

Response to reviewer 2

Reviewer comments are in bold, authors' responses are in blue.

The authors compare the output of geoengineering simulations performed with three Earth's system models (1 ran with two different aerosol schemes) to determine the difference in AOD, temperature, and precipitation response produced using the same injection of SO₂. The authors provide an exhaustive comparison of these quantities (especially AOD and temperature, less so precipitation) and attempt to provide a hypothesis about the reasons for discrepancies.

We thank the reviewer for their comments. We respond to the points raised below..

Generally, I have found this article clear, with a good choice of figures, but the complete lack of observations limits its impact. Of course, I am aware that there are no observations of geoengineering, but variables to evaluate, for instance, the isolation of the tropical stratosphere or the background (non-SAI) AOD can be evaluated against observations. Introducing observations would allow understanding which model has a more reliable representation of transport and dynamics, as well as of background aerosol and sensitivity to changes. I understand that this evaluation against observations is not the focus of this paper, but it has been probably (hopefully?) done in other articles and the main findings could be reported here. Otherwise, the main message of this paper is "the models differ", which is for sure correct but not particularly telling unless we can understand whether all of these models produce equally possible outcomes or if one is less reliable than the others.

We thank the reviewer for their comments. An evaluation of baseline circulation is the subject of Part 2 of this study, where its role in contributing to the simulated aerosol distributions is also discussed in depth. We have taken care to reference the relevant parts of PART2 frequently throughout the discussions of the results in Part 1 (as also suggested by reviewer 1); we have also added some other references of past evaluations of stratospheric circulation. For the AOD evaluation, we have also added some more references: however, the background stratospheric OD doesn't really offer a reliable estimate of microphysical growth under conditions with far more sulfates. We have included in the revised manuscript some further comments on the availability and future necessity of comparisons with previous volcanic eruptions.

Secondarily, I am not sure if the OMA experiment has been set up correctly. I couldn't find anywhere how the aerosol radius was chosen. Is it the usual radius used for tropospheric aerosol? It seems like most of the differences between OMA and MATRIX result from a much smaller aerosol radius than the other models. The authors should have first run an experiment with MATRIX, calculated the resulting effective radius, and set up OMA to have that effective radius. As it is I am not sure about the significance of the OMA experiment.

It is the usual radius used for tropospheric aerosol, as there is only one place to specify the radius. We have experience with simulations in which we increased this radius to better match the stratospheric aerosol size (Pitari et al., 2014), and the tropospheric aerosol size becomes unrealistically large, which has non-negligible effects on radiative forcing, tropospheric chemistry, and deposition. While we like the approach suggested by the reviewer, it too has tradeoffs, and there isn't really a best way to proceed with the OMA configuration. We have included it anyway as an interesting comparison, but we agree that its usefulness is limited. We have now articulated this better in the manuscript. At section 2.4 in particular, we have added what follows:

“We note that in the GISS with bulk treatment, there is one specified aerosol dry radius for all sulfate aerosols, both tropospheric and stratospheric. We used the default aerosol size, which is calibrated to represent tropospheric sulfate and the background sulfate layer in the stratosphere but is far too small for the aerosols that would result from a high stratospheric loading of sulfate. Past experiments using an earlier version of this model increased the aerosol size, resulting in a better match to stratospheric sulfate aerosols but was far larger than should have occurred for tropospheric aerosols. This had non-negligible effects on radiative forcing, tropospheric chemistry, and aerosol deposition \cite[e.g.,]{kravitz2009}, limiting the ability to compare the SAI run with a corresponding baseline run. The approach chosen in the present study avoids these issues, but in doing so limits the applicability of the GISS-OMA simulations to SAI. Nevertheless, these simulations serve as a useful point of comparison and reveal understanding, so they are kept in the manuscript.”

Specific comments

Section 2.1-to 2.2: I suggest harmonizing the three model descriptions. CESM2 has comprehensive stratospheric chemistry and simplified tropospheric chemistry, what about GISS and UKESM? GISS only mentioned heterogeneous chemistry, UKESM doesn't mention anything at all. I would at least mention if UKCA is bulk, modal, or sectional and if it's coupled to the chemistry. I know they are described better below but all three descriptions should have the same format.

Thank you for the suggestion. We have tried to homogenize the three sections, adding specific information on the aerosol and chemistry schemes for all models.

Line 145: “. Condensational growth leading to a transfer between Aitken and Accumulation modes is also treated differently than in the other two models” differently how?

We have tried to specify this better in the revised version. We now specify

“To prevent the mean diameter of the Aitken mode from approaching that of the Accumulation mode too often, a transfer function that completely moves all particles from the Aitken to the

Accumulation mode when the two sizes approach in value is used (see section 2.8 in Bauer et al. (2008)).”

Table 1: I imagine that the GISS bulk model also assumes a size distribution, for instance, to calculate the optical properties and that the 0.3 μm is the modal radius of the fixed size distribution. Is that the case for OMA (If so, a standard deviation must be specified for the prescribed mode) or does OMA really prescribes that all particles are 0.3 μm ? Also, I would add the aerosol effective radius that is simulated by the three models with microphysics. Lastly, how many ensemble members have been performed? I don't think I have found it anywhere.

We have specified the number of ensemble members at the beginning of Section 2. For the effective radius, that is a result that we discuss later on, so we'd rather not include it in this table as it would require too much discussion that is found in following sections.

For OMA, there is a single specified dry radius for sulfate aerosols (0.15 μm), and the model grows those particles consistent with the formulas of Tang (1996) based on the ambient relative humidity (which is less than 20% in the stratosphere) to form a gamma distribution.

Line 149: I'd specify the diameter here rather than the radius, to avoid confusion with the table where the diameter is specified.

Thank you for the suggestion, done.

L165: I am not sure I understand why choosing 22km over 25 km would make it easier to inject in one grid box. Also, it is not clear what "same grid box" refers to. Same across models (I suspect it's not because they have different layers)? Same in time? I am confused by this paragraph.

The 22/25km issue and the "one gridbox" issue are separate: we have tried to be more clear now. Basically, for the second point, considering that models need to convert the prescribed altitude in pressure level, and select gridboxes based on that, we checked and found out that 22km allowed all models to inject surely in always the same gridbox (since some model have hybrid coordinates, the actual equivalence between km of altitude and gridbox may vary seasonally).

L 188: I do not understand the goal of the second half of this paragraph, starting from Line 186. Is the point to say that the authors don't care about the fact that the same injection leads to very different AOD? I don't agree with including a sentence like this since it is a pretty fundamental conversion that models should agree on. Rather than this, an attempt should be made to explain why here is a difference. Is it because the SO₄ removal is less efficient (maybe the particles are smaller in GISS bulk than with explicit microphysics) or because of the different aerosol optical properties due to the different sizes? Is it possible to include the effective radii calculated in all models, to see how they

compare with each other and with GISS bulk, as well as the SO4 burden? This is partly answered in Fig. 4, and it would be good to mention it here.

We have tried to be more precise here: there are various kinds of uncertainties, and what we meant is that if you use a control algorithm, the uncertainty related to more or less SO₂ needed to achieve a certain AOD is “moved over” to the decisions of the control algorithm (but doesn’t disappear). “Less important” was a very poor choice of words. We have rephrased to:

“The uncertainties in the SAI process that leads from SO₂ injection to surface impacts can be separated into three main parts: i) at each latitude how much SO₂ is needed to achieve a certain optical depth (i.e. the efficiency of SO₂ to H₂SO₄ conversion and of the removal processes); ii) the resulting distribution of AOD under specified injection location(s) (largely driven by large scale dynamics and mixing) and iii) the impacts of a specific aerosol distribution on climate. Simulations with fixed SO₂ injections at fixed locations, as used in this manuscript, allow to explore better points (i) and (ii). In contrast, simulations like those described in (Kravitz et al., 2017), where the amount of SO₂ injected is adjusted each year in order to achieve some specified surface temperature goals, allow to better understand the response to some specific pattern of AOD forcing (as the injection rate can be adjusted to achieve a desired AOD). In the following analyses we will thus often separate and discuss both the overall simulated zonal mean response and that normalized by the global magnitude of the response to highlight these different contributors to the overall uncertainty.”

L 210: I imagined the models must have been compared to observations at some point. It would be helpful here to give a description of how each model compared to observations with respect to basic stratospheric circulation: for instance, is UKESM known to have a too isolated tropical pipe or to strong vertical transport in the tropical stratosphere? What about interannual variability: are the simulated variabilities similar to the observed ones (I mean in control simulations that must have been performed in the past).

As noted above, an evaluation of baseline circulation is the subject of PART2 of this study, where its role in contributing to the simulated aerosol distributions is also discussed in depth. We have taken care to reference the relevant sections of PART2 throughout the current manuscript (Part 1).

Fig. 3 needs improvement. The labels and ticks of the color scale are illegible. Since the same color scale is applied to all panels, I suggest using one larger color bar at the bottom of the figure, and also enlarging the fonts on the axis.

We have updated figures 3 and 5 as suggested. Other figures have been improved as well.

Line 237: I think it’s panel 4h, not 4g.

Fixed, thank you.

L240: as I mention above, I suspect OMA assumes a lognormal distribution with a modal radius of 0.15 micron. If that's the case, the effective radius can be calculated for OMA using relationships between modal and effective radius in lognormal distributions (I think it's in Seinfeld and Pandis, but in any case is also included in Aquila et al. 2012). If that's the case, I suggest adding the effective radius for OMA for comparison.

Pretty close - see the response above to the comment on Table 1. It's difficult to use these formulas because there isn't a specified distribution width. Nevertheless, the aerosol size is much smaller than would be expected from an actual SAI deployment. We have downplayed the role of OMA in this manuscript and only use it as a point of comparison.

L253: number of the supplementary figure is missing

Fixed, thank you!

L257 radius _IN_ GISS model

Added.

L272: there are three "for instance" in three lines.

We have modified the phrase.

L286: how many models were included in the multi-model average of GeoMIP G6?

Six. We have added this information in the text.

L297 and following: the discussion about tuning is quite vague and can be made more precise by looking into the model setup and seeing which tuning parameters have been changed to keep remedy the low background AOD. Also, I am not sure I understand the reasoning; the background (non-SAI) AOD can be verified against observations, and comparing against observations could tell us whether 0.03 or 0.11 is more reasonable. If 0.03 is too low (compared to observations) the most obvious "fix" to me seems like increasing emissions, or decreasing the radius, rather than changing the temperature sensitivity to aerosols. Also, which tuning parameter would affect the temperature sensitivity to aerosols specifically?

There are two standard tuning parameters for GISS that affect the high cloud coverage and net radiative flux at TOA (largely through low clouds). Our intent was not necessarily to comment on which version of the model replicates the radius of the background aerosol. It was more along the lines of the findings of Kiehl (2007) where models with different sensitivities have different aerosol forcings. This is an emergent property of the models, not something that is

tuned. We hypothesize (with what we believe is good evidence) that the OMA and MATRIX versions of the models have different sensitivities to forcings in general, which would also emerge in terms of different tuning parameter values, and as such have different sensitivities to aerosol forcing (geoengineering). We have attempted to clarify this in the manuscript.

Fig. 6: the letters identifying the panels are missing

L343: one “at” too many

We've removed the first “at”. Thanks!

L353: what is the difference between (I0, I1, I2) and (L0, L1, L2)? Generally, I find this explanation a bit confusing. It's pretty clear in Kravitz et al. (2016). I would either make it longer and more explicit, or shorter and more qualitative with an explicit reference to go look in Kravitz et al. (2016). It is a bit difficult to keep in mind the physical meaning of what the text explains. I have also found this section quite disconnected from the previous ones in terms of style and clarity, at the point that it could be moved to a different paper where it would be easier to expand on the meaning of the results.

Based on this comment from both reviewers, we have modified Section 5 to further clarify all aspects of this portion of the work.