

Response to reviews of “Distinct regional meteorological influences on low cloud albedo susceptibility over global marine stratocumulus regions” by J. Zhang and G. Feingold.

We would like to thank Johannes Mülmenstädt and another anonymous reviewer for their encouraging feedback and constructive comments and suggestions on our manuscript, which helped us improve the original manuscript.

Specific responses to each comment are contained below, with the reviewers’ comments provided in **blue** and our responses in **black**. Changes to the manuscript made in response to the reviewer are provided in *red italics*. We have also made unsolicited changes to the manuscript to further polish the writing.

REVIEWER 1 (Johannes Mülmenstädt):

I have reviewed “Distinct regional meteorological influences on low cloud albedo susceptibility over global marine stratocumulus regions” by Zhang and Feingold.

In my opinion, the key advance in this manuscript is a global regime-based understanding of cloud albedo susceptibility to aerosols. We have known for a long time that aerosol–cloud interactions can be very different (even have different sign) depending on regime, but here the authors are drawing on a regime classification that divides clouds up by ACI mechanism: the Twomey-dominated “non-precip brightening” and the rapid adjustment-dominated “precip brightening” and “non-precip darkening”. These regimes were developed in previous work by the authors, both process-understanding work in LES and observational work over one Sc region. What is new is a global perspective that shows that (a) different regimes dominate the ERFaci in different regions (near-shore Sc dominated by Twomey brightening, Sc to ShCu transition dominated by LWP adjustment dimming, and remote oceans dominated by LWP adjustment brightening) and (b) the covariability of meteorological controls in different regions, rather than individual meteorological controls on their own, modulate cloud susceptibility.

These results tie global albedo susceptibility (and thus ERFaci) to fundamental processes in a much more direct way than I have ever seen, bridging the gap between process studies (which are typically highly specific to a particular set of boundary conditions) and global observational studies (which often struggle with establishing causal relationships between aerosol and cloud properties because it is difficult to infer processes from observations). Many communities (including the global ERFaci, geoengineering, and process understanding communities) will take a keen interest. I recommend publication.

We really appreciate these encouraging comments and glad to see that the impacts and implications of our work is being acknowledged and appreciated.

My one minor revision suggestion stems from l. 265, “Our work is highly relevant to assessment of the radiative effect of aerosol-cloud interactions for climate applications.” I wholeheartedly agree with this statement. I think it would help the reader place these results in context if they

(the results, not the reader) were compared to the Bellouin et al. (2020) ERFaci range. More concretely, eqs. (14) and (24) in Bellouin [sticklers might note that (24) is not an equation] lead me to believe that we should be able to compare the occurrence-weighted $F_0 = 32.9 \text{ W m}^{-2} (\ln Nd)^{-1}$ from Fig. 2a in the manuscript to the sum of RFaci and rapid adjustments per $\Delta \ln Nd$ in Bellouin, i.e.,

$$\text{ERFaci}/\Delta \ln N_d = S_{NCN} + \beta_{\ln \mathcal{L} - \ln N_d} S_{\mathcal{L}, NC\mathcal{L}} + \beta_{\ln \mathcal{C} - \ln N_d} S_{\mathcal{C}, NC\mathcal{C}}. \quad (1)$$

These parameters are listed in Table 4 of Bellouin. (Parenthetically, I have plugged the central values from that table into (1) and get approximately $-9 \text{ W m}^{-2} (\ln Nd)^{-1}$. The disagreement in sign is a result of sign convention choices, but the factor 3 disagreement in magnitude puzzles me. I must be missing something obvious; perhaps the Bellouin number is all-sky and the manuscript number is cloudy-sky?)

This is an excellent point, and we thank the reviewer for raising it, as it provides an opportunity to compare with the radiative sensitivity assessments discussed in Bellouin et al. (2020).

First, we think the approach the reviewer took trying to compare Fig. 2a with Bellouin20's number is a great one, and thereby, we adopted it in the revised manuscript.

However, there are 2 factors that we need to consider first in order to make a fair comparison:

- 1) We haven't assessed the cloud fraction adjustments in this study. (LCF adjustments can be very impactful in terms of radiative responses, and we're planning to look at it in the next study). Therefore, when comparing to B20, we do not consider their rapid adjustment in cloud cover term, i.e., we drop the last term in the reviewer's Eqn. 1 (above).
- 2) The occurrence-weighted F_0 we showed in the original Fig. 2a is actually weighted only by the freq of occurrence of individual LWP-Nd bins (i.e., they sum-up to almost 100%). Thus, the frequency of occurrence of these cloudy scenes has not yet been taken into account in these numbers, which is why they differ significantly from B20's assessment.

In order to make a fair comparison, we derived an effective cloud frequency factor, taking into account the spatial covariability between F_0 and the frequency of occurrence of high-fc scenes ($\text{scene}_{\text{freq}}$), which takes the form:

$$\text{freq}_{\text{eff}} = \frac{\langle \text{scene}_{\text{freq}} \times F_0 \rangle}{\langle F_0 \rangle}$$

Then, we scale the original occurrence-weighted F_0 values by this factor, globally and also regionally (separately derived). This puts us within the bounds provided in B20, and in fair agreement with B20 (cooling $\sim 3.8 \text{ W/m}^2/\ln(Nd)$, using their central values), with our Aqua assessment being $\sim 2.0 \text{ W/m}^2/\ln(Nd)$ and Terra assessment being $3.1 \text{ W/m}^2/\ln(Nd)$. The difference likely stems from the focus of our study on marine-only high-fc scenes, whereas B20 integrates evidence from both modeling and satellite studies that cover global warm clouds.

We added a new sub-section (3.3 Scale-up of the F_0 assessment) to the revised manuscript to include this scale-up of our results to compare with Bellouin20.

I am also attaching an annotated copy of the manuscript with a number of minor typo corrections, typesetting pedantry, and suggestions that the authors should feel free to adopt or ignore.

These questions and editorial comments are super helpful and enlightening, as they have encouraged us think deeply about the implications of our results. We have incorporated them into the revised manuscript and responded to them individually (please see the attached annotated copy of the original manuscript with point-to-point responses). Tracked changes between the original manuscript and the revised version can be found in the resubmission package.

REVIEWER 2:

This paper utilizes MODIS cloud observations and environmental variables from ERA-5 to analyze the meteorological influences on low-cloud susceptibility. They find that non-precipitative brightening typically occurs near the coast in primarily stratocumulus regions, precipitative brightening is most frequent over the central oceans, and darkening occurs predominately in the stratocumulus regions of the southeast Pacific and Atlantic (west of the non-precipitative brightening region). Regarding any meteorological influences, they found that the co-variability between the different variables analyzed influences the monthly evolution of albedo susceptibility, and differs depending on region.

Overall, I think this is a well written paper with impactful results, however I do have a few questions (listed below) that I would like answered prior to publication.

We thank the reviewer for these encouraging comments and helpful suggestions.

General Comments/Questions:

Lines 119 – 120: Regarding the precipitation brightening regime shown in Figure 2 and discussed here, “to the left of the 12-15 microns” means all susceptibility values to the left of the 12-micron isoline (not between the 12- and 15-micron isolines)?

We apologize for this confusing statement, which should have been “*...to the left of the 12 micron*”, meaning all susceptibility values to the left of 12-micron isoline. This is corrected in the revised manuscript.

Lines 124 – 126: You discuss how heavy precipitation would reduce cloud water through drop scavenging but state that your focus on high-cloud-fraction scenes does not allow you to analyze this (If I understand correctly). How do you think your results would have changed if you could

have analyzed scenes where scavenging had occurred. Maybe I completely missed this point, but was curious about it.

Thanks for raising this question. We realized our sentences here weren't that clear and might mislead the reader.

Our point here is that heavy precipitating scenes do not represent a true cloud susceptibility to aerosol, and therefore we want to avoid these scenes (i.e., do not want to include them in our analysis). This is mostly ensured by focusing on high-fc scenes only, as heavily precipitating scenes are likely low fc scenes.

Here is our reasoning for this:

- 1) There are likely 3 processes occurring in precipitating stratocumulus scenes, a) precip-suppression (+ve LWP-Nd slope), b) precipitation scavenging (i.e. depleting LWP and Nd at the same time, \rightarrow +ve LWP-Nd slope), and c) collision-coalescence & accretion (i.e. more LWP, less Nd, \rightarrow -ve LWP-Nd slope).
- 2) What we really want to quantify, as susceptibility, is the precip-suppression process, not the other two.
- 3) Focusing on high-fc scenes certainly helped us minimize the likelihood of precip-scavenging, as this usually occurs with heavy precipitation, i.e., low fc; and process c) is certainly not strong enough to change the overall S0 here to -ve (Fig. 2).

Discussion around this is now revised and reads as *"The focus on high-fc scenes removes scenes with heavy precipitation, which is desirable since precipitation represents a cloud/rain effect on aerosol rather than an aerosol effect on cloud albedo."*

Lines 160 – 163 and Figure 3: It looks like precipitation brightening is most frequent over the entire ocean basins other than right near the coast (especially in regions of primarily stratocumulus). Is this what you mean in the sentence starting "The precipitating brightening regime, although occurring over 50% of the time"?

This is exactly the point we want to make.

In order to make this more clear, we rephrased it a bit to now read *"The precipitating brightening regime, although occurring over 50% of the time over most parts of the remote, clean oceans and the equatorial eastern Pacific (Fig. 3c), contributes little to the overall FO (Fig. 4c)..."*

Minor comments:

Lines 30 – 35: "Simulations of marine boundary layer (MBL) clouds," this sentence is a bit clunky (i.e. a bunch of comma splices) which reduces its readability. If you could break it up, that would be appreciated.

Thanks for pointing this out! Indeed, it's a long and hard to follow sentence, which is now broken into 3 sentences in the revised manuscript.

Line 76: What does f_c represent in the radiative susceptibility equation?

Sorry for the confusion; this ' f_c ' is now consistent with the symbol used for cloud fraction which is introduced earlier at the beginning of Section 2, after a typesetting correction. Thanks for pointing this out.

Line 124: "Heavy precipitations deplete" should be "Heavy precipitation depletes"

Thanks, and corrected.

Figure 6: It took me three reads to see the contour labels (i.e. lines of constant F_0) in the left plot of each panel (a-e). This made my interpretation of some of the text (e.g. lines 218 – 219: discussing that the southeast Pacific has the only monthly mean darkening potential) difficult.

Yes, indeed, we realized that these "spider-web" plots can be quite overwhelming when labels are overlaid with actual data curves, therefore we have revised the way we show these plots. We now remove all labels on the plot and have added a legend box to each figure (Figs. 7-9) showing empty "spider-webs" with labels but without any data. We believe these figures are now much easier to read.

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Distinct regional meteorological influences on low cloud albedo susceptibility over global marine stratocumulus regions

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Abstract. Marine stratocumuli cool the Earth effectively due to their high reflectance of incoming solar radiation, and persistent occurrence. The susceptibility of cloud albedo to droplet number concentration perturbations depends strongly on large-scale meteorological conditions. Studies focused on the meteorological dependence of cloud adjustments often overlook the covariability among meteorological factors and their geographical and temporal variability. We use 8 years of satellite observations sorted by day and geographical location to show the global distribution of marine low cloud albedo susceptibility. We find an annual mean cloud brightening potential for most of the regions, more pronounced over subtropical coastal regions. Weak cloud darkening potential in the annual mean is evident over the remote SE Pacific and SE Atlantic. We show that large-scale meteorological fields from the ERA5 reanalysis data, including lower-tropospheric stability, free-tropospheric relative humidity, sea surface temperature, and boundary layer depth, have distinct covariabilities over each of the eastern subtropical ocean basins where marine stratocumulus prevail. This leads to markedly different monthly evolution in albedo susceptibility over each basin. Moreover, we find that basin-specific regional relationships between key meteorological factors and albedo susceptibilities are absent in a global analysis. Our results stress the importance of considering the geographical distinctiveness of temporal meteorological covariability when scaling up the local-to-global response of cloud albedo to aerosol perturbations.

1 Introduction

Marine warm (liquid) clouds cover about one third of the global ocean surface in annual mean (Chen et al., 2014). They prevail over low-latitude to mid-latitude oceans, more pronouncedly over the eastern subtropical oceans where the Earth's major semi-permanent marine stratocumulus decks form (Klein and Hartmann, 1993; Wood, 2012). These bright and blanket-like stratiform clouds reflect a good fraction of the incident solar radiation (ranging from 0.35 to 0.42 in annual mean; Bender et al., 2011) that would otherwise (in the absence of these clouds) be largely absorbed by the dark ocean (~94%), effectively cooling the Earth (e.g. Stephens et al., 2012). For warm clouds exhibiting constant macrophysical properties (e.g., liquid water path (LWP) and cloud cover), their brightness, or cloud albedo (A_c), quantified as the ratio of the reflected shortwave flux to the incoming solar radiation at the top of atmosphere, is particularly sensitive to the droplet concentration (N_d), such that higher N_d accompanied by smaller drops makes the cloud more reflective (cloud brightening; Twomey, 1974, 1977). However, cloud macrophysical properties do change with time as the system evolves, through precipitation, evaporation, and/or entrainment mixing processes



25 (Wood, 2012). Microphysical changes in N_d and droplet sizes induced by aerosol perturbations can substantially modulate the rate and efficiency of these processes and thereby cause further adjustments in macrophysical properties and cloud albedo (e.g. Ackerman et al., 2004; Bretherton et al., 2007; Jiang et al., 2006).

In nature, the responses of cloud macrophysical properties to N_d perturbations are always complicated by the variability driven by local meteorology, and for decades, the stated challenge and focus has been to untangle aerosol effects from covarying meteorological conditions (Stevens and Feingold, 2009). Simulations of marine boundary layer (MBL) clouds, in which meteorology can be easily controlled, indicate a bidirectional LWP adjustment to increasing N_d , such that for precipitating clouds, an increase in N_d induces smaller droplets that suppress condensate removal, eventually leading to an increase in LWP (brighter clouds; Albrecht, 1989), whereas for non-precipitating clouds, the reduced droplet sizes lead to weaker sedimentation fluxes at cloud tops; (Bretherton et al., 2007) and faster evaporation (Wang et al., 2003; Xue and Feingold, 2006), which both cause stronger entrainment mixing that reduce cloud LWP, resulting in less reflective clouds.

Observations of cloud adjustments following anthropogenic aerosol perturbations confirm the bidirectional LWP responses (e.g. Chen et al., 2012; Trofimov et al., 2020), while the aggregated response remains uncertain (Malavelle et al., 2017; Toll et al., 2019; Christensen et al., 2022). This means that cloud LWP responses to increased N_d can either enhance or offset the microphysical brightening depending on the meteorological conditions. Progress has been made over the years towards establishing fundamental knowledge of the environmental state/regime dependence of cloud adjustments to aerosol perturbations. For inversion-capped MBL clouds, the budget of cloud condensate is regulated mainly by entrainment drying at cloud tops and the fraction of precipitation that reaches the surface, which are strongly dependent on the humidity in the free-troposphere and the lower-tropospheric stability (Ackerman et al., 2004; Chen et al., 2014; Gryspeerd et al., 2019). In part related to the atmospheric stability, clouds exhibit a much more negative LWP response to increased N_d in deep MBLs than those that reside in shallower MBLs (e.g. Possner et al., 2020; Toll et al., 2019). Furthermore, Dagan et al. (2015) show that the direction in which cloud condensate responds to an increase in aerosol depends on an optimal aerosol concentration which is determined by thermodynamic conditions (e.g., temperature and humidity). Wood (2007) shows that cloud-base height is the single most important determinant of whether cloud thickness changes will enhance or offset the Twomey brightening.

Clearly, the spatiotemporally scaling up (e.g. local-to-global and/or transient-to-climatology) of cloud albedo responses to aerosol perturbations depends crucially on the frequency of occurrence of the environmental states that characterize cloud adjustments. However, the spatiotemporal distribution of the covariability between meteorological and aerosol conditions is understudied and often ignored in “untangling” studies. Mülmenstädt and Feingold (2018) state the need for a shift in attention from untangling aerosol effects from covarying meteorology towards embracing and understanding the covariabilities between them. The focus of this study is exactly on this point.

Using 8 years of satellite observations and the ERA5 reanalysis dataset (introduced in Section 2), we characterize the geographical distribution of marine warm cloud albedo susceptibility over global oceans from 60° S to 60° N (Section 3). We show that similar free-tropospheric and boundary layer conditions lead to different albedo susceptibilities in different stratocumulus basins (Section 4), attributed to the distinct temporal covariabilities among large-scale meteorological conditions (Section 5.1). We find distinct monthly evolutions of albedo susceptibility in different stratocumulus basins, covarying with



60 each basin's low cloud frequency of occurrence and aerosol conditions (Section 5.2). We conclude that a frequency-weighted global aggregation of albedo-susceptibility-meteorology relationships obscures regionally distinct features and thus provides a biased view on the environmental dependence of albedo susceptibility.

2 Data and Methods

We obtain coincident marine low-cloud properties, including cloud optical depth (τ), cloud top effective radius (r_e), low-cloud
65 fraction (f_c), cloud LWP, cloud top height (CTH), and top-of-atmosphere (TOA) shortwave (SW) fluxes from the MODerate resolution Imaging Spectroradiometer (MODIS) (Platnick et al., 2003) and the Clouds and the Earth's Radiant Energy Systems (CERES; Wielicki et al., 1996) sensors onboard the Terra and Aqua satellite (overpass $\sim 10:30$ and $\sim 13:30$ local time, respectively), which are integrated into the CERES Single Scanner Footprint (SSF) product Edition 4 (level 2) with a footprint resolution of 20 km (Su et al., 2015). N_d is calculated following Zhang et al. (2022) for all CERES footprints with cloud
70 effective temperature greater than 273 K, CTH less than 3 km, $\tau > 3$, $r_e > 3 \mu\text{m}$, solar zenith angle $< 65^\circ$, and $f_c > 0.8$, in order to minimize retrieval biases (Grosvenor et al., 2018; Painemal et al., 2013; Grosvenor and Wood, 2014). Footprint cloud properties are aggregated to 1° spatial resolution, using only above mentioned cloudy footprints where N_d is retrieved, to match susceptibilities calculated for individual $1^\circ \times 1^\circ$ satellite snapshots. At this scale, the confounding effect of meteorology on footprint (i.e. sub- 1°) cloud properties is negligible (Goren and Rosenfeld, 2012, 2014). Therefore, linear least-squares log-log
75 regressions of footprint properties are used to calculate albedo susceptibility $S_0 = d \ln(A_c) / d \ln(N_d)$ and radiative susceptibility $T_0 = d(A_c) / d \ln(N_d) \times f_c \times SW_{dn}$; for both metrics, positive values indicate more reflected sunlight, thereby cooling, following Zhang et al. (2022). Note that in calculating S_0 we do not stratify by LWP, which differentiates our method from that of Painemal (2018) and Rosenfeld et al. (2019). The logarithmic transformation alleviates the dependence of S_0 on the absolute value of N_d , minimizing the impact of the remaining N_d retrieval biases (e.g. due to the adiabatic assumption).

80 Meteorological conditions, including sea surface temperature (SST), lower tropospheric temperature, humidity, and wind profiles, are obtained from the European Centre for Medium-Range Weather Forecasts (ECMWF) fifth-generation atmospheric reanalysis (ERA5; Hersbach et al., 2020), and interpolated and aggregated to the Terra and Aqua overpass times at 1° spatial resolution. Lower-tropospheric-stability (LTS) is calculated as the difference in potential temperature between 700 hPa and 1000 hPa. Free-tropospheric relative humidity (RH_{ft}) is defined as the the mean relative humidity between inversion top and
85 700 hPa, following Eastman and Wood (2018).

The datasets span 60° S to 60° N, covering global oceans, from 2005 to 2012 (8 years). We screen for cloudy satellite scenes over open water when only single layer liquid cloud (SLLC) is present. Aqua and Terra observations are analyzed separately, instead of collectively, in order to assess robustness of our findings (qualitatively); and to explore the role of the diurnal cycle (quantitatively). Analyses using the Aqua observations are shown in the main text, and those using the Terra observations are
90 shown in the supplementary material, given the similarity between Aqua and Terra results. Regional annual maxima in SLLC fractional coverage and frequency of occurrence are used to identify 5 major marine stratus/stratocumulus regions ($20^\circ \times 20^\circ$, Fig. S1, magenta boxes).

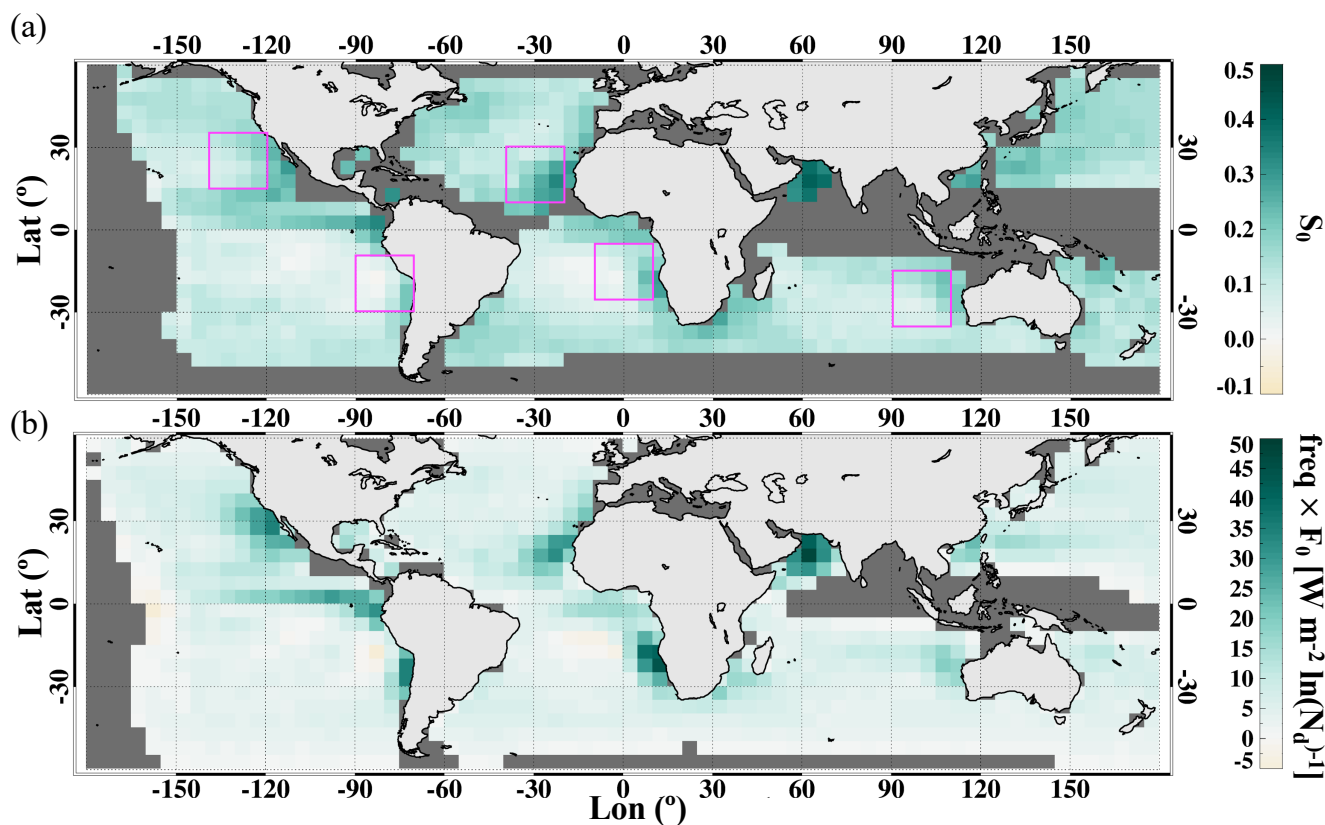


Figure 1. Geographical distribution of marine low-cloud (a) albedo susceptibility (S_0) and (b) the product of radiative susceptibility (F_0) and annual frequency of occurrence of single layer liquid cloud (SLLC). Spatial-temporal averages of $5^\circ \times 5^\circ$ areas are shown. Only areas with SLLC frequency of occurrence greater than 0.1 are shown in (a). Magenta boxes in (a) indicate five $20^\circ \times 20^\circ$ marine stratocumulus regions analyzed further in this study

3 Global distribution of marine low cloud albedo susceptibility

3.1 Annual mean

95 The climatology of geographical distribution of marine low-cloud S_0 (Fig. 1a) is represented by an aggregation of suscep-
 100 tibilities derived from individual satellite snapshots over the 8-year period, taking into account the frequency of occurrence
 of different cloudy scenes and meteorological regimes. It is clear that over most parts of the global ocean (60°S to 60°N),
 low clouds have a brightening potential (positive S_0) in the annual mean, more pronouncedly off the coast of continental land
 masses where N_d is climatologically higher (Fig. S2) and the MBL is shallower (Fig. S3), compared to those over remote
 oceans. Only over the remote subtropical southeast Pacific/Atlantic regions, do the data show weak darkening potential (nega-



five S_0) in the annual mean. The darkening potential means that the brightening of the clouds via the Twomey effect – i.e. more particles lead to more droplets and brighter clouds – is more than compensated by liquid water losses.

One can then translate the S_0 map into an annual flux perturbation potential map (Fig. 1b), which highlights the high annual cooling potential over subtropical stratocumulus regions even more, by taking into account the cloud fraction and frequency and amount of incoming solar radiation at a given geographical location. In the remote parts of the subtropical stratocumulus decks, warmer SSTs deepen the MBL and encourage entrainment of free-tropospheric air at cloud tops (Fig. S3 and Bretherton, 1992; Wyant et al., 1997), favoring entrainment-feedback-driven LWP decreases with increasing N_d (Bretherton et al., 2007; Wang et al., 2003). The location where this MBL condition prevails is consistent with the location where we observe cloud darkening potential offsetting the Twomey brightening potential in the annual mean, resulting in a net warming potential over the southeast Pacific/Atlantic (Fig. 1b).

3.2 Brightening versus darkening regimes

The LWP– N_d variable space has been shown as a useful framework to infer process-level understanding of aerosol-cloud interactions, using satellite observations (e.g. Zhang et al., 2022) or cloud-resolving simulation outputs (e.g. Glassmeier et al., 2019; Hoffmann et al., 2020). Here we show S_0 in the LWP– N_d variable space for the five major stratocumulus regions (Fig. 2), similar to Fig. 3 in Zhang et al. (2022), where 3 clearly separated susceptibility regimes are evident. Note although S_0 is shown for each LWP– N_d bin, the calculation of S_0 applied to individual Terra/Aqua snapshots is not stratified by LWP (see details in Section 2), and thereby, both LWP adjustments and the Twomey effect contribute to these albedo susceptibilities at different LWP– N_d states. The 3 regimes are:

1. *Precipitating brightening*. This regime consists of clouds with larger droplets (i.e. high cloud liquid water but low droplet number; to the left of the 12–15 μm isolines) that are likely to precipitate (Gerber, 1996; vanZanten et al., 2005). A positive susceptibility (brighter clouds with higher N_d) is consistent with the Twomey effect (microphysical adjustment; Twomey, 1974, 1977) and the precipitation-suppression induced lifetime effect (macrophysical adjustment; Albrecht, 1989). Both effects contribute to the cloud brightening potential of this regime, and we do not attempt to separate them, as “untangling” is not the goal of this study. Heavy precipitations deplete cloud liquid water through removing cloud droplets – a positive relationship between LWP and N_d , however, the focus on high-cloud-fraction scenes precludes the role of precipitation-scavenging in driving the observed positive susceptibility in this regime.
2. *Darkening*. For clouds that are not heavily precipitating, cloud top entrainment drives a negative tendency in cloud LWP that can overcome the positive tendencies driven by LW cooling and surface fluxes and lead to cloud thinning and/or breakup (Hoffmann et al., 2020). A decrease in r_e and increase in N_d (assuming constant LWP when clouds respond microphysically to aerosol perturbations) lead to an increase in the overall droplet surface area, enhancing droplet evaporation; meanwhile, sedimentation fluxes at cloud tops reduce with smaller droplets. Enhanced evaporation and reduced sedimentation at cloud tops cause stronger entrainment mixing which further enhances evaporation and reduces sedimentation, creating positive feedback loops, termed as the entrainment-evaporation feedback (EEF; Wang et al., 2003; Xue

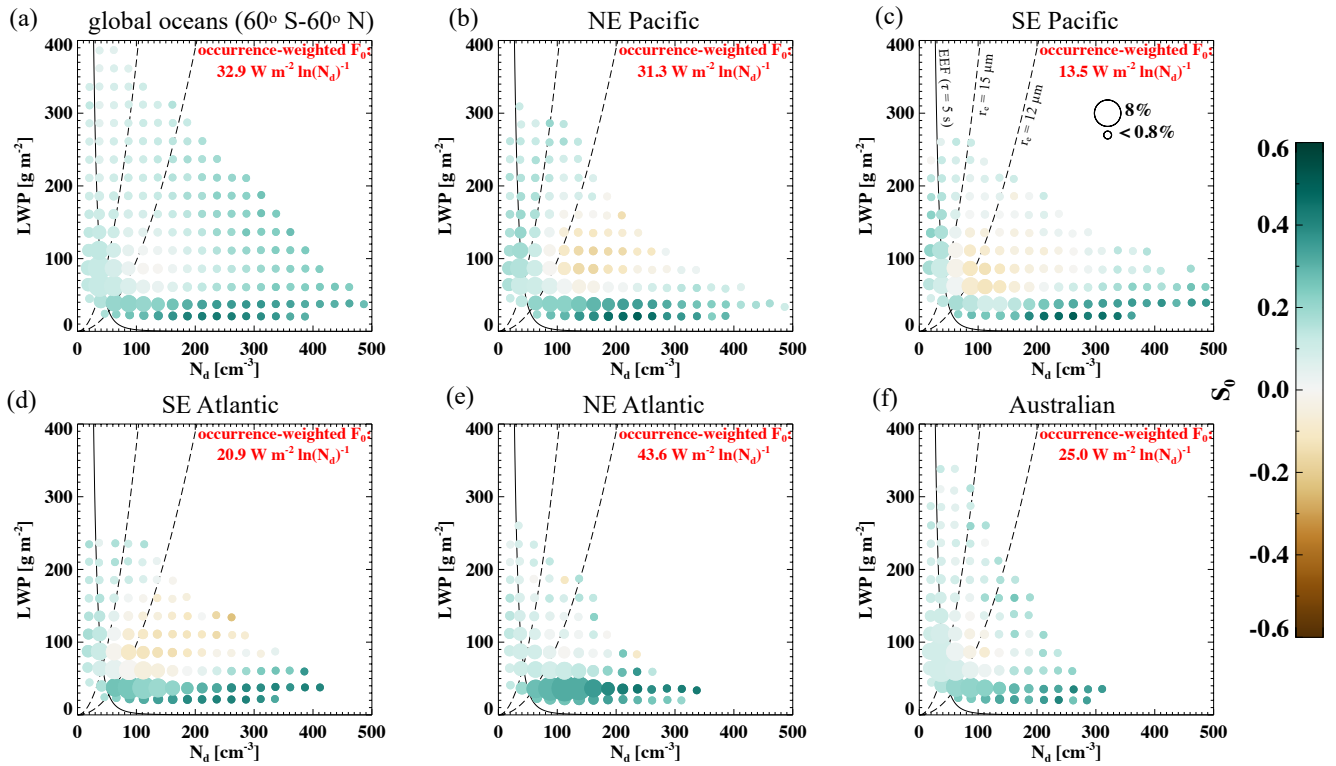


Figure 2. Cloud albedo susceptibility (S_0 , colored filled circles) in LWP– N_d variable space, as bin means (bin size of 25 g m^{-2} and 25 cm^{-3}), for (a) global oceans ($60^\circ \text{ S} - 60^\circ \text{ N}$), and $20^\circ \times 20^\circ$ boxes over (b) NE Pacific, (c) SE Pacific, (d) SE Atlantic, (e) NE Atlantic, and (f) Australian stratocumulus regions. The size of the circles indicates the frequency of occurrence of a LWP– N_d bin (reference circle sizes with corresponding occurrence are indicated on panel (c)). Bins with less than 0.01% frequency of occurrence (or less than 25 samples) are not shown. Isolines of evaporation–entrainment feedback (EEF; phase relaxation timescale of 5 s) and isolines of r_e of 12 and $15 \mu\text{m}$ based on an adiabatic condensation rate of $2.14 \times 10^6 \text{ kg m}^{-4}$ (black dashed; commonly used measures of precipitation) are indicated on panel (c). Mean radiative susceptibility (F_0) weighted by the frequency of occurrence of each LWP– N_d bin is printed in red (named occurrence-weighted F_0).



135 and Feingold, 2006) and the sedimentation-entrainment feedback (SEF; Bretherton et al., 2007), respectively. Moreover, SW heating during daytime, which can also be enhanced by increasing N_d and decreasing r_e , contribute to cloud thinning and/or breakup as well (Petters et al., 2012). The negative susceptibilities (darker clouds with higher N_d), evident in 4 of the 5 stratocumulus regions for thicker clouds ($LWP > 50 \text{ g m}^{-2}$) with higher droplet number concentration ($N_d > 50 \text{ cm}^{-3}$) (Fig. 2b-d, f), are an indication that the Twomey effect is more than compensated by these cloud thinning processes that can be enhanced by a reduction in droplet sizes. Here we exclude the possibility of aerosol direct and semi-direct effects driving the cloud darkening, as the S_0 shown in this study is calculated at 1° resolution, a scale at which we expect overlying absorbing aerosols to be spatially homogeneous.

140 3. *Non-precipitating brightening.* For thinner non-precipitating clouds ($LWP < 50 \text{ g m}^{-2}$), cloud-top entrainment efficiency is much reduced, compared to thicker clouds (Hoffmann et al., 2020), and LW cooling surpasses SW heating at cloud tops (Petters et al., 2012), making it easy for the Twomey effect to overcome the cloud thinning processes and dominate the A_c response.

150 Although different stratocumulus basins have different cloud state distributions in the $LWP-N_d$ variable space, the non-precipitating brightening and the precipitating brightening regimes remain rather persistent – that is cloud states to the left of the 12-15 μm isolines and cloud states with $LWP < 50 \text{ g m}^{-2}$, respectively. In contrast, cloud states associated with a darkening potential vary from basin to basin, from almost absent over the NE Atlantic (occurring $\sim 2\%$ of the time) to occurring $\sim 32\%$ of the time over the SE Atlantic and $\sim 34\%$ over the SE Pacific (Fig. 2). This sensitivity of the darkening regime to ocean basin (discussed further in the following sections) is consistent with a dependence of LWP adjustment to meteorological conditions (e.g. Zhang et al., 2022; Gryspeerd et al., 2019; Possner et al., 2020). When all marine low clouds are combined in the $LWP-N_d$ space (Fig. 2a), one may conclude a predominant cloud brightening potential and a lack of cloud darkening potential, which is not the case for 3 of the Earth’s major semi-permanent stratocumulus decks (Fig. 2b-d).

155 To learn how these susceptibility regimes constitute the overall albedo susceptibility of each geographical location, we quantify the frequency of occurrence (Fig. 3) of each susceptibility regime and their contributions to the overall F_0 (Fig. 4) for $5^\circ \times 5^\circ$ oceanic areas globally, based on the sign of S_0 and an r_e of 12 μm (above which clouds are more likely to drizzle) as manifested in the $LWP-N_d$ variable space. Clearly, the three susceptibility regimes have distinct geographical preferences (Fig. 3 and 4). The non-precipitating brightening regime occurs the most frequently over the shallow, often polluted, stratus/stratocumulus off the coast of continents and tends to dominate the F_0 therein (Fig. 3a and 4a). The precipitating brightening regime, although occurring over 50% of the time over most parts of the remote, clean oceans and the equatorial eastern Pacific (Fig. 3c), contributes to the overall F_0 in a limited way (Fig. 4c), due to the low areal coverage of these often precipitating clouds (disorganized or open-cellular form). In between the geographical preferences of the above two regimes lies the region where the darkening regime (mostly non-raining) becomes the leading contributor to the overall F_0 , especially over the SE Pacific and Atlantic (Fig. 3b and 4b), where net warming potentials are observed (Fig. 1).

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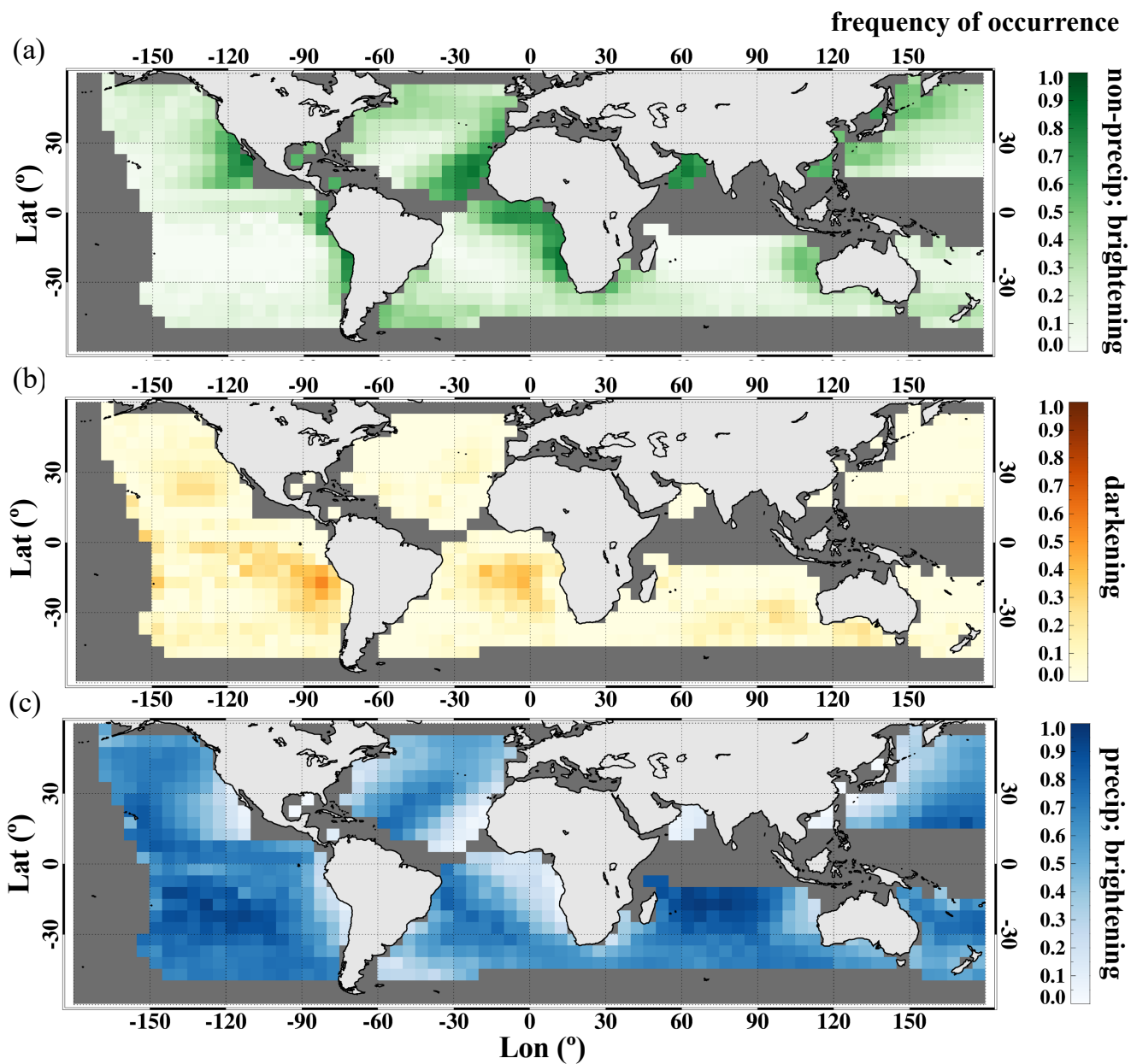


Figure 3. Geographical distribution of the frequency of occurrence of the 3 susceptibility regimes: (a) non-precipitating brightening, (b) darkening, and (c) precipitating brightening. The 3 regimes are separated based on the sign of S_0 and a r_e of $12 \mu\text{m}$ in the LWP- N_d variable space, for $5^\circ \times 5^\circ$ areas, similarly to Zhang et al. (2022). Only areas with SLLC frequency of occurrence greater than 0.1 are shown.

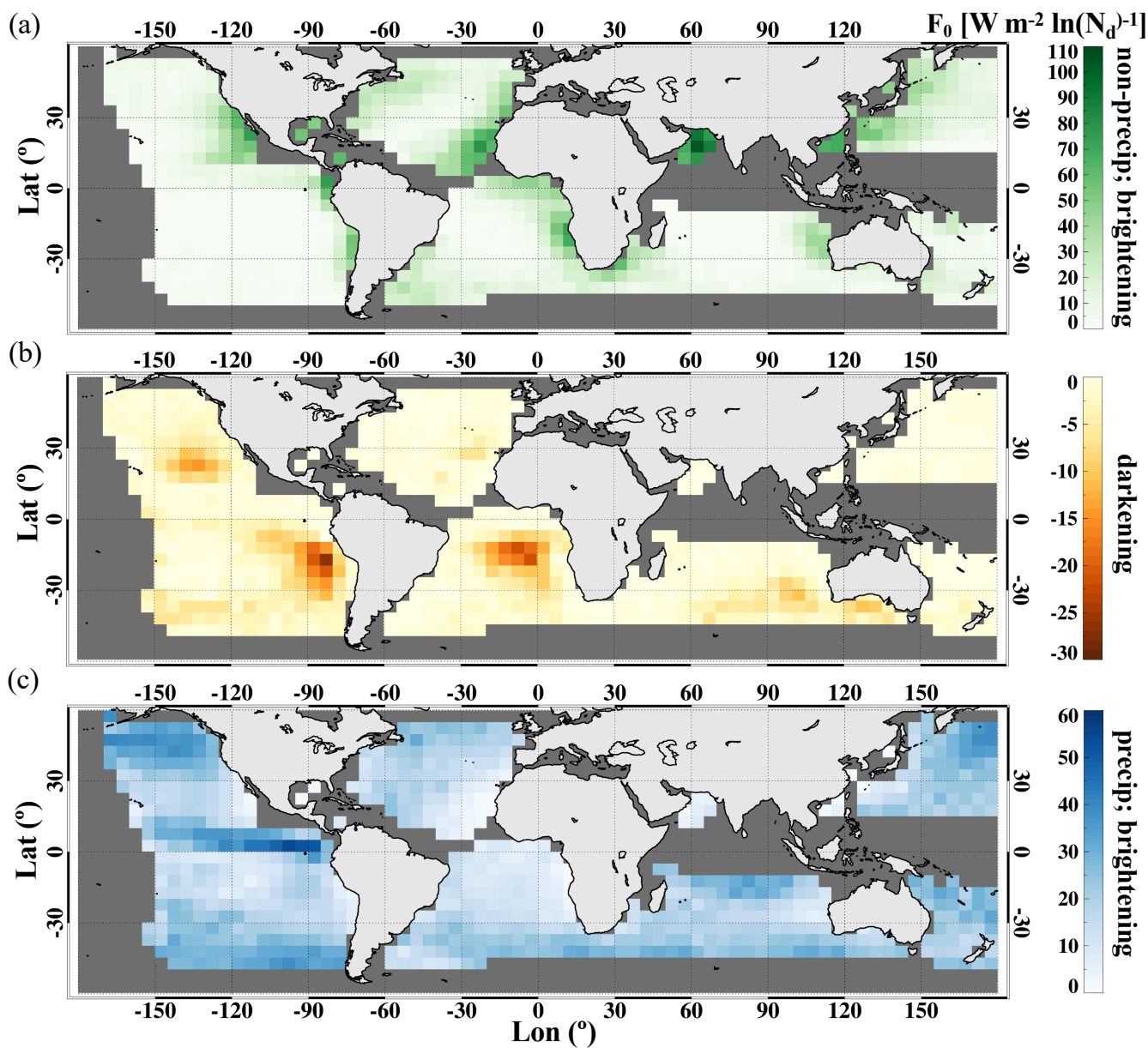


Figure 4. As in Fig. 3, but showing radiative susceptibility (F_0).

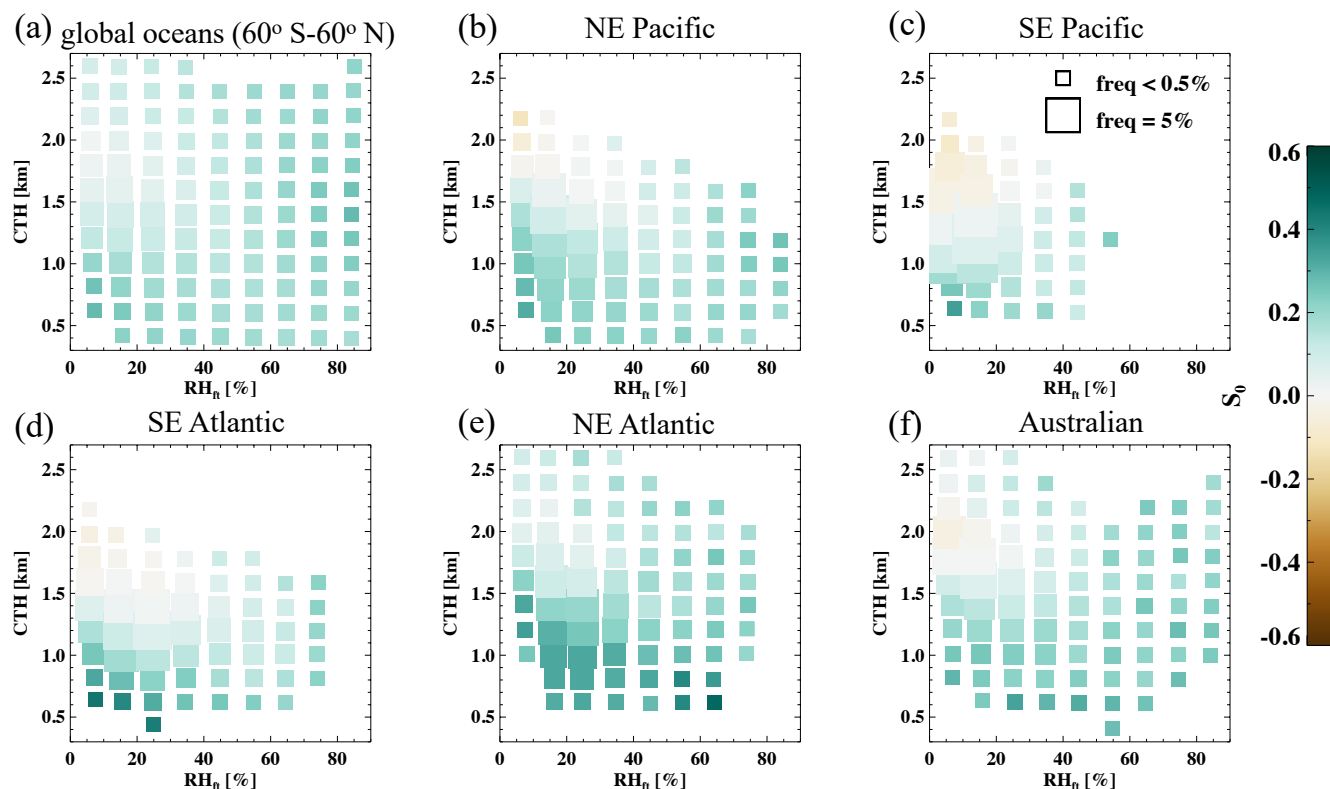


Figure 5. Mean S_0 under different meteorological conditions, namely free-tropospheric relative humidity (RH_{ft} ; x-axis) and cloud top height (CTH; y-axis; a proxy for the marine boundary layer depth), for (a) global oceans (60° S - 60° N), (b) NE Pacific, (c) SE Pacific, (d) SE Atlantic, (e) NE Atlantic, and (f) Australian stratocumulus regions. Bin sizes for CTH and RH_{ft} are 0.2 km and 10%, respectively. The size of the square indicates the frequency of occurrence of a meteorological state. Bins with less than 0.1% frequency of occurrence (or less than 100 samples) are not shown.

4 Distinct distributions of S_0 in 2-variable meteorological space at regional scale

Local adjustments of low clouds to aerosol perturbations are strongly dependent on the depth of the stratocumulus-topped MBL (approximated by CTH; e.g. Possner et al., 2020; Toll et al., 2019), which is often capped by a strong inversion, and RH_{ft} (e.g. Chen et al., 2014; Gryspeerd et al., 2019). Figure 5 shows S_0 under different MBL and free-troposphere (FT) states, as a function of CTH and RH_{ft} . Globally (60° S - 60° N), positive S_0 is found everywhere across the two meteorological state-spaces, with less susceptible conditions occurring under drier FT and intermediate MBL depth (~ 1.5 km; Fig. 5a). This is consistent with the SW heating and the entrainment feedback arguments (Section 3.2-2) that reduced droplet sizes lead to stronger SW heating and entrainment mixing at cloud tops (Petters et al., 2012; Bretherton et al., 2007; Xue and Feingold, 2006), which is further facilitated by the deeper MBL and the drier air above cloud tops. As clouds become even deeper (> 2 km), the likelihood of precipitation increases and the cloud brightening potential overwhelms the darkening potential (Fig. 5).



When the stratocumulus regime is singled out (Fig. 5b-f), the S_0 distribution in the two meteorological states is in qualitative agreement with the global analysis, however, cloud darkening (negative S_0) appears under the deep-MBL, dry-FT atmospheric states, more pronouncedly over the southeast Pacific stratocumulus deck (Fig. 5c), while weak brightening potential is observed under those conditions over the NE Atlantic (Fig. 5e). This is likely because clouds over the NE Atlantic precipitate more often than those over the SE Pacific, under these MBL and FT conditions (discussed further in Section 5).

Distinct “fingerprints” of S_0 , characterized by the sign of S_0 (indicated by the colors) and the frequency of occurrence of meteorological states (indicated by the square sizes), in the CTH– RH_{ft} variable space are evident, when individual basins are being compared (Fig. 5). This manifests in two ways; first, the frequency of occurrence of the FT and MBL conditions varies from basin to basin. For example, deep MBL (>2 km) or humid FT conditions rarely occur under the large-scale subsidence-dominated regions (Fig. 5b-d), compared to the NE Atlantic or the Australian basins (Fig. 5e-f). Second, different S_0 , at least in magnitude, and in some cases even in sign, are observed across basins. This suggests cloud states (defined in LWP, N_d space) are not necessarily the same for the same MBL and FT states, implying that other meteorological factors co-evolve with MBL and FT states differently from region to region, leaving distinct imprints on S_0 . These distinct regional “fingerprints” of S_0 -meteorology relationships are **lost in the global analysis** (Fig. 5a) due to the merging of different cloud/meteorology regimes besides MBL depth and RH_{ft} .

5 Monthly covariabilities: Meteorology, albedo susceptibility, and aerosol conditions

5.1 Meteorological covariability

Four key large-scale meteorological factors evolve and co-vary distinctly across basins (Fig. 6, right column), leading to markedly different monthly evolution in F_0 (Fig. 6, left column). Even among regions strongly influenced by large-scale subsidence (Fig. 6a-c), large-scale meteorological conditions vary in magnitude and do not covary the same way temporally (e.g. RH_{ft} tracks SST except over the SE Atlantic, LTS anti-correlates with SST except over the NE Pacific). As a result of the complex and distinct regional covariability in meteorological conditions, the temporal rise and fall of a single meteorological factor leads to markedly different responses in F_0 across basins. For instance, when LTS peaks over the Australian stratus region, F_0 is at its annual maximum (Fig. 6e, January). In contrast, over the SE Atlantic, the peak LTS season (September-October) corresponds to less susceptible conditions, whereas the most susceptible clouds of this region are found during a transition of large-scale conditions (i.e. SST decreases and LTS increases, June-July, Fig. 6c), during which the non-precipitating brightening regime occurs the most frequently (Fig. 7c). Taking CTH as another example, high CTHs (deep MBLs) lead to strong precipitating brightening over the NE Atlantic, whereas deep MBLs over the SE Pacific show very weak brightening potentials, due to high stability and dry FT conditions, in striking contrast to the NE Atlantic (Fig. 6b and d). In other words, when F_0 or S_0 peaks, this is associated with different combinations of large-scale meteorological conditions in the different basins. Although certain environmental conditions are known to favor susceptible clouds, e.g. a humid free-troposphere and/or strong LTS (Chen et al., 2014), one may not be able to find susceptible clouds under such conditions over some regions due to these regionally distinct meteorological covariabilities.

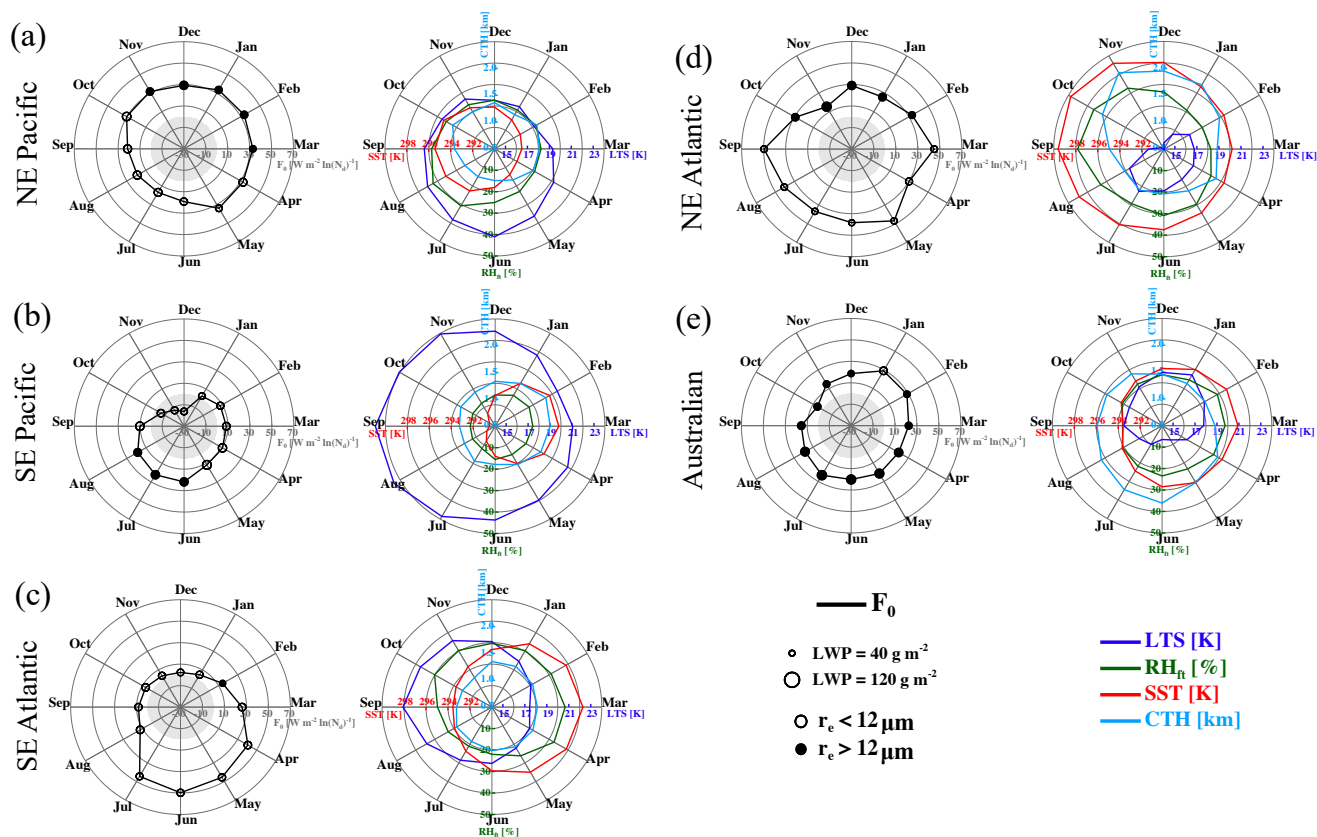


Figure 6. Left column: Monthly mean radiative susceptibility (F_0 ; black; positive values indicate cooling). Size of the circle indicates monthly mean LWP. Open (closed) circles indicate likely precipitating (non-precipitating) condition, based on $r_e = 12 \mu\text{m}$. Right column: Monthly mean meteorological conditions: LTS (blue), RH_{ft} (dark green), SST (red), and CTH (cyan). Rows (a–e) represent results for the NE Pacific, SE Pacific, SE Atlantic, NE Atlantic, and the Australian stratocumulus regions, respectively.

The covariability among large-scale meteorological factors over the SE Atlantic follows that over the SE Pacific, although the ocean surface is warmer, LTS is weaker, and the FT is moister in general over the SE Atlantic (Fig. 6c). This leads to qualitatively similar F_0 evolutions between the two basins; i.e., high F_0 during austral winter and low F_0 during austral summer. An exception occurs during late fall to winter (June–July), when precipitating clouds over the SE Pacific exhibit relatively weak positive F_0 whereas non-precipitating high N_d clouds occur and exhibit strong F_0 over the SE Atlantic. This difference can be attributed to an aerosol source that is unique to the SE Atlantic basin, in the form of a large amount of biomass burning aerosol that is advected by the co-occurring African Easterly Jet in the FT during the southern African burning season (June–October; Adebisi and Zuidema, 2016). The elevated aerosol is likely to be entrained into the MBL during June–July when the FT jet is not yet at its full strength (Zhang and Zuidema, 2021).

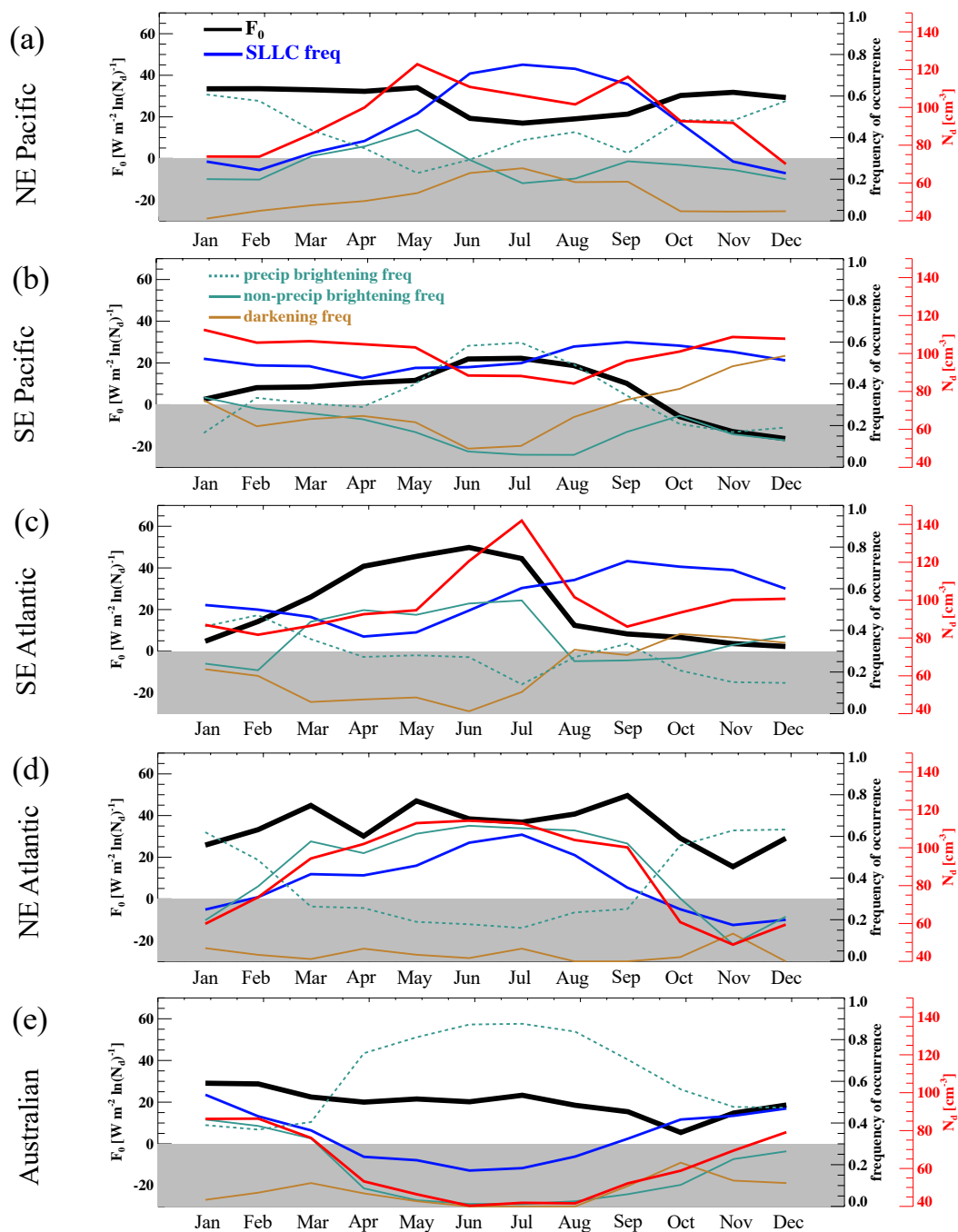


Figure 7. Monthly mean radiative susceptibility (F_0 ; black), frequency of occurrence of SLIC (blue), monthly mean N_d (red), and the frequency of occurrence of the 3 susceptibility regimes: non-precipitating brightening (solid green), darkening (brown), and precipitating brightening (dotted green). Rows (a–e) represent results for the NE Pacific, SE Pacific, SE Atlantic, NE Atlantic, and the Australian stratocumulus regions, respectively.



Among five subtropical stratocumulus/stratus regions, the SE Pacific hosts the least susceptible conditions overall and is the only basin with monthly mean cloud darkening potential (Fig. 6b). This is consistent with the extremely dry free-tropospheric conditions observed therein, under which entrainment mixing at cloud tops can be extremely effective in reducing cloud LWP (thinning the clouds), and even more so when droplet sizes are reduced due to increasing aerosol. Low clouds over the NE Atlantic indicate the highest cloud brightening potential among the five regions, especially during March-September when the MBL is shallow and FT is relatively moist, giving rise to thin, non-precipitating clouds with low LWP, relatively high N_d , and the lowest frequency of occurrence of the darkening regime (Fig. 6d and 7d). During October-February over the NE Atlantic, when CTH is high (deep MBL), clouds precipitate often, leading to a frequently occurring precipitating brightening regime (Fig. 7d). Given the deep MBLs, precipitating conditions occur fairly frequently over the Australian stratus region almost throughout the year, except for the January-March period when increasing LTS leads to lower LWP and shallower MBL (Fig. 6e). As a result, the precipitating brightening regime dominates almost all-year-round, with the non-precipitating brightening regime contributing only during austral summer (December-March) (Fig. 7e).

5.2 Albedo susceptibility and aerosol covariability

Albedo susceptibility, cloud frequency and areal coverage, and aerosol conditions (indicated by N_d) collectively determine the SW flux budget at TOA in response to an aerosol perturbation. The temporal covariability among these variables can lead to a muted SW flux perturbation even when highly susceptible clouds occur (black curve), due to a coinciding low frequency of cloud occurrence (blue curve) and/or high aerosol conditions (red curve; Fig. 7). For example, F_0 peaks when N_d reaches its annual maximum over the SE and the NE Atlantic (Fig. 7c and d), and low cloud frequency of occurrence peaks when the clouds are the least susceptible over the NE Pacific (Fig. 7a). This stresses the necessity of taking such temporal covariability into account when assessing the climatological radiative effect of aerosol-cloud interactions.

$$\Delta SW_{TOA} = F_0 \times \overline{SLLC_{freq}} \times \frac{\Delta N_d}{N_d} \quad (1)$$

$$\Delta SW_{TOA}^* = \overline{F_0} \times \overline{SLLC_{freq}} \times \frac{\Delta N_d}{N_d} \quad (2)$$

Furthermore, not only do various spatial-temporal averages applied in satellite-based approaches lead to biased susceptibilities (Feingold et al., 2022), temporal covariabilities among multiplicands (F_0 , cloud frequency, and aerosol condition) also bias their product (TOA SW flux perturbation) if temporal averages are applied to multiplicands before multiplication (Eqn. 2), compared to multiplication before averaging (Eqn. 1) (Fig. 8). Biases associated with individual stratocumulus regions vary in sign and magnitude, indicating that these quantities do not necessarily co-vary the same way temporally across basins (e.g., the NE versus SE Pacific).

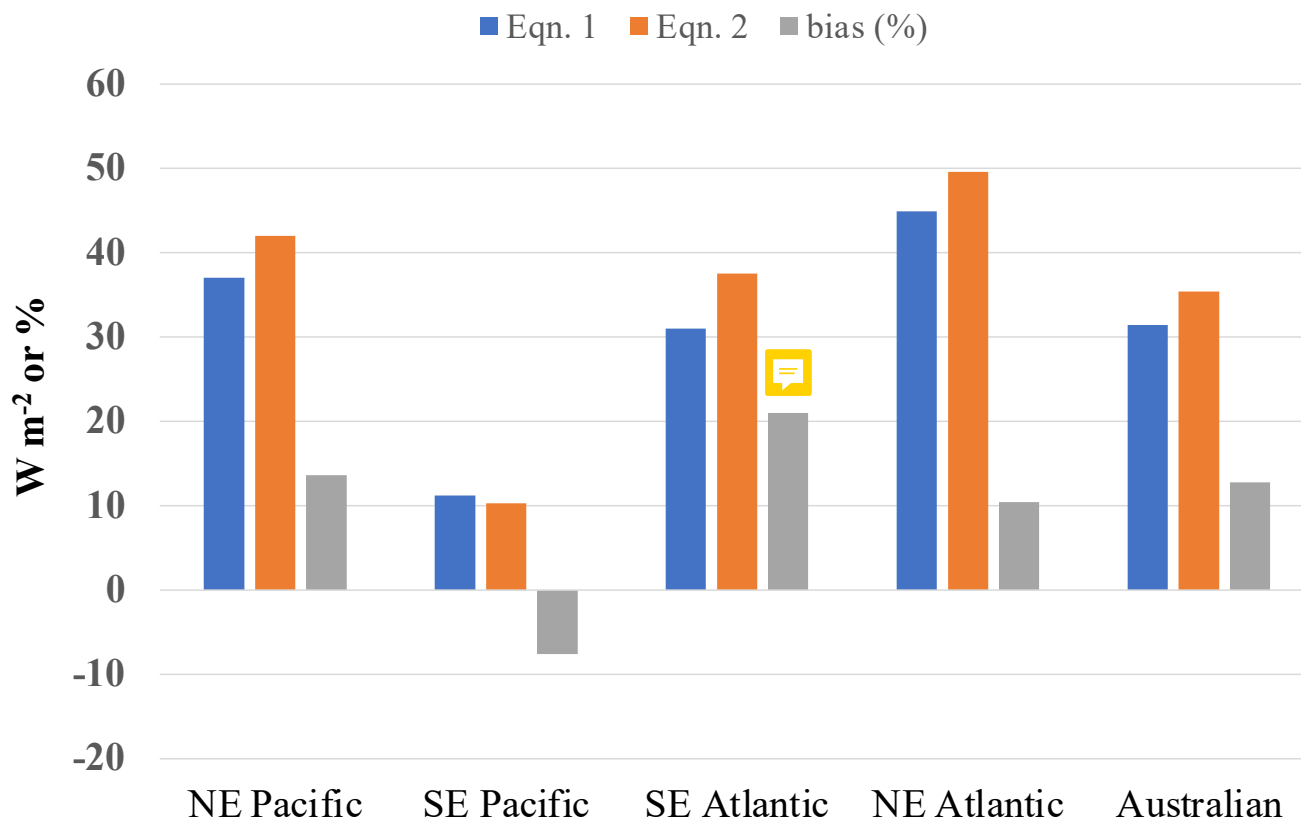


Figure 8. Integrated annual-mean regional TOA SW flux perturbation in W m^{-2} (assuming a $300 \text{ cm}^{-3} \Delta N_d$) based on Eqn. 1 (blue) and Eqn. 2 (orange), as well as percentage biases between the two ($(\text{Eqn. 2} - \text{Eqn. 1})/\text{Eqn. 1}$; gray). Monthly mean values from Fig. 6 in the main text are used. Overbars in the equations indicate temporal average.

6 Discussion and implications

S_0 and F_0 , including the 3 susceptibility regimes, derived from the morning observations (Terra; Fig. S4-S6) have very similar geographical distributions as those observed in the afternoon (Aqua; Fig. 1-4), except for the remote part of the subtropical stratocumulus deck over the SE Pacific, where the morning observation indicates a notable brightening potential (Fig. S4b) whereas the afternoon observations indicate weak darkening potentials (Fig. 1b). This is likely because clouds precipitate more readily at this location during the Terra observing time, i.e., there is a rather strong precipitating brightening potential that is absent in the Aqua analysis (Fig. S6c and Fig. 4c). This interesting difference between Aqua and Terra analyses points to another layer of complexity in characterizing and quantifying albedo susceptibility – that is the role of the low cloud diurnal cycle. Moreover, Terra observations indicate a slightly higher global mean S_0 of 0.14, compared to 0.13 for Aqua observations. While the qualitative distributions of S_0 in the CTH–RH_{ft} state space remain the same, regardless of the observing time,



the morning observations (Fig. S7) do indicate a general shift towards $S_0 > 0$, which replaces the cloud darkening potentials related to the deep-MBL dry-FT conditions (Fig. 5) with a weak brightening potential. This, again, stresses the importance of cloud diurnal evolution for S_0 in that the same meteorological conditions may lead to opposing susceptibility regimes (i.e., brightening versus darkening) depending on the time of the day. Except for generally higher cloud LWP and higher F_0 , the characterized meteorological covariabilities and the covariability among N_d , SLLC frequency of occurrence, and F_0 for each stratocumulus basin using the Terra observations (Fig. S8-9) agree well with those using the Aqua observations (Fig. 6-7). Although the seasonal trend in F_0 is the same between Aqua and Terra observations, the role of the diurnal cycle is manifested in the timing when monthly F_0 peaks (e.g. a lag of a month over the NE Pacific and the SE Atlantic; Fig. S9 and Fig. 7).

Our work is highly relevant to assessment of the radiative effect of aerosol-cloud interactions for climate applications. In addition, our findings have direct implications for marine cloud brightening (MCB), which has been proposed as a way to mitigate the worst effects of the ongoing global warming crisis by creating more reflective (in the SW) MBL clouds, ideally with expanded areal coverage and prolonged lifetime, through deliberate aerosol injections (Latham et al., 2012). The bright, linear cloud features seen in satellite images, referred to as ship tracks (Coakley et al., 1987), are examples of ideal outcomes of an MCB experiment, and the conditionality of such an outcome on meteorological conditions is one of the key issues underpinning the viability of MCB. This study underscores two key points for the MCB community: 1) understanding or evaluating the impact of meteorology on cloud albedo susceptibility needs to be done at local/regional scales, where meteorological covariability is accounted for; 2) When scaling up the flux perturbation, it is crucial to consider the natural covariability between meteorology and aerosol, to which cloud responses to aerosol perturbation are sensitive. The latter point stresses the importance of shifting our attention from finding the most susceptible clouds to finding susceptible clouds that co-occur with favorable conditions (e.g. low background N_d , Fig. 7), as the amount of cloud brightening due to aerosol injection depends strongly on the background N_d (e.g. Wang et al., 2011; Hu et al., 2021).

Although it is quite straightforward nowadays for one to assess how much of an aerosol perturbation is needed to achieve a certain increase in reflective SW using cloud-resolving simulation experiments (e.g. Wang et al., 2011; Chun et al., 2022), these modeling experiments are often state and scale specific (i.e. sensitive to the initial conditions of the simulations) and do not consider the frequency of occurrence of environmental conditions, nor the background aerosol concentrations, both of which are crucial for scaling up the responses. Therefore, before global cloud-resolving simulation (the scale issue; e.g. Khairoutdinov et al., 2022) and a large ensemble of simulations that represent a full range of conditions are readily available (the initial state issue; e.g. Glassmeier et al., 2019, 2021), long-term satellite estimates of albedo susceptibility and flux perturbation that cover the full spatiotemporal frequency of occurrence of environmental conditions world-wide are an important asset for MCB research.

Another key implication of this study is that on the path towards understanding the influence of meteorology on aerosol-cloud interactions, efforts have been made to analyze the entire low cloud population collectively (globally) (e.g. Chen et al., 2014), while our study suggests such global analyses (e.g. Fig. 2a and Fig. 5a) do not always represent what happens regionally. In other words, while certain combinations of meteorological conditions appear to favor susceptible conditions in a global analysis, one may not be able to find such combinations at a given geographical location of interest, owing to the regionally



distinct meteorological covariability, and it is also likely that a different combination of meteorological conditions hosts the most susceptible clouds of that location.

To illustrate our findings in a conceptual framework one may ideally construct a manifold that depicts S_0 in a 4-dimensional (SST, CTH, RH_{ft} , and LTS) space by populating the 4-D space with a large body of realizations, i.e., climatological observations. However, often ignored is that each realization used to construct the 4-D manifold is associated with a specific combination of longitude, latitude, and time. In other words, given a certain geographical region and time, realizations will only populate parts of the 4-D space, leading to local constructions (distinct regional “fingerprints”) that look different from a global construction.

300 7 Concluding Remarks

Marine warm cloud albedo susceptibility is derived from satellite-retrieved cloud microphysical properties and radiative fluxes, and sorted by day and geographical location. Geographical distributions of albedo susceptibility and the contributions from three susceptibility regimes (non-precipitating brightening, darkening, precipitating brightening) are shown over global oceans (60° S to 60° N). Monthly evolutions in cloud radiative susceptibility, meteorological conditions (from ERA5 reanalysis), warm cloud frequency of occurrence, LWP and N_d are shown for five primary eastern subtropical stratus/stratocumulus regions (20° × 20°), to illustrate the covariabilities among them. The key findings are as follows:

1. An overall annual mean cloud brightening potential is observed for global marine warm clouds – most pronounced over subtropical coastal regions where shallow marine stratocumulus prevail along with high annual-mean N_d , and over the equatorial eastern Pacific where clouds rain more often (Fig. 1).
- 310 2. Cloud darkening associated with entrainment-driven negative LWP adjustments offsets the cloud brightening potential over remote parts of the stratocumulus regions where deeper MBLs favor cloud top entrainment, especially over the SE Pacific/Atlantic where darkening overcomes brightening in the annual mean (Fig. 1 and Fig. 3-4).
3. The distinct regional “fingerprints” of S_0 in the LWP- N_d and CTH- RH_{ft} variable spaces are absent in the global analysis because different low cloud and meteorology regimes are merged in a global analysis (Figs. 2 and 5).
- 315 4. Meteorological conditions have distinct regional covariabilities, leading to markedly different monthly evolutions in F_0 (Fig. 6).
5. The SE Pacific, a region with the driest free-tropospheric conditions, hosts the least susceptible clouds exhibiting cloud darkening potential over several months during austral winter. Frequently occurring non-precipitating low-LWP, high- N_d clouds, found in shallow MBLs (March-September) over the NE Atlantic, represent the highest potential radiative responses to N_d perturbations among the five stratocumulus regions (Fig. 6-7).
- 320 6. While the qualitative agreement between Terra and Aqua underscores the robustness of our findings, their quantitative disagreement points to the important role of cloud diurnal evolution in determining albedo susceptibility (Figs S4-S9).



7. In the search for the best targets for MCB, should such efforts be attempted, decisions should be made based not only on meteorological regimes, season, and time of day that produce the most susceptible clouds, but also the background N_d (aerosol loading), which co-varies spatiotemporally with the susceptibility of the clouds (Fig. 7).
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When the influence of meteorological conditions on low cloud S_0 are studied, it may seem tempting to try to disentangle effects of individual meteorological factors on S_0 by controlling for the others. Our results, however, indicate that this may not be the best approach since it is the natural covariability among meteorological conditions that dictates the regionally distinct temporal evolution in S_0 . These results convey the importance of spatiotemporal variability in S_0 as a basis for both understanding the limitation in scale-up of the meteorological influences on the radiative effect of aerosol-cloud interactions from regional to global, as well as for making decisions regarding when, where, and if marine cloud brightening efforts should be attempted.
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Data availability. The CERES SSF data are publicly available from NASA's Langley Research Center (<https://satcorps.larc.nasa.gov/>) (Su et al., 2015). The fifth-generation ECMWF (ERA5) atmospheric reanalyses of the global climate data are available through the Copernicus Climate Change Service (C3S, <https://cds.climate.copernicus.eu/>) (Hersbach et al., 2020).
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Author contributions. JZ and GF designed the study. JZ carried out the analysis and wrote the manuscript. Both authors contributed to the interpretation of the results and finalizing the paper.

Competing interests. Graham Feingold is a co-editor of ACP. Other than this, the authors declare that they have no conflict of interests

Acknowledgements.

340 *Financial support.* This research has been supported in part by the U.S. Department of Energy, Office of Science, Atmospheric System Research Program Interagency Award 89243020SSC000055, the U.S. Department of Commerce, Earth's Radiation Budget grant, NOAA CPO Climate & CI #03-01-07-001, and the NOAA Cooperative Agreement with CIRES, NA17OAR4320101.



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