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# Reference Soil Groups Map of Ethiopia Based on Legacy Data and Machine Learning Technique: EthioSoilGrids 1.0

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Abstract. Up-to-date digital soil resources information, and its comprehensive understanding, is crucial to support crop production and sustainable agricultural development. Generating such information through conventional approaches consumes time and resources, which is difficult for developing countries. In Ethiopia, the soil resource map that was in use is qualitative, dated (since

- 29 1984), and small-scale (1:2 M) which limits its practical applicability. Yet, a large legacy soil profile
- 30 data accumulated over time and the emerging machine learning modelling approaches can help in
- 31 generating a high-quality quantitative digital soil map that can provide accurate soil information.
- 32 Thus, a group of researchers formed a coalition of the willing for soil and agronomy data sharing and





- collated about 20,000 soil profile data and stored them in a central database. The data were cleaned
- 34 and harmonized using the latest soil profile data template and prepared 14,681 profile data for
- 35 modelling. Random Forest was used to develop a continuous quantitative digital map of 18 WRB
- 36 reference soil groups at 250 m resolution by integrating environmental variables-covariates
- 37 representing major Ethiopian soil-forming factors. The validated map will have tremendous
- 38 significance in soil management and other land-based development planning, given its improved
- 39 spatial nature and quantitative digital representation.
- 40 Keywords: soil profiles, environmental covariates, modelling, expert validation, Reference Soil
- 41 Group

#### 1 Introduction

- 43 Soils are important resources that support the development and production of various economic,
- social, and ecosystem services, and are useful in climate change mitigation and adaptation (Baveye
- 45 et al., 2016). Data on soils' physical and chemical characteristics and spatial distribution are needed
- 46 to define and plan their functions over time and space, which is an important step toward the
- 47 sustainable use and management of soils (Elias, 2016; Hengl et al., 2017).
- 48 In Ethiopia, soil surveys and mapping have been conducted at various scales with varying scope,
- 49 approach, methodology, quality, and level of detail (Abayneh, 2001; Abayneh and Berhanu, 2007;
- 50 Berhanu, 1994; Elias, 2016; Zewdie, 2013). The most recent country-wide digital soil mapping
- 51 efforts focused primarily on soil characteristics (Ali et al., 2020; Iticha and Chalsissa, 2019; Tamene
- et al., 2017), although soil class maps are equally important for allocating a particular soil unit for
- 53 specific use (Leenaars et al., 2020a; Wadoux et al., 2020). Many notable attempts have been made to
- 54 improve digital soil information system (Hengl et al., 2021, 2017; 2015; Poggio et al., 2020).
- However, such initiatives were based on limited and unevenly distributed soil profile data (e.g., 1.15
- soil profiles per 1,000 km<sup>2</sup> for Ethiopia) which limits the accuracy and applicability of the products.
- 57 Thousands of soil profile data were collected since the 1960s (Erkossa et al., 2022), but these data
- 58 were hardly accessible as they were scattered across different institutions and individuals (Ali et al.,
- 59 2020). Furthermore, country-wide quantitative and grided spatial soil type information is hardly
- 60 available (Elias, 2016). The Ethiopian Soil Information System (EthioSIS) project attempted to
- develop a countrywide digital soil map focusing on topsoil characteristics, including plant nutrient





- 62 content, but overlooked soil resource mapping (Ali et al., 2020; Elias, 2016), despite a strong need
- for a high-resolution soil resource map (Mulualem et al., 2018).
- 64 Ethiopia has an area of about 1.14 mill. km<sup>2</sup> consisting of varied environments, making its soils
- extremely heterogeneous; thus capturing heterogeneity using conventional soil survey and mapping
- approaches is a resource- and time-consuming endeavour (Hounkpatin et al., 2018). This can be
- 67 circumvented using available legacy soil profile data accumulated over time coupled with advanced
- analytical techniques to develop high-resolution digital soil maps (Hounkpatin et al., 2018; Kempen,
- 69 2012, 2009).
- 70 The objectives of this study were to (1) develop a national legacy soil profile dataset that can be used
- 71 as an input for various digital soil mapping exercises, and (2) generate an improved 250 m digital
- 72 International Union of Soil Science (IUSS) World Reference Base (WRB) Reference Soil Groups
- 73 (RSGs) map of Ethiopia using the legacy soil profile dataset and advanced machine learning
- 74 techniques.

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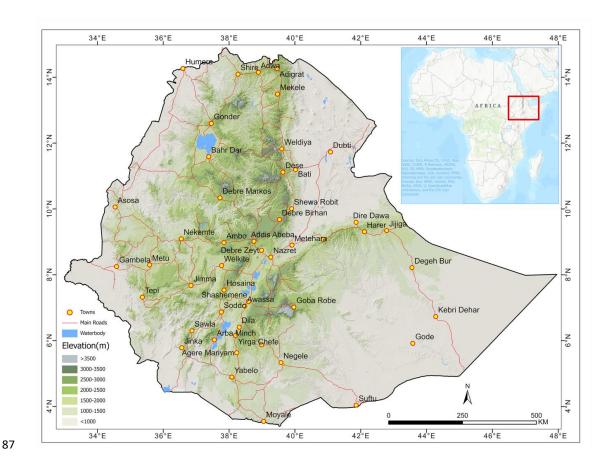
## 2 Methods

#### 2.1 The study area

- 77 The study area covered the entire area of Ethiopia (1.14 mill. km²) located between 3°N and 15°N,
- 78 and between 33° E and 48° E (Figure 1). The topography of the country is marked by a large
- 79 altitudinal variation, ranging from 126 meters below sea level at Dalol to 4,620 m at Ras Dashen
- 80 Mountain in the northwest part of the highlands (Billi, 2015; Enyew and Steeneveld, 2014). The
- 81 country embraces diverse agroecological zones and farming systems. Ethiopia's wide range of
- 82 topography, climate, parent material, and land use types created conditions for the formation of
- different soil types (Abayneh., 2005; Donahue, 1962; Mesfin, 1998; Zewdie, 2013, 1999). More than
- 84 33% of the country is covered by the central upper and highland complex (Abegaz et al., 2022),
- which embraces Africa's most prominent mountain system, reaching a maximum altitude of 4,620 m
- above sea level (Hurni, 1998).







**Figure 1.** Location map of Ethiopia, overview map © Esri World Topographic Map.

### 2.2 Legacy soil profile data collation and preparation

In Ethiopia, soil profile data have been generated over decades through various soil survey missions but kept in a variety of formats and quality with limited accessibility. There has been no institution with a national mandate to coordinate the generation, collation, harmonization, and sharing of soil profile data. This has led to the formation of the Coalition of the Willing (CoW) in 2018—a group of individuals and institutions willing to exchange soil and agronomy data to overcome the challenges posed by the lack of data access and sharing mechanism in the country (Tamene et al., 2021).

The CoW conducted a national soil and agronomy data ecosystem mapping which revealed that a plethora of legacy soil resource data sets do exist but are scattered across different institutions and



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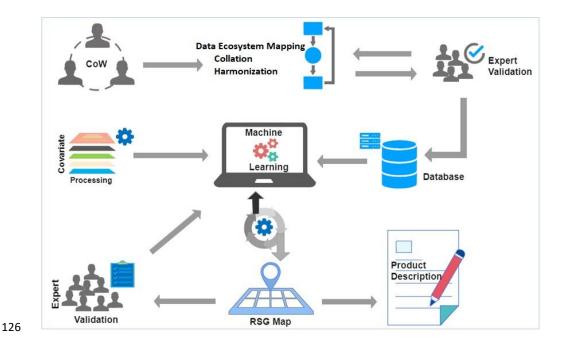
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individuals (Ali et al., 2020). The assessment also revealed that a sizable proportion of the data holders were willing to share the data in their custody, provided that some regulations are put in place to administer the data. The CoW supported and facilitated data collation campaigns, which involved both formal and informal approaches to data holders. Soil profile data collected from the 1970s to 2021 were acquired from over 88 diverse sources through a data collation campaign (Tamene et al., 2022). Initially, 8000 profile data points were collated and subjected to improved modelling techniques to create a provisional WRB reference soil group map of Ethiopia. This was presented for various partners and data holding institutions to demonstrate the power of data sharing. This created awareness and enabled to mobilise and collate over 20,000 legacy soil profile data. These date were then added to the national data repository. The data had varying levels of completeness in terms of soil field and environmental descriptions and laboratory analysis. This required a rigorous expert-based quality assessment and standardization before compilation into a harmonized format. The expanded version of the Africa Soil Profile (AfSP) database (Leenaars et al., 2014) template was used for standardizing and harmonizing the data. Out of the collated soil profile data, 14,681 georeferenced data points were extracted based on completeness and cleanness for the purposes of modelling. The cleaned soil profile data set contains at least the reference soil group (RSG) nomenclature as outlined in the WRB legend. While the original soil profile records were set in different coordinate systems, all were projected into the adopted standard georeferencing system, namely WGS84, decimal degrees in the QGIS (3.20.2) environment (QGIS Development Team, 2021). To verify their position, soil profile locations were plotted using a standard WGS84 coordinate system to verify that points are matching with the site description, geomorphological settings, and at the very least the source project boundary outline. The accuracy of the data depends on the quality and reliability of the survey data itself which in turn requires expert knowledge and experience in soil description (Leenaars et al., 2020a). In this study, data cleaning, validation, reclassification, and verification were carried out by a team of prominent national pedologists and soil surveyors, including those involved in the generation of some of the soil profile data themselves (Figure 2).







**Figure 2.** Schematic presentation of data acquisition and workflow.

In addition, the Ministry of Agriculture (MoA) soil survey and mapping experts and other volunteers have validated the legacy soil profile observations. This led to the reclassification of the soil types as deemed necessary. Such validation and reclassification involved re-examining the geomorphological setup of the soil profile locations using Google earth as well as reviewing the site and soil description and the corresponding laboratory data and reviewing the proposed soil type. The harmonised data sets in the database were used as input soil profile data for modelling and mapping IUSS WRB reference soil groups.

### 2.3 Selection and pre-processing of covariates

In order to develop spatially continuous soil class/type maps, data on environmental covariates that represent directly or indirectly the soil-forming factors have to be integrated with soil profile data (Hengl and MacMillan, 2019). Environmental covariates representing soil-forming factors (climate, organisms, relief, parent material, and time) were derived from diverse remote sensing products and thematic maps (Hengl and MacMillan ,2019; McBratney et al., 2003). Selected environmental covariate layers were then used to predict the soil property across the full extent of the prediction





142 area using the soil observation data from the sampling locations (McBratney et al., 2003, Miller et 143 al., 2021). In this study, a set of 27 covariate layers (Appendix B), from 68 potential covariates, were prepared 144 145 in GeoTiff format with 250 m resolution and Lambert azimuthal equal-area projection with the 146 latitude of origin 8.65 and centre of meridian 39.64 which is the centre point for Ethiopia. This projection was selected since it is effective in minimizing area distortions over land. All layers were 147 masked for buildings and water bodies by the national boundary of Ethiopia and stacked using the 148 149 stack () function of the raster package in R [version 4.05] (R Core Team, 2020). A 250 m spatial resolution was chosen to accommodate both the spatial resolution of the major co-variate inputs and 150 make it applicable for large-scale analysis. 151 The covariates included terrain variables derived from the 90-meter Shuttle Radar Topography 152 Mission (SRTM) digital elevation model (DEM) (Vågen, 2010), climatic variables from Enhancing 153 National Climate Services (ENACTS) (Dinku et al., 2014), Moderate Resolution Imaging 154 Spectroradiometer (MODIS) imagery raw bands and derived indices (Vågen, 2010), national 155 geology map of Ethiopia (Tefera et al., 1996), and land use/ cover map of Ethiopia (WLRC-AAU, 156 157 2010) (Table 1). A 4 km climate grid data from the National Meteorological Agency's (NMA) ENACTS initiative 158 was used because it addresses the spatial and temporal gaps and quality problems of other climatic 159 data sources for Ethiopia (Dinku et al., 2014). The long-term mean, minimum, maximum, and 160 standard deviation temperature, and precipitation data for the period between 1983 and 2016 from 161 the ENACT-NMA initiatives (Dinku et al., 2014) were used. In addition, the hydrologically 162 corrected DEM of the Africa soil information service (Vågen, 2010) and DEM derivatives were 163 calculated using SAGA-GIS version 7.3.0 (Conrad et al., 2015) for topography as a soil-forming 164 factor. We used national geological (Tefera et al., 1996) and land use/land cover (WLRC-AAU, 165 2010) thematic maps of Ethiopia to represent parent material and organisms, respectively. 166 The covariate pre-processing, visual inspection for inconsistencies, resampling to a target grid of 250 167 m and compilations were conducted in QGIS [3.20.2] (QGIS Development Team, 2021), SAGA GIS 168 [7.8.2] (Conrad et al., 2015) and R [version 4.05] (R Core Team, 2020) software packages. Once 169 each covariate was adjusted to have an identical spatial resolution, extent and projection, continuous 170



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- 171 covariates were resampled using the bilinear spline method whereas categorical covariates were
- 172 resampled using the nearest neighbour method.
- 173 The near-zero variance, available in the near ZeroVar function caret package in R (Kuhn, 2008) was
- 174 used to identify and remove environmental variables that have little or no variance. After expert
- 175 judgement to determine the type of covariates for modelling RSGs and near-zero variance analysis, a
- total of 27 environmental variables (24 continuous and 3 categorical) were used for the modelling.

### 2.4 Modelling and mapping soil types/reference soil groups

#### 2.4.1 Model tuning and quantitative evaluation

- Recent developments in data analytics showed the potential to undertake sophisticated analysis
- 180 involving large datasets within a relatively short time using models. In digital soil mapping,
- machine-learning techniques have been extensively used to determine the relationship between soil
- types and environmental variables (McBratney et al., 2003). Many machine learning models were
- developed in the past decades for digital soil mapping to spatially predict soil classes based on
- existing soil data and soil-forming environmental covariates (Heung et al., 2016). Random Forest
- 185 (RF), a tree-based ensemble method, is one of the most promising machine learning techniques
- available for digital soil mapping (Breiman, 2001; Heung et al., 2016), which has gained tremendous
- 187 popularity due to its high overall accuracy and has been widely used in predictive soil mapping
- 188 (Brungard, 2015; Hengl et al., 2018).
- 189 Examples of the main strengths of the RF model are its ability to handle numerical and categorical
- 190 data without any assumption of the probability distribution; and its robustness against nonlinearity
- and overfitting (Breiman, 2001; Svetnik et al., 2003). In the RF model, data are split into training (80
- 192 %) and testing (20 %) components for building the model and model testing, respectively (Kuhn,
- 193 2008).
- 194 Hyper-parameter optimization and cross-validation on the training dataset have been performed for
- 195 optimal model application using Caret package (Kuhn, 2008). Model tuning was performed with a
- 196 repeated 10-fold cross-validation procedure and applied multiple combinations of hyper-parameters
- 197 for the ranger method, which is a fast implementation of RF, particularly suited for high dimensional
- data (Wright and Ziegler, 2017). Three parameters, i.e., the number of covariates used for the splits
- 199 (mtry), splitting rules (splitrule) and minimum node size (min.node.size) were optimised. The values





of 1,000 number of trees (ntree) with mtry ranged from 10 to 20, min.node.size ranged from 5 – 15 with an interval of five and extra trees as splittule fed for the optimization procedure.

The accuracy of the testing dataset was related to the model performance for the new dataset, indicating the capacity of the model to predict at the unsampled location. A confusion matrix was also used to calculate a cross-tabulation of observed and predicted classes with associated statistics i.e., producer's accuracy and user's accuracy. The computational framework was based on open-source software and was performed on a windows server 2016 standard with 250 GB of working memory.

#### 2.4.2 Qualitative evaluation of spatial patterns of the beta-version soil map

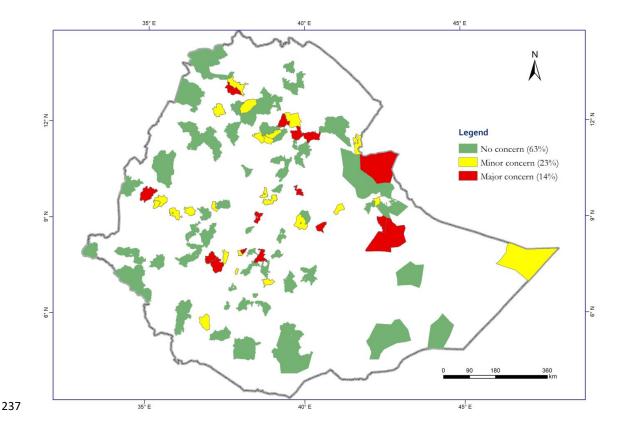
Expert knowledge of soil-landscape relations and soil distribution remains important to evaluate the predictive soil mapping results and assess if predicted spatial patterns make sense from a pedological viewpoint (Hengl et al., 2017; Poggio et al., 2020). An important step in model evaluation is, therefore, expert assessment whereby professionals with broad experience in soil survey and mapping can evaluate and improve the quality of the soil resource map. Accordingly, an expert validation workshop was conducted using the first version of the reference soil groups (RSGs) map. About 45 multi-disciplinary scientists including soil surveyors, pedologists, geologists, and geomorphologists were drawn from national and international research, development, and higher learning institutions to review the draft RSG map in plenary. This was followed by breakout sessions where groups of experts evaluated the map based on their experience and knowledge of soil-landscape relations of the country.

While the plenary discussion provided an overview of the approaches followed in developing the map, the facilitated group discussion helped to have an in-depth review of the selected polygons of the map assigned to them. Participants were split into five groups (with 8-10 members each) and have chosen up to 60 polygons representing areas with which at least one of the group members has sufficient information, including data sources. Overall, the groups have checked a total of 126 polygons (Figure 3) which were fairly distributed across the country. In cases where there is ambiguity, the experts overlaid the soil profile locations on Google earth map to evaluate the description and soil lab results. The group members displayed the polygons one by one in a GIS environment and discussed the predicted dominant and associated soil reference soil groups and





labelled them in one of three confirmation categories: 1. confirmed with 'no concern', 2. confirmed with "minor concern", and 3. confirmed with 'major concern'. Confirmation with 'no concern' was made when all members of a group agreed on both the types and relative coverage of the predicted soils within the polygon. Confirmation with 'minor concern' was made when all or some of the team members agreed on the predicted soil types within the polygons but did not agree on the order of abundance or the probability occurrence of one or two soils, while confirmation with 'major concern' was made when all members of the team did not agree on the predicted soil type, or when the presence of another soil type, other than the predicted ones is noted.



**Figure 3.** The spatial distribution of districts validated by stakeholders and feedback categories according to the level of concerns raised.

After finalising the evaluation at the group's level assessment, each group presented the results in the plenary followed by a discussion to get feedback from other participants. Following the plenary



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discussions, the participants created a group of six senior pedologists to work on the recommendations, including validation of the additional data obtained during the event. Based on these outputs, the model was re-runto produce the current version of the soil map.

## 3 Results and Discussion

### 3.1 Soil profile datasets

- Using the IUSS WRB, 2015, the preliminary identified 14,742 georeferenced legacy soil profiles were classified/reclassified into twenty-three reference soil groups (RSGs). Nearly 90% of the soil
- 249 profile points represented Vertisols, followed by Luvisols, Cambisols, Leptosols, Fluvisols, and
- Nitisols, which were found to be the dominant soil types in Ethiopia (Figure 4). The remaining 10%
- represented the Regosols, Alisols, Andosols, Arenosols, Calcisols, Solonetzs, Lixisols, Phaeozems,
- 252 Solonchaks, Acrisols, Planosols, Gleysols, Umbrisols, Ferralsols, Gypsisols, Plinthosols, and
- 253 Stagnosols.
- The results suggest that about 72 % of the IUSS WRB (2015) RSGs were confirmed to occur in
- 255 Ethiopia. In this regard, Ethiopia is considered as a soil museum having endowed with a diverse
- range of soil types owing to the diversities in the pedogenetic factors (Elias, 2016), which is known
- 257 to have most of the reference soil groups in varying frequencies depending on existing physiographic
- and agroecological positions (Mishra et al., 2004).
- 259 One of the challenges with legacy soil data in categorical mapping is that of imbalanced soil
- samples, in that all classes were not represented equally (Wadoux et al., 2020). For this study, soil
- 261 profiles with less than 30 observations were objectively excluded from the model after examining
- 262 the accuracy and spatial distribution of each reference soil group. Five reference soil groups
- 263 (Umbrisols, Ferralsols, Gypsisols, Plinthosols, and Stagnosols) were excluded from the model and
- left unmapped in this EthioSoilGrid version 1.0 map.





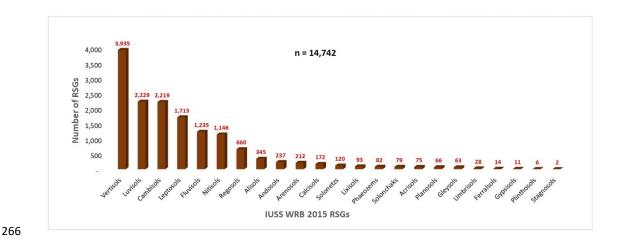


Figure 4. Number of profile points per WRB reference soil groups.

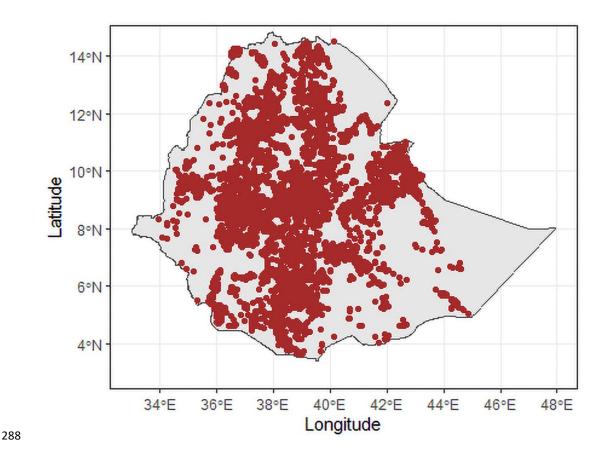
With regards to the total area of Ethiopia and excluding the built-up (urban) and water surface areas, and, the soil profile spatial distribution (Figure 5) represented an average density of 13.1 soil profiles per 1,000 km². The actual density of observations varied greatly between different parts of the country. The variation tends to follow river basins, sub-basins, and agricultural land-use types-based studies from which legacy soil observations were pulled for the present study. For instance, in 30 intervention districts of the Capacity Building for Scaling up of Evidence-Based Best Practices in Agricultural Production in Ethiopia (CASCAPE) project, the average profile density was 1 profile per 11.5 km² (about 87 profiles per 1,000km²) for a total area of about 26,830 km² (Leenars et al.,2020a). Similar semi-detailed soil mapping missions in 15 districts were conducted through the Bilateral Ethiopia-Netherlands Effort for Food, Income and Trade (BENEFIT)-REALISE project which generated about 217 observations per 1,000 km² (Leenars et al., 2020b).

A soil type and depth map compilation and updating mission at a 1:250,000 scale by the Water Land Resource Centre (WLRC) of Addis Ababa University collated and used about 3,949 legacy soil profiles for the entire country (Ali et al., 2020), about 3.5 profiles per 1,000 km<sup>2</sup>. The existing accessible compiled legacy soil profile database of Ethiopia prepared by the Africa soil profile database consisted of 1,712 legacy soil profile observations or 1.5 profiles per 1,000 km<sup>2</sup> (Batjas et al., 2020; Leenaars et al., 2014), which indicates that the number of data used in this study is 8.5 times higher than that was used in the former. However, the soil profile distribution across the





country was uneven; additional soil survey missions are needed for the eastern lowlands and other less represented areas in the future.



**Figure 5.** Spatial distribution of collated legacy soil profile data.

The soil profiles distribution across the 32 agro-ecological zones (AEZ) of Ethiopia revealed that all, except two—tepid per-humid mid highland (0.13% landmass) and very cold sub-humid sub-afro alpine to afro-alpine (0.03 % landmass)—were represented by soil profiles observations. Furthermore, about 95 % of the profile observations represented 91 % of the AEZs aerial coverage (Appendix A). The distribution of legacy soil profiles varied across AEZs. In general, top-ranked lowland AEZs with roughly 56 % area coverage obtained 23 % of the total profile observations, while top-ranked highland AEZs with 20 % area coverage received 47 % of profile observations. For instance, warm desert, warm moist, hot arid, and warm sub-moist lowlands with area coverage of around 20 %, 15



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- 298 %, 11 %, and 10 %, were represented roughly by 3 %, 11 %, 2 %, and 7 % of the total profiles,
- 299 respectively. Tepid moist mid highlands (8% area coverage), tepid sub-humid mid highlands (7 %
- area coverage), and tepid sub-moist mid highlands (5 % area coverage) each were represented by 20
- 301 %, 15 %, and 12 % of the profiles, respectively.

#### 3.2 Modelling and Mapping

#### 3.2.1 Variable importance

- 304 The reference soil group spatial pattern is primarily influenced by long-term average surface
- reflectance, flow-based DEM indices, and precipitation. Figure 6 shows variables of importance for
- 306 determining RSGs spatial prediction. The top-ranked variables were (i) long-term MODIS Near-
- 307 Infrared (NIR) reflectance; (ii) multiresolution index of valley bottom flatness, (iii) long-term mean
- 308 day-land surface temperature; (iv) long-term mean soil moisture; (v) standard deviation of long-term
- precipitation; (vi) long-term mean precipitation; and (vii) topographic wetness index.
- 310 MODIS long-term mean spectral signatures showed high relative importance. According to Hengl et
- 311 al (2017), accounting for seasonal vegetation fluctuation and inter-annual variations in surface
- 312 reflectance, long-term temporal signatures of the soil surface, derived as monthly averages from
- 313 long-term MODIS imagery were more effective. Furthermore, Hengl and MacMillan (2019)
- 314 explained that long-term average seasonal signatures of surface reflectance provide a better
- 315 indication of soil characteristics than only a single snapshot of surface reflectance.
- 316 The Multi-Resolution Valley Bottom Flatness Index, a DEM-derived topography index, is the
- 317 second top-ranked covariate driving soil variability across Ethiopia. This hydrological/soil removal
- 318 and accumulation/deposition index is used to distinguish valley floor and ridgetop landscape
- positions (Soil Science Division Staff, 2017) highly responsible for multiple soil-forming processes
- 320 to operate over a particular landscape, resulting in a wide range of soil development. The influence
- 321 of topography on spatial soil variation is manifested in every landscape of Ethiopia (Belay, 1997;
- 322 Mesfin, 1998; Zewdie, 2013).
- 323 Long-term daily mean land surface temperature, mean soil moisture, rainfall standard deviation and
- 324 mean annual rainfall were among the top-ranked covariates for predicting reference soil groups'
- 325 spatial variation across the country. In Ethiopia, different soil genesis studies revealed that climate



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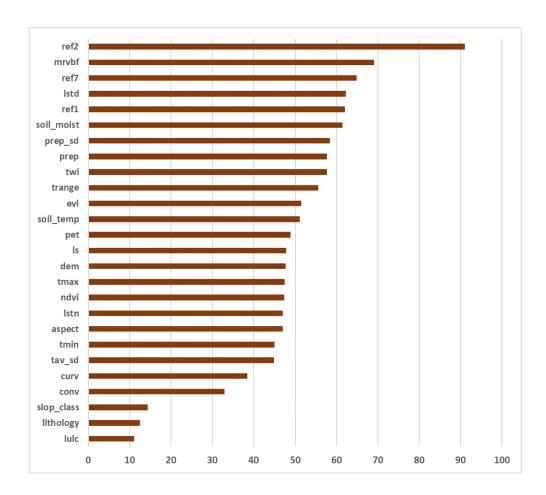
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has a significant influence on soil development and properties and is, therefore, responsible for having widely varying soils in the country (Abayneh, 2006, 2005; Fikru, 1988, 1980; Zewdie, 2013). Rainfall variability in Ethiopia is governed by global, regional, and local factors. Ethiopian climate is substantially governed by local factors in which the topography is powerful. It is known as a country of natural contrast; characterised by a complex topography that strongly defines both rainfall and temperature patterns, by modifying the influence of the large-scale ocean-land-atmosphere pattern, thus creating diverse localised climates. Spatially, rainfall in Ethiopia is characterised by a decreasing trend in the direction from west to east, south-north, west-north-east and west-east. The lowlands in the southeast and northeast, covering approximately 55% of the country's land area, are under arid and semi-arid climates. Annual rainfall ranges from less than 300 mm in the south-eastern and north-western lowlands to over 2,000 mm in the southwestern (southern portion of the western highlands). The eastern lowlands get rain twice a year, in April-May and October-November, with two dry periods in between. The total annual precipitation in this regime varies from 500 to 1,000 mm. The driest of all regions is the Denakil Plain, which receives less than 500 mm and sometimes none (Fazzini et al., 2015). Temperatures are also greatly influenced by the rapidly changing altitude in Ethiopia and mean monthly values vary from about 35°C, in the northeast lowland to less than 7.5°C over the north and central highland. Among the most important covariates for predicting reference soil groups in the Ethiopian highlands, (Leenars et al., 2020a), are monthly average soil moisture for January (ranked 3<sup>rd</sup>), long-term average soil moisture (ranked 4th), and monthly average soil moisture for August (ranked 5th). Similarly, in this study, soil moisture was among the top ten-ranked covariates in modelling and explaining long-distance soil type variability across the country.







**Figure 6.** Random forest covariate relative importance for modelling RSGs. See Appendix B for abbreviations.

In this study, lithology showed a relatively low influence on soil variability. This is against the long-standing fact that Ethiopia is believed to be a land of geologic contrast (Abyneh,2005; Alemayehu et al., 2014; Elias., 2016; Jarvis et al., 2011; Zewdie, 2013) characterised by (i) recent and old volcanic activities; (ii) the highlands consisting of igneous rocks (mainly basalts); (iii) steep-sided valleys characterise by strong colluvial and alluvial deposits; (iv) denudation process exposed metamorphic rocks; and (v) occurrence of various sedimentary rocks like limestone and sandstone in the relatively lower areas. The low influence of lithology may be related to the use of a coarse-scale and less detailed lithology map, which may not sufficiently capture the spatial variability of the parent materials.





#### 3.2.2 Model performance

The parameter optimization process resulted in mtry 20, split rule extra trees and minimum node size 5. The overall accuracy of the model was 56.24 % which ranged between 54.43% and 58.1% with a 95% confidence interval. The kappa values based on the internal cross-validation and testing dataset showed that the overall model performance produced using 10–fold cross-validation with the repeated fitting was 48%. Considering similar area-based digital soil class mapping efforts, the overall purity (accuracy) was in line with the accuracies that were typically reported for soil class maps developed with random forest model (Leenaars et al., 2020a) and statistical methods (Heung et al., 2016; Holmes et al., 2015). Table 1 shows the confusion matrix at validation/testing points i.e., 20 % of the observation. Further, the matrix indicates the producer's accuracy (class representation of observed versus predicted) and user's accuracy (map purity) were not similar for all RSGs. The map purity is in the order of Lixisols, Calcisols, Alisols, Phaeozems, Vertisols, Andosols, Solonchaks, Fluvisols, Arenosols, Leptosols, Luvisols, Nitisols, and Cambisols. However, Vertisols, Calcisols, Alisols, Nitisols, Reptosols, Luvisols and Cambisols.

Global Soil Grids at 250 m resolution used machine learning algorithms to map the global WRB reference soil groups with map purity and weighted kappa of 28% and 42%, respectively (Hengl et al., 2017). The Soil Grids 250 m WRB soil groups/classes prediction output-spatial soil patterns were not evaluated based on expert knowledge while in this study we did an extensive back and forth qualitative assessment by a panel of pedologists. The quantitative accuracy in the present study (about 56%) coupled with an expert-based qualitative evaluation of the predicted maps indicated the development and achievement of a substantially enhanced national product for users of spatial soil resources information. This finding is a step forward and acceptable considering that Soil Grids are not expected to be as accurate as locally produced maps and models that use much more local point data and finer local variables (Mulder et al., 2016). Further, the data and finding in this study can help improve the soil maps of Africa as it partially addresses the concern by Hengl et al. (2017) who recognised that WRB RSGs modelling in the global Soil Grids 250 m is critically uncertain for parts of Africa.



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Table 1. Confusion matrix of random forest RSG prediction (at validation/testing observations).

	Reference																			
Prediction	Acrisols	Alisols	Andosols	Arenosols	Calcisols	Cambisols	Fluvisols	Gleysols	Leptosols	Lixisols	Luvisols	Nitisols	Phaeozems	Planosols	Regosols	Solonchaks	Solonetzs	Vertisols	User	Total
Acrisols	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0.33	3
Alisols	0	40	0	0	0	0	1	1	0	0	9	4	0	0	2	0	0	2	0.68	59
Andosols	0	0	28	1	1	3	5	0	2	0	2	0	0	0	0	0	1	1	0.64	44
Arenosols	0	0	0	11	0	2	1	0	0	0	5	0	0	0	0	0	0	1	0.55	20
Calcisols	0	0	0	0	21	0	1	0	0	0	2	0	0	0	0	0	0	5	0.72	29
Cambisols	2	3	6	9	1	197	28	2	35	2	47	16	5	1	16	3	3	28	0.49	404
Fluvisols	1	0	3	5	1	34	144	0	9	0	15	7	0	0	1	5	5	17	0.58	247
Gleysols	0	0	0	0	0	0	1	2	0	0	1	0	0	1	0	0	0	0	0.40	5
Leptosols	0	1	4	3	3	47	11	0	176	0	27	7	1	0	32	0	0	24	0.52	336
Lixisols	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1.00	1
Luvisols	2	16	3	8	0	34	13	2	33	3	216	30	3	0	25	1	0	41	0.50	430
Nitisols	6	8	0	0	1	23	8	3	18	8	29	132	0	1	8	0	1	21	0.49	267
Phaeozems	0	0	0	0	0	0	0	0	0	0	1	0	2	0	0	0	0	0	0.67	3
Planosols	0	0	0	0	0	0	0	0	0	0	1	1	0	5	1	0	0	1	0.11	9
Regosols	0	0	0	0	0	7	1	0	7	1	8	1	0	0	22	0	0	5	0.42	52
Solonchaks	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	3	1	0	0.60	5
Solonetzs	0	0	0	0	1	4	1	0	0	0	0	0	0	0	0	1	6	0	0.46	13
Vertisols	3	1	3	5	5	92	32	2	61	3	81	31	5	5	25	2	6	641	0.64	1,003
Producer Accuracy	0.07	0.58	0.60	0.26	0.62	0.44	0.58	0.17	0.51	0.06	0.49	0.58	0.13	0.38	0.17	0.20	0.25	0.81	0.56	-
Total	15	69	47	42	34	443	247	12	342	18	445	229	16	13	132	15	24	787	_	2,930

### 3.2.3 Modelling and Mapping: EthioSoilGrids Version 1.0

The study identified eighteen reference soil groups in Ethiopia, mapped at 250 m resolution (Figure 7). The model prediction showed that seven soil reference groups including Cambisols, Leptosols, Vertisols, Fluvisols, Nitisols, Luvisols, and Calcisols covered nearly 98% of the total land area of the country (Figure 8). Five soil reference groups (Solonchaks, Arenosols, Regosols, Andosols, and





396 Planosols, Acrisols, Lixisols, Phaeozems, and Gleysols were also found in some pocket areas. In terms of spatial distribution, Nitisols and Luvisols dominated the northwestern and south-western 397 398 highlands while the south-eastern lowlands were dominantly covered by Cambisols, Calcisols, and 399 Fluvisols with some Solonchaks. The Vertisols extensively covered the north and south-western lowlands along with the Ethio-Sudan border areas and central highland plateaus. Overall, each RSG 400 position, with other RSGs, along the landscapes/catena/topo-sequence, is in good agreement with the 401 402 established schematic soil sequence, previous spatial soil information of Ethiopia and with experts' opinions validated across 126 geographic windows of the country. 403 404 The probability of occurrence of each RSG was mapped (Appendix C) in each modelling spatial window (i.e., the cell size of 250-meter X 250 m). The dominant RSGs were aggregated based on 405 the most probable RSG in each spatial modelling window. There was high correspondence between 406 the top seven ranked prediction probabilities and observed soil types as confirmed visually by 407 overlaying observed classes and prediction probabilities. 408 The overall occurrence and the relative position of each of the RSG along the topo-sequence and its 409 association with other RSGs agree with previous works (Abayneh, 2006; Ali et al., 2010; Abdenna et 410 al., 2018; Asmamaw and Mohammed, 2012; Belay, 2000, 1998, 1997, 1996; Driessen et al., 2001; 411 412 Elias, 2016; FAO 1984a; Fikre, 2003; Mitku, 1987; Mohammed and Belay, 2008; Mohammed and Solomon, 2012; Mulugeta et al., 2021; Sheleme, 2017; Shimeles et al., 2007; Tolossa, 2015; Zewdie, 413 2013). However, there were cases where the RSGs' position along the topo-sequence and association 414 with other RSGs require further investigation, which was not adequately captured and explained in 415 this study. This might be attributed to the positional accuracy of legacy point observations, 416 modelling approach, and most importantly the level of details and scale/resolution of the 417 environmental variables used in this study. 418

Alisols) were estimated to cover about 2% of the land area, while trace coverages of Solonetzs (,





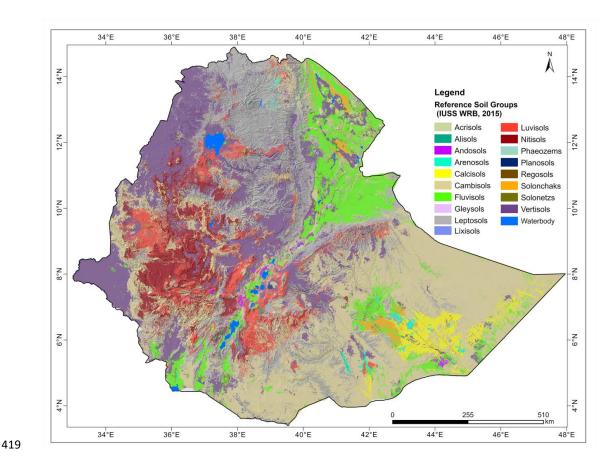


Figure 7. Major reference soil groups of Ethiopia (EthioSoilGrid V1.0).

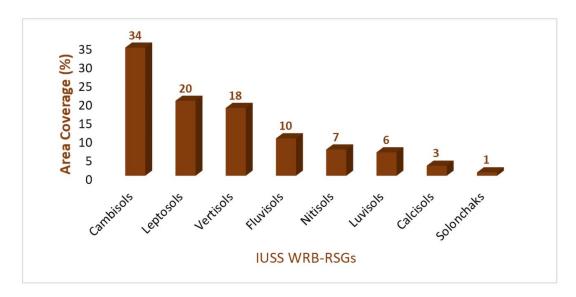
Considering the third position of Cambisols in the order of frequency occurrence of RSGs per point observations (following Vertisols and Luvisols), these soils seem to be over-represented on the map (ranked 1<sup>st</sup>) apparently at the expense of Vertisols and Luvisols, and to some extent in places of Leptosols and other RSGs. This might be attributed to the fact that Cambisols create a geographical continuation with Vertisols and/or Luvisols at the lower slopes and Leptosols/ Regosols at the higher slopes, suggesting the presence of some bordering soil qualities in respective transitional zones (Ali et al., 2010; Asmamaw and Mohammed, 2012; Sheleme, 2017; Zewdie, 2013).

The proportion of area mapped as Cambisols (34 %) revealed new insights compared with the information from the most cited spatial soil maps: Cambisols ranked 2<sup>nd</sup> (21 %), 2<sup>nd</sup> (16 %), 4<sup>th</sup> (9 %), and 4<sup>th</sup> (8 %) as reported by Berhanu (1980), FAO (1984b), FAO (1998), and Soil Grids- Hengl





et al (2017), respectively. This might be due to: (i) the number and distribution of profile observations, which is more extensive than the previous ones, (ii) the type and level of details of covariates considered; (iii) variations and rearrangements in the keys for Classification of the RSGs among soil classification versions used in previous studies and misclassification/confusion of Vertisols with Vertic Cambisols, as legacy soil profile data coming from diverse sources.



**Figure 8.** The area coverage (in %) for the major WRB RSGs (Note: the remaining 10 RSGs-Arenosols (0.44 %), Regosols (0.35 %), Andosols (0.31%), Alisols (0.16 %), Solonetzs (0.04 %), Planosols (0.04 %), Acrisols (0.02 %), Lixisols (0.02%), Phaeozems (0.02 %), and Gleysols (0.01%) were not plotted because of their relatively small area coverage).

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Balanced datasets are ideal to allow decision trees algorithms to produce better classification but for datasets with uneven class size, the generated classification model might be biased towards the majority class (Hounkpatin et al., 2018; Wadoux et al., 2020). This likely scenario requires further investigation for future similar studies and prediction accuracy enhancement.

Considering the number and distribution of legacy soil profiles used, the quality monitoring process method was followed to filter dubious soil profiles, and soil classification harmonization protocols were implemented. The study followed a robust modelling framework and generated new insights into the relative area coverage of WRB RSGs in Ethiopia. Further, it provided coherent and up-to-date digital quantitative gridded spatial soil resource information to support the successful





implementation of various digital agricultural solutions. The approach used demonstrates the power of data and analytics, and the output is an exemplary use case for similar digital content development efforts in Ethiopia. However, the EthioSoilGrids v1.0 product from this first country-wide RSGs modelling effort requires complementary activities. These include modelling and mapping that should go beyond RSGs and need to include 2<sup>nd</sup> level classifications. This will be achieved through modelling and mapping a set of principal and supplementary qualifiers along with RSGs which will enable the integration of taxonomy details and requirements with spatial scale protocols, as outlined in IUSS WRB 2015 classification system.

#### 3.3 Expert validation of the soil map

Expert knowledge of soil-landscape relations and soil distribution is important in evaluating the predictive soil mapping results and assessing if predicted spatial patterns make sense from a pedological viewpoint (Hengl et al., 2017). The expert validation workshop participants have commended the initiative and the approach that led to the development of the national soil resource map, including the commitment of the technical experts involved and resources invested in it by partner organizations. Overall, they expressed that the map passed meticulous quality-enhancing processes and that its content and accuracy exceeded their expectations.

All three groups have rated the accuracy of the map at 60 +%; of the 126 polygons, they have expressed no concern for 63 %, minor concern for 23 % and a major concern for 14 % of the polygons. While the minor concerns are mostly related to the accuracy of the relative coverage of the predicted dominant soil types, the major concerns may indicate a possible mismatch between the predicted soil type and the experience of some of the group members of the target area such as an important soil type missed out (expected by the experts based on their knowledge of soil coverages and prevailing soil-forming factors in specific areas).

After the plenary discussions that followed group presentations, participants have suggested that the final version of the map be released for use after additional desk validation and improvements, especially for the polygons with major concerns. It was recommended to re-run the model after revising the data for the polygons where concerns are reported and use additional data obtained during the event. A small team of senior pedologists was formed to support the core group in





revising the data from polygons with reported major concerns. Newly acquired data were cleaned and validated before re-running the model to generate the final version of the map.

### 4 Conclusions

Coherent and up-to-date country-wide digital soil information is essential to support digital agricultural transformation efforts. This study involved collation, cleaning, harmonization, and validation of the legacy soil profile data sets, involving soil scientists with different backgrounds individually and in groups. To develop the 250 m digital soil resource map, a machine learning modelling approach and expert validation were applied to the harmonised soil database and environmental covariates affecting soil-forming processes. Accordingly, about 20,000 soil profile data have been collated, out of which, about 14,681 were used for the modelling and mapping of eighteen RSGs out of the identified twenty-three RSGs. Although unevenly distributed, the legacy soil profile data used in the modelling covered most of the agro-ecologies of the country. Among the mapped 18 RSGs, the highest number of observed (3,935) profiles represent Vertisols, followed by Luvisols, Cambisols and Leptosols, while Gleysols were represented with the lowest number (63) of profiles. The modelling revealed that MODIS long term reflectance, multiresolution index of valley bottom flatness, land surface temperature, soil moisture, long-term mean annual rainfall, and wetness index of the landscape is the most important covariates for predicting reference soil groups in Ethiopia.

Our ten-fold spatial cross-validation result showed an overall accuracy of about 56 % with varying accuracy levels among RSGs. The modelling result revealed that seven major soil reference groups including Cambisols (34 %), Leptosols (20 %), Vertisols (18 %), Fluvisols (10 %) Nitisols (7 %), Luvisols (6 %) and Calcisols (3 %) covered nearly 98 % of the total land area of the country, while minor coverage of other reference soil groups (Solonchaks, Arenosols, Regosols, Andosols, Alisols, Solonetzs, Planosols, Acrisols, Lixisols, Phaeozems, and Gleysols) were also detected in some areas. Compared to the existing soil resource map, the coverage of the first three major soil groups has substantially increased which is related to the increased availability of soil profile data covering larger areas of the country, implying that these soils were previously underestimated. Cambisols and Vertisols which together represent nearly half of the total land area are relatively young with inherent fertility, implying the high agricultural potential for the country. However, given their





limitations, these and the other soil types require the implementation of suitable land, water, and crop management techniques to sustainably exploit their potential.

Given its resolution and quantitative digital representation, the map will have tremendous significance in both agricultural and other land-based development planning while safeguarding the environment. For instance, the accessibility of good quality digital soil data is crucial for developing and using decision support tools (DSTs) such as land use and management decisions. However, effective use of the map requires that the associated WRB second-level classification including principal and supplementary qualifiers and soil atlas providing details of the soil physicochemical properties be accessed together with the map, which the authors and others responsible need to prioritize in their future endeavours.

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# 518 Appendix A: Legacy soil profile data distribution

## **Table A1.** Distribution of legacy soil profile data by agroecology zones.

MAJOR_AGRO	AEZ area coverage (%)*	Profiles Observation (%)**
Warm arid lowland plains	19.76	3.40
Warm moist lowlands	15.12	10.74
Hot arid lowland plains	10.79	2.44
Warm sub-moist lowlands	9.63	6.94
Tepid moist mid highlands	8.05	20.21
Warm sub-humid lowlands	7.11	5.69
Tepid sub-humid mid highlands	6.63	15.26
Tepid sub-moist mid highlands	5.17	12.39
Warm semi-arid lowlands	2.75	3.23
Tepid humid mid highlands	2.65	2.48
Warm humid lowlands	2.29	0.45
Cool moist mid highlands	1.74	4.15
Hot sub-humid lowlands	1.67	0.07
Cool sub-moist mid highlands	1.16	3.00
Cool humid mid highlands	0.82	1.01
Warm per-humid lowlands	0.68	0.01





MAJOR_AGRO	AEZ area coverage (%)*	Profiles Observation (%)**
Hot moist lowlands	0.59	3.56
Hot sub-moist lowlands	0.56	0.03
Cool sub-humid mid highlands	0.52	1.38
Tepid arid mid highlands	0.43	0.39
Hot semi-arid lowlands	0.40	2.05
Tepid semi-arid mid highlands	0.19	0.67
Cold moist sub-afro-alpine to afro-alpine	0.07	0.16
Cold sub-moist mid highlands	0.07	0.04
Cold sub-humid sub-afro-alpine to afro-alpine	0.06	0.03
Cold humid sub-afro-alpine to afro-alpine	0.06	0.01
Very cold humid sub-afro-alpine	0.04	0.02
Very cold sub-moist mid highlands	0.02	0.02
Very cold moist sub-afro-alpine to afro-alpine	0.01V	0.03
Hot per-humid lowlands	0.01	0.15
Tepid perhumid mid highland	0.13	0
Very cold sub-humid sub-afro alpine to afro- alpine	0.03	0

Note: \*= total area of Ethiopia 1.14mln km<sup>2</sup>; \*\*=total number of profiles 14,681





## Appendix B: Environmental covariates

Table B1. List, description, spatial and temporal extent, and source of covariates used in modelling the reference soil groups.

Categories	Covariates	Descriptions	Spatial resolution	Temporal resolution	Source		
Climate	prep	Precipitation	4 km	1981 - 2016	ENACTS (Dinku et al.,2014)		
	prep_sd	The standard deviation of precipitation	4 km	1981 - 2016	Derived from ENACTS (Dinku et al.,2014)		
	tmax	Maximum Temperature	4 km	1983 - 2016	ENACTS (Dinku et al.,2014)		
	tmin	Minimum Temperature	4 km	1983 - 2016	ENACTS (Dinku et al.,2014)		
	trange	Temperature range	4 km	1983 - 2016	ENACTS (Dinku et al.,2014)		
	tav_sd	Standard deviation of average temperature	4 km	1983 - 2016	Derived from ENACTS (Dinku et al.,2014)		
	pet	Potential evapotranspiration	4 km	1981 - 2016	Derived from ENACTS (Dinku et al.,2014) using Modified Penman method		
	Istd	Land surface temperature- Day (Aqua MODIS- MYD11A2, time series monthly average)	1000 m	2002-2018	AfSIS a		
	lstn	Land surface temperature-Night (Aqua MODIS- MYD11A2, time series monthly average)	1000 m	2002-2018	AfSIS		
	soil_moist	Soil Moisture (Derived from one-dimensional soil water balance)	4 km	1981 - 2016	Ethiopian Digital AgroClimate Advisory Platform (EDACaP)		
	soil_temp	Soil temperature	30 km	1979 - 2019	ERA 5-Reanalysis ECMWF data <sup>b</sup>		
Topography	DEM Digital elevation model (Elevation)		90 m	-	SRTM- DEM (Vågen, 2010)		
	twi	Topographic wetness Index	90 m	-	SAGA GIS-based		





Categories	Covariates	Descriptions	Spatial resolution	Temporal resolution	Source		
					SRTM-DEM derivative		
	aspect	Topographic Aspect	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	curv	Topographic Curvature	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	conv	Topographic convergence index	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	ls	Slope Length and Steepness factor (ls_factor)	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	morph	Terrain Morphometry	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	mrvbf	Multiresolution index of valley bottom flatness	90 m	-	SAGA GIS-based SRTM-DEM derivative		
	slope	Slope class (%)	90 m	-	SAGA GIS-based SRTM-DEM derivative		
Vegetation	ndvi	Normalised Difference Vegetation Index (NDVI) (MODIS- MODIS MOD13Q1, time series monthly average)	250 m	2000-2021	AfSIS <sup>a</sup>		
	evi	Enhanced Vegetation Index (EVI) (MODIS- MODIS MOD13Q1, time series monthly average)	250 m	2000-2021	AfSIS		
	lulc	Land use/ landcover	30 m	2010	Water and Land Resource Centre-Addis Ababa University (WLRC-AAU, 2010)		
parent material	lithology	Geology/parent material	1:2,000,000	1996	The Ethiopian Geological Survey (Tefera et al.,1996)		
MODIS spectral refelectance	ref1	Red band (MODIS- MODIS MOD13Q1, time series monthly average)	250 m	2000 – 2018	AfSIS <sup>a</sup>		
	ref2	Near-Infrared (MODIS- MODIS MOD13Q1, time series monthly average)	250 m	2000 – 2018	AfSIS		
	ref7	Mid-Infrared (MODIS- MODIS MOD13Q1, time series monthly average)	250 m	2000 – 2018	AfSIS		





## **Appendix C:** Probability of occurrence of reference soil groups

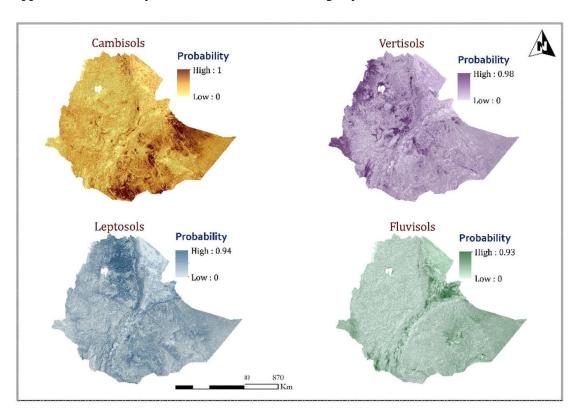


Figure C1. Occurrence probability maps of Cambisols, Leptosols, Vertisols, and Fluvisols.

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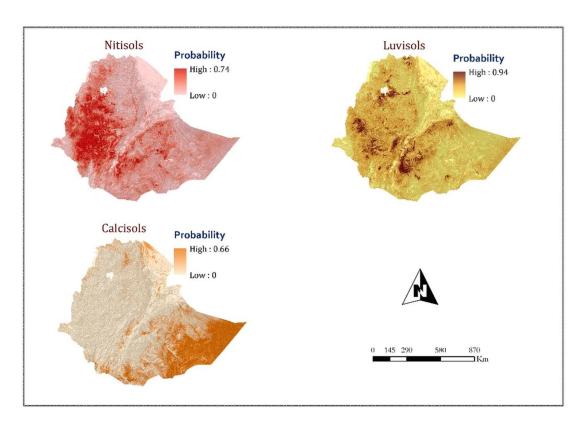


Figure C2. Occurrence probability maps of Nitisols, Luvisols, and Calcisols.

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- **Data availability**. Data will be available upon request based on the CoW guideline.
- Author contributions. AA, TE, KG, WA, and LT conceived and designed the study, perform the
- analysis, and wrote the first draft, with substantial input and feedback from all authors. EM, TM,
- 535 NH, AY, AM, TA, FW, AL, NT, AA, SG, YA, and BA, contributed to input data preparation, data
- encoding, and harmonization. Legacy data validation and review of subsequent versions of the paper
- 537 were performed by MH, WH, AA, DT, GB, MG, SB, MA, AR, YGS, ST, DA, YW, DB, EZ, SC,
- 538 and EE.

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**Competing interests.** The authors declare that they have no conflict of interest.

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