

# Global evaluation of Doppler velocity errors of EarthCARE Cloud Profiling Radar using global storm-resolving simulation

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**Abstract.** The Cloud Profiling Radar (CPR) on the Earth Clouds, Aerosol, and Radiation Explorer (EarthCARE) satellite is the first satellite-borne Doppler radar (EC-CPR). In our previous study, we examined the effects of horizontal (along-track) integration and simple unfolding methods on the reduction of Doppler errors in the EC-CPR observations, and those effects were evaluated using two limited scenes in limited latitude and low pulse repetition frequency (PRF) settings. In this study, the amount of data used was significantly increased, and the area of the data used was extended globally. Not only low PRF but also high PRF settings were examined. We calculated the EC-CPR-observed Doppler velocity from pulse-pair covariances using the radar reflectivity factor and Doppler velocity obtained from a satellite data simulator and a global storm-resolving simulation. The global data were divided into five latitudinal zones, and each standard deviation of Doppler errors for 5 dBZ after 10 km integration were calculated. In the case of low PRF setting, the error without unfolding correction for the tropics reached a maximum of  $2.2 \text{ m s}^{-1}$  and then decreased toward the poles ( $0.43 \text{ m s}^{-1}$ ). The error with unfolding correction for the tropics became much smaller at  $0.63 \text{ m s}^{-1}$ . In the case of high PRF setting, the error without unfolding correction for the tropics reached a maximum of  $0.78 \text{ m s}^{-1}$  and then decreased toward the poles ( $0.19 \text{ m s}^{-1}$ ). The error with unfolding correction for the tropics was  $0.29 \text{ m s}^{-1}$ , less than half the value without the correction. The results of the analyses of the simulated data indicated that the zonal mean frequency of precipitation echoes was highest in the tropics and decreased toward the poles. Considering a limitation of the unfolding correction for discrimination between large upward velocity and large precipitation falling velocity, the latitudinal variation of the standard deviation of Doppler error can be explained by the precipitation echo distribution.

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## 1 Introduction

The Earth Clouds, Aerosol and Radiation Explorer (EarthCARE; hereafter EC) is a joint satellite mission by the Japan Aerospace Exploration Agency (JAXA) and European Space Agency (ESA) that will carry a Cloud Profiling Radar (CPR), an ATmospheric LIDar (ATLID), a Multi Spectral Imager (MSI), and a Broad Band Radiometer (BBR). From the derived 3D cloud and aerosol scene profiles, heating rates and radiation flux profiles are systematically determined with a resolution of

100 km<sup>2</sup> (Illingworth et al., 2015). Active sensors of EC will be regarded as an evolutionary successor of the 94-GHz CloudSat CPR (Stephens et al., 2008) and the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO; Winker et al., 2009) lidar (Stephens et al., 2018).

35 Because of EC's low orbit (~400 km) and the EC-CPR's large antenna (2.5 m), it has a better sensitivity (-36 dBZ<sub>e</sub> at the top-of-atmosphere (TOA)) than the CloudSat CPR (-30 dBZ<sub>e</sub>) and can observe 98 % of radiatively significant ice clouds and 40 % of all stratocumulus clouds (Stephens et al., 2002; Hagihara et al., 2010). Moreover, the EC-CPR has the vertical Doppler measurement capability that the CloudSat CPR does not have. It will reveal, for the first time, the vertical motion of cloud particles globally. Such an entirely new dataset would improve the discrimination between clouds and precipitation (Ceccaldi et al., 2013; Kikuchi et al., 2017), as well as the retrieval of cloud microphysical parameters (Heymsfield et al., 2008). 40 Consequently, it should improve various parameterization schemes used in atmospheric models and the understanding of the processes related to cloud and precipitation (Roh and Satoh, 2014; Roh et al., 2017; Roh and Satoh, 2018; Hagihara et al., 2014; Mülmenstädt et al., 2020; Takahashi et al., 2021).

Vertical Doppler velocity estimation from space suffers from Doppler broadening and velocity folding or aliasing (e.g., 45 Kobayashi et al., 2002; Sy et al., 2014). The EC-CPR measures Doppler velocities using the pulse-pair method. It measures phase shift of echoes from two successive transmitted pulses. Since the EC-CPR is a finite beamwidth on fast moving spaceborne platform, targets have a broad Doppler width, which causes a worsening of the correlation of the phase. Then, large Doppler errors are introduced. Hagihara et al. (2022; hereafter, H22) examined the effect of horizontal (along-track) integration and unfolding methods on the reduction of Doppler velocity measurement errors, in order to improve Doppler data 50 processing in the JAXA standard algorithm. They obtained EC-CPR data simulated by a satellite data simulator, the Joint-Simulator (Hashino et al., 2016; Satoh et al., 2016; Roh et al., 2020) using a global storm-resolving simulation data with the Nonhydrostatic Icosahedral Atmospheric Model (NICAM; Tomita and Satoh, 2004; Satoh et al., 2008; Satoh et al., 2014). They evaluated the standard deviation (SD) of random errors for each Z<sub>e</sub> for two cases (cirrus clouds and precipitation). They found that the error was reduced by horizontal integration alone in the case of cirrus clouds, whereas the error became large 55 without unfolding correction in addition to the horizontal integration in the case of precipitation.

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In H22, the evaluation was limited to two scenes in the mid-latitudes of the Northern Hemisphere and a low pulse repetition frequency (PRF) setting. In this study, we used more data than in H22 and performed the evaluation on a global scale. We also adopted different PRF settings. In Sect. 2, the simulation methods for EC-CPR data, the horizontal integration and unfolding correction of Doppler velocity, and the CloudSat-observed data are described. In Sect. 3, we investigate the SD of random 60 errors, on a global scale. To examine the characteristics of each latitude, we separated the data into five latitudinal zones. Two PRF modes were also included. The summary and conclusions are given in Sect. 4.

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## 2 Data and Method

65 We utilized the global storm-resolving simulation data simulated by the NICAM with a 3.5 km horizontal resolution. Moreover, we obtained the simulated EC-CPR data using the data and the Joint-Simulator following H22. Note that attenuations of the gas and particle are considered in the calculation of the radar reflectivity factor, whereas Doppler velocity is the total velocity

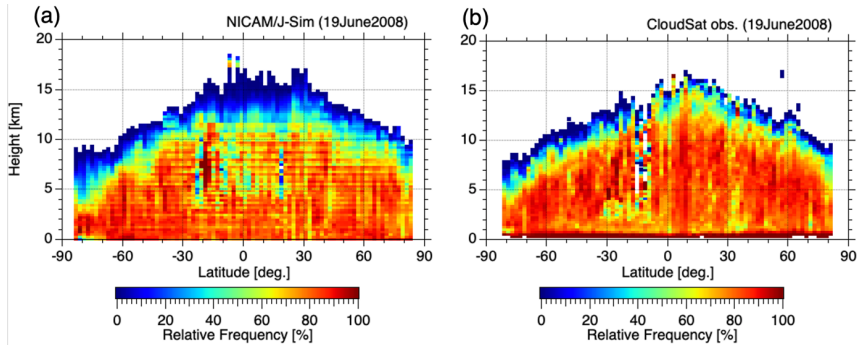


Figure 1. Zonal mean frequency of hydrometeors obtained by (a) NICAM/J-Sim and (b) CloudSat observations for 19 June 2008.

70 of the hydrometer echo, including reflectivity-weighted particle fall speed and vertical air motion. Our forward model is based on the single scattering assumption. There are some studies on multiple scattering using Monte Carlo methods (e.g., Matrosov et al. 2008; Battaglia and Tanelli, 2011). Especially the effect of multiple scattering to the Doppler velocity is discussed in Battaglia and Tanelli (2011). In this study, we focus on Doppler errors caused by Doppler broadening and folding, so we do not consider multiple scattering for simplicity. This issue will be the subject of future research. The simulated data were then calculated along an EC orbit and interpolated into the EC-CPR sampling interval (100 m in vertical and 500 m in horizontal). The radar reflectivity factor ( $Z_e, j_{sim}$ ) and Doppler velocity ( $V_{j_{sim}}$ ) curtain data were obtained (hereinafter referred to as “NICAM/J-Sim data”). In H22, only two scenes extracted from two orbits of data were used, but in this study, the amount of data used was significantly increased to 16 orbits of data, which is equivalent to one day of satellite tracks.

80 We note that there may be fast updrafts on the km or sub km scale. However, such events are rare globally and would be negligible in statistics such as latitudinal zonal means. This study focuses on global statistical results and therefore we use the NICAM. When higher horizontal resolution NICAM data becomes available, we would like to study similar evaluation with it.

In using the NICAM/J-Sim data, we first performed the following statistical analyses. We examined the zonal mean frequencies of hydrometeors obtained from the NICAM/J-Sim data and the CloudSat observations for 19 June 2008 (Fig. 1). We used the CloudSat  $Z_e$  (the standard geometrical profile of cloud product, 2B-GEOPROF) (Stephens et al., 2008) for

comparison with  $Z_{e, \text{jsim}}$ . For the observed data, we defined the hydrometeor bin as where the cloud mask value is greater or equal than 20 from the CPR Level 2B-GEOPROF product, which means a weak, good, or strong echo detection (Marchand et al., 2008). These are estimated to give an estimated false detection rate smaller than 5%. This value is adopted in many other CloudSat-based hydrometeor studies (e.g., Sassen & Wang 2008). The frequency of cloud occurrence at a given altitude was defined as the number of cloud echo bins ( $Z_e > -24$  dBZ<sub>e</sub>) divided by the total number of observations at that level. The bin size was 240 m in vertical and 2.0° latitude in horizontal. The overall frequencies of the NICAM/J-Sim simulated cloud field are comparable to the results of the CloudSat observations.

We simulated the measured vertical Doppler velocity ( $V_m$ ) as

$$V_m = V_{\text{jsim}} + V_{\text{random}}, \quad (1)$$

where  $V_{\text{random}}$  is the random error caused by the spread of Doppler velocities within the beam width. This is a Gaussian error distribution, and its SD of random error ( $SD_{\text{random}}$ ) is determined by perturbation approximation (Doviak and Zrnich, 1993) as

$$SD_{\text{random}} = C \sqrt{\frac{\lambda^2}{32\pi^2 M \rho^2 \left(\frac{1}{PRF}\right)^2} \left[ \left(1 + \frac{N}{S}\right)^2 - \rho^2 \right]}, \quad (2)$$

and  $C$  is a correction factor. We set  $C = 1.3$  following H22. The wavelength is  $\lambda$  ( $\lambda = 3.2$  mm for EC-CPR),  $M$  is the number of pulse pairs within an integration length,  $\rho$  is the correlation function, and  $S/N$  is the SNR. In nominal operation, the EC-CPR will change the observation window, that is, low mode (−1 to 16 km altitude) at latitudes of 60 to 90° and high mode (−1 to 20 km) at latitudes of 0 to 60°. The PRF is determined on the basis of the satellite altitude and changes in the range of

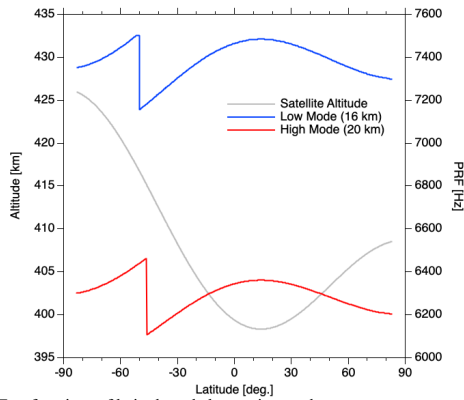


Figure 2. Satellite altitude and PRF as functions of latitude and observation mode.

6100 to 7500 Hz with the latitude and observation window, as illustrated in Fig. 2. The high mode has a lower PRF and worse Doppler accuracy, as discussed in H22, although cloud echoes up to an altitude of 20 km can be observed. On the other hand, the low mode has a higher PRF and better Doppler accuracy, but cloud echoes higher than 16 km cannot be observed.  $M$  is 357 to 420 for 500 m integration depending on the PRF. The SNR is determined by the received echo power calculated from the radar equation and estimated EC-CPR noise level. In the case of EC-CPR, the SNR is 0 dB, which is a signal equivalent to  $-21.2$  dBZ<sub>e</sub> echo intensity. If  $Z_{e,jsim}$  is less than  $-24$  dBZ<sub>e</sub>, we assume the Doppler velocity of its echo to be random noise in this study. The correlation function  $\rho$  is defined as

$$\rho = \exp \left\{ -8 \left( \frac{\pi \sigma_v}{\lambda \cdot PRF} \right)^2 \right\}, \quad (3)$$

where  $\sigma_v$  is the total Doppler velocity spectrum width.

The width  $\sigma_v$  can be considered as a sum of contributions by each. That is,

$$\sigma_v^2 = \sigma_{sm}^2 + \sigma_t^2 + \sigma_{psd}^2, \quad (4)$$

where  $\sigma_{sm}$  is the spread due to satellite motion, given by  $\sigma_{sm} \sim 0.3 V_{sat} \theta_{dB}$ ,  $V_{sat}$  is the satellite velocity, and  $\theta_{dB}$  is the beam width (Sloss and Atlas 1968). When  $V_{sat}$  is 7738 m/s and  $\theta_{dB}$  is 0.00166 rad (0.095°),  $\sigma_{sm}$  becomes 3.85 m/s. The spread  $\sigma_t$  is due to turbulence and  $\sigma_{psd}$  to the distributions of hydrometeor falling velocities, respectively, which are assumed to be  $\sigma_t = 1.0$  m/s (Amayenc et al., 1993), and  $\sigma_{psd} = 0.5$  m/s (Gossard et al., 1997). As for the latter term, it is reported to spread to 1.0 m/s for rain (Lhermitte 1963). In this study, we assumed the  $\sigma_{psd} = 0.5$  m/s so that  $\sigma_v$  becomes 4.01 m/s.

The EC-CPR measures the phase change of the echo between two successive pulses by pulse-pair processing to estimate the Doppler velocities. The real and imaginary parts of pulse-pair covariances  $R_\tau$  integrated onboard corresponding to a 500 m along-track are simulated in this study as

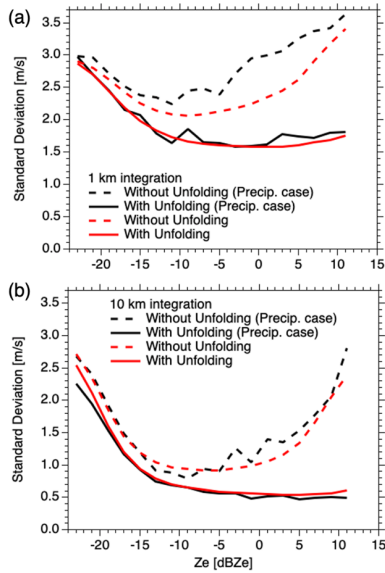
$$Re(R_\tau) = Z_{e,jsim} \cdot \cos \left( \frac{4\pi V_m}{\lambda \cdot PRF} \right), \quad (5)$$

$$Im(R_\tau) = Z_{e,jsim} \cdot \sin \left( \frac{4\pi V_m}{\lambda \cdot PRF} \right). \quad (6)$$

$V_{500m}$  is calculated using the arctangent of the real and imaginary parts of the 500-m-integrated  $R_\tau$  simulated by Eqs. (5) and (6). The sign of Doppler velocity is defined as being those of radial Doppler velocity (i.e., downward motion is positive) following the EC-CPR data processing. To reduce random error,  $V_{1km}$  and  $V_{10km}$  are also calculated using 1 and 10 km horizontally integrated  $R_\tau$  respectively, that are calculated from the 500 m-integrated  $R_\tau$ .

Velocity folding or aliasing is inherent to Doppler radar.  $V_{max}$  can be measured by the pulse-pair method and is defined by PRF ( $V_{max} = \lambda \cdot PRF/4$ ). In the PRF of the high mode (lower PRF),  $V_{max}$  ranges from 4.9 to 5.2 m s<sup>-1</sup>, whereas in the PRF of the low mode (higher PRF), it ranges from 5.7 to 6.0 m s<sup>-1</sup>.

The simulated EC-CPR Doppler velocities are required for unfolding correction. To correct the velocity folding in space-  
 135 borne radar, it is difficult to use the conventional unfolding method generally used by ground-based Doppler weather radar  
 (e.g., Bergen and Brown, 1980). From the ground-based vertically pointing cloud radar observations (Horie et al., 2000),



**Figure 3.** Standard deviation of random error of simulated Doppler velocities for PRF of the high mode (lower PRF) as a function of  $Z_e$  for (a) 1 km integration and (b) 10 km integration. The solid lines denote the results with unfolding correction. The black lines indicate the precipitation case in Hagihara et al. (2022).

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upward motion above  $3 \text{ m s}^{-1}$  was rarely observed. On the basis of this, we thus assumed that the echoes with velocities higher than  $3 \text{ m s}^{-1}$  are upward folded precipitation echoes. We used the simple unfolding method as follows:

$$V_{unfolding} = \begin{cases} V_{folded} + 2 \cdot V_{max} & \text{for } V_{1km,10km} < -3\text{m/s} \\ V_{folded} & \text{otherwise.} \end{cases} \quad (7)$$

### 3 Results

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We first evaluated the global mean **SD of random Doppler errors** in the PRF of the high mode (lower PRF) as well as PRF of the low mode (higher PRF). Then, we separated the NICAM/J-Sim data into five latitudinal zones (Arctic, Northern midlatitude, tropics, Southern midlatitude, and Antarctic). The **SD of random errors** for each latitudinal zone are investigated in both PRF modes.

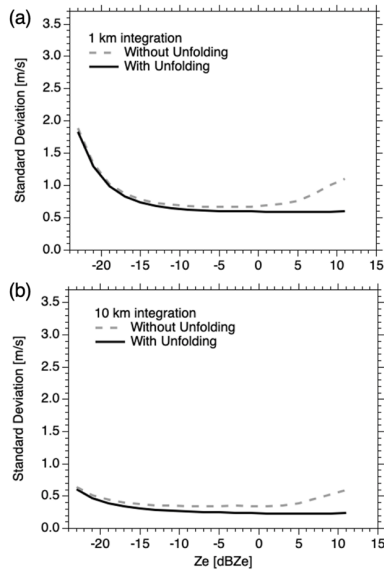
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Figure 3 shows the global mean **SD of random** errors in the PRF of the high mode (lower PRF). The vertical axis indicates the SD of **random** error that is calculated from the difference between the simulated velocity (i.e.,  $V_{1\text{km}}$ ,  $V_{10\text{km}}$ ) and  $V_{\text{sim}}$  (hereafter,  $SD_{\text{diff}}$ ). The horizontal axis indicates  $Z_e$  of the NICAM/J-Sim data. The red dashed lines show  $SD_{\text{diff}}$  and the solid lines indicate  $SD_{\text{diff}}$  with unfolding correction using Eq. (7). Figure 3a shows  $SD_{\text{diff}}$  of  $V_{1\text{km}}$  and  $SD_{\text{diff}}$  of  $V_{1\text{km}}$  with unfolding correction.  $SD_{\text{diff}}$  of  $V_{1\text{km}}$  decreases for  $Z_e$  below  $-10$  dBZ $_e$ . This is attributed to the reduction of random error owing to the increase in  $S/N$  and decrease in  $SD_{\text{random}}$  in Eq. (2) as  $Z_e$  increases.  $SD_{\text{diff}}$  of  $V_{1\text{km}}$  increases for  $Z_e$  above  $-10$  dBZ $_e$ . This is due to the increase in the occurrence of velocity folding. That is, an increase in  $Z_e$  results in an increase in the intensity of precipitation echoes and an increase in mean fall velocity. When the unfolding method is applied,  $SD_{\text{diff}}$  of  $V_{1\text{km}}$  is noticeably reduced because the folded negative velocities are corrected and the occurrence of the velocity folding is reduced. In Fig. 3b,  $SD_{\text{diff}}$  of  $V_{10\text{km}}$  decreases for  $Z_e$  below  $-7$  dBZ $_e$  and increases for  $Z_e$  above  $-7$  dBZ $_e$ .  $SD_{\text{diff}}$  of  $V_{10\text{km}}$  is much smaller than that of  $V_{1\text{km}}$ , reaching  $0.8$  m s $^{-1}$  for  $-9$  dBZ $_e$ . This is because of the increase in  $M$  and the decrease in  $SD_{\text{random}}$  in Eq. (2). If the unfolding method is applied,  $SD_{\text{diff}}$  of  $V_{10\text{km}}$  becomes smaller since the effect of folding Doppler errors of precipitation echoes is reduced, as shown in Fig. 3a. For instance,  $SD_{\text{diff}}$  of  $V_{10\text{km}}$  is less than  $0.5$  m s $^{-1}$  above  $-5$  dBZ $_e$ .



165 **Figure 4.** Standard deviation of random error of simulated Doppler velocities for PRF of the low mode (higher PRF) as a function of  $Z_e$  for (a) 1 km integration and (b) 10 km integration. The solid lines denote the results with unfolding correction.

170 What has been described so far is consistent with what was shown in the analysis of the precipitation case in H22. Note that PRF varied from 6106 to 6464 Hz in the high mode illustrated in Fig. 2 but was a single value of 6279 Hz in the precipitation case in H22. The black lines in Fig. 3 are the result for H22, the dashed lines denote the  $SD_{diff}$ , and the lines indicate the  $SD_{diff}$  with unfolding correction (using the same method as in Eq. (7)). In both Figs. 3a and 3b, the results in H22 are in good agreement with those of this study.

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175 Figure 4 illustrates the global mean  $SD$  of random errors in the low-mode PRF. The dashed lines show  $SD_{diff}$  without unfolding correction and the solid lines indicate  $SD_{diff}$  with unfolding correction using Eq. (6). The PRF varies from 7156 to 7500 Hz, with a corresponding  $SD_{random}$  of 0.8 to 1.5 for 0 to  $-19$  dBZ<sub>e</sub> (see Fig. 2 in H22). On the other hand, in the high mode, the PRF varies from 6106 to 6464 Hz, with a corresponding  $SD_{random}$  of 1.5 to 3.4 for 0 to  $-19$  dBZ<sub>e</sub>. Similarly,  $V_{max}$  takes values between 5.7 and 6.0 m s<sup>-1</sup>, whereas in the high mode, it is between 4.9 and 5.2 m s<sup>-1</sup>. Comparison of Figs. 3 and 4 clearly shows that the  $SD$  of random error is much smaller in the latter because of  $SD_{random}$  described above. Furthermore,  $SD_{diff}$  without unfolding correction is smaller than that in the PRF of the high mode (lower PRF) because  $V_{max}$  is larger in addition to the effect of  $SD_{random}$ .

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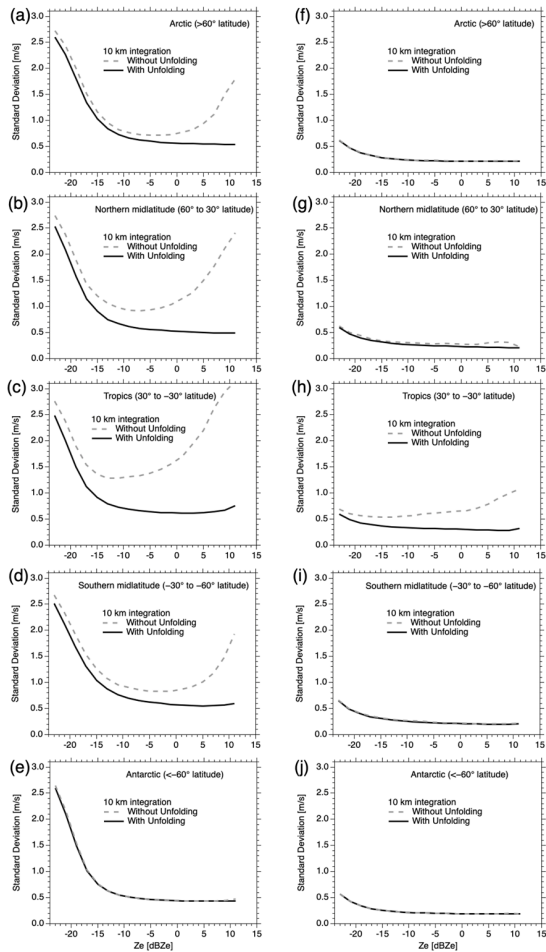
185 Since the frequencies of cloud and precipitation echoes differ in latitude and the PRF varies with latitude, as shown in Fig. 2, we investigated the change in  $SD_{diff}$  with latitude. We defined five latitudinal zones, namely, Arctic ( $>60^\circ$ ), Northern midlatitude ( $60^\circ$  to  $30^\circ$ ), tropics ( $30^\circ$  to  $-30^\circ$ ), Southern midlatitude ( $-30^\circ$  to  $-60^\circ$ ), and Antarctic ( $<-60^\circ$ ). In the following analysis, we focused on  $SD_{diff}$  of  $V_{10km}$ . Figs. 5a–5e show the  $SD$  of random error for the five latitudinal zones in the PRF of the high mode (lower PRF). The dashed lines show  $SD_{diff}$  without unfolding correction and the solid lines indicate  $SD_{diff}$  with unfolding correction using Eq. (7).  $SD_{diff}$  of  $V_{10km}$  without unfolding correction decreases up to a certain value of  $Z_e$  and increases after that value.  $SD_{diff}$  with unfolding correction decreases as  $Z_e$  increases. These tendencies observed in the five latitudinal zones are similar to those of the global mean  $SD_{diff}$  of  $V_{10km}$  shown in Fig. 3b, although their magnitudes are not the same. We compared  $SD_{diff}$  without unfolding correction.  $SD_{diff}$  for the tropics, shown in Fig. 5c, has the largest value and is larger than the global mean result. The  $SD_{diff}$  values for both midlatitudes (Figs. 5b and 5d) are smaller than that for the tropics but slightly larger than or comparable to the global mean result. The  $SD_{diff}$  values for both polar regions (Figs. 5a and 5e) are even smaller than those for both midlatitudes and smaller than the global mean result.  $SD_{diff}$  for the Antarctic in Fig. 5e shows the smallest value. The tendency of the magnitude relation of  $SD_{diff}$  for each latitudinal zone was the similar between without and with unfolding correction. From the PRF variation shown in Fig. 2, in the PRF of the high mode (lower PRF), the Doppler accuracy should be higher in the tropics and lower toward the poles. However, the results we have seen so far are opposite. On the other hand, the frequency of precipitation echoes is considered to be the highest in the tropics, and the folding Doppler error may have resulted in the largest  $SD_{diff}$  in the tropics. This does not mean, however, that the mean Doppler velocity of the precipitation echo exceeds  $V_{max}$ . In H22, Fig. 9(a) shows a 2D-histogram of  $V_{sim}$  without the random error as a function of the  $Z_e$  for the precipitation case. Large fall velocities are not seen due to Mie scattering of the larger drops at 94-GHz. As shown in Fig. 9(b-d) in H22, considering the random error due to the Doppler broadening, the velocity folding occurs.

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Figures. 5f–5j demonstrate the SD of random error for the five latitudinal zones in the PRF of the low mode (higher PRF). The dashed lines show  $SD_{diff}$  without unfolding correction and the solid lines indicate  $SD_{diff}$  with unfolding correction using Eq. (7). Similarly to Figs. 3 and 4, comparison of Figs. 5a–5e and 5f–j shows that  $SD_{diff}$  is much smaller in the latter. There is a difference between with and without unfolding correction only for  $SD_{diff}$  for the tropics shown in Fig. 5h, but not for the others.

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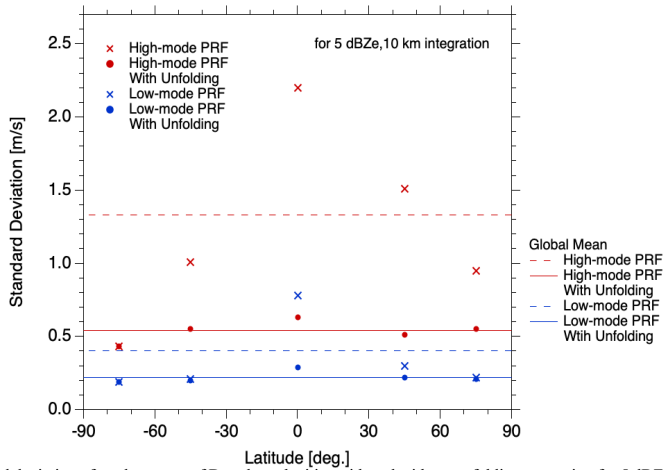


**Figure 5.** Standard deviation of random error of simulated Doppler velocities for (a–e) PRF of the high mode (lower PRF) and (f–i) PRF of the low mode (higher PRF) as a function of  $Z_e$  after 10 km integration for (a, f) Arctic, (b, g) Northern midlatitude, (c, h) tropics, (d, i) Southern midlatitude, and (e, j) Antarctic zones. The solid lines denote the results with unfolding correction.

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This may be related to the frequency of precipitation echoes, as also explained in Figs. 5a–5e. In the low-mode PRF,  $V_{\max}$  is larger and  $SD_{\text{random}}$  is smaller owing to the higher PRF.

To summarize what has been discussed so far, the  $SD_{\text{diff}}$  values for the five latitudinal zones for 5 dBZ<sub>e</sub> were extracted and shown in Fig. 6. The red crosses indicate  $SD_{\text{diff}}$  without unfolding correction of the high-mode PRF, and the red circles denote  $SD_{\text{diff}}$  with unfolding correction using Eq. (6). The red dashed line is  $SD_{\text{diff}}$  for 5 dBZ<sub>e</sub> without unfolding correction, and the red solid line is that with unfolding correction shown in Fig. 3b.  $SD_{\text{diff}}$  without unfolding correction (red crosses) for the tropics is the largest at 2.2 m s<sup>-1</sup> and decreases in both polar directions, with the smallest value at 0.43 m s<sup>-1</sup> in the Antarctic. The  $SD_{\text{diff}}$  values for the Northern midlatitude and Arctic are slightly larger than those for the Southern midlatitude and Antarctic. In comparison with the global mean  $SD_{\text{diff}}$  without unfolding correction, the values for the tropics and



**Figure 6.** Standard deviation of random error of Doppler velocities with and without unfolding correction for 5 dBZ<sub>e</sub> after 10 km integration as a function of latitude.

Northern midlatitude are larger, but the other values are smaller.  $SD_{\text{diff}}$  with unfolding correction (red circles) for the tropics is 0.63 m s<sup>-1</sup>, which is above the global mean result of 0.54 m s<sup>-1</sup> in Fig. 3b. The  $SD_{\text{diff}}$  values with unfolding correction for the Southern midlatitude, Northern midlatitude, and Arctic are comparable to the global mean result, but the value for the Antarctic is smaller than the global mean result. Next, we examine the PRF of the low mode (higher PRF) results. The blue crosses indicate  $SD_{\text{diff}}$  without unfolding correction of the PRF of the low mode (higher PRF), and the blue circles denote  $SD_{\text{diff}}$  with unfolding correction using Eq. (7). The blue dashed line is  $SD_{\text{diff}}$  for 5 dBZ<sub>e</sub> without unfolding correction, and blue solid line is the value with unfolding correction illustrated in Fig. 4b.  $SD_{\text{diff}}$  without unfolding correction (blue crosses) for the tropics is

the largest at  $0.78 \text{ m s}^{-1}$  and decreases toward the poles, with the smallest value being  $0.19 \text{ m s}^{-1}$  at the Antarctic.  $SD_{\text{diff}}$  with unfolding correction (blue circles) for the tropics is  $0.29 \text{ m s}^{-1}$ , which is above the global mean of  $0.22 \text{ m s}^{-1}$  in Fig. 4b. The  $SD_{\text{diff}}$  values with unfolding correction for the other zones are comparable to the global mean result. As already explained in Figs. 5, the latitudinal variation of  $SD_{\text{diff}}$  without unfolding correction may be due to the frequency of precipitation echoes. If the unfolding correction were perfect, there would be no relationship between the latitudinal variation of  $SD_{\text{diff}}$  with unfolding correction and the frequency of precipitation echoes. However, there is actually a relationship between the two, which indicates a limitation of the unfolding correction.

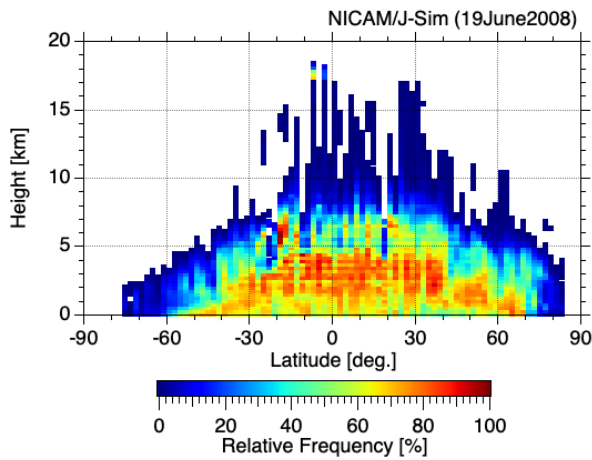


Figure 7. Zonal mean frequency of precipitation echoes obtained by NICAM/J-Sim for 19 June 2008.

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We examined the zonal mean frequencies of precipitation echoes obtained from the NICAM/J-Sim data for 19 June 2008. First, to obtain precipitation echoes, we used the same method as in Fig. 1a but added a Doppler velocity condition ( $V'_{\text{sim}} > 3 \text{ m s}^{-1}$ , downward motion). Then, using the same bin size as in Fig. 1a, we obtained Fig. 7. The extracted precipitation echoes show that the frequency decreases at higher altitudes compared with that shown in Fig. 1a. The frequency is high in the tropics and decreases toward the poles. The frequencies at altitudes of less than 5 km were averaged by latitudinal zone and found to be as follows: 27.8 % in the Arctic, 60.3 % in the Northern midlatitude, 68.5 % in the tropics, 36.7 % in the Southern midlatitude, and 2.6 % in the Antarctic. This is because it was summer in the Northern Hemisphere in the simulation. The latitudinal variation of  $SD_{\text{diff}}$  described so far can be explained on the basis of the precipitation echo distribution.

250

#### 4 Conclusions

255 We examined the vertical Doppler velocity error due to Doppler broadening and velocity folding in the EarthCARE CPR (EC-CPR) observations throughout the globe. We used simulated observation data (NICAM/J-Sim  $Z_e$ ,  $v_{sim}$  and  $V_{sim}$ ) for 16 satellite orbits with the same sampling interval as the EC-CPR, obtained using the NICAM and a satellite data simulator, the Joint-Simulator. The EC-CPR observed 500 m horizontally integrated pulse-pair covariances and Doppler velocity. The 1 and 10 km horizontally integrated Doppler velocities were calculated from them. We evaluated the Doppler error, i.e., the **SD** of  
260 random error ( $SD_{diff}$ ), and investigated the effectiveness of error reduction by horizontal integration. We also evaluated the Doppler folding error by comparing the corrected Doppler velocities using our simple unfolding method.

削除: standard deviation

We first evaluated the global mean **SD of random error** in the PRF of the high mode (lower PRF) as well as the PRF of the low mode (higher PRF) and compared the results with those of our previous study. In the PRF of the high mode (lower PRF),  $SD_{diff}$  without unfolding correction for 1 km integration decreases up to a certain value of  $Z_e$  and increases after that value.  
265 This decreasing feature is due to the decrease in the SD of random error as the SNR increases, and the increasing feature is the result of an increase in the frequency of the folded Doppler error of precipitation echoes.  $SD_{diff}$  without unfolding correction is much smaller for 10 km integration than for 1 km integration, because of the increased number of pulse pairs. When the unfolding correction is applied,  $SD_{diff}$  becomes considerably smaller regardless of the integration length and the PRF mode. The results of PRF of the low mode (higher PRF) show very small **SD of random error** both without and with unfolding  
270 correction.

削除: Doppler

削除: (higher PRF)

削除: Doppler error

削除: Doppler error

To investigate the latitudinal variation of **SD of random error**, we separated the data into five latitudinal zones, namely, Arctic ( $>60^\circ$ ), Northern midlatitude ( $60^\circ - 30^\circ$ ), tropics ( $30^\circ$  to  $-30^\circ$ ), Southern midlatitude ( $-30^\circ$  to  $-60^\circ$ ), and Antarctic ( $<-60^\circ$ ). In the present work, we focused on  $SD_{diff}$  for 10 km integration. In the PRF of the high mode (lower PRF),  $SD_{diff}$  for the tropics without unfolding correction is the largest and is larger than the global mean result.  $SD_{diff}$  without unfolding correction decreases toward the poles with the smallest value for the Antarctic, which is smaller than the global mean. The tendency of the magnitude relation of  $SD_{diff}$  for each latitudinal zone was similar between without and with unfolding correction. The frequency of precipitation echoes is expected to be highest in the tropics, and the folding Doppler error is also likely to be the largest. Therefore,  $SD_{diff}$  for the tropics without unfolding correction is considered to be the largest.  $SD_{diff}$  is much smaller in the PRF of the low mode (higher PRF) than in the PRF of the high mode (lower PRF), as shown by the global mean results  
275 described earlier.

In summary,  $SD_{diff}$  for the five latitudinal zones for 5 dBZ<sub>e</sub> is described as follows. In the PRF of the high mode (lower PRF),  $SD_{diff}$  without unfolding correction for the tropics reached a maximum of 2.2 m s<sup>-1</sup> and then decreased toward the poles.  $SD_{diff}$  with unfolding correction for the tropics was much smaller at 0.63 m s<sup>-1</sup>. In the PRF of the low mode (higher PRF),  $SD_{diff}$  without unfolding correction for the tropics reached a maximum of 0.78 m s<sup>-1</sup> and then decreased toward the poles.  $SD_{diff}$  with unfolding correction for the tropics was 0.29 m s<sup>-1</sup>, which is less than half the value without correction. As explained previously,  
285 the latitudinal variation of  $SD_{diff}$  can be attributed to the frequency of precipitation echoes. The zonal mean frequency of

precipitation echoes obtained from the NICAM/J-Sim data was higher in the tropics and decreased toward the poles. Therefore, the latitudinal variation of  $SD_{diff}$  can be explained on the basis of the precipitation echo distribution.

We found that the SD of random error was higher in the tropics than in the other latitudes. In the tropics, the unfolding correction reduced the large SD of random error, more efficiently. However, there is also a limitation of the unfolding correction for discrimination between large upward velocity and large precipitation falling velocity. Comparison of the results of the low-mode and PRF of the high mode (lower PRF) settings showed that the SD of random error for the PRF of the low mode (higher PRF) setting was significantly reduced, although cloud echoes for altitudes higher than 16 km cannot be observed.

削除: Doppler error

削除: Doppler errors

削除: Doppler error

#### *Data availability*

CloudSat CPR data are available from the CloudSat data processing center (<http://www.cloudsat.cira.colostate.edu/>, last access: 12 November 2022). The NICAM/J-Sim data with two orbits is available in the repository (<https://doi.org/10.5281/zenodo.7835229>). We also will give a full data set if you request.

削除: We can share the NICAM/J-Sim data. Please send the email (ws-roh@aori.u-tokyo.ac.jp) if interested.

#### *Author contributions*

Y.H. performed the data analysis and produced the final manuscript draft. Y.O. provided feedback on the analysis methods as well as on the manuscript draft. H.H. developed and maintained the algorithm code and provided feedback on the manuscript draft. W.R. performed the Joint-Simulator simulations and provided feedback on the manuscript draft. M.S. led the NICAM development. T.K. led the Joint-Simulator development and provided feedback on the manuscript draft.

#### *Competing interests*

The authors declare that they have no conflict of interest.

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