

# 1 Rethinking the role of transport and photochemistry in regional 2 ozone pollution: Insights from ozone concentration and mass budgets

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20 **Abstract.** Understanding the role of transport and photochemistry is essential to ~~alleviate-mitigate ambient-tropospheric~~ ozone  
21 ~~(O<sub>3</sub>) pollution within a region. In previous studies, the O<sub>3</sub> concentration budget has been widely used to determine the~~  
22 ~~contributions of two processes to the variations of O<sub>3</sub> concentrations. These studies often conclude that local photochemistry~~  
23 ~~is the main cause of regional O<sub>3</sub> pollution; however, they fail to explain why O<sub>3</sub> in a targeted region is primarily derived from~~  
24 ~~O<sub>3</sub> and/or its precursors transported from the outside regions as reported by many studies of O<sub>3</sub> source apportionment.~~  
25 ~~However, ozone budget and source apportionment studies often report conflicting conclusions—Local photochemistry is the~~  
26 ~~main cause of ozone pollution based on the analyses of the former, while contrary, non-local ozone transported to the region~~  
27 ~~accounts for the majority in the latter results. In order to explore its potential causes~~Here, we ~~present a method to~~ calculated  
28 the ~~hourly~~ contributions of ~~both-O<sub>3</sub>-related~~ processes to the variations of ~~not only the mean O<sub>3</sub>ozone~~ concentration, ~~and-but~~  
29 ~~also the~~ total ~~O<sub>3</sub>ozone~~ mass (the corresponding budgets are noted as ~~ozone-the O<sub>3</sub>~~ concentration and mass budget, respectively)  
30 within the atmospheric boundary layer (ABL) of the ~~Pearl River Delta (PRD), China~~concerned region, ~~based on the modelling~~  
31 ~~results of WRF-CMAQ. Based on the modelling results of WRF-CMAQ, the two O<sub>3</sub> budgets were applied to comprehensively~~  
32 ~~understand the effects of transport and photochemistry on the O<sub>3</sub> pollution over the Pearl River Delta (PRD) region in China.~~  
33 Quantified results ~~show-demonstrate that~~ different role of transport and photochemistry when comparing the two O<sub>3</sub> budgets:  
34 ~~p~~Photochemistry drives the rapid increase of ~~O<sub>3</sub>ozone~~ concentrations ~~in-the-daytime~~during the day, whereas transport,  
35 especially ~~the~~-vertical exchange ~~near-through~~ the ABL top, controls ~~the-ozone-mass-budget~~ both rapid O<sub>3</sub> mass increase in the  
36 ~~morning and decrease in the afternoon.~~ The ~~diurnal~~ changes ~~in-of-the~~ transport contributions in ~~the two O<sub>3</sub>ozone~~ budgets

37 ~~indicate-highlight~~ the influences of the ABL diurnal cycle and regional wind fields; ~~including prevailing winds and local~~  
38 ~~circulations (sea breezes)~~, on regional O<sub>3</sub>ozone pollution. ~~¶Although transport in our simulations had has~~ a relatively limited  
39 effect on O<sub>3</sub>ozone concentration compared to photochemistry, ~~through its~~ high contributions to the O<sub>3</sub>ozone mass increase in  
40 the morning, this process determined that most O<sub>3</sub>ozone in the PRD ~~emanatedoriginates~~ from ~~the outer regionsthe global~~  
41 ~~background and emissions outside the region~~. ~~Consequently, the role of transport and photochemistry in ozone pollution may~~  
42 ~~differ, depending on which of the two budgets is concerned~~. For future studies targeting O<sub>3</sub>ozone and other secondary  
43 pollutants with moderately long atmospheric lifetimes (e.g., fine particulate matter and some of its components), ~~we suggest~~  
44 ~~that attention should be paid to budget type selections~~. insights from both concentration and mass budgets are required to fully  
45 understand the role of transport, chemistry and other related processes.

## 46 **1 Introduction**

47 Since first recognized ~~in as a key contributor to~~ the Los Angeles smog, ambient-tropospheric ozone (O<sub>3</sub>) pollution has ~~been a~~  
48 ~~problem for received considerable attentions in~~ many highly populated urban regions around the globeareas in the world  
49 (Fishman et al., 2003; Schultz et al., 2017; Fleming et al., 2018; Fowler et al., 2020). Exposure to O<sub>3</sub> threatens ~~human health;~~  
50 crop yields, and ecosystems and human health, ~~and results resulting~~ in increased mortality and economic losses (Mills et al.,  
51 2013; Ainsworth, 2017; Zhang et al., 2019). In addition, O<sub>3</sub> contributes to global warming not only directly as a greenhouse  
52 gas, but also indirectly by damaging plants and suppressing land carbon sinks (Sitch et al., 2007; Naik et al., 2021).  
53 ~~Considering To address these~~ ~~the above~~ detrimental effects, efforts have been undertaken to reduce ambient-O<sub>3</sub> pollution  
54 levels in polluted ~~urban regions are keenly required~~. However, since O<sub>3</sub> is a secondary pollutant produced in the atmosphere  
55 by complex non-linear chemistry, the abatement of O<sub>3</sub> pollution is a challenging task.

56  
57 ~~Understanding O<sub>3</sub> processes in the atmosphere is an essential prerequisite to finding effective regional O<sub>3</sub> control strategies.~~  
58 ~~As a prerequisite to effectively control O<sub>3</sub> pollution, firstly, it is imperative to understand the effects of O<sub>3</sub>-related processes~~  
59 on the abundance of O<sub>3</sub> in the atmosphere. Generally, ~~h~~High O<sub>3</sub> concentrations within a region are often attributed to  
60 daytime photochemical production from O<sub>3</sub> precursors, i.e. NO<sub>x</sub> (= NO + NO<sub>2</sub>) and volatile organic compounds (VOCs),  
61 under ~~the~~ sunlight. Due to the short lifetime of O<sub>3</sub> precursors (several hours for NO<sub>x</sub> and reactive VOCs (Liu et al., 2016;  
62 Seinfeld and Pandis, 2016; Laughner and Cohen, 2019)), it is generally believed that O<sub>3</sub> photochemistry is mainly linked to  
63 the contributions of local emissions in polluted regions. ~~However~~ On the other hand, since O<sub>3</sub> itself has a moderately long  
64 atmospheric lifetime (of 20-30 days; (Stevenson et al., 2006; Bates and Jacob, 2019), ~~the influence of dynamic processes on~~  
65 regional level O<sub>3</sub> pollution is likely to be important as well (Vilà Guerau de Arellano et al., 2015) transport processes in the  
66 atmosphere, including horizontal transport (mainly advection) and vertical exchange through the top of the atmospheric  
67 boundary layer (ABL). ~~This can be shown by the following two aspects. Firstly, O<sub>3</sub> is well mixed in the daytime convective~~  
68 atmospheric boundary layer (ABL), especially during severe O<sub>3</sub> pollution (Zhao et al., 2019; Tang et al., 2021). Due to ABL

69 mixing, O<sub>3</sub> precursors emitted by near-ground sources are brought upwards to the upper ABL, where O<sub>3</sub> is more rapidly  
70 produced; afterwards, O<sub>3</sub> is transported downwards to the ground (Tang et al., 2017). Therefore, to alleviate near-ground O<sub>3</sub>  
71 pollution, the goal should be to reduce the overall O<sub>3</sub> level within the ABL—rather than only near the ground—based on  
72 the quantified influence of various O<sub>3</sub> processes throughout the ABL. Secondly, transport, including horizontal transport  
73 (mainly advection) and vertical exchange near the ABL top, may also considerably contribute to regional O<sub>3</sub> pollution  
74 (Myriokefalitakis et al., 2016). More specially, Specifically, through the vertical exchange in the morning, O<sub>3</sub> in the residual  
75 layer and/or free atmosphere is entrained into the ABL, and involved in the ABL mixing after sunrise, leading to the rapidly  
76 increasing of O<sub>3</sub> concentrations near the surface after sunrise (Kaser et al., 2017; Hu et al., 2018; Zhao et al., 2019).  
77 Although O<sub>3</sub> produced from local emissions may be transported out of and later recirculated back to the region, it is more  
78 likely that transported O<sub>3</sub> may be mainly derived from local sources, or transported from other the emissions of O<sub>3</sub>  
79 precursors in the upwind regions, continents and even O<sub>3</sub> in the stratosphere under the combined effect of meso-, synoptic-,  
80 large- and global-scale atmospheric movements (Massagué et al., 2019). In addition, O<sub>3</sub> precursors may also be transported  
81 into the region and involved in O<sub>3</sub> production. These dynamic processes make the causes of regional O<sub>3</sub> pollution more  
82 complicated than normally realized. If photochemistry has a comparatively large influence on O<sub>3</sub>, the reduction of local  
83 emissions is an appropriate strategy to alleviate regional O<sub>3</sub> pollution; otherwise, it is necessary to focus on emission control  
84 in the upwind regions, aiming to reduce transport contributions to O<sub>3</sub>.

85

86 In previous many studies, the O<sub>3</sub> concentration budget was often conducted to quantify the contributions of various  
87 chemical and transport and chemical processes to the variations of O<sub>3</sub> concentrations. For the The changes in the mean O<sub>3</sub>  
88 concentration within the ABL ( $\langle c_{O_3} \rangle$ ), its budget can be represented as in can be expressed as the net contributions of all O<sub>3</sub>-  
89 related processes (Lenschow et al., (1981); Janssen and Pozzer, (2015); and Vilà-Guerau de Arellano et al., (2015):

$$\frac{\partial \langle c_{O_3} \rangle}{\partial t} = - \left( \bar{u} \frac{\partial \langle c_{O_3} \rangle}{\partial x} + \bar{v} \frac{\partial \langle c_{O_3} \rangle}{\partial y} \right) - \bar{w} \frac{\partial \langle c_{O_3} \rangle}{\partial z} - \frac{\partial \overline{c_{O_3}' w'}}{\partial z} + S(O_3) \quad (1)$$

90 where  $u$ ,  $v$  and  $w$  indicate refer to wind speeds in the  $x$ -,  $y$ - and  $z$ -direction, respectively. Three items on the right side of  
91 Eq. (1) separately describes the contributions of 1) horizontal transport (advection, the first two terms), 2) vertical exchange  
92 near through the ABL top (the third term), 3) gas-phase chemistry, dry deposition and other processes (the term  $S(O_3)$   
93 indicates their net contributions). The O<sub>3</sub> concentration budget is then derived by integrating these terms over time. It enables  
94 the identification of the processes that produce positive or negative tendencies of the O<sub>3</sub> concentration, and of the processes  
95 that are most influential for regional O<sub>3</sub> pollution. Reported O<sub>3</sub> concentration budgets based on derived from ground-based  
96 measurements (Su et al., 2018; Tan et al., 2018; Tan et al., 2019; Yu et al., 2020), aircraft-based mobile observations  
97 (Lenschow et al., 1981; Trousdell et al., 2016; Trousdell et al., 2019) and Process Analysis (PA) or alike-similar modules in  
98 chemical transport models (CTMs) (Hou et al., 2014; Li et al., 2021a; Yan et al., 2021) in various regions of the globe often  
99 suggest that O<sub>3</sub> production through local photochemistry drives the noon-time increase of O<sub>3</sub> concentration, whereas  
100 transport reduces O<sub>3</sub> concentration over the same period. O<sub>3</sub> precursors are likely to be mainly derived from local emissions

101 ~~due to their relatively short lifetimes. Thus~~Conclusively, according to these photochemistry-dominated O<sub>3</sub> budget results,  
102 ~~photochemistry, rather than transport, plays a main role in O<sub>3</sub> pollution~~local emission reduction seems more efficient in  
103 ~~alleviating ambient O<sub>3</sub> pollution.~~

104

105 ~~As an important characteristic of O<sub>3</sub>, O<sub>3</sub> source indicates from which regions and/or emission sectors O<sub>3</sub> originates,~~  
106 ~~However, O<sub>3</sub> source apportionment is likely to provide different conclusions about the relative importance of transport and~~  
107 ~~photochemistry affecting O<sub>3</sub> pollution. O<sub>3</sub> source apportionment is performed to identify the regional and/or sectoral origins~~  
108 ~~of O<sub>3</sub>, of which the results can are also used to support effective~~air pollution control (Clappier et al., 2017; Thunis et al.,  
109 2019). ~~Here, we only discuss the regional origins of O<sub>3</sub>, because the contributions of sources outside the region (or emissions~~  
110 ~~within the region, defined as local emissions hereafter) provide information on the influence of transport (or photochemistry)~~  
111 ~~on O<sub>3</sub> pollution.~~The source apportionment of ambient O<sub>3</sub> often suggested that ~~Previous publications often conclude that~~  
112 ~~most O<sub>3</sub> emanated from non-local sources~~ was not derived from the local emissions of O<sub>3</sub> precursors, ~~including but from~~ the  
113 global background and emissions outside the targeted regions (Guo et al., 2018; Pay et al., 2019; Liu et al., 2020). The  
114 mixing ratios of background O<sub>3</sub> in various regions of the world are mostly within the range of 30-50 ppb (Reid et al., 2008  
115 ~~and references therein), which are sufficiently high enough~~ to ensure ~~the dominance of non-local sources for that~~ O<sub>3</sub>  
116 ~~pollution originates mainly from non-local sources~~ in less polluted regions. Since ~~this part of O<sub>3</sub> is less likely to be~~  
117 ~~controlled~~controlling background O<sub>3</sub> is challenging, ~~efforts to control O<sub>3</sub> pollution in polluted regions with high non-local~~  
118 ~~contributions to O<sub>3</sub> should focus on reducing emissions from upwind regions rather than only local areas~~ ~~the influence of O<sub>3</sub>~~  
119 ~~and/or precursors transport from the upwind metropolitan regions has received much attention~~ (Lelieveld et al., 2009; Boian  
120 and Andrade, 2012; Massagué et al., 2019). ~~For regions where upwind sources notably contribute to O<sub>3</sub>, focusing more on~~  
121 ~~emission reductions on a larger scale rather than only reducing local emissions is needed to effectively control O<sub>3</sub> pollution.~~  
122 One successful example is the establishment of the “Ozone Transport Region” in the north-eastern ~~US~~ United State by the  
123 US Environmental Protection Agency, which promotes collaborative emission reductions among states to address inter-  
124 state O<sub>3</sub> transport (Novel, 1992). ~~In China, O<sub>3</sub> pollution was overall more severe than in other countries recently~~ (Lu et al.,  
125 2018). ~~Since high pollutant emissions are widely distributed in East China, the so-called “gigacity”~~ (Kulmala et al., 2021),  
126 ~~upwind emissions often contribute more to O<sub>3</sub> pollution in the major city clusters compared to local emissions, as suggested~~  
127 ~~by O<sub>3</sub> source studies in China~~ (Liu et al., 2020). ~~Therefore~~The above discussion highlights the importance of, ~~transport for~~  
128 ~~regional O<sub>3</sub> pollution, since it often seems to plays~~ a more important ~~prominent~~ role in ambient O<sub>3</sub> pollution ~~than local~~  
129 ~~photochemistry. here as well, and the efforts of joint prevention and control among regions to reduce O<sub>3</sub> levels are necessary~~  
130 ~~(Li et al., 2021b).~~ ~~A~~Apparently, ~~insights from O<sub>3</sub> source apportionment differ from~~ ~~this last statement conflicts with~~ the  
131 conclusions ~~based on~~ ~~derived from~~ the O<sub>3</sub> concentration budgets. ~~Thus, while the O<sub>3</sub> concentration budget is useful for~~  
132 ~~understanding O<sub>3</sub> pollution, it may not completely illustrate the effects of transport and photochemistry on regional O<sub>3</sub>~~  
133 ~~pollution.~~

134

135 Simulations by Eulerian CTMs are capable of reproducing  $O_3$  processes within the ABL. However, since the contribution of  
 136 vertical exchange near the ABL top is not specifically quantified in normally used ABL parameterizations, it cannot be  
 137 directly provided by the PA module but requires additional calculations (Kaser et al., 2017). Thus  $O_3$ -budget within the ABL  
 138 on the hourly scale is seldom reported based on CTMs results. In this study, we constructed the post-processing tool  
 139 *flux\_Ad\_cal* to quantify the contributions of  $O_3$  processes, including gas phase chemistry, horizontal transport and vertical  
 140 exchange near the ABL top, in the  $O_3$ -budget within the ABL of the targeted region. The calculations were conducted based  
 141 on the simulation results from the Weather Research and Forecasting (WRF) and Community Multiscale Air Quality  
 142 (CMAQ) models, of which the details are briefly introduced in Sect. 2. To explore the reasons behind the contradictory  
 143 views on the role of transport and photochemistry in regional ozone pollution between the  $O_3$ -budget in Eq. (1) and  $O_3$   
 144 source apportionment, the other type of  $O_3$  budget, the  $O_3$ -mass budget, was introduced by this tool. It aims to identify the  
 145 contributions of  $O_3$ -processes to the variation of total  $O_3$ -mass within the ABL ( $m_{O_3}$ ) and is written as:

$$\frac{\partial m_{O_3}}{\partial t} = -(\bar{u}s_x\langle c_{O_3} \rangle + \bar{v}s_y\langle c_{O_3} \rangle) - \overline{c_{O_3}'w'}s_z + S(O_3)V \quad (2)$$

146 where  $s_x$ ,  $s_y$ ,  $s_z$  are the areas of the interfaces in the  $x$ ,  $y$  and  $z$  direction, respectively, and  $V$  is the volume of the ABL  
 147 column. Regional level  $O_3$ -mass budget can be applied to illustrate better the changes in regional  $O_3$  sources and their  
 148 influencing factors (more in detail discussions are given in Sect. 2.4). The  $O_3$ -budget shown in Eq. (1) is hereinafter re-  
 149 defined as the  $O_3$ -concentration budget, which focuses on the contributions of  $O_3$  processes to the variation of ABL mean  $O_3$   
 150 concentration. Moreover, based on the  $O_3$ -mass budgets in the sensitivity scenarios that zeroes out emissions in specific  
 151 regions, the regional source of  $O_3$ -mass change contributed by different processes can also be identified. The Pearl River  
 152 Delta (PRD) region, a city cluster located on the southeast coast of China and exposed to severe  $O_3$ -pollution in summer and  
 153 autumn (Gao et al., 2018), was selected as the targeted region in this study. The quantified results of  $O_3$ -concentration and  
 154 mass budgets in the PRD illustrated the complex effects of  $O_3$ -processes, especially transport, on regional  $O_3$ -pollution, and  
 155 revealed that the distinct views on the role of photochemistry and transport are possibly linked to the differences between  
 156 two  $O_3$ -budgets.

157 In the ABL of the concerned region, the mean  $O_3$  concentration and total  $O_3$  mass are both conserved, which means that their  
 158 variations are equal to the net contributions by various  $O_3$ -related processes including transport and photochemistry. These  
 159 relationships can be represented by the  $O_3$  concentration budget and mass budget, respectively. Unlike the aforementioned  
 160  $O_3$  concentration budget in Eq. (1), the hourly  $O_3$  mass budget, written as

$$\frac{\partial m_{O_3}}{\partial t} = -(\bar{u}s_x\langle c_{O_3} \rangle + \bar{v}s_y\langle c_{O_3} \rangle) - \overline{c_{O_3}'w'}s_z + S(O_3)V \quad (2)$$

161 is seldom reported ( $m_{O_3}$  is the total  $O_3$  mass within the ABL of the region;  $s_x$ ,  $s_y$ ,  $s_z$  are the areas of the interfaces in the  $x$ -,  
 162  $y$ - and  $z$ -direction, respectively;  $V$  is the volume of the ABL column). Due to the varied effects of transport on  $O_3$   
 163 concentration and mass, the  $O_3$  mass budget differs from the  $O_3$  concentration budget but is more suitable to explore the  
 164 influence of transport and photochemistry on the results of  $O_3$  source apportionment (more detailed explanations are given in

165 Sect. 2.4). In order to comprehensively understand the role of transport and photochemistry in regional O<sub>3</sub> pollution, in the  
166 present study, we developed a method to calculate both the O<sub>3</sub> concentration and mass budget based on the simulation results  
167 from the Weather Research and Forecasting (WRF) and Community Multiscale Air Quality (CMAQ) models, and also  
168 analysed, compared the results of the two regional-level O<sub>3</sub> budgets. The Pearl River Delta (PRD) region, a city cluster  
169 located on the southeast coast of China and exposed to severe O<sub>3</sub> pollution in summer and autumn (Gao et al., 2018), was  
170 selected as the targeted region. The tasks for this study can be summarized as follows:

171

### 172 1) Development of the method to quantify the two O<sub>3</sub> budgets

173 WRF-CMAQ employs the Process Analysis (PA) module to assess the contributions of O<sub>3</sub>-related processes to the variations  
174 of O<sub>3</sub> concentrations within each grid cell. However, to obtain the regional-level O<sub>3</sub> concentration and mass budgets, the  
175 results of PA module are not sufficient. One reason is that the contribution of vertical exchange through the ABL top is not  
176 specifically quantified in commonly used ABL parameterizations, thus requires additional calculations (Kaser et al., 2017).  
177 Additionally, calculations based on the PA results are needed to identify the contributions of other O<sub>3</sub>-related processes to  
178 ABL-mean O<sub>3</sub> concentration as well as the results of the O<sub>3</sub> mass budget. To address this, we developed a method to quantify  
179 the two O<sub>3</sub> budgets, of which the details are given in Sect. 2.1-2.3.

180

### 181 2) Analysis and comparison of the results from the two O<sub>3</sub> budgets

182 Based on the simulations of O<sub>3</sub> pollution in the PRD with the model setup introduced in Sect. 2.5, the two O<sub>3</sub> budgets were  
183 calculated for further analyses and comparisons to reveal the role of transport and photochemistry in regional O<sub>3</sub> pollution  
184 from a more comprehensive perspective. Relative discussions are presented in Sect. 3.

185

### 186 3) Assessment of the role of transport and photochemistry in determining the regional origins of O<sub>3</sub>

187 The Brute Force Method (BFM; Clappier et al., 2017), a widely used source apportionment method, was combined with the  
188 O<sub>3</sub> mass budget calculation to determine the contributions of emissions within and outside the PRD as well as background  
189 sources to the O<sub>3</sub> transported into or produced by photochemistry in the region (methodology described in Sect. 2.6). The  
190 results, as discussed in Sect. 4, reveal the impacts of transport and photochemistry in determining the regional origins of O<sub>3</sub>  
191 in the PRD, and explain why the different views on the role of two processes in regional O<sub>3</sub> pollution are suggested by the O<sub>3</sub>  
192 concentration budget and O<sub>3</sub> source apportionment studies.

## 193 **2 Methodology: O<sub>3</sub> budget calculations and model setup**

### 194 **2.1 The PRD grids and O<sub>3</sub>-related Processes-processes in O<sub>3</sub> budgets**

195 Figure 1 displays all processes considered in the calculation of O<sub>3</sub> budgets as well as the distributions of the PRD grids  
196 (lower-left panel; defined as the grids within the PRD), which include the border grids (defined as the PRD grids adjacent to

197 ~~the outer regions) and non-border grids. The two O<sub>3</sub> budgets were calculated for the PRD, of which the grids are shown in~~  
198 ~~the lower-left panel of Fig. 1. These grids are set based on the finer modelling domain of WRF-CMAQ (details given in Sect.~~  
199 ~~2.5) and determined according to the administrative areas of the PRD. The PRD grids with one or several interfaces with the~~  
200 ~~outer regions are defined as the border grids, and they can be further classified as the grids in the north, south, west and east~~  
201 ~~borders based on their locations. Correspondingly, the PRD grids with no interface with the outer regions are defined as the~~  
202 ~~non-border grids.~~

203

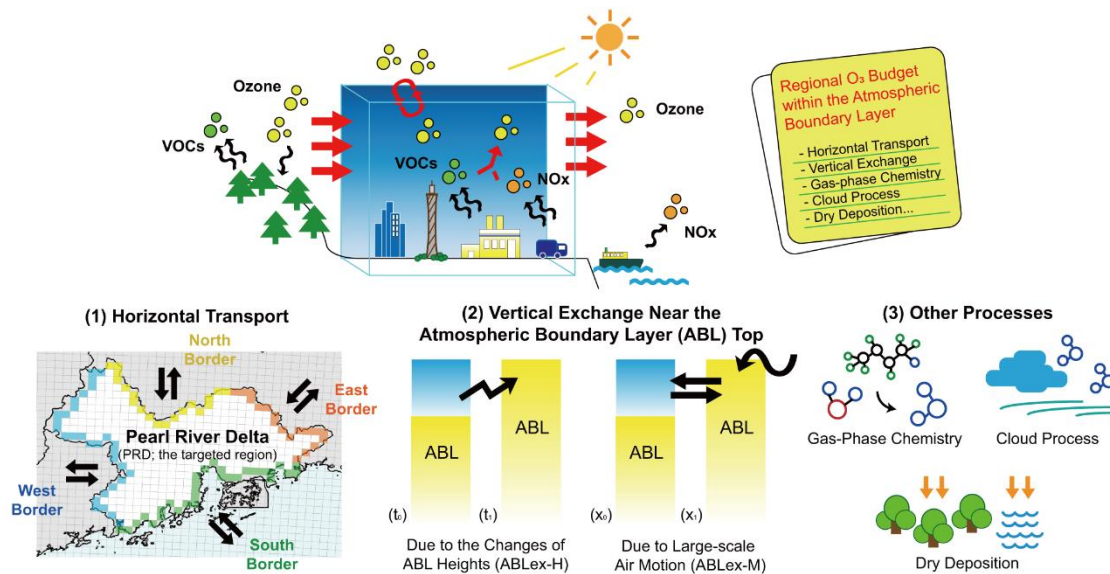
204 ~~Figure 1 also displays all O<sub>3</sub>-related processes considered in the calculation of O<sub>3</sub> budgets here. The transport processes~~  
205 ~~include horizontal transport through the four types of borders and vertical exchange through the ABL top.~~

206 ~~Horizontal transport through the borders of the PRD in four directions and vertical exchange near the ABL top are the~~  
207 ~~transport processes concerned in this study. For the latter vertical exchange, its contribution in the O<sub>3</sub> concentration budget~~  
208 ~~(the second-third item-term on the right side of Eq. (1)) is quantified by (Sinclair et al., (2010); and Jin et al., (2021):~~

$$-\frac{\partial \overline{c_{O_3}' w'}}{\partial z} = -\frac{\Delta c_{O_3}}{H} \frac{\partial H}{\partial t} + \frac{\Delta c_{O_3}}{H} \left( u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) \quad (3)$$

209 where  $H$  is the ABL height;  $\Delta c_{O_3}$  is the difference between O<sub>3</sub> concentrations above and within the ABL;  $u_h$ ,  $v_h$  and  $w_h$  are  
210 the ABL-top wind speeds in the x, y and z-direction, respectively. ~~Items-The terms~~ on the right side of Eq. (3) suggested that  
211 the occurrence of vertical exchange ~~through the ABL top~~ is attributed to 1) the temporal changes of ABL heights and 2)  
212 large-scale air motion (advection) perpendicular to the ABL top and its slope. ~~Their contributions can be identified in the O<sub>3</sub>~~  
213 ~~mass budget as well, of which the details are introduced in Sect 2.2. Hereafter~~For the convenience of discussion, hereafter,  
214 vertical exchanges due to the above two ~~dynamic~~ processes are marked as ABLex-H and ABLex-M, respectively. The  
215 contributions of all transport processes ~~in the O<sub>3</sub> budgets~~ were quantified ~~using-based on~~ meteorological parameters  
216 ~~simulated by WRF~~ and O<sub>3</sub> concentrations ~~modelled-simulated~~ by ~~WRF-CMAQ~~. The basic calculations of the ~~above~~  
217 contributions ~~from the above-mentioned transport processes~~ in the O<sub>3</sub> ~~concentration-mass~~ and ~~mass-concentration~~ budgets  
218 are separately introduced in the following two sections, ~~and details about the calculation process are presented in Text S1.~~

219



220

221 **Figure 1.** Schematic illustration of regional-O<sub>3</sub> budgets (the upper panel) and O<sub>3</sub>-related processes considered (the lower panel): (1)  
 222 Horizontal transport through the north, south, west and east borders of the Pearl River Delta (PRD) in four directions (the distributions of  
 223 the PRD grids are also shown: yellow, green, blue, orange for the north, south, west and east border grids, respectively, and white for the  
 224 non-border grids); (2) Vertical exchange near-through the atmospheric boundary layer (ABL) top, including the process due to the changes  
 225 of ABL heights (ABLex-H) and large-scale air motion (ABLex-M); (3) Other processes, including gas-phase chemistry, cloud process and  
 226 dry deposition in this study.

227

228 Other processes in the O<sub>3</sub> budgets include gas-phase chemistry (including daytime photochemical O<sub>3</sub> production, and O<sub>3</sub>  
 229 titration by NO and O<sub>3</sub> depletion with unsaturated VOCs, etc.), cloud process (including below and in-cloud mixing,  
 230 aqueous-phase chemistry, wet deposition; Liu et al., 2011) and dry deposition. Their The contributions of these processes are  
 231 all calculated based on the output of the PA module in CMAQ. In a word, their contributions in the O<sub>3</sub> mass budget are  
 232 obtained by summing up the contributions in all grid cells within the ABL of the PRD, and their contributions in the O<sub>3</sub>  
 233 concentration budget are the corresponding contributions to O<sub>3</sub> mass divided by the volume of the ABL of the PRD (for a  
 234 more detailed description of calculations, see Text S1). Since diffusion near-through the side and top boundaries and top of  
 235 the region is expected to have a minor-negligible influence on the variations of both O<sub>3</sub> concentration and mass, we did not  
 236 involve-consider this process in the quantifications budget calculations.

237

238 The calculation process of the two O<sub>3</sub> budgets is summarized as follows. Based on multiple output files of WRF and CMAQ,  
 239 firstly, the contributions of all considered O<sub>3</sub>-related processes to O<sub>3</sub> mass changes and volumes / volume changes linked to  
 240 these processes are calculated nearly in all grid columns of the modelling domain. We developed the post-processing tool  
 241 flux\_4d\_cal to conduct the above calculations. Afterwards, the regional-level O<sub>3</sub> mass and concentration budgets are  
 242 quantified based on the results of the first-step calculations. Particularly, the method described in Sect. 2.3 is applied to



243 estimate the contributions of O<sub>3</sub>-related processes in the O<sub>3</sub> concentration budget. More detailed descriptions of the  
244 calculation process can be found in Text S1.

245

## 246 **2.2 Transport contributions in the O<sub>3</sub> mass budget**

247 The method by Yang et al. (2012) and Chang et al. (2018) was applied to quantify the contributions of horizontal transport in  
248 the O<sub>3</sub> mass budget. For instance, the contribution of the advection through the west/east interface of a grid cell column  
249 within the ABL to total O<sub>3</sub> mass ( $F_{htrans}$ ) in the column during the time interval  $dt$  is calculated as:

$$F_{htrans} = \int_0^H c_{O_3} u L dz dt \quad (4)$$

250 where  $L$  is the width of the grid cell (equal to the horizontal resolution ~~of in~~ the model);  $dz$  is the height of vertical layers.  
251 For advection through the north/south interface, the calculation is similar to Eq. (4), except for using  $v$  instead of  $u$ .  $F_{htrans}$   
252 values through every-all interfaces between ~~one type of border~~ the border grids and the outer region were calculated.  
253 Afterwards, they are summed up separately according to the types of borders as the net contributions of horizontal transport  
254 through ~~that the north, south, west and east~~ borders of the PRD in the O<sub>3</sub> mass budget.

255

256 Following Sinclair et al. (2010) and Jin et al. (2021), the contribution of vertical exchange ~~near-through~~ the ABL top to O<sub>3</sub>  
257 mass ( $F_{ABLex}$ ) during the time interval  $dt$  can be expressed as:

$$F_{ABLex} = F_{ABLex-H} + F_{ABLex-M} = c_{O_3,h} \frac{\partial H}{\partial t} L^2 dt + c_{O_3,h} \left( u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) L^2 dt \quad (5)$$

258 where  $c_{O_3,h}$  is the O<sub>3</sub> concentration at the ABL top. The ~~Two~~ terms on the right-most side of Eq. (5) separately describe the  
259 contributions of ABLex-H and ABLex-M (denoted separately as  $F_{ABLex-H}$  and  $F_{ABLex-M}$ ).  $F_{ABLex}$  values in all the ~~PRD-ABL~~  
260 top grids over the PRD were summed up as-to derive the net contribution of vertical exchange ~~near-through~~ the ABL top in  
261 the O<sub>3</sub> mass budget.

## 262 **2.3 Transport contributions in the O<sub>3</sub> concentration budget**

263 ~~For one or limited grid columns, it is possible to directly use Eq. (1) to quantify the O<sub>3</sub> concentration budget based on CTMs~~  
264 ~~results. But for the ABL of the PRD, which comprises over 260 grid columns, such calculations could easily become over-~~  
265 ~~complicated. It is difficult to directly apply Eq. (1) in the quantification of transport contributions in the regional-level O<sub>3</sub>~~  
266 ~~concentration budget. Therefore, a different approach was applied, which is introduced as follows to calculate the regional-~~  
267 ~~level O<sub>3</sub> concentration budget.~~

268

269 Suppose that an air parcels with a total volume of  $dV$  ~~are-is~~ transported into the ABL of the PRD (its original volume is  $V$ )  
 270 during the time interval  $dt$ . ~~For horizontal transport, t~~The variation of  $\langle c_{O_3} \rangle$  under ~~its-the~~ influence of horizontal transport  
 271 ( $d\langle c_{O_3} \rangle_{htrans}$ ) can be written as:

$$d\langle c_{O_3} \rangle_{htrans} = \frac{F_{htrans} + \langle c_{O_3} \rangle (V - dV)}{V} - \langle c_{O_3} \rangle = \frac{F_{htrans} - \langle c_{O_3} \rangle dV}{V} \quad (6)$$

272  
 273 Since ABLex-M is also an advection process, its contribution in the  $O_3$  concentration budget ( $d\langle c_{O_3} \rangle_{ABLex-M}$ ) can be  
 274 quantified using a similar formula as Eq. (6), except for using  $F_{ABLex-M}$  instead of  $F_{htrans}$ .  
 275

276 Through ABLex-H, air parcels in the residual layer and/or free atmosphere are merged into ~~(or segmented out of)~~ the ABL  
 277 or vice versa. Thus, the variation of  $\langle c_{O_3} \rangle$  under its influence ( $d\langle c_{O_3} \rangle_{ABLex-H}$ ) is expressed as:

$$d\langle c_{O_3} \rangle_{ABLex-H} = \frac{F_{ABLex-H} + \langle c_{O_3} \rangle V}{V + dV} - \langle c_{O_3} \rangle = \frac{F_{ABLex-H} - \langle c_{O_3} \rangle dV}{V + dV} \quad (7)$$

278  
 279 If the targeted region ~~was-is~~ small enough, the expressions of  $d\langle c_{O_3} \rangle_{htrans}$  and  $d\langle c_{O_3} \rangle_{ABLex-H}$  in Eqs. (6) and (7) ~~would have~~  
 280 the same forms as can be transformed to the corresponding ~~items-terms~~ in Eq. (1), confirming the applicability of the above  
 281 calculations (for details, see Text S2). All variables in Eqs. (6) and (7) can be quantified by the post-processing tool  
 282 flux 4d cal, making the method feasible and suitable for calculating the afterward calculations of the regional-scale  $O_3$   
 283 concentration budget.

284  
 285 However, due to the prominent diurnal cycle of ABL,  $V$  in Eqs. (6) and (7) may change notably within an hour, leading to  
 286 bias in the hourly estimations of  $d\langle c_{O_3} \rangle_{htrans}$ ,  $d\langle c_{O_3} \rangle_{ABLex-H}$  and  $d\langle c_{O_3} \rangle_{ABLex-M}$  when using  $V$  at the start and end of the  
 287 hour. This problem also applies to the calculation of contributions from other  $O_3$ -related processes. In order to reduce ~~theis~~  
 288 potential bias caused by the different selections of  $V$ , we designed two calculation paths for the hourly  $O_3$  concentration  
 289 budget (Fig. S1):

- 290 •  $O_3$  mass change  $\rightarrow$  ABL volume change
- 291 • ABL volume change  $\rightarrow$   $O_3$  mass change

292 where only  $O_3$  mass or ABL volume changes in each calculation step. The contribution of ABLex-H to  $O_3$  concentration can  
 293 be decomposed into two parts: viewed as the net effects of ABL volume change and  $O_3$  being transported into/out of the  
 294 ABL: ABL volume change due to ABL development (collapse) leads to lower (higher)  $O_3$  concentration, and  $O_3$  transported  
 295 into (out of) the ABL through ABLex-H leads to  $O_3$  increase (decrease). These contributions are quantified separately in the  
 296 ABL volume and  $O_3$  mass change step. The contributions of ~~other processes-horizontal transport, ABLex-M and non-~~  
 297 transport processes are quantified only in the  $O_3$  mass change step. ~~For one process, its~~The contribution of each process to

298 the variation of O<sub>3</sub> concentration is calculated ~~through using~~ both paths, and the mean value of two results serves as an  
299 estimation close to its real contribution in the O<sub>3</sub> concentration budget.

#### 300 2.4 Difference between the two O<sub>3</sub> budgets

301 The difference between the two O<sub>3</sub> budgets is linked to the varied effects of transport on O<sub>3</sub> mass and concentration. Suppose  
302 that the mean O<sub>3</sub> concentration in the transported air parcels is  $\langle c_{O_3} \rangle_{trans}$ . For horizontal transport, its contributions in the O<sub>3</sub>  
303 mass and concentration budgets can be separately written as:

$$F_{htrans} = \langle c_{O_3} \rangle_{trans} dV \quad (8)$$

$$d\langle c_{O_3} \rangle_{htrans} = \frac{dV}{V} (\langle c_{O_3} \rangle_{trans} - \langle c_{O_3} \rangle) \quad (9)$$

304 Apparently,  $F_{htrans}$  is related to the O<sub>3</sub> concentrations in the transported air parcels, but not to those in the ~~targeted-studied~~  
305 region. It indicates how much O<sub>3</sub> is transported into or out of the region. Whether it is positive or negative only depends on  
306 the direction of transport — O<sub>3</sub> being transported into (out of) the region leads to the increase (decrease) of O<sub>3</sub> mass, which  
307 corresponds to a positive (negative) contribution in the O<sub>3</sub> mass budget. In contrast,  $d\langle c_{O_3} \rangle_{htrans}$  quantifies how much  
308 horizontal transport alters regional-mean O<sub>3</sub> ~~levels concentrations.~~ As shown in Eq. (9), it and is linked to the difference  
309 between O<sub>3</sub> concentrations in the transported air parcels and the ~~targeted-studied~~ region (Eq. (9)). O<sub>3</sub> being transported into  
310 (out of) the region does not necessarily result in a higher (lower) O<sub>3</sub> concentration. For instance, when clean air parcels with  
311 relatively low O<sub>3</sub> levels are transported into the region, they dilute O<sub>3</sub> pollution and reduce O<sub>3</sub> concentration ( $d\langle c_{O_3} \rangle_{htrans} <$   
312  $0$ ). ~~These effects are the same for ABLex-H and ABLex-M, also showing the above difference between the two O<sub>3</sub> budgets.~~  
313 Given that ABLex-M is also an advection process, the above difference applies to this process as well. For ABLex-H, its  
314 contributions in the O<sub>3</sub> mass and concentration budgets are expressed as:

$$F_{ABLex-H} = \langle c_{O_3} \rangle_{trans} dV \quad (10)$$

$$d\langle c_{O_3} \rangle_{ABLex-H} = \frac{dV}{V + dV} (\langle c_{O_3} \rangle_{trans} - \langle c_{O_3} \rangle) \quad (11)$$

315 Similarly, ABL development and collapse lead to the increase and decrease of O<sub>3</sub> mass, respectively, but whether they  
316 contribute to higher or lower O<sub>3</sub> concentration also depends on the difference between O<sub>3</sub> concentration in the transported air  
317 parcels and that in the region. Based on the above discussion, these transport processes all show different effects on O<sub>3</sub> mass  
318 and concentration — the effect of transport on the variations of O<sub>3</sub> mass is only related to the characteristics of the  
319 transported air parcels, namely their volumes and O<sub>3</sub> concentrations within (Eqs. (8) and (10)), while how transport  
320 contributes to the variations of O<sub>3</sub> concentration is linked to the difference between O<sub>3</sub> concentrations in the transported air  
321 parcels and the region (Eqs. (9) and (11)).

322

323 To ~~understand properly analyse~~ the ~~influence-impact~~ of ~~various processes-transport and photochemistry~~ on ~~O<sub>3</sub>-sources~~ the  
324 regional origins of O<sub>3</sub>, it is required to identify the regional sources-origins of the “new O<sub>3</sub>” into the studied region and the

325 “disappeared O<sub>3</sub>” out of the studied region contributed by various O<sub>3</sub>-related processes, rather than how these processes lead  
326 to the variations of O<sub>3</sub> concentration. Thus, the influence of transport and photochemistry on the results of O<sub>3</sub> source  
327 apportionment can be shown by the O<sub>3</sub> mass budget, but not by the O<sub>3</sub> concentration budget. According to the above  
328 discussions, By utilizing the BFM source apportionment method in combination with the O<sub>3</sub> mass budget calculation, it  
329 is suitable to we can identify the regional origins of O<sub>3</sub> mass increase and decrease due to transport and photochemistry, and  
330 explain how transport and photochemistry these processes determine the regional sources results of O<sub>3</sub> source apportionment  
331 in this study the PRD.

## 332 2.5 Model setup and validation

333 The O<sub>3</sub> concentration and mass budgets within the ABL of the PRD were calculated based on the WRF-CMAQ modelling  
334 results by Qu et al. (2021a). The WRF (version 3.2) and CMAQ (version 5.0.2) models were used to simulate the  
335 meteorological and pollutant fields, respectively. In the models, two nested domains with the resolution of 36 and 12 km  
336 were set (denoted as d01 and d02 hereafter) were set up for the one-way nested simulations, and results in the finer d02 were  
337 used in the calculations of O<sub>3</sub> budgets. To represent the contributions of global background to O<sub>3</sub>, the initial and boundary  
338 conditions for the coarse d01 domain were provided from the global model, the Model for Ozone and Related Chemical  
339 Tracers, version 4 (MOZART-4). The PRD inventory provided by the Guangdong Environmental Monitoring Centre, the  
340 Multi-resolution Emission Inventory for China (MEIC) inventory for the mainland China (He, 2012), the MIX inventory for  
341 the Asian regions outside of mainland China (Li et al., 2017) and biogenic emissions simulated by the Model of Emissions of  
342 Gases and Aerosols from Nature (MEGAN; version 2.10) model were used in the simulations. SAPRC07 (Carter, 2010) and  
343 AERO6 were applied as the gas-phase chemistry mechanism and the aerosol scheme, respectively. The simulations of O<sub>3</sub>  
344 pollution in the PRD were performed for October 2015 (October 11–November 10, 2015) and July 2016 (July 1–31, 2016),  
345 which were selected as the representative months in autumn and summer, respectively, for the PRD. Here, O<sub>3</sub> polluted days  
346 are defined when the maximum hourly O<sub>3</sub> concentrations of the day exceed 200 µg/m<sup>3</sup>, or the maximum 8-hour average O<sub>3</sub>  
347 concentrations of the day exceed 160 µg/m<sup>3</sup> (both are the Grade-II O<sub>3</sub> thresholds in the Chinese National Ambient Air  
348 Quality Standard) in any municipality of the PRD. According to this definition, there were 16 and 12 O<sub>3</sub> polluted days in the  
349 two months, respectively (more information is given in Table S1). Further discussions focus on The mean O<sub>3</sub> budgets during  
350 these days were calculated and discussed in the present study. The detailed setup of WRF-CMAQ, the validation of modelled  
351 meteorological parameters, O<sub>3</sub>, NO<sub>2</sub> concentrations and hydrocarbons mixing ratios have been introduced by Qu et al.  
352 (2021).

353  
354 We evaluated the performance of WRF-CMAQ modelling based on multiple observational datasets. The modelling results of  
355 meteorological parameters (including temperature, relative humidity and wind speed), O<sub>3</sub>, NO<sub>2</sub> concentrations and the  
356 mixing ratios of hydrocarbons were validated with corresponding observations in the PRD by Qu et al. (2021a). The  
357 performance of the model to simulate the above variables was overall satisfying with low biases and high correlations (for

358 ~~details, see Qu et al., 2021a).~~ In this study, we ~~also further~~ compared ~~the~~ modelled ABL height, the vertical profiles of wind  
 359 speed, direction and O<sub>3</sub> mixing ratio in Hong Kong (located in the south PRD) with ~~the~~ corresponding observations from the  
 360 IAGOS (In-service Aircraft for a Global Observing System; Petzold et al., 2015) dataset. ~~As presented in Text S3, the~~  
 361 ~~acceptable modelling performance of these parameters indicates that the model provides reasonable initial data for the O<sub>3</sub>~~  
 362 ~~budget calculations. The modelled ABL heights showed similar hourly variations during the day as the observational results~~  
 363 ~~(R = 0.76), with mean bias of -1.1 m (Fig. S2). The mean biases of mean wind speeds are within the range of ± 1 m/s in all~~  
 364 ~~height ranges (0-1 km, 1-2 km, 2-5 km), and the results of IAGOS and WRF model indicate similar variations of prevailing~~  
 365 ~~wind directions in different seasons and height ranges (Fig. S3). Moreover, modelled O<sub>3</sub> mixing ratios in Oct. 2015 are~~  
 366 ~~overestimated by 6% and 26% in the height range of 0-1 km and 1-2 km, respectively, and sufficiently illustrate the~~  
 367 ~~development, maintenance and dissipation of O<sub>3</sub> pollution during the month (Fig. S4). More detailed evaluations on the~~  
 368 ~~model performance of these parameters are presented in Text S3 of the Supplement. Overall, the model performance is~~  
 369 ~~acceptable, indicating that the model can provide reasonable data for the calculations of O<sub>3</sub> budgets.~~

370

371 If the calculation methods and assumptions ~~were are~~ reasonable, the ~~budget closure~~ conservation of O<sub>3</sub> concentration and  
 372 mass budgets, as described as

$$\frac{\partial \langle c_{O_3} \rangle \text{ (or } m_{O_3} \text{)}}{\partial t} - (S_{htrans} + S_{ABLex} + S_{chem} + S_{cloud} + S_{ddep}) = 0 \quad (4012)$$

373 ~~would can~~ be achieved (~~the terms~~  $S_{htrans}$ ,  $S_{ABLex}$ ,  $S_{chem}$ ,  $S_{cloud}$  and  $S_{ddep}$  indicate the contributions of horizontal transport,  
 374 vertical exchange ~~near through~~ the ABL top, gas-phase chemistry, cloud process and dry deposition, respectively, in ~~the~~ O<sub>3</sub>  
 375 ~~concentration or mass~~ budgets). Therefore, we used Eq. (4012) to examine the validity of the O<sub>3</sub> budget calculations. Total  
 376 O<sub>3</sub> masses at the start and end of each hour were directly used to calculate the hourly variations of O<sub>3</sub> mass ( $\frac{\partial m_{O_3}}{\partial t}$ ). Besides  
 377 these ~~two parameters, the~~ volumes ~~of the ABL of the PRD at these start and end of each corresponding hour~~ ~~two moments~~  
 378 (calculated using ABL heights in all the PRD grids) ~~were are~~ also needed to calculate the hourly variations of O<sub>3</sub>  
 379 concentration ( $\frac{\partial \langle c_{O_3} \rangle}{\partial t}$ ). The contributions of various O<sub>3</sub>-related processes in the O<sub>3</sub> concentration and mass budgets were  
 380 ~~provided by the post-processing tool~~ quantified using the method introduced in Sect. 2.1-2.3. As displayed in Fig. 2, hourly  
 381 variations of O<sub>3</sub> concentration/mass and the corresponding net contributions from all O<sub>3</sub>-related processes show good  
 382 correlations ( $R^2 > 0.9$ ), with all fitted lines ~~being~~ close to the 1:1 line. Thus, the ~~closure conservation~~ is overall met for the  
 383 two O<sub>3</sub> budgets in both months, allowing for further analyses based on the quantified budgets.

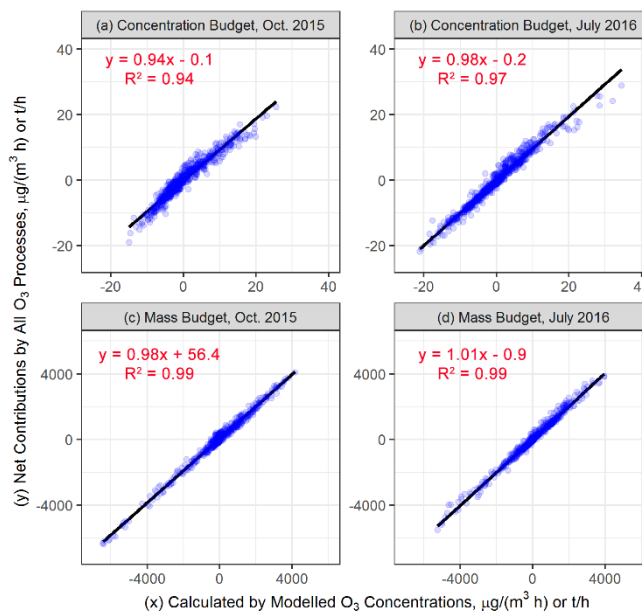
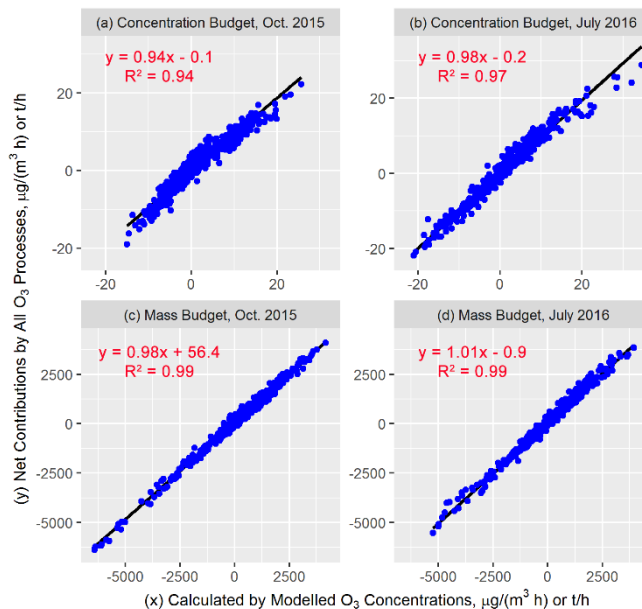
## 384 2.6 Identifying regional ~~sources origins~~ of O<sub>3</sub> mass changes ~~contributed by various processes~~ due to transport and 385 photochemistry

386 ~~It is generally believed that transport (gas phase chemistry) is closely linked to the contributions of non-local (local)~~  
 387 ~~emissions for O<sub>3</sub>, but quantitative evaluation of the connections between O<sub>3</sub> processes and sources is still understudied. The~~

388 question to be addressed is how O<sub>3</sub>-related processes determine the regional origins of O<sub>3</sub>. By combining the O<sub>3</sub> mass budget  
389 calculations with the BFM source apportionment method, ~~the Brute Force Method (BFM; Clappier et al., 2017)~~, we  
390 identified the regional sources/origins of O<sub>3</sub> mass changes ~~contributed due by to~~ transport and photochemistry (gas-phase  
391 chemistry). Of interest were the contributions of emissions in the PRD (also defined as local emissions), in other regions  
392 within d02 (mainly East and Central China, ~~short for~~ hereafter denoted as EC-China), and in regions outside the d02 (the  
393 boundary conditions (BCON) of d02 modelling; representative of the background sources). The distributions of these regions  
394 ~~are is~~ shown in Fig. S2S5. Besides the base scenario where all emissions in d02 were considered in simulations, three  
395 sensitivity scenarios were additionally simulated:

- 396 • The PRD\_zero scenario: All Emissions (including anthropogenic and biogenic emissions; the same below) in the  
397 PRD were zeroed out;
- 398 • The EC-China\_zero scenario: All Emissions in the EC-China were zeroed out;
- 399 • The All\_zero scenario: All emissions within d02 were shut down.

### Examinations of O<sub>3</sub> Budget Closure



400

401

402 **Figure 2.** The examinations of O<sub>3</sub> budget ~~closure~~ conservation in Oct. 2015 (a,c) and July 2016 (b,d) for the hourly O<sub>3</sub> concentration  
 403 budget (a-b) and mass budget (c-d). The units for the O<sub>3</sub> concentration and mass budgets are μg/(m<sup>3</sup> h) and t/h, respectively. The solid  
 404 black lines in the plots are the fitted lines.

405 ~~For the process *i*, its~~ The hourly contributions of the process *i* in the O<sub>3</sub> mass budget ~~in the base scenario and three sensitivity~~  
 406 ~~scenarios~~ were quantified using the same method ~~introduced~~ outlined in Sect. 2.1-2.2 ~~for the base scenario and three~~

407 sensitivity scenarios, which are marked denoted as  $f_{i,base}$ ,  $f_{i,PRD\_zero}$ ,  $f_{i,EC-China\_zero}$ , and  $f_{i,all\_zero}$ , respectively. These  
 408 parameters enable the determination of the contributions of emissions from the PRD and EC-China as well as the  
 409 background sources (BCON) to the O<sub>3</sub> mass increase and decrease due to various O<sub>3</sub>-related processes. The contributions of  
 410 BCON in the O<sub>3</sub> mass changes due to the process  $i$  ( $F_{i,BCON}$ ) can be estimated directly as the contributions of the process  $i$  to  
 411 the O<sub>3</sub> mass in the All zero scenario:

$$F_{i,BCON} = f_{i,all\_zero} \quad (13)$$

412 For the contributions of the PRD and EC-China emissions (separately denoted as  $F_{i,PRD}$  and  $F_{i,EC-China}$ ), they can be derived  
 413 in two ways: 1) by subtracting simulations with zeroed studied emissions from the base case simulation (top-down BFM); 2)  
 414 by subtracting simulations without all emissions from simulations accounting only for studied emissions (bottom-up BFM).  
 415 Due to the non-linear response of O<sub>3</sub> to precursor emissions, the results from top-down and bottom-up BFM can differ,  
 416 which may lead to the non-additivity of the results (the sum of all contributions is not equal to the concerned metric; here,  
 417  $F_{i,PRD} + F_{i,EC-China} + F_{i,BCON} \neq f_{i,base}$ ). Then, the contributions of PRD, EC-China and BCON in O<sub>3</sub> mass changes  
 418 attributed to the process  $i$  (separately denoted as  $F_{i,PRD}$ ,  $F_{i,EC-China}$ , and  $F_{i,BCON}$ ) were calculated as follows: Therefore, we  
 419 estimated  $F_{i,PRD}$  and  $F_{i,EC-China}$  as the average values of the contributions by using top-down BFM and bottom-up BFM:

$$F_{i,PRD} = \frac{1}{2} [(f_{i,base} - f_{i,PRD\_zero}) + (f_{i,EC-China\_zero} - f_{i,all\_zero})] \quad (14)$$

$$F_{i,EC-China} = \frac{1}{2} [(f_{i,base} - f_{i,EC-China\_zero}) + (f_{i,PRD\_zero} - f_{i,all\_zero})] \quad (15)$$

$$= \quad (13)$$

420 In Eqs. (11) and (12), the contributions of emissions are calculated as the average results of these using top-down BFM  
 421  $((f_{i,base} - f_{i,PRD\_zero}), (f_{i,base} - f_{i,EC-China\_zero}))$  for the PRD and EC-China emissions, respectively) and bottom-up BFM  
 422  $((f_{i,EC-China\_zero} - f_{i,all\_zero}), (f_{i,PRD\_zero} - f_{i,all\_zero}))$  for the PRD and EC-China emissions, respectively). By doing so,  
 423 the non-additivity (the sum of all contributions is not equal to the concerned metric) caused by the non-linearity between O<sub>3</sub>  
 424 and precursors can be avoided (Qu et al., 2021). It should be noted that to identify the origins of both “new O<sub>3</sub>” into the  
 425 region and “disappeared O<sub>3</sub>” out of the region, the positive and negative contributions of O<sub>3</sub>-related processes to the O<sub>3</sub> mass  
 426 in the PRD grids were separately summed up for the base and sensitivity scenarios and quantified using Eqs. (13-15).

### 427 **3 Results Analyses and comparisons of O<sub>3</sub> concentration and mass budget**

#### 428 **3.1 O<sub>3</sub> concentration budget**

429 The upper panels of Fig. 3 show the mean diurnal changes of the O<sub>3</sub> concentration budget within the ABL of the PRD.  
 430 According to the net contributions from all O<sub>3</sub>-related processes considered, ABL-mean O<sub>3</sub> concentration increased during  
 431 most hours in the daytime, with the highest rates occurring in the early morning (8:00-10:00 local time (LT) in autumn, 7:00-  
 432 9:00 LT in summer), and itsThe reduction of ABL-mean O<sub>3</sub> concentration in the late afternoon and at night was also



433 considerable. Its rate reached the maximum values near the sunset time (~18:00 LT in autumn, ~19:00 LT in summer) and  
434 gradually decreased throughout the night. The following question is then raised on the suitability of the budget targeting on  
435 ABL-mean O<sub>3</sub> concentration to explain the variations of O<sub>3</sub> concentrations near the ground. To answer this question, ~~We~~  
436 ~~also~~ compared the ~~diurnal-hourly~~ changes of modelled ABL-mean O<sub>3</sub> concentration with those of observed and modelled  
437 mean near-~~ground-surface~~ O<sub>3</sub> concentrations in 18 sites of the Guangdong-Hong Kong-Macao PRD Regional Air Quality  
438 Monitoring Network (distributions ~~are~~ shown in Fig. S3S6). As presented in Fig. S4S7, ~~three these types datasets of O<sub>3</sub>~~  
439 ~~diurnal changes~~ display similar ~~characteristics~~ patterns of O<sub>3</sub> diurnal changes. However, ~~Since O<sub>3</sub> was well mixed within the~~  
440 ~~ABL (Fig. S4), especially during daytime when O<sub>3</sub> levels are higher than those at night,~~ the budget of ABL-mean O<sub>3</sub>  
441 concentration can ~~better~~ reveal the influences of transport and photochemistry on the variations of overall O<sub>3</sub> levels as well  
442 as the ~~general~~ causes of O<sub>3</sub> pollution in the targeted region. ~~Such results in the PRD are discussed in the following.~~

443

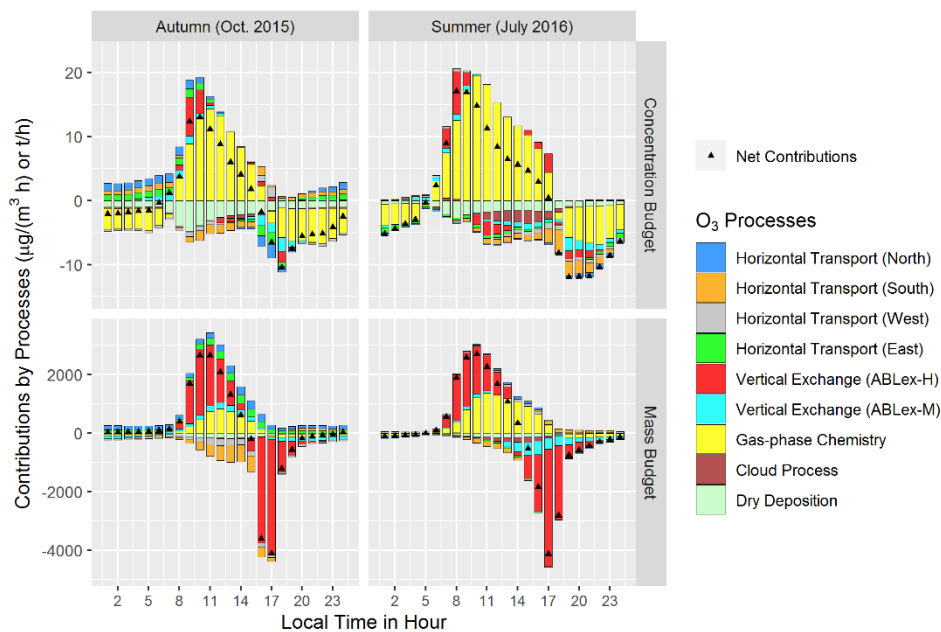
444 Next, the contributions of various O<sub>3</sub>-related processes in the O<sub>3</sub> concentration budget are discussed as follows:

- 445 • Gas-phase chemistry: ~~Apparently, Figure 3 shows that~~ gas-phase chemistry controlled almost exclusively the O<sub>3</sub>  
446 concentration budget. During the morning hours, which are defined as the period from sunrise (~6:00 ~~local time~~  
447 ~~(LT)~~ in autumn, ~5:00 LT in summer) to the O<sub>3</sub>-peak hour (~14:00 LT), ~~it-gas-phase chemistry~~ (photochemistry)  
448 contributed to, on average, 74% and 95% of the O<sub>3</sub> concentration increase in autumn and summer, respectively.  
449 These contributions are notably higher than ~~transport the~~ contributions of transport in the same periods (25% in  
450 autumn, 5% in summer). In the afternoon, gas-phase chemistry was still the main process to maintain high O<sub>3</sub>  
451 concentrations within the PRD, but its contributions gradually decreased until sunset. Gas phase  
452 chemistry However, this process also led to ~~the decreased of~~ O<sub>3</sub> concentration at night, suggesting the impact of O<sub>3</sub>  
453 titration by emitted NO and O<sub>3</sub> depletion with unsaturated VOCs. It may also be related to the production of particle  
454 nitrate through N<sub>2</sub>O<sub>5</sub> hydrolysis (Qu et al., 2021b).
- 455 • Transport: ~~It-The dominance of gas-phase chemistry in the O<sub>3</sub> concentration budget~~ does not mean that the  
456 influence of transport on O<sub>3</sub> concentration can be neglected all day long every hour. Considerable contributions of  
457 transport (mainly by ABLex-H) to O<sub>3</sub> concentration increase are found during 2-3 hours after sunrise, with the  
458 highest hourly mean contributions reaching ~40% and ~25% in autumn and summer, respectively. ~~It-This result~~  
459 indicates the notable influences of air masses with containing high-level O<sub>3</sub> concentrations being entrained from  
460 residual layers on near-surface O<sub>3</sub> pollution. ABLex-M and horizontal transport may contribute to the increase or  
461 decrease of ABL-mean O<sub>3</sub> concentration, depending on the O<sub>3</sub> levels in air parcels transported into and out of the  
462 region (~~more further~~ analyses are given is provided in Sect. 3.43). ~~But~~ Overall, these two transport processes had  
463 only limited contributions to the variations of O<sub>3</sub> concentration.
- 464 • Other processes: Dry deposition contributed to a considerable decrease in O<sub>3</sub> concentration, especially ~~in the~~ during  
465 daytime, and thus served as ~~the major an important~~ sink process for near-surface O<sub>3</sub>. Besides, cloud process was also  
466 an important sink process for O<sub>3</sub> in summer, which might be related to the convective vertical transport of O<sub>3</sub>.

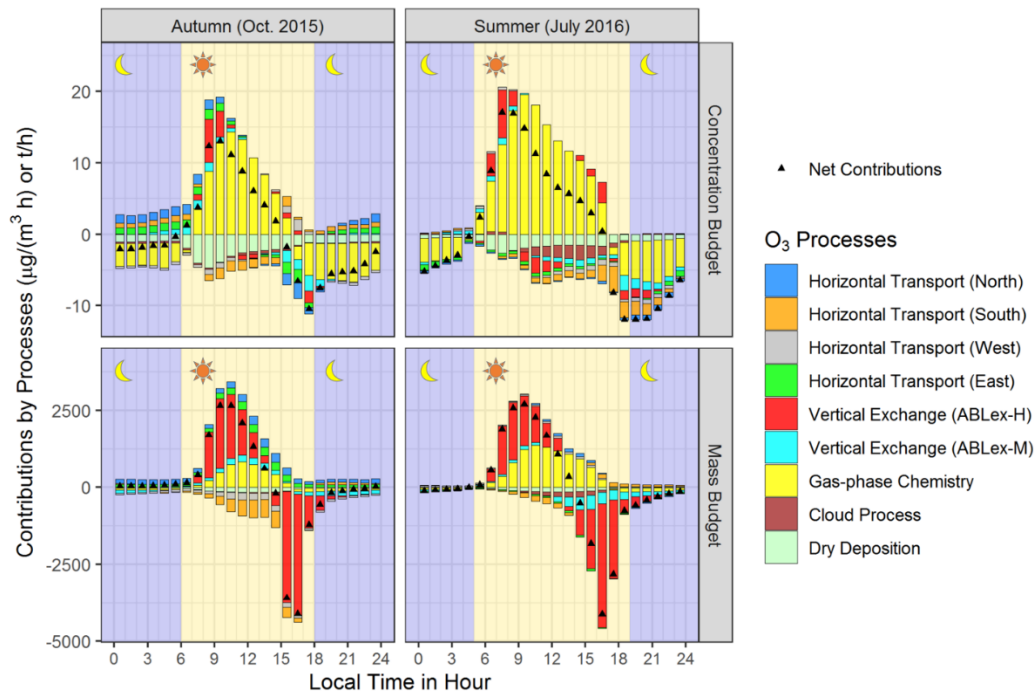
467

468 ~~To summarize~~In summary, the results of the O<sub>3</sub> concentration budget indicate that gas-phase chemistry played a major role  
469 in the variations of O<sub>3</sub> concentrations in the PRD. In particular, photochemistry led to the rapid formation of O<sub>3</sub> pollution ~~in~~  
470 ~~the~~during daytime, rather than transport. Our conclusions agree well with those in previous publications on earlier studies on  
471 the O<sub>3</sub> concentration budget (Lenschow et al., 1981; Hou et al., 2014; Trousdell et al., 2016; Su et al., 2018; Tan et al., 2018;  
472 Tan et al., 2019; Trousdell et al., 2019; Yu et al., 2020; Li et al., 2021a; Yan et al., 2021).

473



474



475

476 **Figure 3.** Mean diurnal changes of the O<sub>3</sub> concentration budget (the upper panels) and mass budget (the lower panels) on the polluted days  
 477 of representative months in autumn (Oct. 2015; left panels) and summer (July 2016; right panels) within the atmospheric boundary layer of  
 478 the Pearl River Delta. The units for the O<sub>3</sub> concentration and mass budgets are µg/(m<sup>3</sup> h) and t/h, respectively. Backgrounds in yellow and  
 479 dark blue indicate the periods of day and night, respectively.

### 480 3.2 O<sub>3</sub> mass budget

481 The results of the O<sub>3</sub> mass budget are displayed in the lower panels of Fig. 3. The total O<sub>3</sub> mass within the ABL of the PRD  
 482 increased during the morning hours, ~~then~~ decreased rapidly in the afternoon and slowly at the early night, then remained  
 483 stable at night until sunrise in both autumn and summer (Fig. 3, the lower panels) in both seasons. The change of total O<sub>3</sub>  
 484 mass agrees well with the ABL diurnal cycle (Lee, 2018) — daytime ABL development (or collapse) and notable O<sub>3</sub> mass  
 485 increase (or decrease) almost occurred simultaneously, and the negligible changes in O<sub>3</sub> mass during most hours of the  
 486 night may be linked to the small variations of stable ABL.

487

488 The contribution of processes in the O<sub>3</sub> mass budget highlights the prominent role of transport. We analysed the  
 489 contributions of various O<sub>3</sub>-related processes in the O<sub>3</sub> mass budget as well, presented as follows:

- 490 • Transport: Unlike the results of the O<sub>3</sub> concentration budget, transport plays a prominent role in the O<sub>3</sub> mass budget.

491 On average, it contributed ~~to~~ 78% and 53% ~~of~~ to O<sub>3</sub> mass increase during the morning hours ~~in~~ of autumn and  
 492 summer, respectively, and over 90% ~~of~~ to O<sub>3</sub> mass decrease during the afternoon hours of both seasons (~~defined as~~  
 493 14:00-~~19~~18:00 LT in autumn and 14:00-~~20~~19:00 LT in summer). Most O<sub>3</sub> was transported into or out of the PRD

494 ~~through by the~~ vertical exchange ~~near through~~ the ABL top, especially ABLex-H, which ~~explains links the~~  
495 ~~consistency between~~ the diurnal changes of O<sub>3</sub> mass and ABL. That is to say, when the height of ABL rise (drop)  
496 rapidly, a big amount of O<sub>3</sub> is transported into (out of) the ABL through the ABLex-H. The ~~influences contributions~~  
497 of ABLex-M and horizontal transport ~~on to~~ O<sub>3</sub> mass change were relatively limited. However, they  
498 ~~indicated correspond~~ well to the characteristics and variations of regional wind fields in the PRD (more details are  
499 given provided in the next section).

- 500 • Gas-phase chemistry: Gas-phase chemistry (photochemistry) also contributed to the increasing O<sub>3</sub> mass during in  
501 the daytime, especially in summer. However, its mean contributions during the morning hours (22% in autumn,  
502 47% in summer) were lower than those of transport.
- 503 • Other processes: ~~In addition, cloud process and dD~~ry deposition and cloud process both acted as O<sub>3</sub> sink processes,  
504 but with negligible contributions to O<sub>3</sub> mass.

505  
506 Based on the above discussions, transport tends to be more important than photochemistry in the O<sub>3</sub> mass budget, which  
507 differs from the conclusions of the O<sub>3</sub> concentration budget. The main role of transport, especially ABLex-H, in the O<sub>3</sub> mass  
508 budget suggests the marked impacts of the ABL diurnal cycle on regional O<sub>3</sub> pollution. Despite of less notable influence of  
509 transport on O<sub>3</sub> concentration increase in comparison to that of photochemistry, massive O<sub>3</sub> being transported into the ABL  
510 of the targeted region during the morning hours nearly determines the regional origins of O<sub>3</sub> pollution. Quantified results  
511 combining the O<sub>3</sub> mass budget and source apportionment are further discussed in Sect. 4.

512  
513 ~~The O<sub>3</sub> mass budget in this study overall agrees well with our common understanding of O<sub>3</sub> processes. The main role of~~  
514 ~~transport (the vertical exchange near the ABL top) in the O<sub>3</sub> mass budget reflects the influence of the ABL diurnal cycle on~~  
515 ~~regional O<sub>3</sub> pollution. Specifically, despite of relatively lower influence on O<sub>3</sub> concentration increase in comparison to that~~  
516 ~~of photochemistry, massive O<sub>3</sub> being transported into the ABL during the morning hours nearly determines the regional~~  
517 ~~sources of O<sub>3</sub> pollution. Quantified results combining O<sub>3</sub> mass budget and source apportionment are further discussed in~~  
518 ~~Sect. 3.4.~~

### 519 **3.3 Influences of regional wind fields ~~on on~~ O<sub>3</sub> pollution: more analyses of transport contributions in O<sub>3</sub> budgets**

520 ~~Through the contributions of horizontal transport and ABLex-M in O<sub>3</sub> budgets, the characteristics and variations of regional~~  
521 ~~wind fields, including the prevailing winds and local circulations (sea breezes), can also be identified. Two main findings in~~  
522 ~~this study are presented as follows: As discussed before, the contributions of horizontal transport and ABLex-M were~~  
523 ~~relatively limited in the two O<sub>3</sub> budgets. However, they illustrate well the influences of regional wind fields, including the~~  
524 ~~seasonal prevailing winds and local circulations (sea breezes), on O<sub>3</sub> pollution in the PRD. Two main findings from the~~  
525 ~~analyses of these transport contributions are presented below.~~

527 ~~(1) The contributions of horizontal transport and ABLex-M3.3.1 Transport contributions in autumn; suggest the~~  
528 ~~characteristics of prevailing winds in the PRD.~~

529

530 ~~In the PRD, N~~northerly and easterly winds prevail in autumn (as indicated by the wind roses in Fig. ~~S5S3~~). ~~Thus,~~  
531 ~~e~~Correspondingly, O<sub>3</sub> was transported into the PRD through its north and east borders, out of the PRD through the south and  
532 west borders, as ~~shown in~~indicated by the O<sub>3</sub> mass budget (Fig. 3). O<sub>3</sub> masses transported out of the ~~region-PRD~~ were  
533 generally higher than those transported into the ~~region-PRD in the~~during daytime, ~~which~~This is attributed to higher  
534 ~~downwind-O<sub>3</sub> levels~~ concentrations in the downwind regions due to O<sub>3</sub> production from local emissions. “Low O<sub>3</sub> in, high  
535 O<sub>3</sub> out” also explains why horizontal transport led to the net decrease of O<sub>3</sub> concentration ~~in the~~during daytime. At night, O<sub>3</sub>  
536 was still transported into the region through the north and east borders of the PRD, but these processes ~~became important-O<sub>3</sub>~~  
537 ~~sources based on the O<sub>3</sub> concentration budget contributed to the increase of O<sub>3</sub> concentrations.~~ ~~This~~That is to say, with  
538 relatively higher O<sub>3</sub> ~~levels~~ concentrations compared to ~~those in~~ the NO<sub>x</sub>-titrated urban atmosphere, air parcels transported  
539 from the upwind outskirts ~~helped~~ served as the supply to ~~maintain~~ slowdown night-time O<sub>3</sub> level ~~decreases~~ in the PRD ~~to~~  
540 ~~some extent~~ due to chemistry and deposition.

541

542 The daytime contributions of ABLex-M in the O<sub>3</sub> mass budget also indicate the effects of prevailing northerly winds. The  
543 PRD has mountainous regions in the northern, western and eastern outskirts, as well as urban regions with lower altitudes in  
544 the central plain (Fig. S6). ~~Thus~~As shown in Fig. S8a-b, the positive contributions of ABLex-M through the ABL top (in the  
545 z-direction) can be found in ~~the~~ mountainous ~~regions~~northern PRD (Fig. S6a-b), suggesting ~~that~~ northerly winds resulted in  
546 the downward transport of O<sub>3</sub> along the terrain. Daytime ABL heights in urban regions were, in general, higher than those in  
547 mountainous regions, which is the other reason why O<sub>3</sub> can be transported through the ABL slope (in the x-/y-direction) near  
548 the urban-rural interfaces when northerly wind prevailed (Fig. S6eS8c-d). For the O<sub>3</sub> concentration budget, ABLex-M  
549 contributed to ~~the~~ increased of O<sub>3</sub> concentration during several hours after sunrise but ~~the~~ decreased of O<sub>3</sub> concentration in  
550 the afternoon. This different effect is attributed to different comparison results between ABL and above-ABL mean O<sub>3</sub>  
551 concentrations in the two periods (~~O<sub>3</sub> concentration above the ABL~~ ~~<~~is overall higher than that ~~above~~ within the ABL in the  
552 morning, ~~ABL~~ ~~>~~ above-ABL ~~while the opposite is for~~ in the afternoon; Fig. S7S4).

553

554 ~~(2) The contributions of horizontal transport and ABLex-M3.3.2 Transport contributions in summer; indicate the~~  
555 ~~influence of sea breezes in the PRD.~~

556

557 Although southerly winds normally prevail in summer in the PRD (Fig. S5S3), on O<sub>3</sub> polluted days, air parcels from other  
558 directions ~~could potentially also~~ influence the region ~~as well~~ (Qu et al., 2021a). Thus, the mean contribution of horizontal  
559 transport to O<sub>3</sub> mass in summer was lower than ~~those~~ in autumn. ~~What interests us more~~ Of particular interest is the ~~different~~

560 ~~variation of the~~ contributions of horizontal transport through the south border ~~of the PRD~~ before and after ~14:00 LT, as  
561 indicated by the results of the O<sub>3</sub> mass budget (Fig. 3). ~~Two-Both~~ O<sub>3</sub> budgets ~~also~~ suggest ~~high notable~~ O<sub>3</sub> mass and  
562 concentration decreases ~~contributed by due to~~ ABLex-M in the afternoon. These phenomena are both related to the influence  
563 of sea breezes.

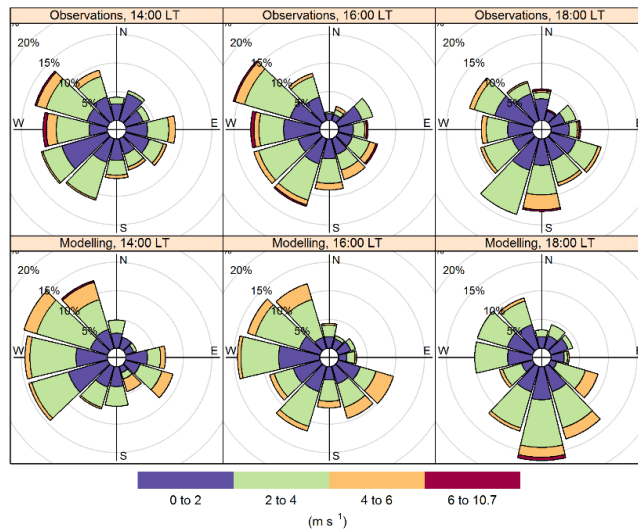
564

565 Figure 4 shows the near-~~ground surface~~ wind roses at 14:00, 16:00 and 18:00 LT of O<sub>3</sub> polluted days in July 2016 based on  
566 the observational and modelling results in ~~the~~ national meteorological sites within the PRD. At 14:00 LT, the main wind  
567 directions were W, SW and NW in both datasets. More S and SE winds occurred in later hours, and they became the  
568 prevailing winds at 18:00 LT, ~~—~~suggesting the gradual development of sea breezes in the PRD. Thus, O<sub>3</sub> was originally  
569 transported out of the PRD through the south border with negative contributions to O<sub>3</sub> mass; in the late afternoon, sea  
570 breezes reversed the directions of O<sub>3</sub> transport, resulting in positive contributions to O<sub>3</sub> mass by horizontal transport through  
571 the south border (Fig. 3). Moreover, ~~the development of~~ sea breezes ~~are-is~~ connected to the changes of ~~not only horizontal~~  
572 wind fields ~~not only horizontally~~, but also vertically ~~wind fields~~. Taking ~~the~~ O<sub>3</sub> polluted day July 24th, 2016 for example,  
573 ~~and~~ the cross-section of O<sub>3</sub> concentrations and wind fields in the PRD at 16:00 LT ~~of the day~~ is shown in Fig. 5 (the cross-  
574 section is made along the 113.2° E longitude, ranging from 26.0° to 20.0° N in latitude). Strong southerly wind and lower O<sub>3</sub>  
575 concentrations are found in the southern PRD, indicating the influence of sea breezes ~~on the region~~ during that time. Near the  
576 interfaces where sea breezes encountered local air parcels (indicated by the drastic increase in O<sub>3</sub> ~~levels concentrations~~ from  
577 less than 100 µg/m<sup>3</sup> to about 100-150 µg/m<sup>3</sup>), updrafts occurred, suggesting the formation of sea breeze front (Ding et al.,  
578 2004; You and Fung, 2019). ~~H-The front~~ promoted the upward transport of O<sub>3</sub> from the ABL, or considerable O<sub>3</sub> mass  
579 decrease ~~attributed due to~~ ABLex-M. ~~Both horizontal transport and ABLex-M led to decreased O<sub>3</sub> concentrations, because~~  
580 ~~under the effects of sea breeze, clean air parcels were transported into the region and polluted air parcels were transported~~  
581 ~~out of the region~~. The ~~above~~ influences of sea breezes can also be found in autumn but were weaker and occurred later ~~than~~  
582 ~~in summer~~. ~~Besides, in autumn, horizontal transport through the south border of the PRD contributed to the increase of O<sub>3</sub>~~  
583 ~~concentration at night, indicating the effects of O<sub>3</sub> recirculation from the “O<sub>3</sub> pool” in the bay areas to the south of the PRD~~  
584 ~~(Zeren et al., 2019; Zeren et al., 2022).~~

585

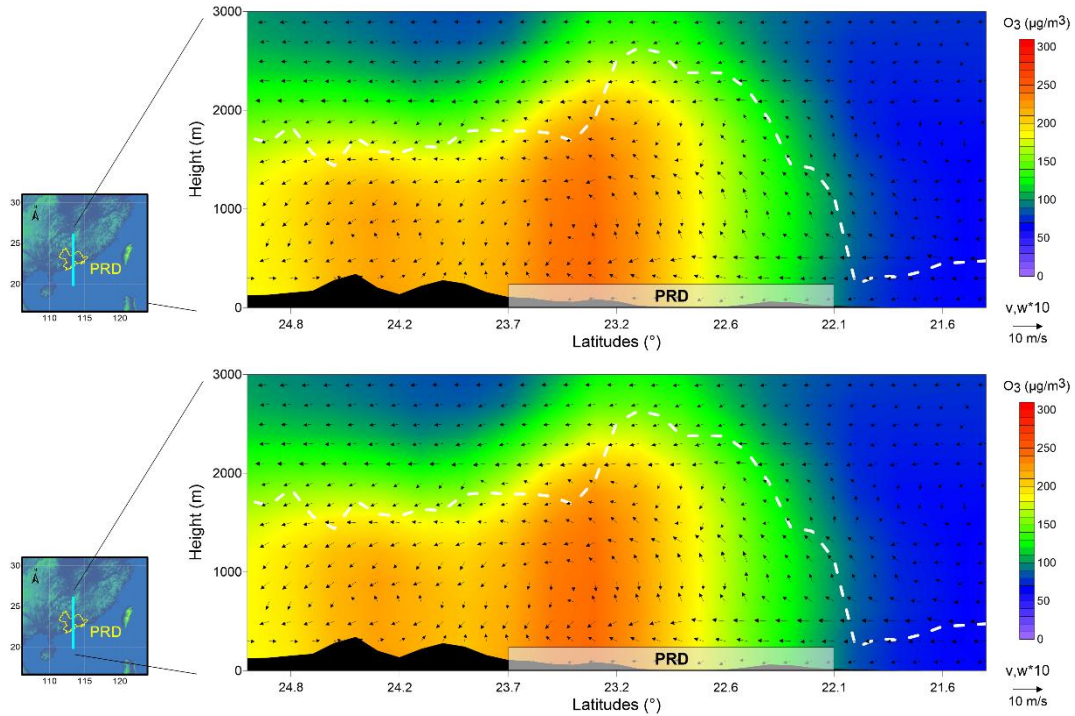
586 Through the calculations and analyses of ~~transport contributions in the two~~ O<sub>3</sub> budgets, the ~~contributions-influences~~ of  
587 complex transport processes ~~in-on~~ multiple scales to O<sub>3</sub> concentration and mass ~~were quantified can be well identified~~. These  
588 results ~~can help provide us gain~~ a deeper understanding of how transport influences regional O<sub>3</sub> pollution in the PRD.

589



590

591 **Figure 4.** Wind roses at 14:00, 16:00, and 18:00 local time (LT) of the O<sub>3</sub> polluted days in July 2016 in the Pearl River Delta (PRD).  
 592 Observational and modelling wind speeds and directions in 29 national meteorological sites within the PRD were used for this figure.



593

594

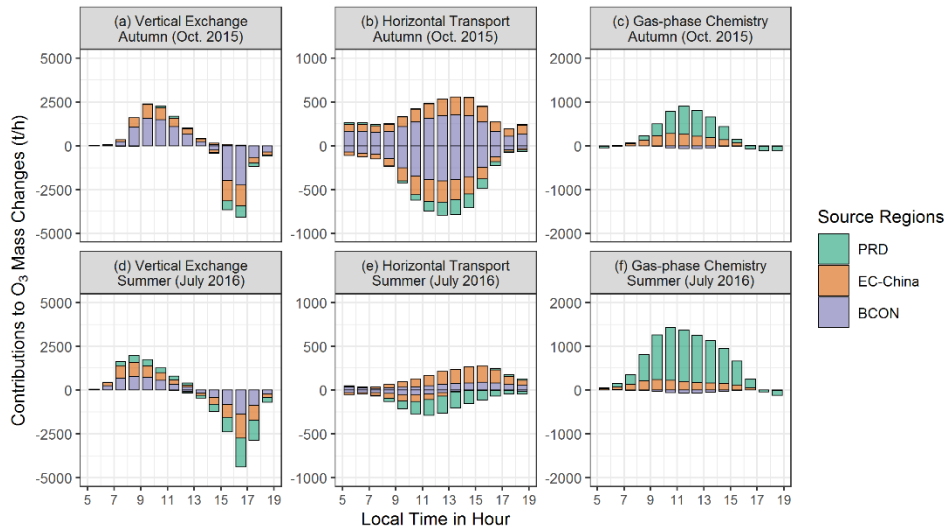
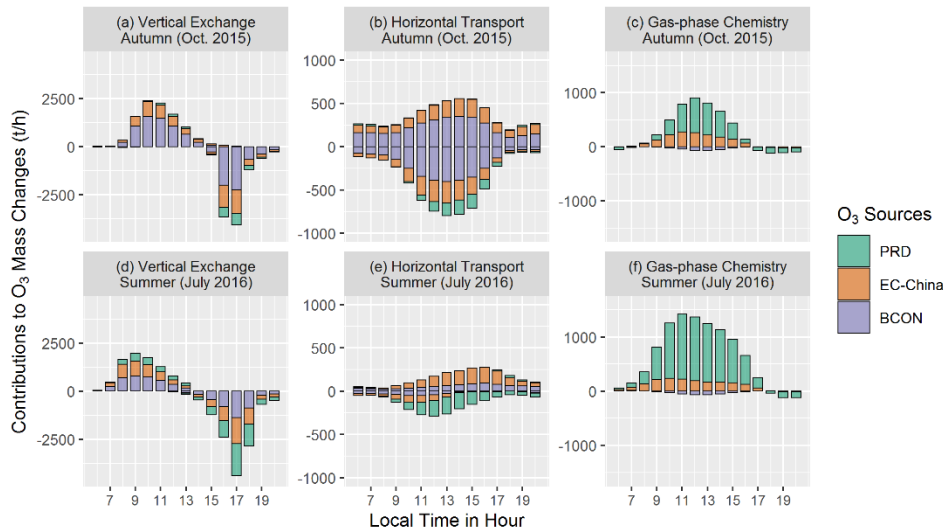
595 **Figure 5.** Cross-section of O<sub>3</sub> concentrations ( $\mu\text{g}/\text{m}^3$ ) and wind fields at 16:00 local time on July 24th, 2016. The dashed white line  
 596 indicates the top of the atmospheric boundary layer. PRD, Pearl River Delta.

597 **3.4 Regional sources of O<sub>3</sub> mass changes contributed by transport and photochemistry**  
598 **4 Effects of transport and photochemistry on the regional origins of O<sub>3</sub>**

599 Based on ~~previous-reported~~ publications (Li et al., 2012; Li et al., 2013; Yang et al., 2019; Gao et al., 2020), ~~non-local~~  
600 ~~sources~~O<sub>3</sub> in the PRD is mostly derived from emissions outside the PRD and background O<sub>3</sub>, rather than local emissions  
601 ~~often contributed to most O<sub>3</sub> in the PRD~~. This ~~outcome~~ is ~~also true~~ the same for the O<sub>3</sub> polluted days in the representative  
602 months of autumn and summer in this study, when the contributions of non-local sources ~~contributed-account for~~ on average  
603 ~~to~~ 89% and 65% of the O<sub>3</sub> in the PRD, respectively, in 9:00-17:00 LT (55% and 32% contributed by BCON, 34% and 33%  
604 contributed by EC-China in the two months; Qu et al., 2021a). To explain why non-local O<sub>3</sub>-sources are dominant for O<sub>3</sub> in  
605 the PRD, by combining O<sub>3</sub> mass budget calculation with O<sub>3</sub> source apportionment (method introduced in Sect. 2.6), we  
606 identified the regional ~~sources~~origins of O<sub>3</sub> mass changes ~~contributed by~~due to the vertical exchange ~~near~~through the ABL  
607 top, horizontal transport and gas-phase chemistry (Fig. 6; ~~the results in 5:00-20:00 LT are shown~~). ~~Since the O<sub>3</sub>-mass~~  
608 ~~decrease overall showed similar regional sources as O<sub>3</sub> within the region, further analyses focus on the regional sources of~~  
609 ~~O<sub>3</sub>-mass increase, that is, O<sub>3</sub> transported into and produced within the PRD~~. Here, the contributions of three sources to the O<sub>3</sub>  
610 mass increase and decrease were both quantified. But further analyses focus on the results related to O<sub>3</sub> mass increase,  
611 because the origins of O<sub>3</sub> in the region are more likely to be influenced by these of “new O<sub>3</sub>” transported into and produced  
612 within the PRD.

613





614

615

616 **Figure 6.** The regional sources origins of hourly O<sub>3</sub> mass changes contributed by (a,d) vertical exchange near-through the ABL top, (b,e)  
 617 horizontal transport, and (c,f) gas-phase chemistry on the polluted days of representative months in autumn (Oct. 2015; a-c) and summer  
 618 (July 2016; d-f). The results within for the time window 5:00-20:00 LT are shown here. PRD, Pearl River Delta; EC-China, East and  
 619 Central China; BCON, the boundary conditions of d02 modelling, or the contribution of sources outside the d02. Note that the scales are  
 620 different among the three columns.

621

622 Through the-vertical exchange near-through the ABL top, the process with the most notable contributions in the O<sub>3</sub> mass  
 623 budget, massive non-local O<sub>3</sub> entered into the ABL of the PRD. In the morning-hour O<sub>3</sub> mass increase attributed due to thise  
 624 process, BCON and EC-China accounted for 65% and 31%, respectively, in autumn. By contrast, local emissions only  
 625 contributed to-4% in-to this transported O<sub>3</sub> during the same period, suggesting that local O<sub>3</sub> recirculation had only a limited  
 626 influence on O<sub>3</sub> pollution was less likely to be recirculated back to the PRD during daytime. The results in summer were

627 similar to those in autumn, except that the contributions of PRD (local) and EC-China emissions were higher in O<sub>3</sub>  
628 transported into the region through vertical exchange. In summer, the contribution of local emissions in the O<sub>3</sub> mass  
629 transported into the region through vertical exchange was higher than in autumn, reaching 20% during the morning hours.  
630 However, non-local sources still dominated the O<sub>3</sub> mass increase due to vertical exchange — the morning-hour contributions  
631 in percentage of BCON and EC-China were 42% and 38%, respectively. In particular, local contribution accounted for 20%  
632 in the transported O<sub>3</sub> during the morning hours, but was still lower than non-local contribution (38% and 42% for EC-China  
633 and BCON, respectively).

634

635 O<sub>3</sub> mass increase attributed due to horizontal transport was connected to the contribution of non-local sources as well. In  
636 both seasons, O<sub>3</sub> transported into the PRD originated almost exclusively from non-local sources EC-China and BCON.

637

638 It is not surprising that most O<sub>3</sub> produced through photochemistry (daytime gas-phase chemistry) (photochemistry) was  
639 related to local contribution emissions, of which the contributions accounting accounted for 66% and 82% during the  
640 daytime of autumn (6:00-18:00 LT) and summer (5:00-19:00 LT), respectively. However, The contributions of EC-  
641 China emissions in the daytime O<sub>3</sub> mass increase reached 34% and 18% in the two seasons, respectively, indicating that the  
642 considerable influences of non-local precursor transport import on local O<sub>3</sub> photochemistry are also considerable in the PRD.

643

644 How do transport and photochemistry determine regional O<sub>3</sub> sources in the PRD? With the results of the O<sub>3</sub> mass budget and  
645 the regional origins of O<sub>3</sub> mass increase due to transport and photochemistry, the effects of O<sub>3</sub>-related processes on the  
646 origins of O<sub>3</sub> can be revealed. Based on the above results O<sub>3</sub> mass budget, the accumulated morning-hour O<sub>3</sub> mass increase  
647 exceeded 10000 tons in the ABL of the PRD for both seasons, which is 6-9 times larger than the original O<sub>3</sub> mass before  
648 sunrise (< 1500 tons). Thus, in the daytime, most O<sub>3</sub> in the ABL was the “new O<sub>3</sub>” contributed by transport and  
649 photochemistry, and daytime the origins O<sub>3</sub> sources of O<sub>3</sub> within the region were nearly determined by the sources these of  
650 these newly transported and produced O<sub>3</sub>. By combining the O<sub>3</sub> mass budget and O<sub>3</sub> source apportionment, we identified the  
651 O<sub>3</sub> mass increase due to O<sub>3</sub>-related processes as local (PRD) and non-local (EC-China and BCON) contributions. According  
652 to the results discussed before, High contributions of transport, especially the vertical exchange near the ABL top, in the  
653 morning-hour O<sub>3</sub> mass changes increase as well as and the dominance of non-local source contributions in this part of new O<sub>3</sub>  
654 ensured that non-local sources contributed to most O<sub>3</sub> in the PRD. Moreover, differences in the contributions of O<sub>3</sub>-related  
655 processes in the O<sub>3</sub> mass budget as well as the origins of morning-hour O<sub>3</sub> mass increase lead to varied origins of O<sub>3</sub> in the  
656 region. Moreover For instance, when comparing the results of O<sub>3</sub> source apportionment in the two seasons, we found that  
657 lower non-local contributions the contributions of non-local sources (local emissions) to O<sub>3</sub> were lower (higher) in summer  
658 than in autumn. It can be attributed to the combined effects of higher-increased photochemistry contributions (or decreased  
659 transport contributions) in the O<sub>3</sub> mass increase, lower-reduced non-local source contributions in both transported and

660 ~~chemically produced O<sub>3</sub> and higher local contributions in transported O<sub>3</sub> in summer. Collectively, these changes lead to~~  
661 ~~reduced non-local contributions (or higher local contributions) to O<sub>3</sub>.~~

662  
663 ~~Although transport brings massive new O<sub>3</sub>—mostly non-local—into the region in the morning hours, it hardly leads to a~~  
664 ~~drastic increase in O<sub>3</sub> concentration. Thus, transport seems to be less important than photochemistry in the O<sub>3</sub> concentration~~  
665 ~~budget. Therefore, the difference between two O<sub>3</sub> budgets, or the different effects of transport on O<sub>3</sub> concentration and mass,~~  
666 ~~may result in distinct understandings about the role of transport and photochemistry in regional O<sub>3</sub> pollution. By influencing~~  
667 ~~O<sub>3</sub> mass increase and its regional origins, transport and photochemistry determine the results of O<sub>3</sub> source apportionment~~  
668 ~~within the region. Specifically, transport brings massive non-local O<sub>3</sub> into the region in the morning, explaining why most O<sub>3</sub>~~  
669 ~~in the PRD is derived from non-local sources. The O<sub>3</sub> concentration budget only concerns the influence of O<sub>3</sub>-related~~  
670 ~~processes on the variations of O<sub>3</sub> concentration, thus it fails to illustrate the effect of transport on the regional origins of O<sub>3</sub>.~~  
671 ~~Our results highlight the difference between the O<sub>3</sub> concentration and mass budgets, which may result in distinct~~  
672 ~~understandings about the role of transport and photochemistry in regional O<sub>3</sub> pollution. However, to completely illustrate the~~  
673 ~~effects of two O<sub>3</sub>-related processes on regional O<sub>3</sub> pollution, insights from both O<sub>3</sub> budgets are required.~~

#### 674 **4.5 Conclusion and outlook**

675 ~~Reported O<sub>3</sub> budgets and source apportionments often concluded with a conflicting role of transport and photochemistry in~~  
676 ~~ambient O<sub>3</sub> pollution. To effectively alleviate O<sub>3</sub> pollution, it is important to understand the respective role of transport and~~  
677 ~~photochemistry in regional O<sub>3</sub> pollution. The O<sub>3</sub> concentration budget is widely used to quantify the contributions of these~~  
678 ~~O<sub>3</sub>-related processes to the variations of O<sub>3</sub> concentrations, and often concludes that photochemistry is the main contributor~~  
679 ~~to the aggravation of O<sub>3</sub> pollution. However, it does not explain why most of the O<sub>3</sub> is transported from the outside regions~~  
680 ~~as indicated by O<sub>3</sub> source apportionment studies. To explore its causes, we used the modelling results of WRF-CMAQ to~~  
681 ~~quantify the contributions of various processes in the O<sub>3</sub> concentration and mass budgets. To comprehensively illustrate the~~  
682 ~~effects of transport and photochemistry on regional O<sub>3</sub> pollution, based on the modelling results of WRF-CMAQ, this study~~  
683 ~~presents a method to quantify not only the O<sub>3</sub> concentration budget, but also the O<sub>3</sub> mass budget, in which the contributions~~  
684 ~~of O<sub>3</sub>-related processes (including transport and photochemistry) to the variations of mean O<sub>3</sub> concentrations and total O<sub>3</sub>~~  
685 ~~mass within the ABL of the PRD are separately identified. The different effects of transport on O<sub>3</sub> concentration and mass~~  
686 ~~were considered in the above calculations. Results—The O<sub>3</sub> concentration budget in the PRD revealed that gas-phase~~  
687 ~~chemistry, including daytime photochemistry and night-time O<sub>3</sub> titration/depletion, drives the variations of O<sub>3</sub> concentration.~~  
688 ~~Particularly, the former photochemistry separately contributed to 74% and 95% of the O<sub>3</sub> concentration increase in the~~  
689 ~~morning hours of autumn and summer months, respectively. In contrast, transport, especially the vertical exchange near~~  
690 ~~through the ABL top, is the main process contributing to the O<sub>3</sub> mass increase in the morning (78% and 53% in autumn and~~  
691 ~~summer, respectively) and its decrease in the afternoon (> 90%). The diurnal changes of transport contributions in the two~~

692 O<sub>3</sub> budgets are closely connected to the variations of the ABL and regional wind fields, including the seasonal prevailing  
693 winds and local circulations (sea breezes), in the PRD. Although massive O<sub>3</sub>, mostly derived from non-local sources, being  
694 transported into the ABL in the morning has a relatively limited influence on the O<sub>3</sub> concentration increase (25% and 5% in  
695 autumn and summer, respectively) compared to photochemistry, it this process nearly determines the dominance of non-local  
696 source contributions for daytime O<sub>3</sub> in the PRD. ~~The difference between two O<sub>3</sub> budgets, or the different effects of transport~~  
697 ~~on O<sub>3</sub> concentration and mass, may explain why the roles of transport and photochemistry in regional O<sub>3</sub> pollution are~~  
698 ~~inconsistent between different studies. The two O<sub>3</sub> budgets show notable differences, but together they provide a more~~  
699 complete overview on the effects of transport and photochemistry on regional O<sub>3</sub> pollution.

700

701 It should be noted that the conclusions in this study apply not only to ~~tropospheric~~ O<sub>3</sub>, but also to other pollutants with  
702 moderately long atmospheric lifetimes, such as including fine particulate matter and some of ~~the its secondary~~ components ~~in~~  
703 fine particulate matter. In theory, Ttransport and chemical transformations are both important processes for these pollutants,  
704 ~~but~~ However, for the former, ittransport has different influences effects on the concentration and mass of pollutants ~~on at~~ an  
705 hourly scale, which is similar to the discussion in Sect. 2.4. Furthermore, Bbesides regional ~~sources origins, in theory,~~ the  
706 difference between the two budgets may also contribute to the inconsistency of other ~~pollutant~~ characteristics of pollutants,  
707 such as the contributions of different reaction pathways and sensitivities to precursor emissions, identified using different  
708 methods by the concentration budget and mass-based methods, such as the reaction pathways and sensitivities to precursor  
709 emissions. When large quantities of pollutants with different characteristics are ~~massively~~ transported into the region, the  
710 variation of their concentrations is often not ~~notable~~ perceptible and thus neglected in the concentration budgets. However,  
711 ~~according to the discussions in~~ as indicated by this study, the transport processes ~~are~~ likely to change or even determine the  
712 characteristics of pollutants within the region. ~~It also makes the considerable impacts of relatively slow chemistry along the~~  
713 ~~transport on local pollution possible.~~ Therefore, we suggested that attention should be paid to selecting a proper budget type  
714 and using correct budget calculation methods in related research. Insights from both concentration and mass budgets are  
715 necessary to fully reveal the effects of transport, chemistry and other related processes on regional pollution.

716

717 Uncertainty remains in the calculated O<sub>3</sub> budgets, which is partly related to the biases in the modelling results. Therefore,  
718 supporting observations are essential for future research. Recent progress in observational techniques (Zhao et al., 2021;  
719 Zhou et al., 2021) has enabled three-dimensional measurements of meteorological parameters and O<sub>3</sub> concentrations with  
720 high spatiotemporal resolution and coverage. These data can be used not only ~~in for~~ the model validation of key parameters  
721 in budget calculations, but also ~~in for~~ the comparisons between observation- and modelling-based contributions by various  
722 O<sub>3</sub>-related processes in O<sub>3</sub> budgets (Kaser et al., 2017). ~~By doing so, more accurate regional level O<sub>3</sub> budgets will be~~  
723 ~~obtained. The comparison of contributions by O<sub>3</sub>-related processes is indicative of the main uncertainties in O<sub>3</sub> pollution~~  
724 modelling, and is therefore also important for further model developments.

725

726 ~~Theis present~~ study concluded that transport and gas-phase chemistry play the main role in the O<sub>3</sub> mass and concentration  
727 and mass-budgets, respectively. As a consequence of our assessment, what should policy-makers do to effectively alleviate  
728 regional O<sub>3</sub> pollution? Based on the two O<sub>3</sub>-budgets, we suggest that emission reduction in the upwind regions can  
729 effectively lower daily-mean O<sub>3</sub>-levels due to its high contributions to regional O<sub>3</sub>, but a longer time is needed due to the  
730 slow response of O<sub>3</sub>-concentration to transport. For areas where non-local emissions notably contribute to O<sub>3</sub>, emission  
731 reduction in the upwind regions will effectively reduce the overall O<sub>3</sub> concentrations, which is a crucial step towards the  
732 long-term improvement of regional air quality. However, for short-term air pollution control, this strategy is not efficient  
733 because emission reduction in upwind regions may need to start days earlier before the polluted periods. By In contrast,  
734 reducing local emissions hinders-is expected to efficiently lower the rapid daytime O<sub>3</sub> concentration increase and  
735 lowersthereby O<sub>3</sub> peak levels efficiently in the short term, as highlighted by the O<sub>3</sub> concentration budget. The choice of  
736 which-the better strategy to apply should depend on the specific goals-objectives of O<sub>3</sub> control (mean levels vs. peak levels;  
737 long-term vs. short-term), which are set based on a more in-depth understanding of O<sub>3</sub> effects on human health, crop yields  
738 and ecosystems. More efforts are required to systematically evaluate the effects of different emission reduction strategies on  
739 alleviating the detrimental effects of ambient-O<sub>3</sub>.

740  
741 *Data availability.* The source codes of WRF and CMAQ are available at the site  
742 [https://www2.mmm.ucar.edu/wrf/users/download/get\\_sources.html](https://www2.mmm.ucar.edu/wrf/users/download/get_sources.html) and <https://www.cmascenter.org/cmaq/>, respectively.  
743 FNL meteorological input files were downloaded from the site <https://rda.ucar.edu/datasets/ds083.2/>. MEIC v1.3  
744 anthropogenic emission inventory is available at [http://meicmodel.org/?page\\_id=560](http://meicmodel.org/?page_id=560). The source codes of MEGAN can be  
745 found at <https://bai.ess.uci.edu/megan/data-and-code>. IAGOS dataset used in model validation was searched and downloaded  
746 from <http://iagos-data.fr>, which includes all profiles measured in flights taking off from and landing in Hong Kong during  
747 the two representative months. We also provided the initial Fortran code used in ozone budget calculations and hourly O<sub>3</sub>  
748 concentration and mass budget results in the two representative months (the initial data of Fig. 3) at  
749 <https://doi.org/10.5281/zenodo.6259253>.

750  
751 *Author contributions.* KQ, XW and YZ designed the study. KQ, XW, TX did the simulations using the WRF-CMAQ model.  
752 JS, LZ and YZ provided observational results for model validation. KQ, XW, XC, YY, XJ and YZ developed the post-  
753 processing tool flux 4d cal, conducted and analysed O<sub>3</sub> budget results. KQ, XW, MV, MK, GB and YZ wrote and/or revised  
754 this paper, with critical feedbacks from all other authors.

755  
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758

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762

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