of this study is the short data record, which implies that we have to are (i) aggregate over large areas to get robust results the event aggregation to the comparably large scale, and (2) frequently perform normalization as opposed to superior statistical modelling (Sects. 2.3 & 2.4.1). ii) the relatively short data record. The former implies that our analyses can neither account for species-specific drought responses (Scherrer et al., 2011; Vanoni et al., 2016), nor for the multidimensional nature of tree mortality (Allen et al., 2015; Etzold et al., 2016; Schuldt et al., 2020). The link between drought,
- 840 drought response, and tree mortality is mediated by site, stand, and tree properties (Etzold et al., 2019; Vitasse et al., 2019; Wohlgemuth et a and can further be shaped by tree species diversity within a forest (Grossiord et al., 2014)and, its micro-climate (Buras et al., 2018). Also, using *NDVI* signals at pixels with up to50% non-forest surface cover introduces some bias.Increasing this threshold, however, does not change the identified events hot spots, and unfavorably decreases the sample size of our analyses (not shown). The large-scale approach of the study has proven useful to connect impaired forest performance with atmospheric
- 845 dynamics acting at a scale much larger than the stand leveland legacies of changing environmental conditions due to, e.g., past

forest management (Thom et al., 2018). This aggregation, however, is a central element of this study as we aimed to investigate the link of synoptic atmospheric variability with variability in forest NDVI, which both act on very different spatial scales. The event identification is, therefore, targeted to identify only spatially coherent losses of forest NDVI, which are meaningful to aggregate to the larger scale. Also, the sub-sampling of the identified low NDVI grid cells ascertains that our results do not

850 <u>highlight meteorological precursors that are unique to very few events or regions</u>. Nevertheless, the results of this study should be confronted with more specific and local impact assessments.

We rely on normalization to compare *NDVI* anomalies and the meteorological storylines. The main consequence of the relatively scarce events short data record is that the normalization of meteorological anomalies suffers from significant sampling

- 855 uncertainty, which renders any comparison over space and time . The normalization of NDVI is less problematic as it serves a pragmatic, site-specific identification of low performance, and was additionally compared to an independent data set. rather difficult. The normalized P'_{90d} and $T2m'_{90d}$, however, do then not necessarily represent the actual site-level temperature and precipitation values and their interpretation requires care (Zang et al., 2020). This specifically applies when comparing the meteorological storyline-history of the temperate with the Mediterranean biome, respectively, as the latter climatologically
- 860 receives little precipitation during summer (Schultz, 2005). The use of normalization, however, is a way to use basic meteorological variables instead of a more complex drought index is motivated by the overarching research question of this studythat can readily be interpreted and linked to weather system dynamics, which is to characterize the meteorological storyline of these events of great importance to the novelty of this study.

5 Conclusions

- 865 This study identified specific aspects of the meteorological storyline-history (the three-year evolution of seasonal 90-day temperature, T2m'_{90d}, and precipitation anomalies, P'_{90d}), which is characteristic for events of are systematically shared characteristics of events of persistently low summer forest greenness at the 50 km scale in Europe in 2000-2020202-2022. Forest greenness as measured by the NDVI is used to detect reduced forest performance also used as an early warning mechanism of forest decline (Buras et al., 2021). In temperate forests, dieback (Buras et al., 2021). First and foremost, in the temperate and Mediterranean biome the regions with low NDVI events are preluded by extraordinarily persistent warm periods, and an unusual accumulation of dry periods over two years. Most interestingly, the preceding cold season specific to such events is warm-wet, and as we hypothesize potentially exerts a negative legacy effect on the following summer drought.Dry and hot anomalies are both statistically significant during spring and summer leading up to the identified events in 2022 exceeded the previous record summers 2018 (temperate) and 2008 (Mediterranean) by far with regard to spatial extent.
- 875 In the hottest summer in Europe on record, 37% of both forest biomes were affected by persistently low NDVI, which is an increase of about +13% compared to the previous records. In contrast, our approach classifies the impact of the hot-dry summer 2003 on forests as very limited and, if so, scattered in space.

The approach used in this study identifies and quantifies those meteorological features that preceded many of the events

- 880 in the same way and reveals considerable inter-biome differences. The persistence of dry periods was significantly increased over at least 26 and 34 months prior to low NDVI events in the temperate and Mediterranean biome, respectively. While the warm-wet cold season anomalies are more pronounced prior to the second event of a two-year event sequence, the following growing season is less hot and dry as compared to single events, which suggests a potential role of legacy effects from the previous In contrast, the persistence of hot periods was only significantly increased (at least for 25 months preceding the events)
- in the temperate biome, but not the Mediterranean biome. Closer to the event summer, negative P'_{90d} and positive $T2m'_{90d}$ were significantly anomalous in magnitude. In the temperate biome, both anomalies acquired statistically significant magnitudes in spring, four months before the low NDVI event. In the Mediterranean biome, a quasi-uninterrupted 1.5 year-long seasonal precipitation deficit is observed negative P'_{90d} arose another four months earlier, i.e., eight months prior to low summer NDVI events. These dry conditions in the Mediterranean go along with colder $T2m'_{90d}$ in winter and warmer $T2m'_{90d}$ in
- 890 spring and summer. Closer to the event summer, negative Note that a single P'_{90d} and positive $T2m'_{90d}$ are characteristically pronounced over the preceding nine and four months, respectively. In two-year event sequences, the seasonal precipitation deficit persists over an additional year while variations in $T2m'_{90d}$ seem to play a subordinate rolevalue that was anomalous, e.g., eight months prior to the low summer NDVI, denotes an anomaly that refers to a 90-day period, i.e., to the eight to ten months prior to the event. Lastly, the systematic meteorological histories are able to verify whether meteorologically related processes from local observations apply to an entire biome. We discuss structural overshoot (Zhang et al., 2021), which is
- plausible to systematically affect low NDVI events in the temperate biome through warmer or extended growing seasons. In contrast, structural overshoot due to more precipitation in the previous year is highly plausible for a case study in the water-limited Mediterranean, which, however, does not translate to the biome scale.
- MoreoverFinally, we provide clear evidence on the spatially varying impact of synoptic-scale weather systems over time periods of up to 2.5 years on the key characteristics of the meteorological storylines on the important meteorological precursors. At the biome scale, persistent drought is the prominent dry periods are often caused by a continuously significantly reduced cyclone frequency, and only the intermittent wet period in temperate forests relates to more frequent cyclones. In contrast, in the Mediterranean biome, and by increased anticyclone frequency relates to the onset and intensification of negative P'_{90d}in
 the temperate biome, respectively. This effect can, however, differ at a local scale, depending on which weather system is locally relevant for precipitation. For instance, western Europe often receives summer precipitation from convective cells in anticyclones and, thus, hot-dry conditions in the event summer go along with reduced anticyclone frequency.

The important differences between the meteorological storyline histories impacting temperate and Mediterranean forests as 910 identified in this study provide a better understanding of European forests' response to multi-seasonal meteorology. We could, for example, bring forward meteorological indications of drought legacy effects in the temperate forests Moreover, we for the first time quantify and assess the impact of the extremely hot summer 2022 and compare it with that of the preceding twenty years. Finally, the presented systematic investigations bridge the gap between forest dynamics and atmospheric dynamics, and, thereby, constitute progress in how expected forest decline dieback can be linked to changing meteorological and climatic

915 conditions under global warming.

Data availability. We uploaded the low *NDVI* events in JJA 2002–2022 as identified in this study on the ETH Research Collection (https://doi.org/20.500.11850/505559). The data sets used in this study are freely available, namely 16-daily *NDVI* data from the NASA Application for Extracting and Exploring Analysis Ready Samples (AppEEARS; https://appeears.earthdatacloud.nasa.gov/), global forest cover area by Büttner et al. (2004, https://land.copernicus.eu/pan-european/corine-land-cover), and atmospheric fields of ERA5 from the ECMWF (https://cds.climate.copernicus.eu/cdsapp##!/dataset/reanalysis-era5-pressure-levels?tab=form). We use the updated version 1.1 of forest disturbance data (Senf and Seidl, 2021a) and aggregate the data to the ERA5 grid. Version 1.0 is available at https://doi.org/10.5281/zenodo.3925446.

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Code and data availability. At https://github.com/corneliussenf/AggregateDisturbancesERA5 the code used to aggregate forest disturbance data can be accessed. All other code is available upon request.

Appendix A: Bootstrapping testsSensitivity to threshold parameters

- 925 For null hypothesis $H_{0,EV}$, we generate 10'000 synthetic event sets $EV_{J,n}^r$ by randomly shuffling the 21 annual chunks of $EV_{J,n}$. The event identification is based on three threshold parameters, namely the minimum affected ratio $AR^{min} = 80\%$. the minimum forest area $FA^{min} = 10\%$, and the minimum number of time steps in JJA with negative NDVI' $n_{t,ev}^{min} = 4$ (Sect. 2.3), i. e., by assigning a random year n^r without replacement to all events in year n. The synthetic meteorological storylines (i. e., time series of normalized meteorological anomalies $T2m_{30d}^{\prime r}$ and $P_{90d}^{\prime r}$) are generated by extracting ERA5
- 930 fields for $EV_{J,n}^r$. They are then used to identify the corresponding phase space compartment Q^r. As for the actual low. Parameter AR^{min} refers to the fraction of forest pixels that has to show persistently negative NDVI events, we compute per $0.5^{\circ} \times 0.5^{\circ}$ grid cell for that grid cell to be identified as low NDVI grid cell. Persistently here is defined via a lower threshold $n_{t,ev}^{min}$ for each of the $n_{t,ev}$, where the latter refers to the number of time steps out of a total of six in JJA that show negative NDVI. Lastly, FA^{min} sets a minimum forest cover per grid cell to filter out those with only very few forest
- 935 NDVI pixels. We vary AR^{min} and FA^{min} by $\pm 5\%$ and test different combinations thereof. We vary $n_{t,ev}^{min}$ by ± 1 only for the setup "80_10'000 synthetic event sets the event mean $T2m_{90d}^{tr}$," used in the study ($AR^{min} = 80\%$, $FA^{min} = 10\%$) as the identification scheme depends strongly on this parameter. Table A1 shows the number of events (n_{tot}), the number of years with at least ten low NDVI grid cells in 2002–2022 (n_{ur}), and also the number of events per sub-sample (n_{ev}) that result from varying the threshold parameters. Large n_{ur} is important to the sub-sampling of the low NDVI grid cells, which is used
- by to retrieve more systematic results, and would optimally be as close to the total years of 21 as possible. The n_{tot} is particularly strongly reduced when increasing AR^{min} to 85%, resulting in $n_{yr} \le 10$. Aiming to optimize n_{yr} by using looser thresholds, however, would misconceive a typical characteristic of extreme events such as low NDVI events, namely that they occur concentrated in individual years and not in others. So looser thresholds have the disadvantage of reducing the peculiarity of low NDVI grid cells. This is illustrated by the event mean $P_{90d}^{tr} \sim 1.5 \times$ increase in n_{tot} when reducing AR^{min} from 80%
- 945 to 75%. While reducing $n_{t,ev}^{min}$ in the study setup (80_10) from four to three has only minor effects, n_{tot} and consequently n_{yr} and the frequency f(Q) among all events for every phase space compartment. The distribution spanned up by these n_{ev} are drastically reduced when increasing $n_{t,ev}^{min}$ to five (Table A1). For example, in the temperate biome, only three years would contribute at least ten low NDVI grid cells if $n_{t,ev}^{min} = 5$ was used. So while the number of events is not very sensitive to reductions in $n_{t,ev}^{min}$, increasing $n_{t,ev}^{min}$ would make a systematic assessment impossible.
- 950 The sensitivity of the main result to these two parameters is illustrated at the example of Fig. 5. As in the original Fig. 5, we perform a random sub-sampling of up to ten low NDVI grid cells per year for each biome and compute an average meteorological history from the resulting samples (Sect. 3.3). This sub-sampling is done ten times for each biome, and Fig. A1 shows the median of these ten equivalent average meteorological histories for every of the eleven combinations of AR^{min} , FA^{min} , and $n_{t,eu}^{min}$ listed in Table A1. The sub-sampling for each combination of the two threshold parameters is of course dependent on the identified law NDVI grid cells and therefore dependent on the identified law NDVI grid cells and therefore dependent on the identified law NDVI grid cells are dependent on the identified law NDVI g
- 955 dependent on the identified low NDVI grid cells and, therefore, dependent on n_{tot} and n_{yr} (Table A1).

Table A1. Number of events (n_{tot}) , number of years with at least ten low *NDVI* grid cells (n_{yr}) , and number of events per sub-sample (n_{ev}) in the temperate and Mediterranean biome for different combinations of threshold parameters. The column title indicates the setup with $n_{t,ev}^{min} = 4$ and different AR^{min} and FA^{min} combinations separated by an underscore - except for the last two columns. These denote tuning the threshold parameter $n_{t,ev}^{min}$ to three and five while $AR^{min} = 80\%$ and $FA^{min} = 10\%$.

							Sensitivity
	<u>75_5</u>	<u>75_10</u>	75_15	80_5	<u>80_10</u>	80_15	<u>.85_5</u>
n _{tot} in temp.	2294	<u>1998</u>	1744	1580	1386	1204	929
n _{yr} in temp.	17	17	17	<u>16</u>	<u>15</u>	15	10 '000 synthetic values gives us the reference climatologies $\overline{T2m_{90d}^{\prime r}}$, $\overline{P_{90d}^{\prime r}}$,
n _{ev} in temp.	187	185	183	173	<u>170</u>	164	138
n _{tot} in Med.	1701	1319	1078	1287	<u>989</u>	808	<u>.861</u>
n _{yr} in Med.	18	$\underbrace{16}$	<u>15</u>	<u>16</u>	<u>14</u>	14	.12
$\underline{\mathbf{n}}_{ev}$ in Med.	<u>195</u>	<u>187</u>	177	177	<u>164</u>	160	155

Figure A1 overall highlights low sensitivity of various aspects of the meteorological history on the two threshold parameters. First, the number of events per sub-sample and thus per average meteorological history n_{ev} differs for every setup of threshold parameters depending on variations in n_{tot} . Consequently, setups with more events per sample (loose thresholds) lead to smaller magnitudes of the averaged meteorological anomalies, and, hence, also a more narrow confidence interval than a setup with fewer events per sample (stricter thresholds). The comparison here, therefore, focuses mostly on aspects such as the timing and evolution of significant anomalies instead of their exact magnitude. The statistically significant anomalies highlighted in our study, e.g., negative P'_{00d} in JJA-12m and JJA-ev, and positive $T2m'_{90d}$ that emerged in MAM-3m in temperate forests, respectively, would also result from other parameter setups (Fig. A1a,c). Especially the timing when meteorological anomalies were significantly different from climatology are consistent within almost all eleven setups. Some of the highlighted anomalies persisted longer and emerged more clearly when using stricter thresholds, e.g., $AR^{min} = 85\%$ and $\overline{f(Q)}$.Note that $FA^{min} = 15\%$. Positive $T2m'_{40d}$ followed JJA-12m into SON-9m and also the warm period prior to JJA-ev reached farther into the past (Fig. A1c). With that setup, also the negative $f'_{90d}(C)$ in MAM-3m prior to low NDVI events in the Mediterranean biome were more distinct than for the setup used. With stricter parameter setups, however, n_{ev} is unfavorably reduced as the

970 number of years contributing the maximum of ten low NDVI events (n_{yr}) is greatly reduced (Table A1). This is strongly pronounced when using the setup "5of6", for which, e.g., in the temperate biome, only three years (2018, 2019, and 2022) contribute substantially to the shuffling of years is done prior to extracting the spatial fields of T2m and P from the ERA5 data set. This has the convenient effect that spatial correlation in these two meteorological variables is retained and synthetic meteorological storylines are, thus, constructed from a data set with exactly the same spatial correlation of T2m and P as the

975 original data set . We then compare average meteorological history shown in Fig. A1. Considering the numbers in Table A1 this setup can clearly not provide a meaningful evaluation of low *NDV1* events over the study period. Apart from that setup, any larger deviation between the results from the different parameter setups typically occur within the respective confidence

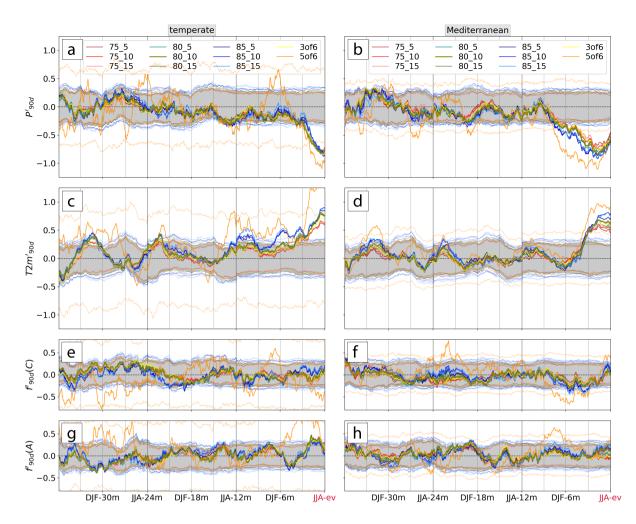


Figure A1. Same as Fig. 5 but for different combinations of AR^{min} , FA^{min} , and $n_{t,ev}^{min}$. The thicker olive line and the grey shaded 95% confidence interval (CI) correspond to the median setup shown in the study (Fig. 5). The other thick lines show the meteorological histories of the other combinations of threshold parameters, and the corresponding CI is shown with thin lines of the same color. The normalized 90-day mean (a,b) precipitation, (c,d) temperature, (e,f) cyclone frequency, and (g,h) anticyclone frequency anomalies $f'_{90d}(C)$ and $f'_{90d}(A)$, respectively, are shown as line plots. The legend indicates the combination of threshold parameters used as in Table A1.

intervals - e.g., $f'_{90d}(A)$ in JJA-ev - and are, hence, not highlighted in the analysis and interpretation of Fig. 5. To summarize, the sensitivity analysis supports the chosen setup with $AR^{min} = 80\%$, $FA^{min} = 10\%$, and $n_{t,ev}^{min} = 4$, and generally demonstrates low sensitivity of the main results to reasonable variations in the three parameters.

Appendix B: Bootstrapping tests

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In the bootstrapping test we want to test the null hypothesis $H_{0,EV}$ that a given aspect X of the meteorological history at $t_{ev} - \Delta t$ is unrelated to the occurrence of low NDVI events at t_{ev} . For X we use the meteorological fields of $T2m'_{90d}$, P'_{90d} , $f'_{90d}(C)$, $f'_{90d}(A)$, as well as the fraction of Δt where $T2m'_{90d} > 0$ and f(Q) of low $P'_{90d} < 0$, respectively, covering 1999–2022 and the study domain (Fig. 1a). The fields are used here for the period 1999–2022, in order to compute three-year meteorological histories for all low NDVI events to the 10 events in 2002–2022. Figure B1 illustrates the procedure of retrieving event mean meteorological histories as well as the way the bootstrapping is constructed.

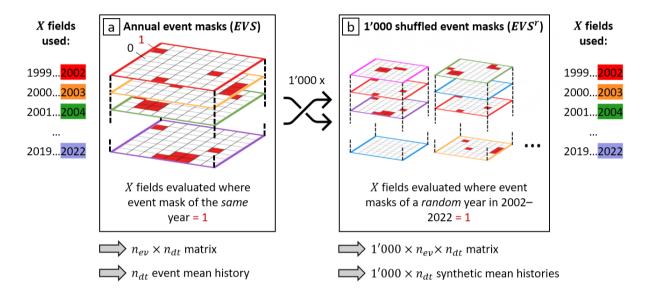


Figure B1. Schematic of the construction of the event-mean meteorological histories (a) of event set EVS, and of (b) their synthetic analogues EVS^r used for the bootstrapping test. The rows on the side show the order in which fields of X are used to extract the time series for EVS and each EVS^r . The annual binary event masks of EVS in (a) are colored according to the year of occurrence. The shuffled EVS^r have a different order of these masks, however, use the X fields in the same order as EVS.

First, the sub-sampling of all low NDVI grid cells results in an event set EVS of low NDVI grid cells that can be
represented as three-dimensional binary 21 × n_{lat} × n_{lon} event mask, which is equal to 1 at every low NDVI grid cell
(Fig. B1a). Further, for every X we retrieve an n_{ev} × n_{dt} matrix - i.e., one with a time series with n_{dt} = 3 × 365 daily time steps for every of the n_{ev} selected low NDVI grid cells - by extracting the fields of X where EVS equals 1. The average time series of X for one sample results from taking the mean along the first dimension of this matrix, as shown in Fig. 5. For the bootstrapping test, we generate 1'000 T2m^{tr}_{90d} synthetic event sets (EVS^r) by randomly shuffling the 21 annual masks of EVS
1'000 times (Fig. B1b). This shuffling process is best visualized by shuffling a deck of cards, whereby each card corresponds to a the binary n_{lat} × n_{lon} event mask of a specific year. Specifically, when constructing the random event set with number

r we assign a randomly selected year y_i^r to all low *NDVI* grid cells occurring in year y_i and then repeat the process for all remaining years. Hereby, the random years are chosen such that each year occurs once in every EVS^r . Consequently, each reference event set contains the same number of low *NDVI* grid cells as EVS but in a different year-location combination.

- 1000 Afterwards, the synthetic meteorological histories are generated by first retrieving X^r from extracting X fields for EVS^r , i.e., using the shuffled deck of annual binary event masks. Then, the resulting $1'000 \times n_{ev} \times n_{dt}$ matrix is averaged along its second dimension to retrieve a set of 1'000 synthetic event-mean time series for every X^r . We, $\frac{P'r}{90d}$, and Q^r , respectively. Values thereby, create 1'000 meteorological histories that are equally plausible in the climatological reference period without the prerequisite of a following low NDVI.
- 1005

We then compare event-mean time series of X of low NDVI grid cells to the 1'000 synthetic event-mean time series of X^r . Values of X outside the range of $\frac{T2m_{90d}^{\prime r}}{P_{90d}^{\prime r}}$, and $Q^r X^r$ receive a p-value of 0 (Röthlisberger et al., 2016). The remaining p-values are estimated from the percentiles of the synthetic data set $1'000 \times n_{dt}$ synthetic matrix along its first dimension. At the significance level of $\alpha = 5\% \alpha = 5\%$, $H_{0,EV}$ is rejected at time lags Δt if the event value of X is outside the 95% confidence

- 1010 intervalof the reference climatologies., i.e., outside the $2.5^{\text{th}} 97.5^{\text{th}}$ percentile range, of the 1'000 reference values of X^r . Note that the shuffling of years is done prior to extracting the spatial fields of X from the ERA5 data set. This has – in contrast to a random sampling of all forest grid cells – the convenient effect that spatial correlation in these meteorological variables is retained. Thus, synthetic meteorological histories of X^r are constructed from a data set with exactly the same spatial correlation as the original data sets of X.
- 1015 For null hypothesis $H_{0,EV11}$, we generate 10'000 synthetic event sets $EV10^r_{J,n}$ by drawing samples with $n_{EV11} = 73$ (19) from the original event set $EV10_{J,n}$ with $n_{EV10} = 971$ (282) in the temperate (Mediterranean) biome. T2m and P data is again extracted 10'000 times for $EV10^r_{J,n}$ yielding a reference of EV10-type meteorological storylines. The $H_{0,EV11}$ (that there is no difference in $T2m'_{90d} / P'_{90d}$ between EV10 and EV11) is rejected for all time lags Δt at which the events $T2m'_{90d}$ / P'_{90d} lies outside the 2.5th – 97.5th percentile of the 10'000 reference values.

Appendix D: Low NDVI events and forest disturbances

We provide a brief and qualitative comparison of our set of low *NDVI* grid cells with the independent disturbance data set of Senf and Seidl (2021a). The comparison is useful to put the identified low *NDVI* events into perspective regarding existing knowledge on forest disturbances.

1025 Disturbance anomaly *D'* at all overlapping forest grid cells in selected years (shading). The stippling indicates low *NDVI* events during JJA. Tracks of cyclones mentioned in the text, which occurred in the previous autumn or winter, are shown in black, with 3-hourly core pressure in hPain colored dots. The location and extent of the cyclone at time of lowest core pressure is shown in cyan.

C1 Forest disturbance data set

- 1030 Figure ?? presents forest disturbance anomalies D' (Sect. ??) for selected years together with low NDVI events (Sect. 2.3) . In years of widespread low NDVI events, namely 2018, 2020, 2019, and 2003, the event coverage largely agrees with regions of positive D' (Fig. ??b, f-h). As noted We use the forest disturbance data set by Senf and Seidl (2021a) with an original resolution of 30 m. It is based on a time-series segmentation approach called LandTrendr (Kennedy et al., 2010) and identifies tree canopy mortality in 1986–2020. The approach uses two spectral bands (shortwave infrared I and II) and two
- 1035 spectral indices (tasselled cap wetness and normalized burn ratio) from Tier 1 Landsat 4, 5, 7, and 8 images in Jun–Sep. For more details see Senf and Seidl (2021a). From this data set we use the annual disturbance area $D_{J,n}$, which is aggregated for every $0.5^{\circ} \times 0.5^{\circ}$ forest grid cell. We only use years and grid cells that overlap with our study period and forest grid cells as identified in Sect. ??, an important source of discrepancy between the two data sets is windthrow. For example, storm Lothar in December 1999 caused catastrophic damage reflecting in extremely high D' over parts of France, Germany,
- 1040 and Switzerland in the following year (Fig. ??a; Usbeck et al., 2010). Other examples were the devastating storms Gudrun affecting southern Sweden in January 2005, Kyrill in Central Europe in January 2007, and Vaia in northern Italy in October 2018 (Valinger and Fridman, 2011; Senf and Seidl, 2021c). The latter manifests in low *D'* 2.1. Our event data set overlaps with the disturbance data set in the time period of 2002–2020 at 91% of forest grid cells as *D* does not cover Turkey. Consequently, 66% and 51% of all low *NDV1* grid cells in the temperate and Mediterranean biome are compared to the disturbance data
- 1045 set. More specifically, we use two measures of D: the disturbance anomaly D', and the rank of D among the 19 annual values $DR_{J,n}$ in 2002–2020:

$$D'_{J,n} = \frac{D_{J,n} - D_J}{\overline{D_J}} \tag{C1}$$

$$\frac{DR_{J,n} = rank(D_{J,n})}{(C2)}$$

1050 at forest grid cell J in year n, with $\overline{D_L}$ denoting the climatological mean disturbance area in 2002–2020. When referring to low NDVI grid cells in the followingyear 2019 (Fig. **??**g). Similar to our data set, the acquisition period of, we thereby only

address those that spatially overlap with D was in summer and increased canopy mortality following autumn or winter storms is reflected in the subsequent year (Senf and Seidl, 2021a). The tracks of the four cyclones in their month of occurrence are, thus, shown for the following summer (data in 2002–2020.

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C2 Qualitative comparison

In 70% of all low *NDVI* grid cells the disturbance area *D* is larger than on average in 2002–2020 - more often in the temperate (76%) than in the Mediterranean biome (59%; Fig. **??**C1a,c,d,g). Regions of large). The median disturbed area increases by +27% and +16% during low *NDVI* events in the temperate and Mediterranean biome, respectively. Furthermore, non-events

- 1060 typically go along with negative D' are typically located at the southern or eastern flank of the cyclones, where typically their fronts propagate, at around the time when they reach their lowest core pressure. Lastly, human influence is another source of disagreement between D and in the temperate (61% of non-events) and the Mediterranean biome (66%). Figure C1b,d additionally shows the disturbance area rank, DR, from 1 (smallest D in 2002–2020) to 19 (largest D). With 1–6 events per affected forest grid cell (Fig. 2c) a low NDVI grid cell would go along with DR 14–19 if the event years were equal to the
- 1065 years of largest disturbed area. The majority of low NDVI, as, for example, salvage logging following wind disturbances in the Gascony in southwestern France in 2009 (Fig. ??e; Senf and Seidl, 2021a). grid cells indeed cover ranks 16–19 and 15–19 in the temperate and Mediterranean biome, respectively. We conclude that low NDVI grid cells tend to go along with more forest disturbances, i.e., enhanced canopy mortality, and rank among the largest forest disturbances at forest grid cells.

1070 Appendix D: Seasonal phase space compartment

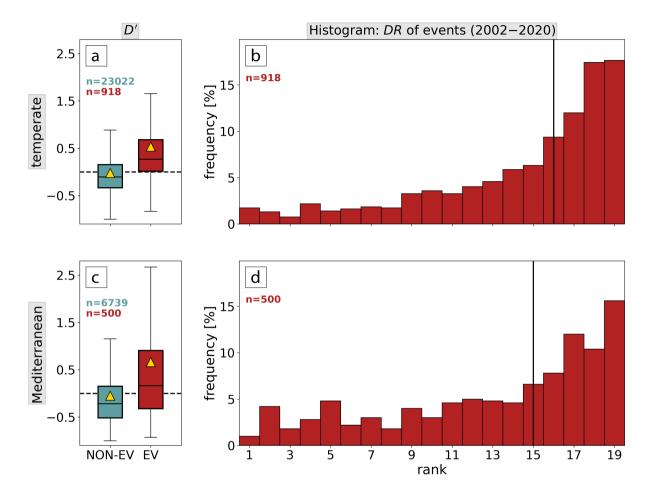


Figure C1. As Fig. 2a Event comparison for (a,b) the seasonal $(T2m'_{90d}, P'_{90d})$ phase space compartment temperate and (c,d) the Mediterranean biome in the six seasons preceding period 2002–2020. (a,c) box plots of the disturbance anomaly D' of low NDVI events grid cells (red) and non-event grid cells (turquoise). See FigThe distribution mean is shown by a yellow triangle, outliers are omitted. $\stackrel{??}{=}$ for biome-wide averages and statistical evaluation(b,d) histograms of ranks 1–19 of disturbance area DR of low NDVI grid cells in 2002–2020. The median is shown by the vertical line.

Appendix D: Seasonal cyclone frequency anomalies Maps of low NDVI grid cells

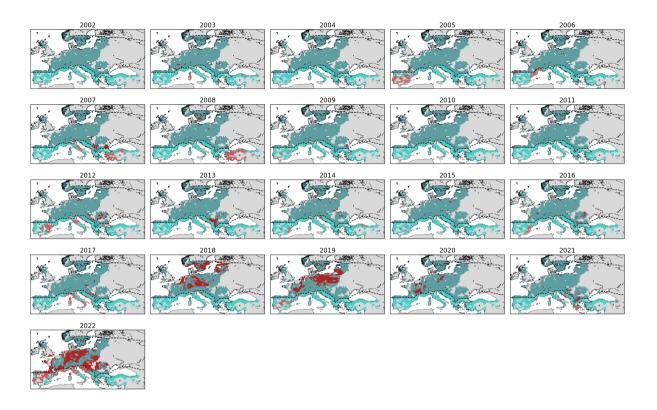


Figure D1. As Fig. 2a for seasonal cyclone frequency anomalies $f'_{90d}(C)$ Low NDVI grid cells in 2002–2022 (red) in forest grid cells of the ten seasons preceding low NDVI eventstemperate (turquoise) and Mediterranean biome (cyan). For biome-wide averages see FigThe dashed lines delineate the two biomes. 5.

Appendix E: Seasonal anticyclone frequency-Weather system anomalies

The following Figures E1 & E2 show the spatial pattern of weather system anomalies. For all forest grid cells with at least two low *NDVI* events in 2002–2022, we show how many of these events were linked to positive or negative anomalies in f^{/rel}.
1075 Additionally, we calculate the average anomaly over all events that had the same sign of the anomaly and highlight those with mean changes of at least 25%. For each season of the past year, from JJA-ev backward to SON-9m, we use the value of f^{/rel} at the last day of the season, which is approximately equal to the seasonal average over the three preceding months.

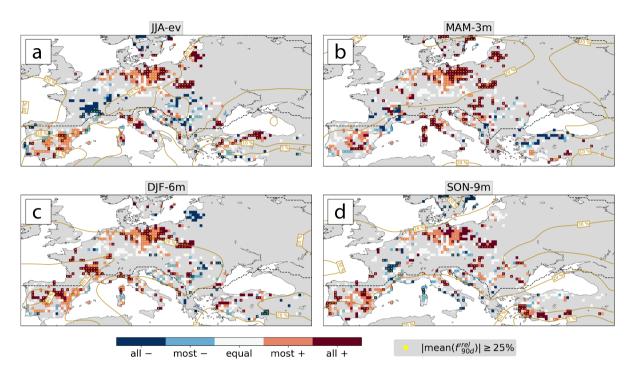


Figure E1. As The same as Fig. ?? 7 but for seasonal the relative anticyclone frequency anomalies $f'_{90d}(A)$ anomaly $f'_{90d}^{rel}(A)$ in (a) JJA-ev, (b) MAM-3m, (c) DJF-6m, and (d) SON-9m. For biome-wide averages see Fig. 5.

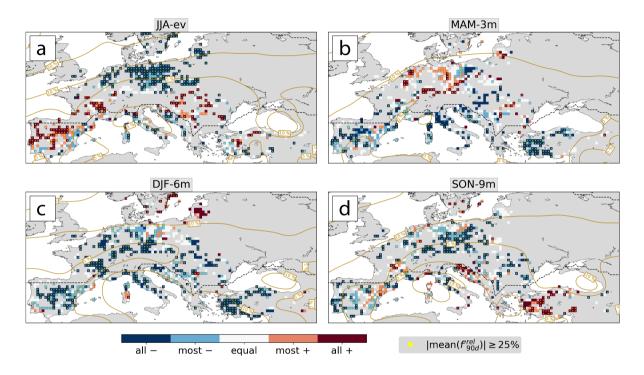


Figure E2. The same as Fig. 7 but for the relative cyclone frequency anomaly $f_{90d}^{\prime rel}(C)$ in (a) JJA-ev. (b) MAM-3m. (c) DJF-6m. (d) SON-9m.

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1080 Competing interests. The authors declare that they have no conflict of interest.

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