# Physical processes and biological productivity in the upwelling regions of the tropical Atlantic

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4 Peter Brandt<sup>1,2</sup>, Gaël Alory<sup>3</sup>, Founi Mesmin Awo<sup>4</sup>, Marcus Dengler<sup>1</sup>, Sandrine Djakouré<sup>5</sup>,

- Rodrigue Anicet Imbol Koungue<sup>1</sup>, Julien Jouanno<sup>3</sup>, Mareike Körner<sup>1</sup>, Marisa Roch<sup>1</sup>, Mathieu
  Rouault<sup>4,†</sup>
- 7 <sup>1</sup>GEOMAR Helmholtz Centre for Ocean Research Kiel, Kiel, Germany
- 8 <sup>2</sup>Faculty of Mathematics and Natural Sciences, Kiel University, Kiel, Germany
- 9 <sup>3</sup>LEGOS, CNES/CNRS/IRD/UPS, Toulouse, France
- 10 <sup>4</sup>Nansen-Tutu Centre for Marine Environmental Research, Department of Oceanography, University of Cape
- **11** Town, Cape Town, South Africa
- 12 <sup>5</sup>LASMES, UFR SSMT, Felix Houphouët-Boigny University, Abidjan, Côte d'Ivoire
- 13 <sup>†</sup>deceased
- 14 *Correspondence to*: Peter Brandt (pbrandt@geomar.de)

#### 15 Abstract

16 In this paper, we review observational and modelling results on the upwelling in the tropical 17 Atlantic between 10°N and 20°S. We focus on the physical processes that drive the seasonal

- 18 variability of surface cooling and upward nutrient flux required to explain the seasonality of
- 19 biological productivity. We separately consider the equatorial upwelling system, the coastal
- upwelling system of the Gulf of Guinea and the tropical Angolan upwelling system. All threetropical Atlantic upwelling systems have in common a strong seasonal cycle with peak
- 22 biological productivity during boreal summer. However, the physical processes driving the
- 23 upwelling vary between the three systems. For the equatorial regime, we discuss the wind
- 24 forcing of upwelling velocity and turbulent mixing as well as the underlying dynamics
- 25 responsible for thermocline movements and current structure. The coastal upwelling system in
- the Gulf of Guinea is located along its northern boundary and is driven by both local and remote
- 27 forcing. Particular emphasis is placed on the Guinea Current, its separation from the coast and
- the shape of the coastline. For the tropical Angolan upwelling, we show that this system is not
- driven by local winds, but instead results from the combined effect of coastally trapped waves,
- 30 surface heat and freshwater fluxes, and turbulent mixing. Finally, we review recent changes in
- the upwelling systems associated with climate variability and global warming and addresspossible responses of upwelling systems in future scenarios.
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#### 34 Short summary

Tropical upwelling systems are among the most productive ecosystems globally. The tropical Atlantic upwelling undergoes a strong seasonal cycle that is forced by the wind. Local winddriven upwelling and remote effects particularly via the propagation of equatorial and coastal trapped waves lead to an up- and downward movement of the nitracline. Turbulent mixing results in upward supply of nutrients. Here, we review the different physical processes responsible for biological productivity.

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#### 42 **1 Introduction**

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The tropical oceans are important to the Earth system for several reasons. The ocean receives a large part of shortwave radiation from the sun arriving at the Earth's surface that must be redistributed horizontally and vertically. Similar important exchanges of carbon dioxide, oxygen and other trace gases occur at the interface between tropical ocean and overlying atmosphere. Tropical marine ecosystems are among the most productive ones, with high relevance for global fisheries (Longhurst, 1993). They are associated with a substantial carbon

flux from the near-surface into the deep ocean (Kiko et al., 2017). At the same time, the tropical 50 oceans are affected by modes of natural climate variability that have reverberations around the 51 52 globe, e.g., including the Pacific El Niño or the Atlantic Niño. Climate warming and change are thought to profoundly affect the tropical oceans. On the one hand, they impact natural 53 climate variability via an intensification of climate extremes or changes in natural variability 54 55 (Cai et al., 2018; Crespo et al., 2022; Prigent et al., 2020b; Yang et al., 2022). On the other hand, they are thought to change the wind forcing of tropical oceans (Wang et al., 2015; Bakun, 56 1990) or enhance stratification thereby impacting subduction, upwelling, and air-sea gas 57 exchange with consequences for acidification, deoxygenation (Oschlies et al., 2018) and marine 58 59 ecosystems.

The zonal extent of the tropical Atlantic is similar to that of the Indian Ocean and about three 60 times smaller than that of the Pacific Ocean. The difference in size between the Pacific and 61 62 Atlantic oceans seems to be the main reason for the dominance of interannual climate variability in the tropical Pacific, while the tropical Atlantic has largest variability on seasonal time scales 63 (Keenlyside and Latif, 2007; Burls et al., 2011). Moreover, the annual and semiannual cycles 64 of primary production are strongly enhanced in the tropical Atlantic compared to the tropical 65 Pacific (Mao et al., 2020). A geographical peculiarity of the tropical Atlantic Ocean is the 66 existence of the Gulf of Guinea which, in addition to its eastern boundary, boarders to the 67 68 African continent in the north, approximately along 5°N from 10°W to 10°E. There are several major rivers flowing into the tropical Atlantic including the Amazon, Congo and Niger rivers 69 70 (Fig. 1).



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Fig. 1 (a) Mean chlorophyll concentration in the tropical Atlantic with circulation schematic superimposed. Surface (solid arrows) and thermocline (dashed arrows) current branches shown are the North Equatorial 75 Countercurrent (NECC), the North Equatorial Undercurrent (NEUC), the Guinea Undercurrent (GUC), the Guinea 76 77 Current (GC), the North Brazil Undercurrent (NBUC), the North Brazil Current (NBC), the Equatorial Undercurrent (EUC), the northern, central and southern branches of the South Equatorial Current (nSEC, cSEC, 78 and sSEC), the South Equatorial Undercurrent (SEUC), the South Equatorial Countercurrent (SECC), the Gabon-

Congo Undercurrent (GCUC), and the Angola Current (AC). Also marked is the Angola-Benguela Frontal Zone
(ABFZ) at about 17°S and the rivers Amazon, Niger, Congo, Cuanza, and Kunene. The red box marks the
equatorial Atlantic upwelling system (EAUS, 20°W-0°, 3°N-3°S). Red patches mark the coastal extent of the Gulf
of Guinea upwelling system (GGUS, 8°W-3°E, 1°-width coastal band) and the tropical Angolan upwelling system
(TAUS, 6°-17°S, 1°-width coastal band). The mean seasonal cycle of SST and Chlorophyll is shown for EAUS
(b), GGUS (c), and TAUS (d). SST data are from the Microwave OI SST product and Chlorophyll data are from
Copernicus-GlobColour both averaged for 1998-2020.

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Based on satellite data, Longhurst (1993) provided a first systematic overview of the different 87 88 open ocean and coastal upwelling systems in the tropical Atlantic. Today, mean satellite 89 chlorophyll concentration (Fig. 1) reveals enhanced productivity in the different coastal upwelling regions of the tropical Atlantic such as in the Gulf of Guinea upwelling system 90 (GGUS, here defined as 8°W-3°E, 1°-width coastal band) and in the tropical Angolan upwelling 91 system (TAUS, here defined as 6°-17°S, 1°-width coastal band). South of the TAUS, the 92 93 permanent northern Benguela upwelling system is located with the Kunene upwelling cell at about 17°S forming its northern boundary (Siegfried et al., 2019). The equatorial Atlantic 94 upwelling system (EAUS, here defined as 20°W-0°, 3°N-3°S) is an open ocean upwelling 95 96 region characterized by albeit enhanced but in comparison to the coastal upwelling systems relatively weak chlorophyll concentration (note the logarithmic scale for the chlorophyll 97 concentration in Fig. 1) (Grodsky et al., 2008). Nevertheless, the EAUS is still of major 98 99 importance for the overall biological productivity in the tropical Atlantic due to its large oceanic extent. Besides the tropical upwelling systems, enhanced chlorophyll concentration is found in 100 the regions of the Amazon and the Congo river mouths. In the region of the Niger River mouth 101 102 no comparable signal of enhanced chlorophyll is found likely due to much-reduced discharge 103 of the Niger compared to the Amazon or Congo rivers (Fig. 1).

The tropical Atlantic upwelling is an element of the shallow overturning circulation, the 104 105 subtropical cells (STCs), and is connected to the subduction in the eastern subtropics via equatorward thermocline flow and poleward Ekman transport in the surface layer (Schott et al., 106 2004; Fu et al., 2022; Tuchen et al., 2020). At the equator, the Equatorial Undercurrent (EUC) 107 transports thermocline waters eastward, toward the EAUS. Due to the presence of the Atlantic 108 109 meridional overturning circulation, these waters are almost exclusively of southern hemisphere origin (Schott et al., 1998; Johns et al., 2014; Tuchen et al., 2022a). Part of the waters 110 recirculates into the westward current branches of the South Equatorial Current, the northern 111 112 and the central South Equatorial Current, or contributes to supply the southward flow along the 113 eastern boundary within the Gabon-Congo Undercurrent and the Angola Current (Kolodziejczyk et al., 2014; Kopte et al., 2017). The GGUS is supplied by the Guinea Current 114 115 and the Guinea Undercurrent. While the waters of the Guinea Current mostly originate in the North Equatorial Countercurrent, a similar connection between the North Equatorial 116 Undercurrent and the Guinea Undercurrent is less obvious (Bourlès et al., 2002; Djakouré et 117 al., 2017; Herbert et al., 2016). Due to the much smaller width of the Atlantic compared to the 118 Pacific, the thermocline waters arriving from the western boundary in the eastern basin 119 upwelling systems are less enhanced in nitrate and less reduced in oxygen in the Atlantic 120 121 compared to the Pacific (Brandt et al., 2015; Chai et al., 1996; Radenac et al., 2020).

122 The tropical Atlantic and its upwelling systems undergo a strong seasonal cycle (Fig. 1b-d). Main drivers are the seasonally varying winds associated with the meridional migration of the 123 124 Intertropical Convergence Zone (ITCZ) (Fig. 2). During boreal summer, the ITCZ migrates northward resulting in strongly enhanced upwelling-favouring easterly winds along the equator 125 126 and the establishment of the Atlantic cold tongue (ACT) centred around 10°W (Fig. 2c). The equatorial Atlantic is warmest in March/April (Fig. 2b) corresponding to a seasonal cycle with 127 a fast cooling during the onset phase of the ACT and slower warming after it has reached its 128 maximum spatial extent (Caniaux et al., 2011; Brandt et al., 2011). At the eastern boundary 129 between equator and 15°S, lowest sea surface temperatures (SST) near the coast are found 130

between July and September. At the northern boundary of the Gulf of Guinea, winds strengthen
as well during boreal summer in accordance with northward migration of the ITCZ and the
development of the West African Monsoon resulting in upwelling-favourable westerlies along
the Ghanaian coast. Lowest SST near the coast is found in July-August (Fig. 2c).

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137 Fig. 2 Monthly mean sea surface temperature (SST, colour shading), sea level anomaly (contour lines, unit is cm), and wind stress (arrows) during (a) January, (b) April, (c) July and (d) October. SST data are from OI-SST (https://www.esrl.noaa.gov/psd/data/gridded/), surface wind stress from ERA5 (https://cds.climate.copernicus.eu/) and sea level anomalies from the European Union Copernicus Marine Service Information (http://marine.copernicus.eu/). The data are averaged between 1982-2021.

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143 In the tropical Atlantic, the thermocline depth can often be associated with the depth of the nitracline. An upward movement of the thermocline thus marks upward vertical advection of 144 nitrate fuelling biological productivity (Radenac et al., 2020). Besides local wind forcing, the 145 propagation of equatorial and coastally trapped waves (CTWs) along the equatorial and coastal 146 waveguides, respectively, contributes to the vertical movement of the thermocline/nitracline. 147 Such wave propagation can result in dynamic upwelling far away from the wave generation 148 sites (Illig et al., 2018b; Illig et al., 2018a; Bachèlery et al., 2020; Hormann and Brandt, 2009). 149 The Hovmöller diagrams of SST and winds as well as chlorophyll concentration and sea surface 150 height show the seasonal development along the equatorial and coastal waveguides in the 151 northern (Fig. 3) and southern (Fig. 4) hemispheres, respectively. Primary cooling in the EAUS 152 can be identified following the enhancement of upwelling-favouring easterly winds along 153 almost the whole equator in May-June (Weingartner and Weisberg, 1991). A secondary cooling 154 occurs in November-December (Jouanno et al., 2011a; Okumura and Xie, 2006). In the GGUS, 155 where SST reaches minimum values in August (Fig. 3a), upwelling-favouring westerly winds 156 157 contribute to local cooling (Djakouré et al., 2017). Contrary, the southerly winds in the TAUS are particularly weak during phases of coldest sea surface (Fig. 4a) (Körner et al., 2022; 158 159 Ostrowski et al., 2009). Biological productivity (or chlorophyll concentration) is generally enhanced during periods of depressed sea surface height or correspondingly during periods of 160 elevated thermocline (Fig. 4b). 161

162 In this review, we focus on the upper-ocean seasonal cycle in the three eastern-basin upwelling 163 systems of the tropical Atlantic between about 10°N and 20°S, the physical forcing driving the upwelling, the upward nutrient supply, and the resulting biological productivity. Section 2
focusses on equatorial upwelling, section 3 on the Gulf of Guinea coastal upwelling and section
4 on the tropical Angolan upwelling. In section 5, we discuss longer-term changes and global
warming and its relation to the seasonal cycle of upwelling, and, finally, in section 6, we provide
a conclusion and outlook.

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170 171 Fig. 3 Seasonal cycle of (a) sea surface temperature (shading) and wind stress (arrows) and (b) chlorophyll 172 concentration (shading) and sea level anomaly (contour lines, unit is cm) along the equatorial (left of the vertical 173 black lines) and Gulf of Guinea coastal waveguides (right of the vertical black lines). Marks at the lower x-axis 174 give geographic coordinates and marks at the upper x-axis give a scale for the distance and geographic locations 175 along the waveguides. Also included in (a) are contours of the 25°C and 26°C isotherms to highlight equatorial 176 and coastal upwelling. Upwelling- and downwelling-favourable winds in (a) are marked by black and grey arrows, 177 respectively. Upwelling-favourable winds have an eastward component along the equatorial waveguide and an 178 alongshore-equatorward component along the coastal waveguide. Positive and negative sea level anomaly in (b) 179 are marked by solid and dashed contour lines, respectively; mean sea level anomaly is removed. SST data are from 180 the Microwave OI SST product, wind data are from CCMP, chlorophyll data are from Copernicus-GlobColour, 181 sea level anomaly data are from Copernicus DUCAS. All data is averaged for 1998-2020 within a 1° band along 182 the equator  $(\pm 0.5^{\circ})$  and within 1° distance along the coast.



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#### 187 2 Equatorial upwelling

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The equatorial upwelling transports cool, nutrient-rich waters toward the surface of the 189 equatorial Atlantic. Its influence at the surface is more pronounced in the eastern part of the 190 basin, where it gives rise to the development of the ACT. Its intensity is modulated by a seasonal 191 cycle composed of an annual and a semiannual component, with a primary SST minimum in 192 July-August and a secondary minimum in November-December (Fig. 3) (Okumura and Xie, 193 2006; Jouanno et al., 2011a). First insights into the seasonal evolution were obtained from 194 observational studies in the 1980s, revealing a close link between seasonal surface cooling and 195 vertical movements of the thermocline (Merle, 1980; Voituriez et al., 1982). Indeed, periods of 196 197 surface cooling in the eastern equatorial Atlantic are in phase with the thermocline upwelling in May-June and November (Fig. 5). By using forced ocean models, Philander and Pacanowski 198 (1981) have revealed variations of the equatorial thermocline as forced by the seasonal cycle 199 200 of the wind stress: stronger easterlies result in a stronger uplift of the thermocline in the eastern equatorial Atlantic. These authors discussed the response of the Atlantic Ocean to the seasonal 201 wind forcing as an equilibrium response that can be understood as a succession of steady states. 202 However, such an equilibrium response requires the dominance of low baroclinic mode 203 204 equatorial Kelvin and Rossby waves that propagate fast enough to adjust the thermocline to the wind forcing within the seasonal cycle (Ding et al., 2009; Hormann and Brandt, 2009; Philander 205 206 and Pacanowski, 1986, 1981). Beside the eastern thermocline uplift, the equatorial easterlies force a strong eastward thermocline flow, the EUC, that supplies the upwelling in the eastern
equatorial Atlantic (Johns et al., 2014; Schott et al., 1998).

The equatorial upwelling is an integral part of the STCs that are driven by the easterlies away 209 from the equator. The meridional divergence calculated from the Ekman transports at about 210 10°S and 10°N is about 20 Sv (Schott et al., 2004; Tuchen et al., 2019). Easterlies at the equator 211 212 additionally result in equatorial upwelling that is part of the tropical cells (Perez et al., 2014). 213 Tropical cells are similar overturning circulations as the STCs, but confined only to the upper 100 m with upwelling at the equator and downwelling at latitudes of about  $\pm 3-5^{\circ}$ . The annual 214 215 mean tropical cells in the central tropical Atlantic are found to be asymmetric with respect to the equator; the northern cell extends into the southern hemisphere. This behaviour can be 216 217 explained by the presence of southerly winds peaking during boreal autumn that drive a crossequatorial northward surface flow at the equator (Heukamp et al., 2022). This circulation 218 219 feature, often referred to as the equatorial roll, has maximum southward return flow at about 50 m depth and upwelling and downwelling slightly south and north of the equator, respectively. 220 The upwelling velocity in the equatorial Atlantic is often estimated from local wind forcing as 221 222 the sum of the Ekman pumping due to the zonal wind stress, meridional wind stress, wind stress divergence and wind stress curl (Caniaux et al., 2011). By using a realistic model of the 223 equatorial Atlantic, particularly including the full dynamic response to the wind forcing, 224 225 Giordani and Caniaux (2011) show that the dominant term driving the equatorial upwelling is still the forcing by zonal wind stress. The importance of the forcing by the wind stress 226 divergence and the wind stress curl is, however, overestimated and underestimated, 227

228 respectively, in the Ekman theory compared to the applied dynamic model. Over the past decade, several studies have revealed that turbulent mixing is the strongest 229 cooling term of the mixed layer heat budget during the onset of the ACT and sets the spatial 230 231 distribution and temporal variability of equatorial surface cooling (e.g., Jouanno et al., 2011a). Turbulent mixing at the base of the mixed layer that drives heat flux out of the mixed layer into 232 the deeper ocean is dominantly induced by the vertical shear of the zonal equatorial currents, 233 234 that is the westward South Equatorial Current at the surface and the eastward EUC at the 235 thermocline level (Hummels et al., 2013). Different processes such as the seasonal variability in strength and core depth of the zonal currents, vertical shear associated with intraseasonal 236 237 waves, the seasonally varying meridional circulation, and the deep-cycle turbulence contribute 238 to the spatial and temporal variability of equatorial mixing (Moum et al., 2022; Heukamp et al., 2022). Using the diapycnal heat flux derived from observations, the seasonal mixed layer heat 239 budget at the equator could be closed to a large extent and the seasonal development of the 240 241 mixed layer temperatures reasonably well explained (Hummels et al., 2014).

An important consequence of upwelling is the increase in biological productivity that is 242 primarily dependent on nitrate supply (Herbland and Voituriez, 1979; Loukos and Memery, 243 1999; Radenac et al., 2020; Moore et al., 2004). There is a strong similarity between the 244 seasonal cycles of phytoplankton concentration and SST in the cold tongue area (Fig. 3) 245 (Jouanno et al., 2011b), suggesting that the same physical processes control the downward heat 246 flux out of the mixed layer and the upward supply of nitrate to the euphotic layer. This was 247 confirmed by the analysis of repeated sections of PIRATA service cruises and outputs from a 248 249 coupled physical-biogeochemical model (Radenac et al., 2020). Surface chlorophyll 250 concentrations in the ACT peak in July-August and exhibit a secondary maximum in December-January (Figs. 3b and 4b, Fig. 5c). Radenac et al. (2020) showed that the primary 251 phytoplankton bloom in July-August is due to a strong vertical nitrate input to the equatorial 252 253 euphotic layer in May-July, and the secondary bloom in December is due to a shorter, moderate 254 input in November-December (Fig. 5d). Their analysis of the nitrate balance in the upper ocean suggests that vertical advection controls the vertical movement of the nitracline and that vertical 255 diffusion allows nitrate to reach the mixed layer (Figs. 5e, f). However, already noted by 256 Monger et al. (1997), the phytoplankton concentration levels remain high beyond the primary 257

258 bloom period in July-August, despite the fact that the thermocline/nitracline has dropped back to pre-uplift depth in September. Radenac et al. (2020) pointed towards a different behaviour 259 260 of the EUC during boreal spring and autumn, where a shallow EUC during boreal spring might prevent upward mixing of nitrate compared to the deep phase of the EUC during boreal autumn, 261 262 when nitrate more easily reaches into the shear zone above the EUC core (Fig. 5). However, 263 also the equatorial role being at maximum strength during boreal autumn (Heukamp et al., 2022) might contribute to the nitrate supply into the mixed layer by upwelling slightly south of 264 265 the equator.





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Fig. 5 Seasonal cycle of vertical profiles of (a) temperature, (b) zonal velocity, (c) chlorophyll, (d) nitrate, (e) vertical advection, and (f) vertical diffusion horizontally averaged in 1.5°S-0.5°N, 20-5°W. The depths of the mixed layer (upper solid line), of the EUC core (dashed line), and of the 20°C isotherm (dotted line) are indicated. Model output is taken from Radenac et al. (2020).

273 Analysis of the PIRATA shipboard sections and model outputs in Radenac et al. (2020) showed that waters transported eastward by the EUC have in general relatively low nitrate 274 concentrations compared to nearby water bodies to the north and south. This is most likely due 275 to the source waters of the EUC that arrive from the oligotrophic layers of the subtropical South 276 277 Atlantic (Schott et al., 1998; Johns et al., 2014; Tuchen et al., 2022a). The model simulations 278 by Radenac et al. (2020) also revealed that the EUC core does not follow the thermocline depth 279 (as defined as the 20°C isotherm, Fig. 5). While in the eastern equatorial Atlantic, the vertical 280 migration of the thermocline undergoes a semiannual cycle in accordance with the local wind forcing, the EUC core depth has a dominant annual cycle (Brandt et al., 2014). This non-281 282 equilibrium response of the equatorial Atlantic to the seasonal wind forcing could be explained by resonant equatorial basin modes composed of eastward and westward propagating equatorial 283 Kelvin and Rossby waves, respectively (Brandt et al., 2016). As the thermocline depth is a good 284 285 proxy of the nitracline (Fig. 5d), the EUC transports elevated nitrate and phytoplankton 286 concentrations when the EUC core is close to or deeper than the thermocline or nitracline, 287 which is the case during July-August and to a lesser extent in December (Fig. 5).



40°W 30°W 20°W 10°W 0°E 40°W 30°W 20°W 10°W 0°E
Fig. 6 Zonal (a, b) and meridional (c, d) velocity measured along the equator in September-October 2019 (a, c) and in April-May 2022 (b, d). Note, the different colour scales for the zonal and meridional velocities. EUC core depth is marked by dashed lines and the 20°C isotherm (as a proxy of the thermocline and the nitracline) by the solid lines.

296 Measurements along the equator during two cruises in boreal autumn (Fig. 6a) and boreal spring 297 (Fig. 6b) reveal the basin-wide character of the up- and downward movement of the EUC core 298 relative to the thermocline depths. During boreal autumn, the EUC core closely follows the thermocline, while during boreal spring, it is located clearly above the thermocline. This 299 300 behaviour can be associated with the resonance of the equatorial basin at the annual period. The period of a resonant equatorial basin mode is given by the total travel time of an equatorial 301 Kelvin wave and its reflected equatorial Rossby wave. For the width of the equatorial Atlantic 302 basin, the resonance period of the 4<sup>th</sup> baroclinic mode is close to the annual cycle (Brandt et al., 303 2016). This basin mode is associated with maximum eastward velocity in the near-surface layer 304 in boreal spring and maximum westward flow in boreal autumn. A specific consequence of the 305 306 relative movement of EUC core and thermocline depths is that the thermocline and thus the nitracline during part of the year vertically migrates into the shear zone above the EUC core. 307 As the upper shear zone of the EUC supports strongly elevated turbulent mixing (Hummels et 308 309 al., 2014; Hummels et al., 2013; Jouanno et al., 2011b; Moum et al., 2022), enhanced upward nutrient flux occurs during those periods. Such behaviour was identified in the model study of 310 Radenac et al. (2020) showing a maximum in the near-surface diffusive nitrate flux into the 311 mixed layer in July-August and a secondary maximum in November-December (Fig. 5f). 312

Beside a seasonal cycle, the productivity on the equator shows elevated intraseasonal and shorter-term variability. In particular, tropical instability waves (TIWs) and wind-forced intraseasonal waves play an important role in stimulating locally productivity due to both meridional advection of nitrate and chlorophyll as well as due to events of enhanced vertical advection and mixing (Athie and Marin, 2008; Menkes et al., 2002; Jouanno et al., 2013). TIWs are found to be associated with strong mixing events (Moum et al., 2009) or the generation of fronts (Warner et al., 2018) that both can drive upward nutrient supply. Resulting high 320

productivity events could be observed during the boreal autumn cruise (Figs. 6a, c) that took place shortly after the seasonal maximum of the TIW activity (Sherman et al., 2022). 321

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#### 3 Gulf of Guinea upwelling 323 324

325 In the Gulf of Guinea, coastal upwelling occurs seasonally along the northern coast, between 10°W and 5°E, from Côte d'Ivoire to Nigeria (Hardman-Mountford and McGlade, 2003). It 326 plays a key role in primary production and local fisheries and is therefore of large socio-327 economic importance for the bordering countries (Koné et al., 2017; Amemou et al., 2020). 328 SST variability in the GGUS is suggested to modulate the amplitude of the African monsoon 329 330 and thus has influence on regional climate (Caniaux et al., 2011; Djakouré et al., 2017). The GGUS is composed of two main upwelling cells, an eastern cell east of Cape Three Points 331 332 (4°44'N, 2°05'W) and a western cell east of Cape Palmas (4°22'N, 7°43'W), that are marked 333 by regions of reduced SST near the coast in satellite data (Fig. 3a) (Wiafe and Nyadjro, 2015). Different physical processes have been proposed to explain the presence of the coastal 334 upwelling in the GGUS. In early studies, the coastal upwelling has been related to the 335 336 strengthening of the geostrophic coastal current by local and remote wind forcing. Indeed, the seasonal strengthening in the eastward-flowing Guinea Current contributes to enhance the 337 meridional tilt of the thermocline, thereby bringing cooler subsurface waters near the coast 338 closer to the surface (Colin et al., 1993; Ingham, 1970; Bakun, 1978; Philander, 1979). The link 339 between SST and wind stress curl in the GGUS was first suggested by Katz and Garzoli (1982) 340 and Garzoli and Katz (1983). By using a model of the tropical Atlantic, Philander and 341 Pacanowski (1986) showed the influence of both wind components and the wind stress curl on 342 343 the upwelling in the GGUS. Additionally, Marchal and Picaut (1977) analysed isotherm 344 displacements between Ghana and Côte d'Ivoire and suggested that vertical pumping by cyclonic eddies generated downstream of Cape Three Points and Cape Palmas could explain 345 346 upwelling of cool waters. However, modelling results by Djakouré et al. (2014) did not confirm 347 that the cyclonic eddies generated downstream of the capes contribute to the upwelling. Instead, 348 Djakouré et al. (2014) and Djakouré et al. (2017) suggested that the upwelling downstream of 349 Cape Palmas is associated with the nonlinear dynamics of the Guinea Current. The inclusion of the nonlinearity in the momentum equations of their model results in an inertial detachment of 350 the Guinea Current from the coast after passing Cape Palmas. The geostrophic adjustment at 351 352 the coastward flank of the current then leads to thermocline upwelling downstream of Cape Palmas. It is worth noting that the thermocline depth, the strength of the coastal current, and 353 thus the upwelling, are all under the seasonal remote influence of the equatorial ocean through 354 355 the propagation of equatorial Kelvin and Rossby waves as well as CTWs (Moore et al., 1978; Clarke, 1979; Servain et al., 1982; Picaut, 1983; Adamec and Obrien, 1978). Such remote 356 influence is also indicated by the seasonal cycle of the sea level anomaly along the equatorial 357 and coastal waveguides (Fig. 3b) and was found for intraseasonal wave propagations as well 358 (Polo et al., 2008; Imbol Koungue and Brandt, 2021). 359

By using a model of the tropical Atlantic with an embedded high-resolution nest for the Gulf 360 of Guinea, Djakouré et al. (2014) and Djakouré et al. (2017) performed sensitivity experiments 361 362 to identify the dominant processes driving the seasonal upwelling in GGUS. The sensitivity includes experiments with a changed coastline without the capes (Djakouré et al., 2014) and 363 with the nonlinear terms in the momentum equations responsible for the advection of 364 365 momentum removed (Djakouré et al., 2017). The spatial distribution of the mean SST for the major upwelling season (July-September) is shown for their reference simulation in Fig. 7. A 366 comparison of the sensitivity experiments with the reference simulations (Fig. 8) shows that the 367 368 sea surface during boreal summer is still colder than the 25°C (chosen as a threshold for the presence of coastal upwelling), when the capes are removed (Fig. 8b). The western upwelling 369

cell disappears only when the nonlinear terms in the momentum equations are removed and theGuinea Current is trapped at the coast (Fig. 8c).

372 The thermocline depth, superimposed on the SST in Fig. 8, is in each of these configurations closest to the surface during the upwelling season. During this period, in the simulation without 373 capes, the thermocline has a structure almost identical to that of the realistic configuration. 374 375 However, the thermocline depth is always larger than 20 m in the simulation without capes and 376 thus deeper than in the reference simulation. In the simulation without nonlinear terms, the deepening of the thermocline relative to the reference simulation is stronger in the western 377 upwelling cell than in the eastern upwelling cell (west and east of Cape Three Points, 378 respectively) resulting in strongly reduced cooling in the western upwelling cell when advection 379 of momentum is removed. The sensitive experiments demonstrated that advection of 380 momentum is the main contributor to the vertical pumping of the western upwelling cell; the 381 cooling of the eastern upwelling cell is mainly associated with the offshore Ekman transport 382 383 (Djakouré et al., 2017).













399 In the eastern part of the GGUS that is dominantly wind-driven (Fig. 3a), coastal cooling weakens toward the east while approaching the Niger River mouth (Fig. 9). Earlier studies have 400 401 shown that onshore geostrophic flow can compensate wind-driven offshore transport, thus reducing upwelling in some regions (Marchesiello and Estrade, 2010; Rossi et al., 2013). Using 402 a realistic regional model configuration, Ekman and geostrophic coastal upwelling indices were 403 404 compared to coastal vertical velocities along the northern Gulf of Guinea coast, during the 405 boreal summer season (Alory et al., 2021). Indeed, the upwelling indices were able to explain a large part of vertical velocity variations along the coast. They also showed that wind-forced 406 coastal upwelling is reduced by about 50% due to onshore geostrophic flow east of 1°E (Fig. 407 9a). Note that the Ekman coastal upwelling index shown in Fig. 9a only takes into account the 408 Ekman transport, as Ekman pumping has little influence in this region (Wiafe and Nyadjro, 409 2015). The onshore geostrophic flow is associated with a sea level slope increasing toward the 410 east. It is driven by density differences along the coast, from relatively cool and salty waters in 411 the upwelling core east of Cape Three Points (Fig. 7) to warm and fresh waters in the Niger 412 River plume and largely compensates offshore Ekman transport and therefore reduces 413 414 upwelling (Fig. 9a).

The comparison of a reference simulation with a simulation in which river run-off is removed, 415 revealed that the Niger River discharge contributes to induce an onshore geostrophic surface 416 417 flow, but additionally causes a thinning of the mixed layer. Overall, there is no net effect of the 418 river discharge on the near-surface geostrophic transport from which the geostrophic upwelling index is derived. Nevertheless, the Niger River discharge induces a coastal warming reaching 419 420 1°C near 2°E (Fig. 9b), which likely is the result of reduced turbulent mixing by the enhanced salt stratification (Alory et al., 2021). The summer upwelling season corresponds both to a 421 maximum thinning of the mixed layer and maximum surface chlorophyll concentration along 422 423 the coast (Toualy et al., 2022). Riverine nutrient inputs may be more or less compensated by a reduced upward nutrient flux due to discharge-driven increased stratification as there is no 424 425 strong chlorophyll signal in the plume region (Fig. 1).



427 428

Fig. 9 (a) Climatological mean (2010-2017) boreal summer (July - September) coastal upwelling index (CUI,
green line), defined as the sum of Ekman (ECUI, blue line) and geostrophic (GCUI, red lines) coastal upwelling
indices, compared with coastal vertical velocity at the base of the mixed layer in the reference NEMO simulation
(black line). Correlation between the CUI and vertical velocities is 0.72. Vertical dashed lines indicate the location
of Cape Palmas and Cape Three Points. (b) River effects on boreal summer sea surface salinity (contour lines),

434

surface geostrophic current (arrows) and sea surface temperature (colour shadings), from a difference between the 435 NEMO reference and a runoff-free simulation. Model output is taken from Alory et al. (2021).

#### **4** Tropical Angolan upwelling 437

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439 The Angolan waters host a highly productive ecosystem: the TAUS (Fig. 1). Located in the 440 southern hemisphere between the Congo River mouth at 6°S and the Angola-Benguela frontal zone at about 17°S, the TAUS is of great socio-economic importance for local communities. 441 442 Fishing supplies about 25% of the total animal protein intake of the Angolan population and is 443 critical for economic security (Hutchings et al., 2009; Sowman and Cardoso, 2010; FAO, 2022). The productivity in the TAUS undergoes a distinct seasonal cycle (Fig. 4). In the TAUS during 444 445 austral winter, maximum productivity is observed at the same time as the lowest SST and the strongest cross-shore temperature gradient are present (Tchipalanga et al., 2018; Awo et al., 446 447 2022; Körner et al., 2022). In contrast to other eastern boundary upwelling systems, the seasonality of the productivity in the TAUS cannot be explained by local wind forcing 448 (Ostrowski et al., 2009). Prevailing southerly winds in the TAUS are generally weak throughout 449 450 the year (Fig. 4a). Neither the seasonal cycle of alongshore wind stress nor of the wind stress curl are in phase with the seasonal cycle in productivity suggesting that other mechanisms drive 451 the productivity seasonality in the TAUS (Körner et al., 2022). 452

453 One of the key dynamics modulating the TAUS on different time scales is the passage of CTWs 454 (Bachèlery et al., 2016a; Kopte et al., 2018; Kopte et al., 2017; Illig et al., 2018b; Tchipalanga et al., 2018; Awo et al., 2022; Körner et al., 2022). CTWs that propagate poleward along the 455 456 eastern boundary are forced remotely by wind fluctuations along the equator or locally by winds 457 at the eastern boundary. Sea level satellite observations reveal the seasonal passage of four remotely forced CTWs throughout the year (Fig. 4b) (Rouault, 2012; Tchipalanga et al., 2018). 458 459 A downwelling CTW marked by anomalously high sea level arrives at the Angolan coast in March followed by an upwelling CTW marked by anomalously low sea level in June/July. A 460 secondary downwelling CTW propagates along the Angolan coast in October followed by a 461 secondary upwelling CTW in December/January. The main component of the eastern boundary 462 463 circulation in the TAUS is the poleward Angola Current (Kopte et al., 2017; Siegfried et al., 2019). Its variability is linked to equatorial ocean dynamics via the propagations of CTWs at 464 different time scales (Kopte et al., 2018; Kopte et al., 2017; Imbol Koungue and Brandt, 2021). 465 466 On seasonal time scales the poleward velocities of the Angola Current peak in October with a 467 secondary maximum in February (Kopte et al., 2017).

The hydrographic conditions in the TAUS undergo distinct seasonal changes (Fig. 10). 468 469 Conductivity, temperature, depth (CTD) data from fifteen years of biannual research cruises of the Nansen program (Tchipalanga et al., 2018) illustrate the seasonal differences between the 470 primary downwelling phase in late austral summer and the primary upwelling phase in austral 471 winter (Fig. 10). In late austral summer (February-April) the cross-shelf section derived from 472 data averaged between 10°S and 12°S shows warm surface waters and a subsurface salinity 473 474 maximum below low-salinity surface water. The subsurface salinity maximum is absent in austral winter (June-August). The isopycnals show evidence of down- and upwelling as they 475 bend downward towards the shore in late austral summer and upward in austral winter. 476 477 Furthermore, the isopycnals undergo a vertical displacement between the seasons (the 26.2 kg 478 m<sup>-3</sup> isopycnal moves vertically by about 50 m). The vertical displacement of the permanent thermocline can be attributed to the passage of CTWs. The seasonal passage of four CTWs 479 480 induces a semiannual cycle in the vertical isopycnal movements (Kopte et al., 2017; Rouault, 481 2012).



483 Distance to coast [km]
484 Fig. 10 Hydrographic conditions between 10°S and 12°S during main downwelling phase, February-April (a,c)
485 and main upwelling phase, June-August (b,d) inferred from the Nansen CTD dataset (Tchipalanga et al., 2018).
486 CTD data is projected on mean topography (GEBCO) between 10°S and 12°S. Panels a and b show the temperature
487 field, panels c and d the salinity field. Black contour lines mark potential density.

488

489 To understand the changes in SST in the TAUS, one has to account for other processes than the 490 passage of CTWs. The SST which shows an annual cycle is dominantly driven by the surface heat fluxes. The advection of warm water by the Angola Current plays only a minor role 491 (Körner et al., 2022). In the TAUS, SST is reduced in a narrow strip along the coast compared 492 493 to further offshore (Fig. 2). The resulting negative cross-shore SST gradient has a semiannual cycle and is strongest between April and September, with a secondary maximum in 494 December/January. The cross-shore SST gradient can neither be explained by surface heat 495 fluxes which act to dampen the spatial SST differences nor by the weak horizontal heat 496 advection. Ocean turbulence data revealed that turbulent mixing across the base of the mixed 497 layer is strongest in shallow waters (water depths smaller than 75 m) and capable of setting up 498 the negative cross-shore SST gradient. The semiannual cycle of the gradient can be explained 499 by turbulent mixing acting upon seasonally different stratifications (Körner et al., 2022) as 500 discussed below. 501

502 In contrast to SST, sea surface salinity (SSS) in the TAUS undergoes a semiannual cycle. Fresher water is found in the northern part of the TAUS in October/November and in 503 February/March (Fig. 10) (Kopte et al., 2017; Lübbecke et al., 2019; Awo et al., 2022). An 504 important source of freshwater in the TAUS is the Congo River discharge at 6°S, with a 505 506 maximum discharge into the ocean in early December (Martins and Stammer, 2022). The observed freshwater in the TAUS is controlled by meridional advection via the Angola Current 507 and peaks in phase with the strengthening of the Angola Current (Awo et al., 2022). Indeed, the 508 509 Angola Current displaces the freshwater from the Congo River plume toward the TAUS, leading to elevated stratification with low-salinity water at the surface above a subsurface 510 salinity maximum. This strong stratification favours the subsurface advection of high salinity 511 water counteracting surface freshening via vertical salt advection and mixing at the base of the 512 mixed layer (Awo et al., 2022). 513

- 514 Turbulent mixing is an important mechanism in the TAUS for the near-coastal cooling, upward
- salt flux, and upward nutrient supply (Awo et al., 2022; Ostrowski et al., 2009; Körner et al.,
- 516 2022). Ocean turbulence data from six research cruises is used to analyse the distribution of
- 517 vertical eddy diffusivity at a cross-shelf section at 11°S (Fig. 11). The vertical eddy diffusivity

is elevated near the bottom at the continental slope and shelf. Additionally, waters shallower
than 75 m show enhanced diffusivities over nearly the whole water column. This finding
suggests a dependence of mixing on bathymetry in the TAUS with stronger mixing occurring
in shallow waters, similar to other upwelling systems (Schafstall et al., 2010; Perlin et al., 2005).

10-2 50 100 Depth [m] 10 150 [m2 200  $10^{-4}$ 250 300 <del>|</del> 80 20 70 60 50 40 30 10 Distance to coast [km]

523 Distance to coast [km]
 524 Fig. 11 Vertical eddy diffusivity calculated from microstructure observation as a function of depth and distance to
 525 the coast. Measurements are taken at a section at about 11°S. Eddy diffusivity is calculated for each profile
 526 individually before profiles are binned together in groups of 20 profiles (black ticks on top mark the border of the
 527 20-profile groups).

528

529 The elevated mixing rates in shallow waters of the TAUS can be explained by onshore propagating internal waves interacting with sloping topography. The main energy source of the 530 internal wave field is assumed to be internal tides, which are generated by the interaction of the 531 barotropic tide and the continental slope (Hall et al., 2013; Lamb, 2014). By applying a regional 532 general circulation model forced solely by barotropic tides at the open boundaries, Zeng et al. 533 (2021) found that in the TAUS a substantial part of the tidally generated internal wave energy 534 propagates onshore and dissipates in shallow waters. Resulting enhanced near-shore mixing 535 agrees well with observations. The seasonality of the spatially-averaged generation, onshore 536 537 flux, and dissipation of internal tide energy is weak. This means that throughout the year, 538 roughly the same amount of energy is available for mixing in shallow waters. However, the resulting mixing acts on seasonally different background stratifications that vary due to the 539 passage of CTWs as well as due to surface heat and freshwater fluxes (Körner et al., 2022; 540 Kopte et al., 2017). Zeng et al. (2021) showed that variations in the background stratification 541 led to different effects of mixing on temperature: the sea surface in shallow waters near the 542 543 coast is cooling stronger during phases of weak stratification than during phases of strong 544 stratification.

The productivity season in the TAUS is in phase with the propagation of CTWs (Fig. 4). The 545 546 chlorophyll concentration peaks around one month after the passage of the primary upwelling CTW in austral winter. Similarly, a secondary chlorophyll peak is visible after the passage of 547 the secondary upwelling CTW in December/January (Figs. 1 and 4). However, the exact 548 process of how the passage of the CTWs leads to an increase in primary production remains an 549 550 open question. While the sea surface cooling depends on the background stratification (Zeng et al., 2021; Körner et al., 2022), the upward nutrient supply additionally depends on the 551 background distribution of nutrients. An increased vertical nitrate gradient during phases of 552 upwelling CTW in areas of high mixing would result in higher upward nitrate fluxes. Such 553 changes in the background nitrate conditions associated with upward and onshore advection of 554 nitrate during the passage of upwelling CTWs might be able to ultimately explain the seasonal 555 productivity signals in the TAUS. 556

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#### 558 5 Relation between upwelling seasonality and longer-term variability

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560 The seasonal upwelling in the three upwelling systems discussed here peaks approximately during the same period, i.e., in July-September (Fig. 1b-d). The area and season most impacted 561 by the upwelling show marked interannual variability, whether in terms of SST (Keenlyside 562 and Latif, 2007) or phytoplankton concentration (Chenillat et al., 2021). Fig. 12 shows the year-563 564 to-year variability of SST of the three tropical Atlantic upwelling systems averaged for the three months July-September. There are some similarities but also differences in the variability of 565 566 the three upwelling systems. Outstanding is the most recent warm event in 2021 that peaks in all three upwelling systems. 567

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576



Fig. 12 SST anomalies from 1982-2021 during the main upwelling season (July-September) averaged in the EAUS
(a), GGUS (b), and TAUS (c). The red and blue rectangles highlight the extreme warm and cold events in the different regions, respectively. The horizontal red and blue lines show the standard deviation of the interannual SST anomalies during the main upwelling season (July-September). Anomalies are derived with respect to the seasonal cycle between 1982 and 2021 after subtracting the trend. SST data are from OI-SST (https://www.esrl.noaa.gov/psd/data/gridded/).

577 The dominant climate mode in the tropical Atlantic is the Atlantic Niño (Hisard, 1980; Ruiz-Barradas et al., 2000; Lübbecke et al., 2018). It is most pronounced in the equatorial cold tongue 578 east of 23°W and peaks during June-July (Keenlyside and Latif, 2007). Anomalous warm or 579 cold events are thus associated with anomalous deep or shallow thermocline and 580 581 correspondingly with reduced or enhanced upwelling, respectively. Atlantic Niños and Niñas are associated with SSS variability as well (Awo et al., 2018) suggesting additional forcing of 582 the equatorial and eastern boundary upwelling in the eastern tropical Atlantic as the coupling 583 584 between subsurface and surface is reduced for enhanced near-surface stratification. During an Atlantic Niño, the southward shift of the ITCZ brings maximum rainfall in the eastern tropical 585 Atlantic and potentially increases the flow of surrounding rivers, affecting near-surface 586 stratification (Awo et al., 2018; Nyadjro et al., 2022). Besides the interannual variability, 587

588 decadal variability can impact the equatorial upwelling. Such variability might be associated with a changing strength of the STCs forced by off-equatorial easterlies (Rabe et al., 2008; 589 Tuchen et al., 2020). Similarly, Brandt et al. (2021) found an intensification of the EUC for the 590 period 2008-2018 that was linked to enhanced trade winds in the tropical North Atlantic likely 591 associated with the Atlantic multidecadal variability (Knight et al., 2006). Other mechanisms 592 593 that are suggested to impact the strength of equatorial cooling on decadal time scales include 594 the decadal variability of TIWs. A decadal strengthening of TIWs was found to be associated with enhanced warming of the equatorial cold tongue by lateral eddy fluxes (Tuchen et al., 595 2022b). Coupled climate simulations also suggest the importance of surface heat fluxes in 596 597 driving interannual to decadal cold tongue SST variability (Nnamchi et al., 2015). As discussed by Jouanno et al. (2017), such heat flux forcing is likely overemphasized due to large upper 598 ocean temperature biases commonly found in climate models. Chang et al. (2008) analysed the 599 impact of a changing Atlantic meridional overturning circulation (AMOC) on the tropical 600 Atlantic on decadal to multidecadal timescales using simulations with a climate model. These 601 simulations showed that a weakened AMOC results in a warmer equatorial Atlantic with 602 reduced seasonal cycle and interannual variability. Similarly, a weakening of interannual 603 variability is projected under a global warming scenario (Crespo et al., 2022; Yang et al., 2022). 604 605 However, the impact of global warming or reduced AMOC on seasonal or interannual 606 variability of productivity is highly uncertain as even the impact on upper ocean stratification 607 is not coherent between different models and datasets, which is partly due to the fact that decadal trends in stratification or productivity are just emerging from the available observations 608 609 (Roch et al., 2021; Sallee et al., 2021; Hammond et al., 2020).

In the GGUS, interannual variability is generally stronger in regions of strong seasonal 610 variability as has been documented from satellite and in situ data (Wiafe and Nyadjro, 2015; 611 612 Sohou et al., 2020). Potential drivers of interannual variability are similar to drivers of seasonal changes. They include changes in the wind forcing and turbulent mixing, and remote forcing 613 associated with the Atlantic Niño (Jouanno et al., 2017; Wade et al., 2011). Processes involved 614 in the 2012 cold anomalies in the GGUS, one of the coldest events observed over the last 30 615 616 years (Fig. 12b), have been investigated through a model heat budget (Da-Allada et al., 2021). Results revealed that the surface cooling at Cape Palmas was driven by changes in zonal 617 advection and increased turbulent mixing due to a strengthening of the Guinea Current and 618 associated vertical shear, while east at Cape Three Point, where seasonal upwelling is 619 dominated by the wind forcing, it was driven by a strengthening of the zonal wind stress that 620 621 increased the offshore Ekman transport.

In the TAUS, Benguela Niños and Niñas are the dominant modes of interannual climate 622 variability (Shannon et al., 1986). Contrary to the variability in the EAUS and GGUS, 623 624 interannual variability does not reach its seasonal maximum during the main upwelling season, but during the main downwelling season from March-May (Lübbecke et al., 2019). Still, some 625 events are observed during austral winter such as the 1984 Benguela Niño (Imbol Koungue et 626 al., 2019; Shannon et al., 1986). During a Benguela Niño or Niña, SST in the TAUS can be up 627 to 2°C higher or lower than the climatology, respectively (Rouault et al., 2007; Rouault et al., 628 629 2018; Imbol Koungue et al., 2019; Imbol Koungue et al., 2021). These extreme events can have drastic consequences for the marine ecosystem (Gammelsrød et al., 1998) through modulations 630 631 in coastal upwelling intensity, nutrients and oxygen content along the continental shelf (Bachèlery et al., 2016b). It can be assumed that forcing mechanisms of Benguela Niños and 632 Niñas are similar to those of the seasonal upwelling variability. On the one hand, winds at the 633 634 equator can generate equatorial Kelvin waves (Illig et al., 2004) that continue southward along 635 the southwest African coast as CTWs and produce thermocline displacements along the eastern boundary (Polo et al., 2008; Imbol Koungue et al., 2017; Bachèlery et al., 2016a; Bachèlery et 636 637 al., 2020). On the other hand, fluctuations of local alongshore winds (Richter et al., 2010) and 638 other local processes such as freshwater inputs (Lübbecke et al., 2019) further generate SST 639 anomalies in the TAUS. For the satellite era, Prigent et al. (2020a) showed a weakening of interannual SST variability in the southeastern tropical Atlantic between 2000-2017 relative to 640 641 1982-1999. However, since 2018, two consecutive extreme coastal warm events have been recorded in the TAUS in 2019/2020 (Imbol Koungue et al., 2021) and in 2021 (Fig. 12c). The 642 recent decades demonstrate a strong warming trend in the tropical Atlantic SST with the largest 643 644 warming observed in the coastal upwelling regions off southwestern Africa including the TAUS 645 (Tokinaga and Xie, 2011). Moreover, using observational data, Roch et al. (2021) discovered a change in upper-ocean stratification from subtropical to tropical conditions associated with a 646 647 warming and freshening of the mixed layer between 2006 and 2020 in the southeastern tropical 648 Atlantic (10°S-20°S; 5°W-15°E). Such changes in stratification are assumed to particularly impact the mixing-driving nitrate supply in the TAUS. 649

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# 651 6 Conclusion and outlook652

Here we have reviewed the physical processes in three major upwelling systems of the tropical 653 Atlantic (10°N-20°S), the EAUS, the GGUS, and the TAUS, that drive the upwelling 654 seasonality. Among them are the processes that locally impact the thermocline depth - often 655 used as a proxy of the nitracline - such as zonal wind along the equator, alongshore wind in 656 coastal upwelling regions, wind stress curl or the detachment of the boundary current. Remote 657 processes associated with the propagation of equatorial Kelvin and CTWs affect the 658 thermocline depth in the different upwelling regions on intraseasonal, seasonal and interannual 659 timescales as well. The processes affecting the thermocline depth can be summarized under 660 locally and remotely driven vertical advection which is able to transfer colder and nutrient-rich 661 662 waters upward to the surface during active upwelling. Additionally, diffusive fluxes associated with turbulent mixing at the base of the mixed layer and within the thermocline transport heat 663 downward and nutrients upward. While the dominant processes driving equatorial and coastal 664 665 upwelling might be identified, we are only beginning to quantify their relative importance or to 666 understand their interactions. Examples are the nonlinear interaction of locally and remotely forced boundary current variability and horizontal density anomalies or topography (Mosquera-667 668 Vasquez et al., 2014; Kämpf, 2007), the importance and characteristics of different CTW modes and their specific role in the vertical advection of nutrients (Bachèlery et al., 2020; Illig et al., 669 2018a; Illig et al., 2018b), or the role of intraseasonal variability and the eddy field in shaping 670 671 the upwelling (Tuchen et al., 2022b; Thomsen et al., 2016). With the development of extremely high-resolution ocean models the importance of the mesoscale, submesoscale and their role in 672 mixed layer dynamics and thermocline mixing emerged. Dedicated observational studies 673 674 particularly in eastern boundary upwelling systems are required, focussing on these smaller-675 scale dynamics and aiming at understanding their impact on seasonal and longer-term changes of upwelling. 676

The EAUS can be characterized as a wind-driven upwelling system forced by different wind 677 components at the equator and off the equator. Off-equatorial winds drive the STCs, which act 678 679 on longer time-scales, mostly larger than 5 years (Schott et al., 2004; Tuchen et al., 2020). How their changes affect stratification and nutrient distribution is still an open question (Duteil et al., 680 681 2014). Wind changes along the equator generate upwelling and downwelling equatorial waves propagating along the equator and adjust the equatorial thermocline to reach an equilibrium 682 with the wind forcing. Additional wave forcing originates from westward propagating Rossby 683 684 waves and their reflection at the western boundary (Foltz and McPhaden, 2010a, b) or by CTWs generated at the western boundary (Hughes et al., 2019). A very specific response of an 685 equatorial basin is the development of a basin resonance. Due to its width and the travel time 686 of equatorial waves, the Atlantic basin is resonant at the 2<sup>nd</sup> and 4<sup>th</sup> baroclinic modes for the 687 semi-annual and annual cycles, respectively. The resonance results in an EUC that vertically 688 689 migrates largely independently of the thermocline (Brandt et al., 2016). During periods, when 690 the thermocline depth is shallower than the EUC core, turbulent mixing in the shear zone above

the core of the EUC is essential for the downward heat flux and upward nitrate flux out and into
the mixed layer, respectively (Jouanno et al., 2011b; Hummels et al., 2014). Similar resonances
are found for the Indian Ocean (Han et al., 2011), while the Pacific Ocean due to its larger width
develops resonances at lower baroclinic modes and/or larger periods.

In the GGUS different processes define the two upwelling centres, east of Cape Palmas and east of Cape Three Points. East of Cape Palmas, the inertial detachment of Guinea Current from the coast plays the most important role, while east of Cape Three Points, upwelling is mainly associated with the wind-forced coastal divergence (Djakouré et al., 2017). The upwelling in the TAUS, which is characterized by weak winds, is dominantly driven by a combination of remotely forced CTWs and turbulent mixing locally enhanced in shallow waters near the coast (Körner et al., 2022; Tchipalanga et al., 2018; Rouault, 2012).

- Climate warming and change might impact the upwelling in the different regions and their
   seasonality (amplitude and phase) differently. Most obvious are probably future changes in the
   wind field, e.g., a strengthening of the winds in a warming world or poleward shifts of the main
- 705 wind systems (Yang et al., 2020). Changes in the stratification and mixed layer depths are
- highly uncertain with recent studies suggesting an increase of the stratification at the base of the mixed layer together with a mixed layer deepening likely due to enhanced wind-driven
- 708 upper-ocean turbulent mixing (Sallee et al., 2021; Roch et al., 2021). However, other processes
- such as lateral mixing, responsible for reducing nitrate concentrations in upwelling regions, or
- surface heat fluxes, might contribute as well. Recently, the multidecadal increase in the strength
  of TIWs and associated equatorward eddy heat flux was suggested to warm the EAUS (Tuchen
- et al., 2022b). Such eddy fluxes generally oppose the Ekman transport in upwelling systems.
- Air-sea heat and buoyancy fluxes were identified to modulate such compensation in idealized
   model simulations (Thomsen et al., 2021), suggesting that in a warming climate also changes
- in heat and freshwater fluxes have the potential to impact the upwelling strength via its impact
- on lateral eddy fluxes.
- 717 The identification of climate changes in upwelling systems is a major goal that requires the 718 maintenance and further development of the tropical Atlantic observing system (Foltz et al., 2019). In particular, coastal upwelling regions show a sparse data coverage and the 719 720 strengthening of the near-coastal observing system has a high priority. This requires a close cooperation with the coastal communities to jointly develop the research agenda according to 721 collective interests and needs. Main questions regard the often-competing role of changes in 722 723 wind forcing and stratification and the role of changing eddy fluxes and buoyancy forcing. What will be the consequences of changing upwelling amplitude and/or timing for 724 biogeochemistry and biology? Overall, future upwelling studies require a close cooperation 725 726 between different research disciplines focussing on the interaction between the physical, 727 biogeochemical and biological systems and allowing an improved assessment of ecosystem 728 management and fisheries.
- 729

## 730 Data availability

Publicly available datasets were used for this study. Chlorophyll data (1998-2020) are from the 731 732 Copernicus-GlobColour dataset (https://doi.org/10.48670/moi-00281). The sea level anomaly 733 data (1998-2020) were accessed via the Copernicus Server (https://doi.org/10.48670/moi-734 00148). Microwave OI SST and CCMP wind data (both 1998-2020) are available under 735 https://www.remss.com. Also used surface wind stress from are ERA5 736 (https://cds.climate.copernicus.eu/). Sections along the equator have been produced using 737 ADCP data from Meteor cruise M158 (https://doi.pangaea.de/10.1594/PANGAEA.952101) and M181 (https://doi.pangaea.de/10.1594/PANGAEA.956143) and CTD data from M158 738 (https://doi.pangaea.de/10.1594/PANGAEA.952354) 739 and M181 (https://doi.pangaea.de/10.1594/PANGAEA.952520). Hydrographic sections in Angolan 740

- 741 waters have been produced using the Nansen CTD dataset 742 (https://doi.pangaea.de/10.1594/PANGAEA.887163).
- 743

#### 744 Author contribution

- PB outlined and wrote the manuscript. MK, RI, JJ, SD, GA produced the figures. All co-authorscontributed to and reviewed the manuscript.
- 747

#### 748 **Competing interests**

- 749 The contact author has declared that none of the authors has any competing interests.
- 750

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- 765 GEOMAR Helmholtz Centre for Ocean Research Kiel.
- 766 767 Appendix A
- 768
- 769 List of abbreviations.
- 770 AMOC Atlantic meridional overturning circulation
- 771 ACT Atlantic cold tongue
- 772 CTD conductivity, temperature, depth
- 773 CTW coastally trapped wave
- 774 EAUS equatorial Atlantic upwelling system
- 775 EUC Equatorial Undercurrent
- 776 FAO Food and Agriculture Organization
- 777 GGUS Gulf of Guinea upwelling system
- 778 ITCZ Intertropical Convergence Zone
- 779 TAUS tropical Angolan upwelling system
- 780 TIWs tropical instability waves
- 781SSSsea surface salinity
- 782 SST sea surface temperature
- 783 STC subtropical cells
- 784

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