



# 1 **A theory of abrupt climate changes: their genesis and anatomy**

2 **Hsien-Wang Ou<sup>1</sup>**

3 <sup>1</sup>Lamont-Doherty Earth Observatory, Columbia University, Palisades, NY10964, USA

4 Corresponding author: Hsien-Wang Ou (hsienou0905@gmail.com)

5 **Abstract.** We integrate our previous ice-sheet and climate models to examine abrupt climate  
6 changes pertaining to Heinrich event (HE), Dansgaard-Oeschger (DO) cycle as well as last de-  
7 glaciation punctuated by Younger Dryas (YD). Since they are all accompanied by ice-rafted de-  
8 bris, we posit their common origin in the calving of ice sheet due to thermal switch at its bed.  
9 Such thermal switch would generate step-like freshwater flux and together with decadal ocean  
10 response, they would endow abruptness to these millennial climate signals, which need not in-  
11 volve ocean mode change, as commonly assumed. We distinguish thermal switches due to geo-  
12 thermal heat and surface melt, which would calve inland/marginal ice to drive HE/DO-cycle, re-  
13 spectively. As such, the glacial DO-cycle hinges on post-HE warmth that enables the ablation  
14 whereas the Holocene DO-cycle is self-sustaining. The ocean response to freshwater flux entails  
15 millennial adjustment to maximum entropy production (MEP), a process termed “MEP adjust-  
16 ment”. As its direct consequence, the termination of HE is accompanied by sudden warming fol-  
17 lowed by gradual cooling to exhibit saw-toothed H-cycle, and the cooling moreover would an-  
18 chor DO-cycles to form the hierarchical Bond cycle. The meltwater produced during deglacia-  
19 tion, if rerouted to Hudson Bay, may augment the calving-induced freshwater flux to cause YD,  
20 the latter thus involves happenstance and did not materialize during penultimate deglaciation.  
21 By incorporating calving origin of the freshwater flux and MEP adjustment of the ocean, the the-  
22 ory has provided an integral account of these abrupt climate changes.

## 23 **Non-technical summary**

24 We integrate our previous models to examine abrupt climate changes pertaining to Heinrich and  
25 Dansgaard-Oeschger cycles as well as deglaciation punctuated by Younger Dryas. We posit  
26 their common origin in the calving of ice sheet triggered by thermal switches at its bed, which  
27 are differentiated between that caused by geothermal heat and surface melt. Together with the  
28 ocean evolution toward maximum entropy production, the theory provides an integrated account  
29 of observed phenomena.

## 30 **1. Introduction**

31 Last ice age was teemed with abrupt climate changes pertaining to Heinrich (H) events (HE),  
32 Dansgaard-Oeschger (DO) cycles as well as deglaciation punctuated by Younger Dryas (YD), a  
33 dramatic climate reversal. While these climate signals are distinct, they are all accompanied by  
34 ice-rafted debris (IRD, Bond et al. 1997, Fig. 6), suggesting a common origin in the calving of  
35 ice sheet due to thermal switch at its bed (MacAyeal 1993; Ou 2022a). Since recurring time of  
36 calving is constrained by ice mass balance, the resulting freshwater flux is naturally availed the  
37 millennial timescale, a timescale not inherent to the ocean. On the other hand, thermal switch is



38 operating on very short (years) subglacial hydrological timescale (Fricker et al. 2007) to render  
39 step-like freshwater flux, and together with the decadal ocean response (Duplessy et al. 1991),  
40 they endow abruptness to the millennial climate signal, which thus need not involve mode  
41 change, as commonly assumed (Alley et al. 2003). Besides the abruptness, the presumed mode  
42 change is also prompted by large signal in the surface-air temperature (SAT), which however  
43 may simply reflect extremely cold winter air during stadials characterized by extensive sea-ice  
44 cover (Denton et al. 2005) whereas variation in the sea-surface temperature (SST) remains well  
45 short of mode change except during deglaciation (Bard 2002).

46 With above common origin in the thermal switch at the ice bed, we nonetheless distinguish their  
47 two differing heat sources hence locales: for H-cycle, it is the trapping of the geothermal heat by  
48 the growing ice sheet that leads to calving of the inland ice (MacAyeal 1993); and for DO-cycle,  
49 it is the surface melt over the ablation zone (Hooke 1977) that causes calving of the marginal ice.  
50 Both these thermal switches hence differing calving processes have been demonstrated by nu-  
51 merical calculations (Calov et al. 2002; Brinkerhoff and Johnson 2015), and our ice-sheet model  
52 (Ou 2022a) allows a prognosis of the resulting freshwater flux, which thus may be prescribed as  
53 external perturbation of the ocean.

54 To examine the ocean response, we shall apply our box model of coupled ocean/atmosphere (Ou  
55 2018). As deduced therein, since the meridional overturning circulation (MOC) involves random  
56 eddy exchange across the subtropical front, a generalized second law of nonequilibrium thermo-  
57 dynamics (NT) leads to its millennial evolution toward maximum entropy production (MEP), a  
58 process termed “MEP adjustment”. Incorporating this process, Ou (2022b, under review) has ex-  
59 amined the ocean response to the orbital forcing and shows that it can reproduce Pleistocene gla-  
60 cial cycles while resolving many longstanding puzzles, in support of its utility. In the present  
61 theory, we shall show that combining calving of the ice sheet and MEP adjustment of the ocean,  
62 it may provide an integrated account of abrupt climate changes.

63 For self-containment, we first discuss in Sects. 2 and 3 the relevant physics of our ice-sheet and  
64 climate models, respectively, which is then applied in Sects. 4 to 6 to examine successively ab-  
65 rupt climate changes pertaining to H- and DO-cycle as well as deglaciation. In each, we high-  
66 light salient features of the observed phenomena, discuss their genesis based on model physics,  
67 and provide a synthesis of previous works. We summarize main findings of the theory in Sect. 7  
68 to conclude the paper.

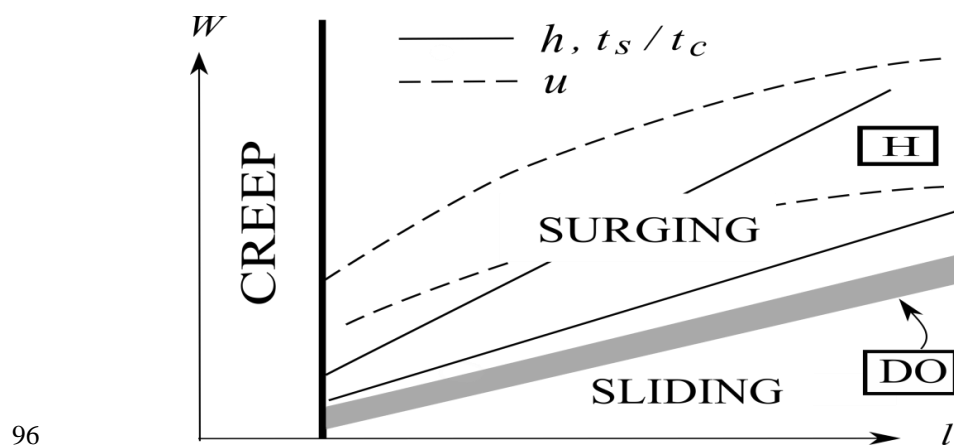
## 69 **2. Ice-sheet model**

70 We first discuss our ice-sheet model (Ou 2022a), which provides the freshwater perturbation to  
71 the ocean. It is well recognized that large ice sheet may exhibit quasi-periodical surge due to  
72 thermal switch at its bed (MacAyeal 1993). Physically, ice growth by accumulation would in-  
73 creasingly trap the geothermal heat to warm the bed to the pressure-melting point when a surge is  
74 triggered; the ensuing thinning would augment the conductive cooling to refreeze the bed, termi-  
75 nating the surge. As the thermal switch is also favored by greater driving stress, it would be sited



76 off the ice divide to calve inland ice through Hudson Strait, which has been widely attributed as  
 77 the source of H-events (Bond et al. 1992). The numerical simulation of the ice discharge how-  
 78 ever often involves tuning of the sliding velocity (Calov et al. 2002), which directly impacts the  
 79 amplitude and period of the surge cycle. To remove this empiricism, we have incorporated  
 80 global momentum balance to constrain the sliding velocity by the strait width (Tulaczyk et al.  
 81 2000), so the model closure allows us to prognose the surge properties.

82 A tangible outcome of the model is the construction of a 2-D regime diagram as sketched in Fig.  
 83 1, which is spanned by scaled length ( $l$ ) and width ( $w$ ) of the ice discharge and on which surge  
 84 properties, such as termination height ( $h$ ), surge/creep duration ( $t_s/t_c$ ), and surge velocity ( $u$ ),  
 85 can be contoured (all nondimensionalized). It is seen that the model has delineated three dynam-  
 86 ical regimes: steady creep, steady sliding and cyclic surge separated by thick and shaded lines,  
 87 which can be understood as follows. For short discharge, the catchment due to accumulation can  
 88 be absorbed by creep to maintain a steady state, so the thermal switch remains off. For longer  
 89 discharge, the thermal switch would turn on to trigger the sliding motion whose strength how-  
 90 ever depends on the strait width: for a narrower strait hence slower sliding, the ice flux can be  
 91 sustained by catchment to maintain a steady state, but for a wider strait hence faster sliding, the  
 92 ice flux cannot be sustained, thus vaulting into surge cycle. The box marked H represents ice  
 93 discharge through the Hudson Strait, which falls well within the surge regime, and the prognosed  
 94 surge properties are of the same order as that inferred from observation, in support of ice-calving  
 95 source of the H-cycle.



96

97 Fig. 1. A schematic of the regime diagram spanned by the scaled length  $l$  and width  $w$  of  
 98 the ice discharge, which consists of steady-creep, steady-sliding and cyclic-surge regimes  
 99 separated by thick and shaded lines. Contoured surge properties (thin lines) include ter-  
 100 mination height ( $h$ ), surge/creep duration ( $t_s/t_c$ ), and surge velocity ( $u$ ). Box H marks  
 101 the ice discharge through Hudson Strait, and the shaded line indicates its aspect ratio for  
 102 the DO-cycle.



103 Since DO-cycle is associated with much smaller freshwater flux than H-cycle, it likely involves  
 104 calving of the marginal ice. Searching for clues that might differentiate the two, we note that en-  
 105 glacial ice-sheet temperature shows two distinct zones of temperate bed (Hooke 1977, Fig. 4d):  
 106 besides the one under ice divide due to trapping of the geothermal heat, there is another one in  
 107 the ablation zone where surface melt is particularly effective in warming the bed via vertical ad-  
 108 vection. We posit therefore that DO-cycle is driven by the thermal switch under the ablation  
 109 zone, which would calve the marginal ice. Unlike ice discharge through Hudson Strait, this calv-  
 110 ing may occur along the eastern seaboard of Laurentide ice sheet (LIS) hence unconstrained by  
 111 topography, and numerical calculations of ice discharge over a flatbed (Brinkerhoff and Johnson  
 112 2015) provides an apt demonstration of its plausible scenario: following the thermal trigger, the  
 113 surging ice would grow in width until it is arrested by a limit cycle, resulting in periodic self-or-  
 114 ganized ice streams.

115 Since the thermal switch underlying Fig. 1 is generic, the stream width arrested by limit cycle is  
 116 precisely that divides the steady-sliding and cyclic-surge regimes (shaded). Denoting the corre-  
 117 sponding stream properties by subscript “s”, they are functions only of the “heating” parameter  
 118 measuring the relative strength of the frictional to geothermal heating

$$119 \quad \alpha_h = \rho_i g \dot{a} [h] / \dot{g} \quad (1)$$

120 where  $\rho_i$  is the ice density,  $g$ , the gravitational acceleration,  $\dot{a}$ , the accumulation,  $[h]$ , the ice  
 121 thickness scale taken to be equilibrium-line altitude (ELA), and  $\dot{g}$ , the geothermal flux. Specifi-  
 122 cally, the termination height is

$$123 \quad h_s = (\sqrt{1 + 2\alpha_h} - 1) / \alpha_h, \quad (2)$$

124 the aspect ratio is

$$125 \quad a_s = \sqrt{2} / h_s, \quad (3)$$

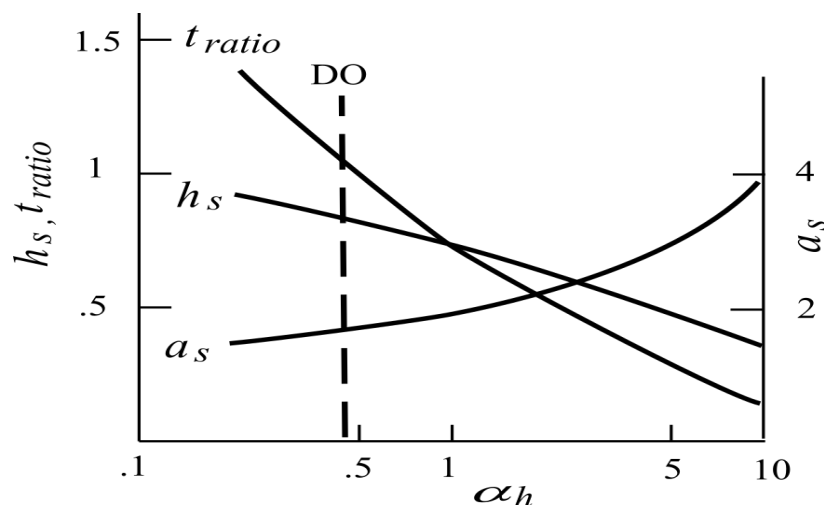
126 and the ratio of surge/creep durations is

$$127 \quad t_{ratio} = 2(1 - a_s^2)^{-1} \quad (4)$$

128 for which we have set the mean thinning rate of the surge to be half its maximum. They are plot-  
 129 ted in Fig. 2 whose qualitative dependence can be explained as follows: for stronger frictional  
 130 heating, the ice would be thinner before the conductive cooling may terminate the surge, which  
 131 in turn would be wider on account of the mass balance, and then such wider surge implies faster  
 132 sliding motion to shorten the surge relative to the creep phases. Applying standard values listed  
 133 in Appendix, the heating parameter is .48 (dashed), which yields a fractional surface depression  
 134 of .17, quite smaller than that of HE (about .5, see Ou 2022a). The surge and creep have compa-



135 rable duration ( $t_{ratio} = 1.1$ ), both lasting about 1 ky. In comparison with the saw-toothed H-cycle,  
 136 the shorter creep is due to the smaller surface depression during surge, which needs less time  
 137 to be replenished by accumulation, but the surge duration is maintained by the slower discharge  
 138 hence thinning.



139  
 140

141 **Figure 2:** Ice-discharge properties of the DO-cycle plotted against the heating parameter.  
 142 They are the termination height  $h_s$ , aspect ratio  $a_s$  and ratio of surge/creep durations  
 143  $t_{ratio}$ , all nondimensionalized. The vertical dashed line is representative of the DO-  
 144 cycle, which shows comparable surge/creep durations.

145 To recap, our ice-sheet model has produced surge properties required by H- and DO-cycles, so  
 146 the resulting freshwater flux may be prescribed as external to the ocean to examine its response,  
 147 as discussed next.

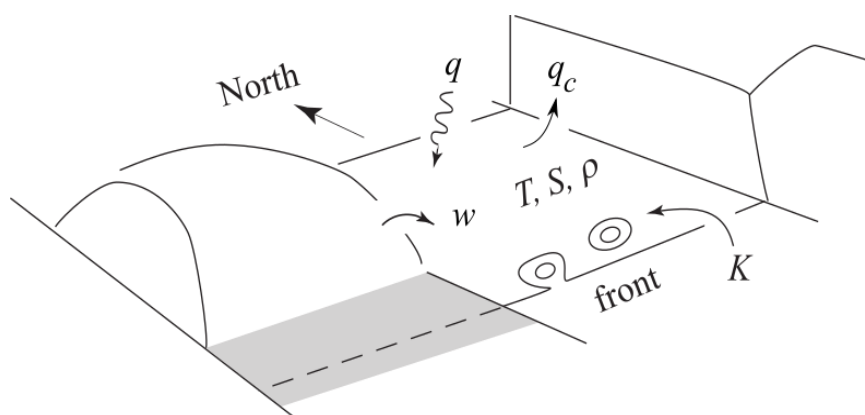
### 148 3. Climate model

149 Readers are referred to Ou (2018) for detailed derivation of our coupled climate model, only rel-  
 150 evant physics is summarized here for self-containment. The model configuration is sketched in  
 151 Fig. 3 for which both planetary fluids are composed of warm/cold boxes aligned at mid-latitudes  
 152 and the adjacent ice sheet injects freshwater perturbation into the subpolar water, as determined  
 153 from our ice-sheet model. Retaining dominant balances, the absorbed SW flux ( $q$ ) differentiates  
 154 the SST ( $T$ ), which differentiates the SAT by the convective flux ( $q_c$ ) to induce atmospheric heat  
 155 transport; the attendant moisture transport depresses the subpolar salinity ( $S$ ), which together  
 156 with the SST specifies the density surplus ( $\rho$ ); the latter drives the MOC ( $K$ ) across the subtropi-  
 157 cal front composed partly of random eddy shedding. Since climate signals of our concern are



158 dominated by that of the cold-box, the model variables are the nondimensionalized cold-box de-  
 159 viations from (known) global means.

160



161

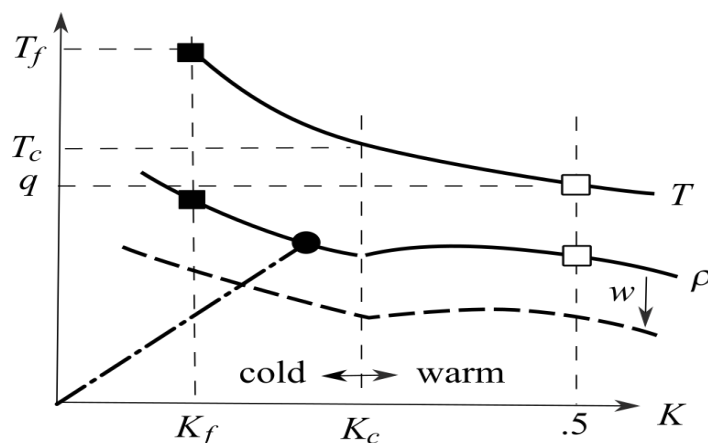
162 **Figure 3:** The model configuration of coupled ocean/atmosphere composed of warm and  
 163 cold boxes aligned at mi-latitudes and ice sheet on the adjacent continent providing fresh-  
 164 water perturbation to the subpolar ocean. Model variables are the cold-box deviations  
 165 from (known) global means and the MOC.

166 The model physics can be illustrated via a phase-space diagram sketched in Fig. 4 whereby the  
 167 cold-box temperature deficit ( $T$ ) and density surplus ( $\rho$ ) are plotted against MOC ( $K$ ). Expect-  
 168 edly, decreasing MOC cools the subpolar water (that is, increasing temperature deficit) and re-  
 169 duces the convective flux from its global mean ( $\bar{q}_c$ ), but since the convective flux may not be  
 170 negative, the ocean/atmosphere coupling renders a “convective bound” at

171 
$$T = T_c \equiv 2\bar{q}_c, \tag{5}$$

172 which divides the climate regime into warm/cold branches with a break in the density slope.  
 173 Since the cold branch is characterized by vanishing convective flux, the atmospheric heat  
 174 transport is saturated at  $\bar{q}_c$ . This division into warm/cold branches is an outcome of atmospheric  
 175 coupling, which unlike ocean-only models (Stommel 1961) allows normal-signed density con-  
 176 trast, as seen in coupled climate models (Rahmstorf et al. 2005). That the cold branch is charac-  
 177 terized by vanishing convective flux is consistent with diagnosis of the cold state from such  
 178 models (Manabe and Stouffer 1988, their Fig. 18), in support of the convective bound.

179



180

181 **Figure 4:** A schematic of the regime diagram whereby subpolar temperature deficit ( $T$ )  
 182 and density surplus ( $\rho$ ) are plotted against MOC ( $K$ ). The convective bound (subscripted  
 183  $c$ ) separates warm/cold branches with their respective MEP (rectangles). The glacial  
 184 MEP (solid rectangles) is defined by the freezing-point (subscripted  $f$ ) subpolar tempera-  
 185 ture. The MOC line (dash-dotted) pivots on millennial timescale toward MEP, whose  
 186 intersect with the density curve specifies the ocean state (oval). The density curve is dis-  
 187 placed (thick-dashed) instantaneously by the freshwater flux ( $w$ ).

188 To constrain MOC, we assume it to be proportional to the density surplus (Stommel 1961), as  
 189 indicated by dash-dotted line (referred as “MOC line”) whose intersect with the density curve  
 190 then specifies the climate state (oval). In numerical models that do not resolve eddies, the MOC  
 191 line is fixed by diapycnal diffusivity, which in effect is a free parameter finely tuned to yield the  
 192 observed state (Rahmstorf et al. 2005), but the actual MOC is subjected to random eddy ex-  
 193 change across the subtropical front (Auer 1987; Lozier 2010) and applying the fluctuation theo-  
 194 rem --- a generalized second law (Crooks 1999), we deduce that the MOC line would pivot on  
 195 millennial timescale toward MEP, a process termed “MEP adjustment”. There can be MEP in  
 196 both warm/cold branches, which are referred as interglacial (open rectangles) and glacial (solid  
 197 rectangles) MEPs, respectively.

198 The interglacial MEP is derived to be  $(T, K = q, 1/2)$ , which is consistent with the observed in-  
 199 terglacial; and the glacial MEP is specified by freezing-point subpolar water ( $T = T_f$ ) that is free  
 200 of perennial ice. The reason for the latter is because such ice would curb the ocean cooling to  
 201 weaken the MOC --- in contradiction to MEP. This glacial MEP is consistent with that observed  
 202 during last glacial maximum (LGM) characterized by freezing-point subpolar water (Kucera et  
 203 al. 2005), which remains open in summer (de Vernal et al. 2005). Since MEP adjustment oper-  
 204 ates on millennial timescale, the above deduction does not preclude perennial sea ice during ab-  
 205 rupt climate change, and then with SST being at the freezing point, extensive sea ice necessarily  
 206 forms in winter, both as seen later.



207 The freshwater flux from the calving ice would depress the density curve as indicated by an ar-  
208 row toward the dashed line. The displacement of the density curve is assumed instantaneous rel-  
209 ative to the millennial climate signals (Sect. 1). On the other hand, the orbital forcing hence the  
210 temperature curve may be taken as unvarying through abrupt climate changes.

## 211 4. H-cycle

### 212 4.1 Phenomenology

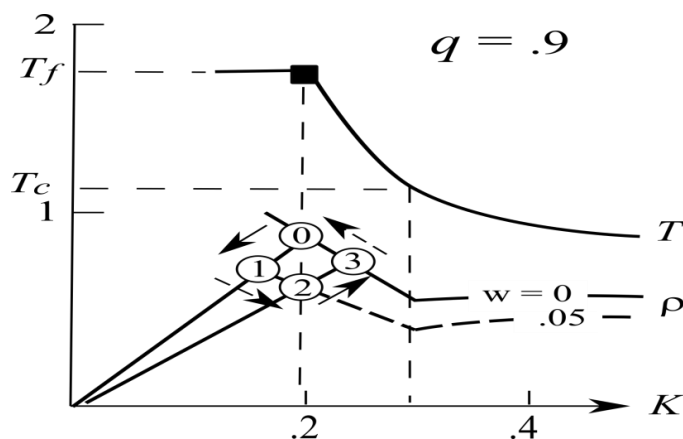
213 The last glacial was punctuated by recurring Heinrich events (HE) when massive calving of ice-  
214 bergs strewed IRD across the North Atlantic (Heinrich 1988; Bond et al. 1992). As discussed in  
215 Sect. 1, the onset and termination of HEs are abrupt relative to their millennial duration spaced  
216 several millennia apart (Elliot et al. 2002). The accompanying freshwater flux is substantial,  
217 amounting to sea-level change of O (10 m) (Chappell 2002), which further depresses MOC from  
218 its already weak glacial strength (Elliot et al. 2002). Since the subpolar water is already at the  
219 freezing point (Kucera et al. 2005), a weakening of MOC causes formation of extensive sea ice  
220 to maintain the ocean heat balance (Broecker 1994). The ice-covered ocean deters melting of  
221 icebergs, allowing IRD to spread to the glacial polar front (Grousset et al. 1993; van Kreveld et  
222 al. 2000).

223 The MOC resumes at the termination of HE, the subpolar water however does not merely return  
224 to the pre-HE state of freezing-point temperature, but a state several degrees warmer (Bard  
225 2002). This post-HE warming is followed by gradual cooling to the pre-HE glacial state, thus  
226 forming the saw-toothed H-cycle (Alley 1998; Henry et al. 2016) --- albeit the cooling trend is  
227 populated by millennial DO-cycles. While SAT signal associated with H-cycle spans O (10 °C),  
228 the SST variation is considerably smaller, ranging in low single digits (Alley 1998; Bard 2002).  
229 The substantial change in MOC has led to anti-phased Antarctic climate during HE, outside of  
230 which the hemispheric climates remain synchronous (Broecker 1998; Clark et al. 1999).

### 231 4.2 Genesis

232 As discussed in our ice-sheet model, we posit HE to originate from calving of the inland ice  
233 through Hudson Strait. We illustrate the ocean response to the freshwater flux via a phase-space  
234 diagram in Fig. 5 with the resulting timeseries shown in Fig. 6. As a representative example, we  
235 set the annual absorbed SW flux at  $90 \text{ W m}^{-2}$  below the global mean, global convective flux at  
236  $56 \text{ W m}^{-2}$ , global-mean SST at  $14 \text{ }^{\circ}\text{C}$  and anomalous freshwater flux at .1 Sv, so for scales de-  
237 fined in Appendix, they yield dimensionless parameters  $(q, \bar{q}_c, T_f, w) = (0.9, 0.56, 1.75, 0.05)$ ;  
238 and for the time series, we set the surge/creep duration at 1/5 ky with light/dark shades symboliz-  
239 ing freshwater flux and sea-ice cover, respectively.





240

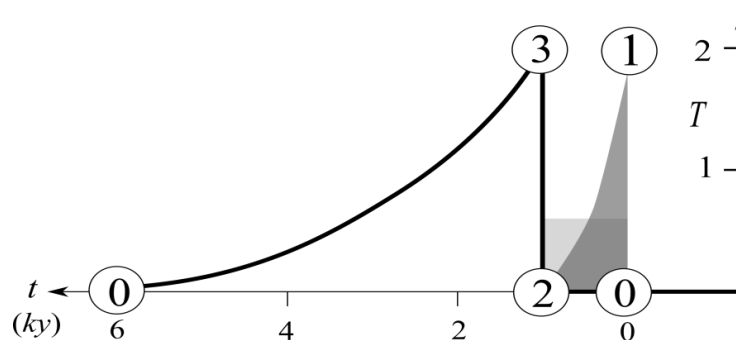
241

242

243

244

**Figure 5:** The H-cycle in the phase-space diagram when the freshwater flux displaces the density curve (thick dashed). The cycle goes through numbered states with solid arrows indicating abrupt changes and dashed arrows, the millennial adjustment to MEP (solid rectangle).



245

246

247

**Figure 6:** A schematic of H-cycle in the time domain corresponding to that of Fig. 5. Light and dark shades symbolize freshwater flux and sea-ice extent, respectively.

248

249

250

251

252

253

254

255

256

257

The H-cycle begins with the glacial MEP (State 0) when the thermal switch is turned on and runs through numbered states with solid and dashed arrows indicating fast (decadal) and slow (millennial) transits, respectively. Since the MOC line pivots on millennial timescale, it remains immobile at the HE onset, so State 0 would transition to State 1 whereby the weaker MOC would induce extensive sea ice (dark-shaded in the time plot) to maintain the ocean heat balance. During the millennial HE, the MOC line would pivot toward MEP by melting the sea ice (Sect. 3) hence transition State 1 to 2. At the HE termination, the MOC line is again immobile to transition State 2 to 3, which thus exhibits a sudden warming. During the ensuing creep phase, the MEP adjustment would pivot the MOC line toward the glacial MEP (State 0), thus evincing a gradual cooling to form the saw-toothed H-cycle.



258 A key property of the H-cycle is the post-HE warmth, which can be derived as follows. As the  
259 H-cycle resides in the cold branch for which the atmospheric heat transport has saturated at the  
260 global convective flux  $\bar{q}_c$  (Sect. 3), the total heat transport (given by the orbital forcing  $q$ ) is par-  
261 titioned between atmosphere and ocean as

$$262 \quad q = \bar{q}_c + KT, \quad (6)$$

263 and the salinity balance states

$$264 \quad \mu \bar{q}_c + w = KS \quad (7)$$

265 where  $\mu$  is a moisture parameter so the first term is the atmospheric moisture transport, which to-  
266 gether with the freshwater flux  $w$  is balanced by the salinity flux carried by MOC. Combining  
267 these two equations yields the density surplus

$$268 \quad \rho = T - S \quad (8)$$

$$269 \quad = \frac{1}{K}(q_e - w), \quad (9)$$

270 where

$$271 \quad q_e = q - (1 + \mu)\bar{q}_c \quad (10)$$

272 is the forcing in excess of the warm-transition threshold (the last term), the latter being the maxi-  
273 mum insolation (that is,  $w = 0$ ) when the ocean may stay in the cold branch (that is,  $\rho \geq 0$ ).

274 From Eqs. (6), (9) and trigonometry, we derive that

$$275 \quad \frac{T_3}{T_2} = \frac{K_2}{K_3} \quad (11)$$

$$276 \quad = \frac{\rho_2}{\rho_3} \quad (12)$$

$$277 \quad = \frac{K_3}{K_2} \cdot \frac{q_e - w}{q_e}, \quad (13)$$

278 so Eqs. (11) and (13) yield

$$279 \quad \frac{K_2}{K_3} = \left(1 - \frac{w}{q_e}\right)^{1/2}. \quad (14)$$

280 Substituting Eqs. (14) into (11), we arrive at



$$281 \quad \frac{T_3}{T_2} = \left(1 - \frac{w}{q_e}\right)^{1/2} \quad (15)$$

282 The (dimensional) temperature range ( $\Delta T$ ) of the H-cycle thus is

$$283 \quad \Delta T = [T](T_2 - T_3)$$

$$284 \quad \approx \bar{T} \cdot \frac{w}{2q_e}, \quad (16)$$

285 for which we have assumed  $w/q_e \ll 1$ . For parameter values specified earlier,  $q_e = .17$ , so  
 286  $w/q_e = .29$ , the approximation Eq. (16) thus yields  $\Delta T \approx 2^\circ\text{C}$  (as shown in Fig. 6), which repre-  
 287 sents an underestimate of about 10 %. Given crudeness of the model, we prognose therefore a  
 288 post-HE warmth in low single digits, which is commensurate with the observed one (Bard 2002).  
 289 Since this warmth increases with the freshwater flux and summer insolation, it would lead to de-  
 290 glaciation when certain threshold is exceeded, a topic to be discussed in Sect. 6.

### 291 4.3 Synthesis

292 The post-HE warming has been attributed to resumption of MOC, which however does not  
 293 merely return the subpolar water to the pre-HE state but a few degrees warmer, and the subse-  
 294 quent cooling has been ascribed to the downwind effect of growing LIS during the binge phase  
 295 (Alley 1998), whose efficacy remains to be demonstrated (Clark 1992). In our interpretation, on  
 296 the other hand, both these features are direct consequences of the MEP adjustment: by melting  
 297 sea ice during HE, it flattens the MOC line, which necessarily yields a warmer state at the termi-  
 298 nation of HE; then the same process would cool the subpolar water toward the glacial MEP de-  
 299 fined by freezing point; there is no need to invoke disparate or extraneous physics.

300 With the gradual cooling being the climate response to HE, it does not precondition HE (Alley  
 301 and Clark 1999), which runs on the internal ice clock (Sect. 2). On the other hand, the climate  
 302 response to the primary ice calving through Hudson Strait would synchronize calving of lesser  
 303 ice sheets around the North Atlantic to augment the freshwater flux (Grousset et al. 1993). The  
 304 sea-ice cover during HE is induced by MOC weakened by freshening, so it is a continuous func-  
 305 tion of the freshwater flux, which moreover is dissipating through HE; a distinct H-mode from  
 306 the glacial state thus cannot be defined nor is it necessary (Alley and Clark 1999).

307 Because of abruptness of the post-H warming and the large annual SAT signal registered in the  
 308 ice core, H-cycle has been modelled as ocean mode change (Paillard 1995; Ganopolski and  
 309 Rahmstorf 2001), which is unsupported by observation: the abruptness merely reflects the deca-  
 310 dal ocean response to step-like freshwater perturbation (Sect. 1) and the large SAT signal stems  
 311 from the extremely cold winter air during HE due to extensive sea ice cover (Broecker 1994; Li  
 312 et al. 2010). In contrast to SAT, the SST signal ranges only in low single digits (Bard 2002) and  
 313 is proportional to the freshwater perturbation Eq. (16), both negating their interpretation as mode



314 change. While short of mode change, the abrupt MOC change associated with HE is nonetheless  
315 of sufficient magnitude (Elliot et al. 2002) to induce anti-phased Antarctic climate (Broecker  
316 1998; Clark et al. 1999). Outside HE however, hemispheric climates remain synchronized by  
317 global teleconnection (Broecker 1998).

## 318 **5. DO-cycle**

### 319 **5.1 Phenomenology**

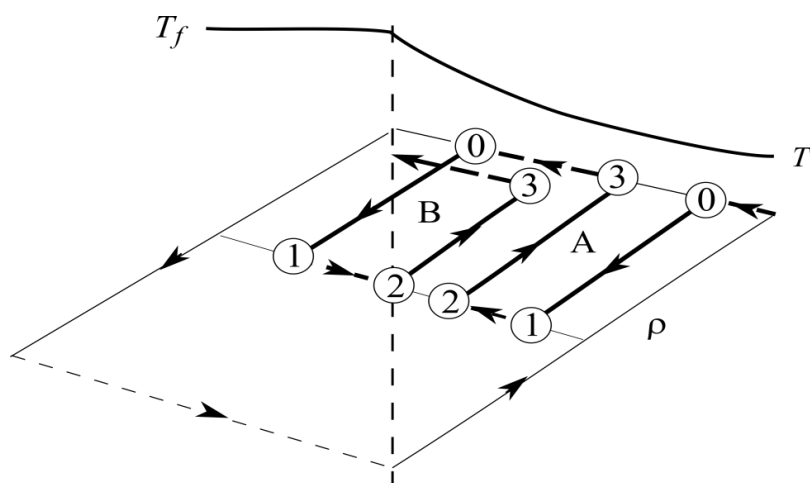
320 The cooling phase of H-cycle is populated by millennial-scale DO-cycles to form bundled Bond  
321 cycle (Dansgaard et al. 1993; Bond et al. 1993). Its hierarchical structure is intriguing: DO-  
322 cycles emerge only after post-HE warming, and then both their stades/interstades follow the H-  
323 cooling trend. The stades are accompanied by IRD (Bond et al. 1997; van Kreveld et al. 2000),  
324 suggesting their origin in ice calving, which endows abruptness to the millennial-scale DO-cycle.

325 Despite this common origin in ice calving, DO-cycle differs from H-cycle in important respects:  
326 first, the freshwater flux is considerably smaller (Yokoyama and Esat 2011), suggesting calving  
327 only of the marginal ice (Sect. 2); second, while SAT range remains large, SST and MOC signals  
328 are further muted and no hemispheric linkage can be discerned (Bond et al. 1995; Charles et al.  
329 1996; Elliot et al. 2002); third, unlike saw-toothed H-cycle, DO-cycle is more symmetric with  
330 comparable millennial duration for both stades and interstades (Alley 1998).

331 Perhaps the most significant observation of DO-cycle is its prevalence in Holocene even in the  
332 absence of large ice sheet and H-cycle (Bond et al. 1997). Yet, the Holocene DO-cycle is still  
333 accompanied by IRD and retains similar time signature as its glacial counterpart, suggesting their  
334 common genesis (Bond et al. 1997). Without sea ice covering the subpolar ocean, the SAT  
335 range of Holocene DO-cycle is much reduced, and SST and MOC signals are indistinct (Grootes  
336 and Stuiver 1997), which certainly are unrelated to mode change; the common genesis of the  
337 Holocene and glacial DO-cycle thus further degrades the latter's interpretation as involving  
338 mode change.

### 339 **5.2 Genesis**

340 We have posited in Sect. 2 that DO-cycle has its origin in the periodic calving of the marginal ice  
341 of the ablation zone. Since during glacial time, there can be ablation only by the post-H warmth,  
342 the glacial DO-cycle thus is preconditioned on H-events and anchored on the cooling phase of  
343 the H-cycle. The genesis of the DO-cycle is illustrated in the phase space in Fig. 7, which thus is  
344 enclosed within the H-cycle marked by the thin outer line. Following the same convention as the  
345 H-cycle, the DO-cycle goes through numbered states with solid and dashed arrows indicating  
346 fast (decadal) ocean response and slow (millennial) MEP adjustment, respectively, and its time  
347 series is plotted in Fig. 8, for which we have assumed square-wave freshwater flux of 2 ky period  
348 (shaded columns) and dark shades symbolize the sea-ice cover.



349

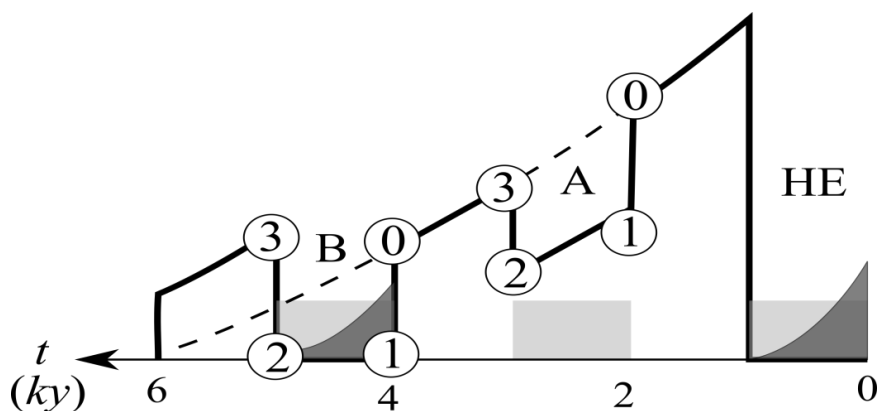
350

351

352

**Figure 7:** Same as Fig. 5, but for DO-cycles anchored on the cooling phase of H-cycle (thin lines). Type-A's stade remains above the freezing point while type-B's has reached the freezing point to resemble a mini-H-cycle.

353



354

355

356

357

358

359

**Figure 8:** A schematic of preceding HE and DO-cycles in the time domain corresponding to that of Fig. 7. Light and dark shades indicate freshwater fluxes (a square-wave of 2 ky period) and sea-ice cover. Type B's stade has reached freezing-point to cause formation of the sea-ice and a greater rebound of the ensuing interstade, but otherwise DO-cycles trend as the H-cooling curve to exhibit the hierarchical Bond cycle.



360 Unlike H-cycle, we need to distinguish two types of DO cycle, designated as type-A and B in the  
361 figures. For type-A, its stade remains above the freezing point hence unobstructed in its trending  
362 of the H-cooling, so the ensuing interstade simply returns to the H-cooling curve in the time plot.  
363 For type-B however, its stade has reached the freezing point to cause formation of sea ice, just  
364 like the H-cycle, so the ensuing interstade would protrude above the cooling curve, resembling a  
365 miniature post-H warming. Despite the protrusion, both stades/interstades trend as H-cooling to  
366 exhibit hierarchical structure of the Bond cycle, as depicted in Alley (1998, Fig. 1).

367 While timing signature of the DO-cycle is controlled by internal ice dynamics, its initial trigger  
368 is due to the post-HE warmth whose timing thus is related to vertical advection associated with  
369 surface melt. As a cursory estimate, a summer melt rate of  $2 \text{ m y}^{-1}$  (Oerlemans 1991) would  
370 yield vertical- and annual-averaged vertical velocity of  $O(0.5 \text{ m y}^{-1})$  to render an advective  
371 timescale of  $O(1 \text{ ky})$  hence it need not be differentiated from the creep duration.

### 372 5.3 Synthesis

373 Since DO-cycle is accompanied by IRD (Bond et al. 1997), we posit that it is originated in ice  
374 calving, just like HE except the thermal switch lies under the ablation zone to calve the marginal  
375 ice. This origin avails the DO-cycle with step-like freshwater flux of millennial duration --- its  
376 defining characteristics in common with H-cycle; but differing from the latter, the glacial DO-  
377 cycle commences only after the post-HE warmth has set up the ablation zone to activate its ther-  
378 mal switch, the reason that the glacial DO-cycle is encased within H-cycle to form the hierar-  
379 chical Bond cycle. In the interglacial, on the other hand, the ablation zone is already in existence  
380 around Greenland ice sheet (Oerlemans 1991), so DO-cycle would be self-sustaining and retain  
381 the same time signature as its glacial counterpart. This commonality thus stems from ice dynam-  
382 ics of the ablation zone, not the large ice sheet whose absence in Holocene has led Bond et al.  
383 (1997) to conjecture (unknown) climate origin of the DO-cycle.

384 The large SAT signal of the glacial DO-cycle has been widely interpreted as indicative of ocean  
385 mode change (Broecker et al. 1990), which however may simply reflect the vast sea-ice cover  
386 during DO-stades that strongly cools the winter air (Denton et al. 2005; Li et al. 2010) whereas  
387 the SST signal remains short of mode change. Since there is little sea ice in the interglacial, the  
388 SAT signal of the Holocene DO-cycle is much reduced, and the SST variation is further muted  
389 and obviously is unrelated to mode change; its common genesis with the glacial DO-cycle would  
390 further militate against the latter's interpretation as involving mode change.

391 Besides the mode change (Ganopolski and Rahmstorf 2001) critiqued above, the DO-cycle has  
392 also been modelled as damped oscillation when the ocean is perturbed by freshwater forcing or  
393 noise (Sakai and Peltier 1999; Schulz and Paul 2002). The modelled period however depends  
394 critically on the strength of the perturbation, which is difficult to reconcile with the comparable  
395 time signature of Holocene and glacial DO-cycles: not only is there no anomalous freshwater  
396 flux in the interglacial, the HE-induced freshwater flux has already ceased before the onset of  
397 glacial DO-cycle for which the freshwater flux is its manifested cyclic signal, not an external



398 stimulus. In our interpretation, the timing signature of DO-cycle is deterministic and set by the  
399 ice dynamics of the ablation zone, and the ocean role is relegated to setting-up the ablation zone  
400 in enabling the DO-cycle.

## 401 **6. Deglaciation**

### 402 **6.1 Phenomenology**

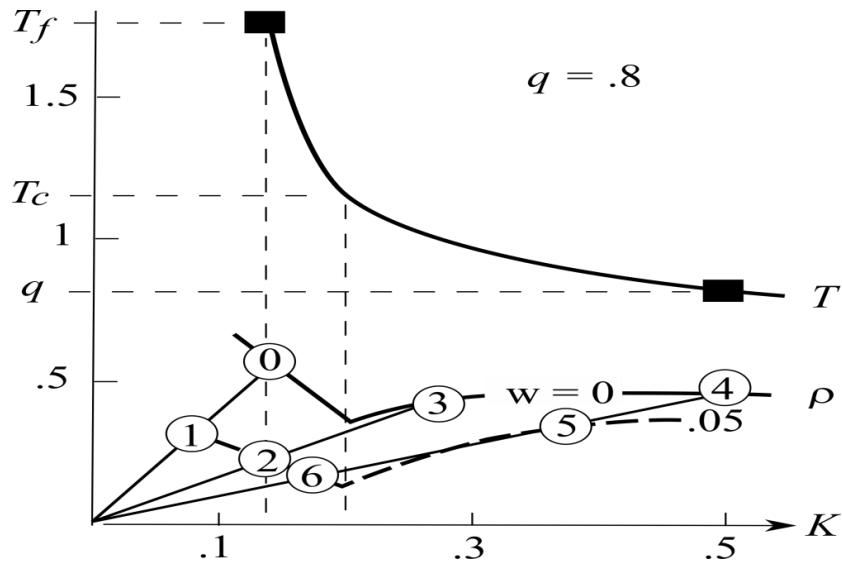
403 The most dramatic abrupt change occurred during last deglaciation, which is preceded by H1 and  
404 derailed by a temporary return to deep freeze in YD (Alley and Clark 1999). Multiple freshwater  
405 fluxes are discerned, which are accompanied by IRD and retain the millennial spacing of DO-  
406 cycles (Keigwin et al. 1991, Fig. 6; Bond et al. 1997, Fig. 6), suggesting their common origin in  
407 the periodic calving of the ice sheet. In addition, there are two massive meltwater pulses (MWP-  
408 1A and 1B) derived from meltback of LIS by the interglacial warmth (Fairbanks 1989).

409 The meltwater is rerouted from Mississippi to St. Lawrence rivers when LIS has sufficiently re-  
410 treated, which may reinforce the calving-induced freshwater flux to cause YD (Broecker et al.  
411 1988; Teller 1990; Marchitto and Wei, 1995). The coldness of YD however halts MWP-1A as  
412 seen in the glacial readvance (Broecker et al. 1988), resulting in only small overlap between the  
413 two (Lehman and Keigwin 1992). Since LIS has largely disintegrated during the Preboreal,  
414 MWP-1B causes only moderate cooling marking the 8.2 ka event (Alley et al. 1997). As an  
415 added puzzle, the YD-like climate reversal did not occur during penultimate deglaciation (Carl-  
416 son 2008).

417 As freshening and cooling have opposite effects on marine  $\delta^{18}\text{O}$ , their relative importance would  
418 muddle the interpretation of this data (Keigwin et al. 1991). Both H1 and YD however manifest  
419 strongly in the ice-core  $\delta^{18}\text{O}$  because of the extremely cold winter air (Denton et al. 2005), and  
420 the shutdown of MOC during these events has caused Antarctic warming and rising global  $\text{pCO}_2$   
421 (Broecker 1998; Shakun et al. 2012), which thus precede the northern climate rebound.

### 422 **6.2 Genesis**

423 We illustrate the deglaciation in the phase-space diagram in Fig. 9 and its time progression in  
424 Fig. 10. Since the freshwater flux is derived from the same source as that of DO-cycle, it is set  
425 as a square wave of 2 ky period (light-shaded), which turns out to produce deglaciation events  
426 like the observed ones hence as labelled. We have drawn two meltwater pulses caused by the in-  
427 terglacial warmth based on observation and the timing constraint noted above. Since the summer  
428 insolation has risen from the deep glacial, we set  $q = .8$  (that is, annual absorbed solar flux is  $80$   
429  $\text{Wm}^{-2}$  below the global mean) so the temperature (deficit) and density (surplus) curves are low-  
430 ered from those of Fig. 5 and for simplicity we set the same freshwater flux of .1 Sv.

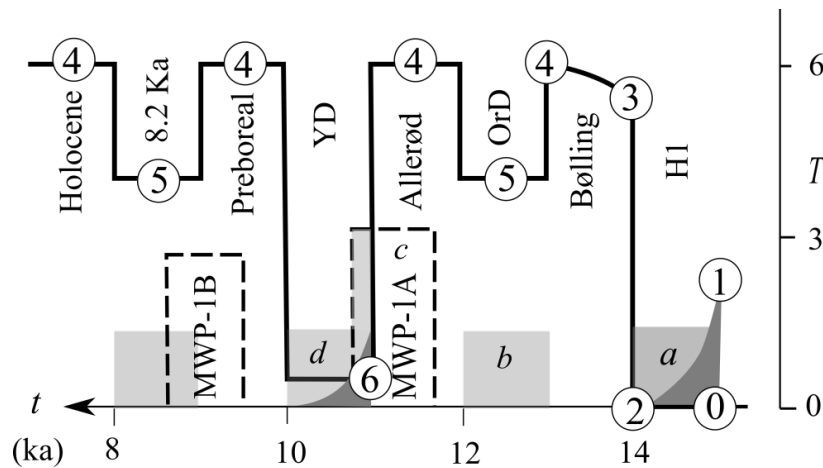


431

432

**Figure 9:** Same as Fig. 5 but for  $q = .8$ , depicting deglaciation sequence.

433



434

435

436

437

438

**Figure 10:** Time progression of the deglaciation shown in Fig. 9 with corresponding observed events labelled. Light shades are freshwater fluxes of a square wave of 2-ky period with letters *a-d* corresponding to meltwater events identified in Keigwin et al. (1991). Dark shades indicate sea-ice covers.





439 The climate signal begins with the glacial MEP (State 0) and runs through numbered states. The  
440 transition from State 0 to 1 to 2 are like the H-cycle, but because of the rising summer insolation,  
441 the MOC line flattened by HE no longer intersects the density curve in the cold branch, so the  
442 climate would vault into the warm branch (State 3), marking the initial deglaciation. Equating  
443 the post-HE temperature Eq. (15) with the convective bound Eq. (5), we derive the criterion for  
444 the deglaciation

$$445 \quad \frac{w}{q_e} \geq 1 - \left( \frac{T_c}{T_f} \right)^2. \quad (17)$$

446 The rhs depends on global-mean temperature and convective flux, which set the long-term super-  
447 orbital condition; the lhs on the other hand depends on both freshwater perturbation and orbital  
448 forcing: a greater freshwater flux would cause deglaciation even with lower summer insolation.  
449 With the standard parameters listed in Appendix, the rhs is .59; and for meltwater flux of .1 Sv,  
450 the deglaciation would occur when annual absorbed SW flux reaches about  $81 \text{ Wm}^{-2}$  below the  
451 global mean, consistent with that seen in Fig. 9. In comparison, the orbital forcing needs to be 8  
452  $\text{Wm}^{-2}$  higher without HE; but since such insolation increase is nonetheless attained in about a  
453 millennium, HE may not delay (McCabe and Clark 1998) --- and possibly even hasten --- the de-  
454 glaciation. On the other hand, since recurring time of HE is shorter than the half precession cy-  
455 cle (10 ky), it always punctuates the deglaciation, as is the observed case (McManus et al. 1999,  
456 Fig. 4).

457 Following the initial deglaciation, State 3 would propel to State 4 (the interglacial MEP), a tran-  
458 sition spanning the Bølling interstadial. The warmth would elevate the snowline to cause calving  
459 of the marginal ice after a millennium, just like DO-cycle, and the resulting freshwater flux  
460 would cool State 4 to 5 marking Older Dryas (OrD), which however remains an interglacial. The  
461 continuing Allerød warmth (State 4) would melt back LIS to generate massive MWP-1A, but  
462 differing from the northern calving, the meltwater does not perturb the climate until the ice mar-  
463 gin has sufficiently retreated to allow the meltwater to be rerouted to the Hudson Bay. And then  
464 it would reinforce the northern calving by further lowering the density curve (not drawn) to vault  
465 State 4 to 6 marking the YD. Unlike calving paced by internal ice dynamics, the meltback  
466 would be halted by the cold YD, as seen in the glacial readvance. Here for simplicity, we have  
467 neglected the lesser pivot of the MOC line during YD because the small density surplus has in  
468 effect rigidified the MOC line (Ou 2018), so the termination of the millennial YD would rebound  
469 the climate from State 6 to 4, the latter corresponding to the Preboreal. The recurring ice calving  
470 after a millennium causes the 8.2 ka cooling event (State 5), which is analogous to OrD, and  
471 since LIS has largely disintegrated during Preboreal, MWP-1B is insufficient to cause the glacial  
472 flip. After the 8.2 ka event, the climate returns to State 4 corresponding to the Holocene.

### 473 **6.3 Synthesis**



474 The ultimate driver of deglaciation is the rising summer insolation during increasing eccentricity  
475 (Ou 2022b), the suborbital deglaciation events however can be explained by the interplay of  
476 three distinct sources of the freshwater perturbation. The first is calving of the inland ice that  
477 triggers H1, whose post-event warming would vault the glacial into interglacial. The latter sets  
478 up an ablation zone to enable the second source: a periodic calving of the marginal ice, just like  
479 that drives the millennial DO-cycle, whose stades can be identified with OrD, YD and 8.2 ka  
480 event. The glacial flip of YD however requires a third source: the rerouting of the meltwater  
481 generated by meltback of LIS (MWP-1A). Since rerouting occurs only after LIS has sufficiently  
482 retreated, and the cold YD would halt the meltback, there can only be small overlap between YD  
483 and MWP-1A (Duplessy et al. 1992; Lehman and Keigwin 1992). This timing mismatch has  
484 raised question about their causal linkage (Fairbanks 1989), which however is resolved here by  
485 the combined effect of second and third freshwater sources. Since YD involves happenstance of  
486 rerouting and remnant of meltwater, such dramatic climate reversal is not inevitable and indeed  
487 did not occur during MWP-1B or penultimate deglaciation.

488 As YD is accompanied by strong freshening and cooling, they could cancel each other to leave  
489 little imprint on the marine  $\delta^{18}\text{O}$  data, H1 however is initiated from a glacial state, so freshening  
490 dominates to register in this data (Duplessy et al. 1992, Fig. 1). Both YD and H1 however mani-  
491 fest strongly in the ice-core  $\delta^{18}\text{O}$  because of the extremely cold winter air insulated from ocean  
492 heat by extensive sea ice (Denton et al. 2005). The first three freshwater fluxes and MWP-1A  
493 shown in Fig. 10 can be identified with the four meltwater events discerned in Keigwin et al.  
494 (1991, their Fig. 6), and our model offers a plausible interpretation of the diverse marine  $\delta^{18}\text{O}$   
495 signal: events *a* and *d* are associated with strong cooling (as symbolized by the shaded sea-ice  
496 cover) to cause maxima in the data, but events *b* and *c* involve little cooling hence dominated by  
497 freshening to yield minima.

498 While YD is triggered by freshwater flux, its freshening is due primarily to the MOC shutdown  
499 (Duplessy et al. 1992), which would sequester the southern heat to cause Antarctic warming  
500 (Broecker 1998; Stocker 2000). As such, the latter and the accompanying rising  $\text{pCO}_2$  precede  
501 the northern climate rebound (Shakun et al. 2012), which however are not causal since the north-  
502 ern deglaciation is already underway and only temporarily reversed by YD on account of the in-  
503 ternal ice dynamics. The termination of YD is accompanied by doubling of accumulation, which  
504 has been attributed to atmospheric circulation change (Alley et al. 1993) but it may simply reflect  
505 the more moist interglacial air, just like that induced by global warming.

506 That the enhanced moisture transport by global warming may shut down MOC, like the trigger-  
507 ing of YD, is a topic widely examined in the past (Manabe and Stouffer 1999; Rahmstorf et al.  
508 2005; Ou 2018). Model intercomparisons however show considerable uncertainty in the fresh-  
509 water threshold, which can be assessed from our model. As the northern summer insolation has  
510 dimmed since about 10 ka (Alley and Clark 1999), it would raise the freshwater threshold based  
511 on our phase-space diagram (comparing Figs. 5 and 9), but even the lower threshold and the



512 massive MWP-1B at 8 ka have caused only moderate cooling, we envisage therefore little pro-  
513 spect of a glacial flip; the next glaciation is likely gradual, evolving over millennial timescale  
514 (Ou 2022b), just like previous ones.

## 515 **7. Conclusions**

516  
517 We integrate our ice-sheet and climate models to address abrupt climate changes pertaining to H-  
518 and DO-cycles as well as the last deglaciation punctuated by YD. Since they are all accompa-  
519 nished by IRD, we posit a common source of freshwater perturbation from periodic calving of the  
520 ice sheet due to thermal switch at its bed. We however distinguish two different sources of the  
521 thermal switches: one from trapping of the geothermal heat, which would calve inland ice that  
522 causes HE; the other from surface melt of the ablation zone, which would calve the marginal ice  
523 to drive the DO-cycle. Since ablation zone can be set up in the glacial time only by post-HE  
524 warmth, the glacial DO-cycle is preconditioned on HE to form the Bond cycle; in contrast, the  
525 ablation is already operative during interglacial, so there is self-sustaining Holocene DO-cycle  
526 even in the absence of large ice sheet or HE. In addition to the thermal switch paced by internal  
527 ice dynamics, the meltback of LIS by interglacial warmth would generate massive meltwater  
528 pulses and if the meltwater is rerouted to the Hudson Bay, it may augment calving-induced fresh-  
529 water flux to cause YD, a dramatic reversal of the deglaciation.

530 Since the thermal switch operates on extremely short (years) subglacial hydrological timescale,  
531 the resulting freshwater flux is step-like, and then ocean responds to this flux on decadal time-  
532 scale, they together would endow abruptness to these climate signals, which thus need not in-  
533 volve ocean mode change, as commonly assumed. Since recurring calving is constrained by mass  
534 balance, it is naturally available millennial timescale. This timescale however is not inherent to  
535 the ocean and may emerge in numerical simulations only under tenuous external condition or  
536 stimulus, which is at odds with robust time signature of the observed signals.

537 In addition to the common origin in the ice calving depicted in our ice-sheet model (Ou 2022a),  
538 our climate model (Ou 2018) has underscored a key process in the ocean response. Recognizing  
539 that MOC is subjected to random eddy shedding across the subtropical front, we apply probabil-  
540 ity law of the NT to deduce that it would be propelled on millennial timescale toward MEP. As a  
541 direct consequence of this MEP adjustment, termination of HE is characterized by a sudden  
542 warming to be followed by gradual cooling to exhibit saw-toothed H-cycle, and then the cooling  
543 trend would anchor DO-cycles to form the hierarchical Bond cycle. The YD, just like other  
544 freshwater perturbation, is paced by the internal ice dynamics but it requires reinforcement from  
545 the rerouted meltwater to cause the glacial flip. As it involves happenstance of rerouting, such  
546 dramatic climate reversal did not occur during the penultimate deglaciation.

547 By incorporating the calving origin of the freshwater perturbation and the MEP adjustment of the  
548 ocean, our theory has provided an integral account of abrupt climate changes. There is no need  
549 to invoke disparate or extraneous physics in explaining their diverse features, as seen in our syn-



550 thesis of previous works. The robustness of deduced features and their resemblance of the ob-  
551 served ones suggest that our theory might have isolated the governing physics of abrupt climate  
552 changes.

### 553 **Appendix**

554	$a_s$	Aspect ratio of surging ice
555	$\dot{a}$	Accumulation ( $= .1 m a^{-1}$ )
556	$C_{p,o}$	Specific heat of ocean ( $= 4.2 \times 10^3 J Kg^{-1} ^\circ C^{-1}$ )
557	$g$	Gravitation acceleration ( $= 9.8 m s^{-2}$ )
558	$\dot{g}$	Geothermal flux ( $= 6 \times 10^{-2} Wm^{-2}$ )
559	$h_s$	Ice height at surge termination
560	$[h]$	ELA for DO-cycle ( $= .5 km$ )
561	$K$	MOC mass flux
562	$[K]$	Scale of $K$ ( $= \alpha^* l (2\rho_o C_{p,o})^{-1} = 6 m^2 s^{-1}$ )
563	$l$	Latitudinal span of subpolar ocean ( $= 4 \times 10^3 km$ )
564	$L$	North Atlantic basin width ( $= 6 \times 10^3 km$ )
565	$q$	Cold-box deficit of absorbed solar flux
566	$q_e$	Excess forcing over warm-transition threshold
567	$[q]$	Scale of $q$ ( $= 100 Wm^{-2}$ )
568	$\bar{q}_c$	Global convective flux
569	$S$	Cold-box salinity deficit
570	$S_0$	Reference salinity ( $= 35$ )
571	$[S]$	Scale of $S$ ( $= \alpha [T] / \beta = 1$ )
572	$t_{ratio}$	ratio of surge/creep duration
573	$[t]$	Timescale for DO-cycle ( $\equiv [h] / \dot{a} = 5 ky$ )
574	$T$	Cold-box SST deficit
575	$T_c$	Convective-bound temperature
576	$T_f$	Freezing-point temperature
577	$[T]$	Scale of $T$ ( $= [q] / \alpha^* = 8 ^\circ C$ )
578	$\bar{T}$	Global-mean SST ( $= 14 ^\circ C$ )
579	$\Delta T$	Temperature range of H-cycle
580	$w$	Freshwater flux
581	$[w]$	Scale of $w$ ( $= 2[K][S] / S_0 = .34 m^2 s^{-1}$ )
582	$\alpha$	Thermal expansion coefficient ( $= 10^{-4} ^\circ C^{-1}$ )
583	$\alpha_h$	Heating parameter ( $= .48$ )
584	$\alpha^*$	Air-sea transfer coefficient ( $= 12.5 Wm^{-2} ^\circ C^{-1}$ , Ou 2018)
585	$\beta$	Saline contraction coefficient ( $= 8 \times 10^{-4}$ )
586	$\rho$	Cold-box density surplus
587	$[\rho]$	Scale of $\rho$ ( $= \rho_o \alpha [T] = .8 Kg m^{-3}$ )
588	$\rho_i$	Ice density ( $= .92 \times 10^3 Kg m^{-3}$ )
589	$\rho_o$	Reference ocean density ( $= 10^3 Kg m^{-3}$ )



590  $\mu$  Moisture parameter (= 0.3)

## 591 **References**

592 Alley RB (1998) Icing the north Atlantic. *Nature* 392(6674):335-7 <https://doi.org/10.1038/32781>

593 Alley RB, Clark PU (1999) The deglaciation of the northern hemisphere: a global perspective.  
594 *Annu Rev Earth Planet Sci* 27(1):149-82 <https://doi.org/10.1146/annurev.earth.27.1.149>

595 Alley RB, Marotzke J, Nordhaus WD, Overpeck JT, Peteet DM, Pielke RA, Pierrehumbert RT,  
596 Rhines PB, Stocker TF, Talley LD, Wallace JM (2003) Abrupt climate change. *Science*  
597 299(5615):2005-10 <https://doi.org/10.1126/science.1081056>

598 Alley RB, Mayewski PA, Sowers T, Stuiver M, Taylor KC, Clark PU (1997) Holocene climatic  
599 instability: A prominent, widespread event 8200 years ago. *Geol* 25:483–6  
600 [https://doi.org/10.1130/0091-7613\(1997\)025<0483:hciapw>2.3.co;2](https://doi.org/10.1130/0091-7613(1997)025<0483:hciapw>2.3.co;2)

601 Alley RB, Meese DA, Shuman CA, Gow AJ, Taylor KC, Grootes PM, White JWC, Ram M,  
602 Waddington ED, Mayewski PA, Zielinski GA (1993) Abrupt increase in snow accumula-  
603 tion at the end of the Younger Dryas event. *Nature* 362:527-529  
604 <https://doi.org/10.1038/362527a0>

605 Auer SJ (1987) Five-year climatological survey of the Gulf Stream system and its associated  
606 rings. *J Geophys Res* 92:11709–26

607 Bard E (2002) Climate shock-Abrupt changes over millennial time scales. *Phys Today* 55(12):32-  
608 8 <https://doi.org/10.1063/1.1537910>

609 Bond G, Broecker W, Johnsen S, McManus J, Labeyrie L, Jouzel J, Bonani G (1993) Correla-  
610 tions between climate records from North Atlantic sediments and Greenland ice. *Nature*  
611 365(6442):143-7 <https://doi.org/10.1038/365143a0>

612 Bond G, Heinrich H, Broecker W, Labeyrie L, McManus J, Andrews J, Huon S, Jantschik R,  
613 Clasen S, Simet C, Tedesco K (1992) Evidence for massive discharges of icebergs into  
614 the North Atlantic ocean during the last glacial period. *Nature* 360(6401):245-9  
615 <https://doi.org/10.1038/360245a0>

616 Bond GC, Lotti R (1995) Iceberg discharges into the North Atlantic on millennial time scales  
617 during the last glaciation. *Science* 267(5200):1005-10 [https://doi.org/10.1126/sci-  
618 ence.267.5200.1005](https://doi.org/10.1126/sci-)



- 619 Bond G, Showers W, Cheseby M, Lotti R, Almasi P, DeMenocal P, Priore P, Cullen H, Hajdas I,  
620 Bonani G (1997) A pervasive millennial-scale cycle in North Atlantic Holocene and gla-  
621 cial climates. *Science* 278(5341):1257-66 <https://doi.org/10.1126/science.278.5341.1257>
- 622 Brinkerhoff DJ, Johnson JV (2015) Dynamics of thermally induced ice streams simulated with a  
623 higher-order flow model. *J Geophys Res Earth Surf* 120(F9):1743–70  
624 <https://doi.org/10.1002/2015jf003499>
- 625 Broecker WS (1994) Massive iceberg discharges as triggers for global climate change. *Nature*  
626 372:421-4 <https://doi.org/10.1038/372421a0>
- 627 Broecker WS (1998) Paleoocean circulation during the last deglaciation: A bipolar seesaw?  
628 *Paleoceanogr* 13:119–21 <https://doi.org/10.1029/97pa03707>
- 629 Broecker WS, Andree M, Wolfli W, Oeschger H, Bonani G, Kennett J, Peteet D (1988) The  
630 chronology of the last deglaciation: Implications to the cause of the Younger Dryas event.  
631 *Paleoceanogr Paleoclimatol* 3(1):1-9 <https://doi.org/10.1029/pa003i001p00001>
- 632 Broecker WS, Bond G, Klas M, Bonani G, Wolfli W (1990) A salt oscillator in the glacial Atlan-  
633 tic? 1. The concept. *Paleoceanogr* 5(4):469-77 <https://doi.org/10.1029/pa005i004p00469>
- 634 Calov R, Ganopolski A, Petoukhov V, Claussen M, Greve R (2002) Large-scale instabilities of  
635 the Laurentide ice sheet simulated in a fully coupled climate-system model. *Geophys Res*  
636 *Lett* 29(24):2216 <https://doi.org/10.1029/2002GL016078>
- 637 Carlson A (2008) Why there was not a Younger Dryas-like event during the Penultimate Degla-  
638 ciation? *Quat Sci Rev* 27(9–10):882–7 <https://doi.org/10.1016/j.quascirev.2008.02.004>
- 639 Chappell J (2002) Sea level changes forced ice breakouts in the Last Glacial cycle: new results  
640 from coral terraces. *Quat Sci Rev* 21(10):1229–40 [https://doi.org/10.1016/s0277-  
641 3791\(01\)00141-x](https://doi.org/10.1016/s0277-3791(01)00141-x)
- 642 Charles CD, Lynch-Stieglitz J, Ninnemann US, Fairbanks RG (1996) Climate connections be-  
643 tween the hemisphere revealed by deep sea sediment core/ice core correlations. *Earth*  
644 *Planet Sci Lett* 142(1-2):19-27 [https://doi.org/10.1016/0012-821x\(96\)00083-0](https://doi.org/10.1016/0012-821x(96)00083-0)
- 645 Clark PU (1992) Surface form of the southern Laurentide Ice Sheet and its implications to ice  
646 sheet dynamics. *Geol Soc Am Bull* 104:595-605 [https://doi.org/10.1130/0016-  
647 7606\(1992\)104<0595:sfotsl>2.3.co;2](https://doi.org/10.1130/0016-7606(1992)104<0595:sfotsl>2.3.co;2)
- 648 Clark PU, Alley RB, Pollard D (1999) Northern Hemisphere ice sheet influences on global cli-  
649 mate change. *Science* 286:1104–11 <https://doi.org/10.1126/science.286.5442.1104>



- 650 Clark PU, Pisias NG, Stocker TF, Weaver AJ (2002) The role of the thermohaline circulation in  
651 abrupt climate change. *Nature* 415:863-9 <https://doi.org/10.1038/415863a>
- 652 Crooks GE (1999) Entropy production fluctuation theorem and the nonequilibrium work relation  
653 for free energy differences. *Phys Rev E* 60(3):2721–6
- 654 Dansgaard W, Johnsen SJ, Clausen HB, Dahl-Jensen D, Gundestrup NS, Hammer CU, Hvidberg  
655 CS, Steffensen JP, Sveinbjörnsdóttir AE, Jouzel J, Bond G (1993) Evidence for general  
656 instability of past climate from a 250-kyr ice-core record. *Nature* 364(6434):218-20  
657 <https://doi.org/10.1038/364218a0>
- 658 Denton GH, Alley RB, Comer GC, Broecker WS (2005) The role of seasonality in abrupt cli-  
659 mate change. *Quat Sci Rev* 24(10-11):1159-82 <https://doi.org/10.1016/j.quasci-rev.2004.12.002>
- 661 Duplessy JC, Bard E, Arnold M, Shackleton NJ, Duprat J, Labeyrie L (1991) How fast did the  
662 ocean-atmosphere system run during the last deglaciation? *Earth Planet Sci Lett* 103(1-  
663 4):27-40 [https://doi.org/10.1016/0012-821x\(91\)90147-a](https://doi.org/10.1016/0012-821x(91)90147-a)
- 664 Duplessy JC, Labeyrie L, Arnold M, Paterne M, Duprat J, van Weering TC (1992) Changes in  
665 surface salinity of the North Atlantic Ocean during the last deglaciation. *Nature*  
666 358(6386):485-8
- 667 Elliot M, Labeyrie L, Duplessy JC (2002) Changes in North Atlantic deep-water formation asso-  
668 ciated with the Dansgaard–Oeschger temperature oscillations (60–10 ka). *Quat Sci Rev*  
669 21(10):1153-65 [https://doi.org/10.1016/s0277-3791\(01\)00137-8](https://doi.org/10.1016/s0277-3791(01)00137-8)
- 670 Fairbanks RG (1989) A 17,000-year glacio-eustatic sea level record: Influence of glacial melting  
671 rates on the Younger Dryas event and deep-ocean circulation. *Nature*, 342:637-42
- 672 Fricker HA, Scambos T, Bindschadler R, Padman L (2007) An active subglacial water system in  
673 West Antarctica mapped from space. *Science* 315(5818):1544–8 <https://doi.org/10.1126/science.1136897>
- 675 Ganopolski A, Rahmstorf S (2001) Rapid changes of glacial climate simulated in a coupled cli-  
676 mate model. *Nature* 409:153-8
- 677 Grootes PM, Stuiver M (1997) Oxygen 18/16 variability in Greenland snow and ice with 103- to  
678 105-year time resolution. *J Geophys Res* 102:26455-70
- 679 Grousset FE, Labeyrie L, Sinko JA, Cremer M, Bond G, Duprat J, Cortijo E, Huon S (1993) Pat-  
680 terns of ice-rafted detritus in the glacial North Atlantic (40–55° N). *Paleoceanogr*  
681 8(2):175-92 <https://doi.org/10.1029/92pa02923>



- 682 Heinrich H (1988) Origin and consequences of cyclic ice rafting in the northeast Atlantic Ocean  
683 during the past 130,000 years. *Quat Res* 29:142-52 [https://doi.org/10.1016/0033-](https://doi.org/10.1016/0033-5894(88)90057-9)  
684 5894(88)90057-9
- 685 Hemming SR (2004) Heinrich events: massive late Pleistocene detritus layers of the North At-  
686 lantic and their global climate imprint. *Rev Geophys* 42(1):RG1005,1-43  
687 <https://doi.org/10.1029/2003RG000128>
- 688 Henry LG, McManus JF, Curry WB, Roberts NL, Piotrowski AM, Keigwin LD (2016) North  
689 Atlantic ocean circulation and abrupt climate change during the last glaciation. *Science*  
690 353(6298):470-4 <https://doi.org/10.1126/science.aaf5529>
- 691 Hooke RL (1977) Basal temperatures in polar ice sheets: a qualitative review. *Quat Res* 7(1):1-  
692 13 [https://doi.org/10.1016/0033-5894\(77\)90011-4](https://doi.org/10.1016/0033-5894(77)90011-4)
- 693 Keigwin LD, Jones GA, Lehman SJ, Boyle EA (1991) Deglacial meltwater discharge, North At-  
694 lantic deep circulation, and abrupt climate change. *J Geophys Res Oceans* 96(C9):16811-  
695 26 <https://doi.org/10.1029/91jc01624>
- 696 Kucera M, Weinelt M, Kiefer T, Pflaumann U, Hayes A, Weinelt M, Chen MT, Mix AC, Bar-  
697 rows TT, Cortijo E, Duprat J (2005) Reconstruction of sea-surface temperatures from as-  
698 semblages of planktonic foraminifera: multi-technique approach based on geographically  
699 constrained calibration data sets and its application to glacial Atlantic and Pacific Oceans.  
700 *Quat Sci Rev* 24(7-9):951-98 <https://doi.org/10.1016/j.quascirev.2004.07.014>
- 701 Lehman SJ, Keigwin JD (1992) Sudden changes in North Atlantic circulation during the last de-  
702 glaciation. *Nature* 356:757–62 <https://doi.org/10.1038/356757a0>
- 703 Li C, Battisti DS, Bitz CM (2010) Can North Atlantic sea ice anomalies account for Dansgaard–  
704 Oeschger climate signals? *J Clim* 23(20):5457-75  
705 <https://doi.org/10.1175/2010JCLI3409.1>
- 706 Lozier MS (2010) Deconstructing the conveyor belt. *Science* 328(5985):1507-11  
707 <https://doi.org/10.1126/science.1189250>
- 708 MacAyeal DR (1993) Binge/purge oscillations of the Laurentide ice sheet as a cause of the North  
709 Atlantic’s Heinrich events. *Paleoceanogr* 8(6):775-84 <https://doi.org/10.1029/93pa02200>
- 710 Manabe S, Stouffer RJ (1988) Two stable equilibria of a coupled ocean-atmosphere model. *J*  
711 *Clim* 1:841-66 [https://doi.org/10.1175/1520-0442\(1988\)001<0841:tseoac>2.0.co;2](https://doi.org/10.1175/1520-0442(1988)001<0841:tseoac>2.0.co;2)
- 712 Manabe S, Stouffer R (1999) The role of thermohaline circulation in climate. *Tellus A* 51(1):91-  
713 109 <https://doi.org/10.1034/j.1600-0870.1999.t01-1-00008.x>





- 714 Marchitto TM, Wei KY (1995) History of Laurentide meltwater flow to the Gulf of Mexico dur-  
715 ing the last deglaciation, as revealed by reworked calcareous nannofossils. *Geology*  
716 23(9):779-82
- 717 McCabe AM, Clark PU (1998) Ice-sheet variability around the North Atlantic Ocean during the  
718 last deglaciation. *Nature* 392(6674):373-7 <https://doi.org/10.1038/32866>
- 719 McManus JF, Oppo DW, Cullen JL (1999) A 0.5-million-year record of millennial-scale climate  
720 variability in the North Atlantic. *Science* 283(5404):971-5 <https://doi.org/10.1126/sci->  
721 [ence.283.5404.971](https://doi.org/10.1126/science.283.5404.971)
- 722 Oerlemans J (1991) The mass balance of the Greenland ice sheet: sensitivity to climate change as  
723 revealed by energy-balance modelling. *Holocene* 1(1):40-8  
724 <https://doi.org/10.1177/095968369100100106>
- 725 Ou H-W (2018) Thermohaline circulation: a missing equation and its climate change implica-  
726 tions. *Clim Dyn* 50:641-53 <https://doi.org/10.1007/s00382-017-3632-y>
- 727 Ou H-W (2022a) A theory of glacier dynamics and instabilities Part 1: Topographically confined  
728 glaciers. *J Glaciol* 68(267):1–12 <https://doi.org/10.1017/jog.2021.20>
- 729 Ou H-W (2022b) A theory of glacial cycles: Resolving Pleistocene puzzles. Under review at  
730 *Paleoceanogr* <https://www.essoar.org/doi/10.1002/essoar.10509978.1>
- 731 Paillard D (1995) The hierarchical structure of glacial climatic oscillations: Interactions between  
732 ice-sheet dynamics and climate. *Clim Dyn* 11:162–77  
733 <https://doi.org/10.1007/s003820050068>
- 734 Rahmstorf S, Crucifix M, Ganopolski A, Goosse M, Kamenkovich I, Knutti R, Lohmann G,  
735 Marsh R, Mysak LA, Wang Z, Weaver AJ (2005) Thermohaline circulation hysteresis: A  
736 model intercomparison. *Geophys Res Lett* 32(23) L23605, doi:10.1029/2005GL023655
- 737 Sakai K, Peltier WR (1999) A dynamical system model of the Dansgaard–Oeschger oscillations  
738 and the origin of the Bond cycle. *J Clim* 12:2238–55 <https://doi.org/10.1175/1520->  
739 [0442\(1999\)012<2238:adsmot>2.0.co;2](https://doi.org/10.1175/1520-0442(1999)012<2238:adsmot>2.0.co;2)
- 740 Schulz M, Paul A (2002) Holocene climate variability on centennial-to-millennial time scales: 1.  
741 Climate records from the North-Atlantic realm. In: *Climate development and history of*  
742 *the North Atlantic realm* (p 41-54). Springer, Berlin, Heidelberg.
- 743 Shakun JD, Clark PU, He F, Marcott SA, Mix AC, Liu Z, Otto-Bliesner B, Schmittner A, Bard E  
744 (2012) Global warming preceded by increasing carbon dioxide concentrations during the  
745 last deglaciation. *Nature* 484(7392):49-54



- 746 Stocker TF (2000) Past and future reorganization in the climate system. *Quat Sci Rev* 19:301-19  
747 [https://doi.org/10.1016/s0277-3791\(99\)00067-0](https://doi.org/10.1016/s0277-3791(99)00067-0)
- 748 Stommel H (1961) Thermohaline convection with two stable regimes of flow. *Tellus* 13:224-30  
749 <https://doi.org/10.1111/j.2153-3490.1961.tb00079.x>
- 750 Teller JT (1990) Meltwater and precipitation runoff to the North Atlantic, Arctic, and Gulf of  
751 Mexico from the Laurentide ice sheet and adjacent regions during the Younger Dryas.  
752 *Paleoceanogr* 5:897–905 <https://doi.org/10.1029/pa005i006p00897>
- 753 Tulaczyk S, Kamb WB, Engelhardt HF (2000) Basal mechanics of Ice Stream B, west Antarc-  
754 tica: 2. Undrained plastic bed model. *J Geophys Res* 105(B1):483–94  
755 <https://doi.org/10.1029/1999jb900328>
- 756 Van Kreveld S, Sarnthein M, Erlenkeuser H, Grootes P, Jung S, Nadeau MJ, Pflaumann U,  
757 Voelker A (2000) Potential links between surging ice sheets, circulation changes, and the  
758 Dansgaard-Oeschger cycles in the Irminger Sea, 60–18 kyr. *Paleoceanogr* 15(4):425-42  
759 <https://doi.org/10.1029/1999pa000464>
- 760 Yokoyama Y, Esat TM (2011) Global climate and sea level: Enduring variability and rapid fluc-  
761 tuations over the past 150,000 years. *Oceanogr* 24(2):54-69 [https://doi.org/10.5670/](https://doi.org/10.5670/oceanog.2011.27)  
762 [oceanog.2011.27](https://doi.org/10.5670/oceanog.2011.27)