# Validating the spatial variability of the semidiurnal internal tide in a realistic global ocean simulation with Argo and mooring data

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Abstract. The total variance and decorrelation autocovariance of the semidiurnal internal tide (IT) are is examined in a 32-day segment of a global run of the HYbrid Coordinate Ocean Model (HYCOM). This numerical simulation, with 41 vertical layers and 1/25° horizontal resolution, includes tidal and atmospheric forcing allowing for the generation and propagation of IT to take place within a realistic eddying general circulation. The HYCOM data are in turn compared with global observations of the IT around 1,000 dbar, from Argo float park phase data and mooring records. HYCOM is found to be globally biased low in terms of total variance and decorrelation of the the variance and decay rate of the autocovariance of the semidiurnal IT over timescales shorter than 32 days. Except in the Southern Ocean, where limitations in the model causes the discrepancy with in situ measurements to grow poleward, the spatial correlation between the Argo and HYCOM inferred total tidal variance suggests that the generation of low-mode semidiurnal IT is globally well captured by the model.

## 10 1 Introduction

Internal tides (IT) are internal waves generated by the interaction of tidal currents with rough bathymetry. The radiated wave beams can travel thousands of kilometers (e.g., Zhao et al., 2016; Buijsman et al., 2020) over which they undergo dissipative processes as they interact with the eddying ocean and bottom topography, to eventually break (MacKinnon et al., 2017). The dissipation of IT represents a major source of vertical mixing in the ocean interior (de Lavergne et al., 2020). As such, IT have a key influence on the ocean state (Melet et al., 2016), and therefore on the global climate system (see Melet et al., 2022, for a review on the subject).

At any given position in a stationary medium, the tidally forced waves would have a constant phase difference to the astronomical forcing at their generation site. However, since they propagate within the time varying ocean circulation, IT are subject to a variety of mechanisms that causes cause their phase difference to the tidal forcing at their generation site to shift with time (Rainville and Pinkel, 2006; Shriver et al., 2014; Zaron and Egbert, 2014; Buijsman et al., 2017). In other words, IT lose coherence by interacting with the eddying ocean. They decorrelate: the autocovariance of a time series representing the internal tide variability at a fixed position (away from the source) inevitably decays with time lag (Caspar-Cohen et al., 2022; Geoffroy and Nycander, 2022).

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When analyzing such an autocovariance, it is important to understand that only Only the *coherent* fraction of the IT energy decays with time lag, that is the energy carried by waves with a constant phase difference to the astronomical forcing. Conversely, the *incoherent* fraction of the energy grows, so that the total IT energy total IT energy, or variance, at a given location (the sum of the coherent and incoherent components) is unaffected by the decorrelation. For very long time lags, the coherent and the complementary incoherent asymptotic limits are often called *stationary* and *nonstationary* variance, respectively. Generally, the longer the waves propagate, the more energy has likely been shifted out of phase by decorrelating processes. Hence, the stationary fraction decreases with increasing distance from the generation site While the global field of the stationary (low-mode) IT is widely considered to be well constrained by multiyear satellite altimetry observations (Dushaw et al., 2011; Ray and Zaron, 2016; Zaron, 2019; Zhao, 2019), the nonstationary component is not.

Some current high-resolution global ocean circulation model models enable the estimation of the barotropic and internal tides concurrently with the ocean circulation (Arbic et al., 2010; Shriver et al., 2012; Buijsman et al., 2020). Such fully nonlinear numerical simulations also incorporate the interactions of the generated IT with the eddying ocean and its boundaries. The model utilized in this study is the HYbrid Coordinate Ocean Model (HYCOM; Chassignet et al., 2006) with 41 vertical layers and 1/25° horizontal resolution. The literature on the validation of HYCOM with observations is already vast (see Buijsman et al., 2020; Arbic, 2022, for recent accounts). In the latest developments, surface drifters have been used to validate the geographical variability of the kinetic energy in various frequency bands at the global scale (Arbic et al., 2022). While the global coverage of drifter data is comparable to that of satellite altimetry, the contribution from the baroclinic tides to the kinetic energy observed by drifters has not been determined yet. Hence, until recently, only altimetry could unveil the geographical variability of the IT at the global scale.

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The empirical mapping of IT from altimetry remains challenging from various aspects for various reasons (Egbert and Ray, 2017). Most notably, the long sampling intervals of altimeters and the low signal-to-noise ratio preclude any direct estimation in the time domain of the total IT variance at a single location. Notwithstanding, Zaron (2017) analysed along-track wavenumber spectra of the sea surface height to map the total and nonstationary semidiurnal IT variance (for the baroclinic mode 1 only). The author found a global mean ratio of nonstationary to total semidiurnal IT variance of 44%. He also outlined the spatial inhomogeneity of the tidal variability, with this ratio being larger than 50% in much of the equatorial Pacific and Indian Oceans affected by nonstationary tides larger than stationary tides (Zaron, 2017). In a comparison with data from HYCOM, Nelson et al. (2019) showed this the 'k-space' methodology of Zaron (2017) to miss a large fraction of both the nonstationary and total variance. Nevertheless, the spatial correlation of the nonstationary fraction (i.e., the ratio of the nonstationary to total variance) between the model and altimetry suggests that the model at least qualitatively captures the generation of IT and their interactions with the background circulation (Nelson et al., 2019).

Lately Recently, Geoffroy and Nycander (2022) used observations from Argo park phase data (Argo, 2000) to empirically map the variance of the semidiumal IT at 1,000 dbar. The high sampling rate of the floats allows to capture captures the total variance of the IT, i.e. the autocovariance at short time lags, before any significant loss of coherence occurs and after most of the oceanic noise has decorrelated. On the other hand, the Lagrangian sampling of the drifting floats results in decorrelating effects on top of the intrinsic decorrelation of the IT itself (Zaron and Elipot, 2021; Caspar-Cohen et al., 2022; Geoffroy and Nycan-

der, 2022). The latter decorrelation Following Caspar-Cohen et al. (2022), we call *apparent* decorrelation the effects of the Lagrangian sampling on the autocovariance. This apparent decorrelation cannot be disentangled from the former decorrelation of the IT (i.e., the decorrelation due to interactions with the background circulation) using Argo data only. Thus, to gain insights on the intrinsic decorrelation of IT into the IT decorrelation around 1,000 dbar, one can instead apply the methodology of Geoffroy and Nycander (2022) to Eulerian observations from moorings. In the present work we will compare observations of the total (total) variance and decorrelation of the semidiurnal IT around 1,000 dbar from Argo floats and moorings, respectively, to data from a global HYCOM run. Contrarily to other recent validations of HYCOM with mooring data (e.g., Ansong et al., 2017; Luecke et al., 2020), the Eulerian component of our analysis is not meant as a standalone point-to-point comparison. Rather, it is designed to bolster and extend the main analysis of the Lagrangian data.

The remainder of this work is organized as follows: In Sect. 2, the in situ datasets and the numerical simulation are presented. In Sect. 3, we review the methodology of Geoffroy and Nycander (2022) in an example location from the Lagrangian and Eulerian perspectives. In Sect. 4, the main results are presented. We compare the geographical variability of the total-variance and decorrelation of the semidiurnal IT obtained from the in situ data and numerical simulation. Then, the model data are used to quantify the strength of the decorrelation induced by the Lagrangian sampling. Finally, we outline the potential biases affecting the datasets. We conclude in Sect. 5, by discussing the results and giving a summary.

## 2 Data

The different comparisons in this work are all done in terms of vertical displacement of the isotherms. The measurable variables needed to compute vertical isotherm displacement at a fixed depth are the temperature anomaly and vertical temperature gradient at that depth. In this section we briefly describe the temperature time series from each dataset.

## 2.1 Argo Floats With Iridium Communication

We use data from a global collection of Argo Iridium floats deployed by the University of Washington as part of the National Ocean Partnership Program during the period 2004–2022. In between Between the descending and ascending profiling phases, these floats also record temperature and pressure with an hourly resolution while adrift at 1,000 dbar. This so-called park phase typically lasts 10 days. As in Hennon et al. (2014) and Geoffroy and Nycander (2022), we use the pressure records to correct for the small departures of a float from its drifting control level during a park phase.

Stitching together data from successive cycles, by filling the time between park phases (typically 6 hr) with NaNs, one can construct longer time series. In this study, we use segments of 32 days of data (i.e., the duration of the segment of numerical simulation introduced in Sect. 2.3). Note that, in practice, the sampling frequency we are using). The sampling period of the park phase can varyoccasionally vary by more than a few seconds. To ensure evenly spaced time series, we linearly interpolate each concatenated record of 32 days onto a time axis with constant 1 h step. Any interpolated value lying between two original records that are more than 1.5 h apart is replaced by NaN.

The position of the floats can only be fixed determined when they reach the surface. We assume straight trajectories in between two successive surfacings (typically 10 days apart). This assumption has been shown reasonable by Geoffroy and Nycander (2022), especially since we discard segments of data for which the mean speed of the float is larger than 0.1 m s<sup>-1</sup>. This criterion is primarily intended to avoid contamination by lee waves: a flow with speed  $U \sim \mathcal{O}(0.1)U \sim 0.1$  m s<sup>-1</sup> passing over a bathymetric feature with horizontal length scale  $\lambda \sim \mathcal{O}(10)\lambda \sim 10$  km will generate lee waves with angular frequency  $\omega \sim (2\pi/\lambda)U$  rad s<sup>-1</sup> of the order of the diurnal frequency.

The Argo dataset used in this work is an updated version of the one used by Geoffroy and Nycander (2022). After the base processing and quality controls, we are left with 22,414 valid 32-day segments from 891 individual floats. A more detailed description of the Argo data processing and quality controls we employed is available from Hennon et al. (2014) and Geoffroy and Nycander (2022).

## 100 2.2 Global Multi-Archive Current Meter Database (GMACMD)

The Global Multi-Archive Current Meter Database (GMACMD; Scott et al., 2011) compiles tens of thousands of oceanographic time series from moorings. Previous model-data validation efforts involving both HYCOM and the GMACMD mooring data notably include Luecke et al. (2020), where the authors compared the temperature variance and kinetic energy, over various frequency bands, in realistic global ocean simulations to more than 3,800 instrumental records.

- Here, we extracted 331 temperature time series spanning 1972–2010 and meeting the following criteria:
  - 1. The mooring lies in water deeper than 2,000 m
  - 2. The record is longer than 64 days with a sampling interval more frequent shorter than 3 hr, for adequate resolution of the semidiurnal tidal signals
  - 3. The instrument depth is within  $\pm 200$  m from 1,000 m

## 110 One mooring in particular

One particular mooring is used as an example in Sect. 3. It recorded during 366 days in the years 1982-83 at the position 28.00° N, 151.95° W (north of the Hawaiian Ridge). As previously documented by Alford and Zhao (2007), we refer to the instrument at 1,119 m depth as mooring No. 2. This instrument sampled temperature with a 0.25 h resolution.

## 2.3 HYbrid Coordinate Ocean Model (HYCOM)

This study mainly uses 32 days, covering all of June from May 20 to June 20, 2019, of hourly output at 1,000 m depth from a global run of HYCOM, with 41 vertical layers and 1/25° horizontal resolution. The non data-assimilative simulation, designated 'GLBy190.04', includes realistic tidal and atmospheric forcing allowing enabling the generation and propagation of IT within the eddying general circulation. The high resolution 2D fields are complemented by monthly-mean 3D fields of temperature and salinity subsampled to 1°.

A Lagrangian analysis of the simulation is also used for a direct model-data validation with Argo floats. The Argo quasi-Lagrangian sampling is mimicked by releasing 41644 particles randomly across the world oceans. We let the particles be advected by the 2D velocity field at 1,000 m for 32 days while sampling temperature with an hourly resolution. This Lagrangian sampling of HYCOM is achieved using the software Parcels (Van Sebille et al., 2021). The Lagrangian simulation uses a classic Runge-Kutta method for computing the advection of the particles (with 5 minutes integration time step). As for the Argo data, we discard particles with a mean speed larger than 0.1 m s<sup>-1</sup>. We also discard any particle crossing the 1,000 m isobath during the simulation.

## 3 Methods

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We start by comparing the Argo observations to the Lagrangian sampling of the HYCOM data. Next, we investigate the effects of the drift by comparing Lagrangian and Eulerian samplings of the numerical simulation. We end the section by introducing the comparison between the Eulerian HYCOM time series and mooring observations.

## 3.1 Lagrangian sampling of the isopycnal displacement at 1,000 dbar

As in Hennon et al. (2014) and Geoffroy and Nycander (2022), we define the vertical isotherm displacement observed by a Lagrangian particle  $\eta_{1000}^L$  as

$$\eta_{1000}^{L}(t) = \frac{T(t) - \overline{T}}{(dT/dP)_{1000}(t)},\tag{1}$$

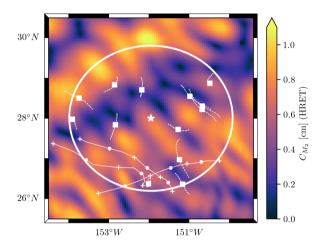
where  $\overline{T}$  is the time average of the temperature measurements T(t) over a particle trajectory, and  $(\mathrm{d}T/\mathrm{d}P)_{1000}(t)$  is the temperature gradient at 1,000 dbar. Hence, the vertical isotherm displacement is simply computed as the temperature anomaly recorded by a drifting particle at 1, interpolated at the successive particle positions from the 000 dbar divided by the vertical temperature gradient at that depth. For HYCOM particles, we compute  $(\mathrm{d}T/\mathrm{d}P)_{1000}$  as the mean, within 100 m of 1,000 m, of the vertical gradient calculated from the modeled monthly-mean 3D temperature field. We then linearly interpolate the temperature gradient to the successive particle positions (hence the time dependence).

In the case of Argo floats, the temperature gradient at 1,000 dbar is obtained Eq. (1) is evaluated over each park phase.  $\overline{T}$  then represents the time average of the temperature measurements T(t) over a park phase, and the vertical temperature gradient is estimated from the average of the two neighboring profile measurements ascending profiles. Specifically,  $(dT/dP)_{1000}(t)$  is computed as the mean, within 100 dbar of the parking pressure. The , of the vertical gradient calculated from the average temperature profile. To avoid any spurious displacements, we discarded the data from the whole park phase whenever the temperature gradient is smaller than  $-3 \times 10^{-5}$  °C dbar $^{-1}$ . As explained in Sect. 2.1,  $\eta_{1000}^L$  time series from successive park phases are stitched together to constitute 32-day time series.

Both for Argo floats and HYCOM Lagrangian particles, the low frequency background activity is filtered out from  $\eta^L_{1000}$  using a fourth order Butterworth filter with a cut-off frequency of 0.3 cpd. The inertial peak is not removed by this filter poleward of about  $\pm 10^{\circ}$  of latitude.

## 3.2 Averaging sample autocovariance series

The HYCOM derived Lagrangian time series  $\eta^L_{1000}$  can be analyzed in the same way as in Geoffroy and Nycander (2022). We illustrate below the mapping process below. The geographical patch presented here is also described in Geoffroy and Nycander (2022). For this example area, Fig. 1 shows the location of the 8 32-day segments of Argo data and 13 HYCOM particles selected using their median position. The circular patch of radius 200 km containing the latter median positions is centered on the mooring No. 2.



**Figure 1.** Example circular geographical patch of radius 200 km (the white star denotes mooring No. 2 at the center). The median position of the segments of Argo data and of the HYCOM particles are shown by white filled circles and white squares, respectively. The dashed white curves represent the trajectories of the HYCOM particles over the 32 days of numerical simulation. The binned Argo segments were all recorded by the same float, its trajectory is shown by the solid white curve with white crosses denoting the starting and ending points of the different 32-day segments. In the background we show the amplitude of the M<sub>2</sub> baroclinic sea level anomaly from the High-Resolution Empirical Tide model (HRET; Zaron, 2019).

From a finite time series  $\eta(t)$  one can calculate the sample autocovariance  $\hat{R}(\tau)$ , which is an unbiased estimate of the true autocovariance:

$$\hat{R}(\tau) = \frac{1}{N - \tau} \sum_{t=1}^{N - \tau} (\eta_t - \hat{\bar{\eta}})(\eta_{t+\tau} - \hat{\bar{\eta}}), \tag{2}$$

where N is the total number of observations, and  $\hat{\eta}$  is the sample mean of the series. Note that  $\hat{R}(0)$  is the sample variance of the series. Here, N is taken as the number of non-missing observations, accounting for gaps in the Argo time series (during the descent, main profiling, and surface transmission phases of the float cycle, or because of failed quality controls). Note that  $\hat{R}(0)$  is the sample variance of the series. The sample autocovariance as defined in Eq. (2) is an unbiased estimator of the "true" autocovariance (i.e.,  $\hat{R}(\tau)$  converges to the true value  $R(\tau)$  for infinitely large N). In the remainder of this article we only

165 compute the variance and autocovariance of vertical isotherm displacement time series. For the sake of brevity, we simply refer to them as the variance and autocovariance.

We compute a sample autocovariance for each binned HYCOM particle and average them over the subset. The sample autocovariances for all HYCOM particles within the circular patch shown in Fig. 1 are averaged to obtain a local mean autocovariance  $\overline{R}_{\text{HYCOM}}^L$ . The local mean autocovariance from the Argo data,  $\overline{R}_{\text{Argo}}$ , is computed in the same way. The sampling error affecting a single autocovariance series is estimated from the standard deviation (STD) of the autocovariance series over the subset. The standard standard error of the local mean autocovariance is computed as

$$SEM(\tau) = \frac{STD(\tau)}{\sqrt{N_p}},$$
(3)

where STD is the standard deviation of the sample autocovariances over the subset, and  $N_p$  is the number of particles in the subset. Fig. 2a demonstrates the good agreement of the two datasets until  $\tau \simeq 200$  h. Past this time lag limit,  $\overline{R}_{\rm Argo}$  falls under its 95% confidence interval (95% C.I.  $\sim \pm 2\,{\rm SEM}$ ) and thus cannot be considered significantly different from white noisezero.

A convenient handy tool for monitoring the evolution of the autocovariance of the semidiurnal IT is complex demodulation. Here, it consists in the least squares fitting of  $C\cos(\omega_{SD}\tau) + S\sin(\omega_{SD}\tau)A\cos(\omega_{SD}\tau) + B\sin(\omega_{SD}\tau)$ , where  $\omega_{SD} = (\omega_{M_2} + \omega_{S_2})/2$  is the semidiurnal frequency, to the sample autocovariance in 48-h windows with 75% overlap. This is equivalent to fitting  $A\cos(\omega_{SD}\tau + \Phi)$ , where  $AC\cos(\omega_{SD}\tau + \Phi)$ , where C and  $\Phi$  are the amplitude and phase, respectively. We then define the complex demodulate as  $A = \sqrt{C^2 + S^2}C = \sqrt{A^2 + B^2}$ . This positive definite amplitude follows the sinusoidal envelope of the modulated sinusoidal with frequency  $\omega_{SD}$ . Note that this definition differs from the complex demodulation used in Geoffroy and Nycander (2022), where the authors fitted  $A\cos(\omega_{SD}\tau)C\cos(\omega_{SD}\tau)$ , i.e. with  $\Phi = 0$ . In practice, we compute the complex demodulate of the autocovariance following the harmonic analysis method of Cherniawsky et al. (2001). The error affecting the complex demodulate of a mean autocovariance series over a

Complex demodulation is just a convenient way of finding the envelope of the sample estimate of an underlying true oscillating function at a given frequency. However, as an estimate of the envelope of the true oscillating function (computed from a limited sample size), the complex demodulate can be shown to be biased high. This bias is greatly mitigated (i) at short time lags, and (ii) when the sample size is large (e.g., when demodulating regional or global mean autocovariances). Throughout this paper we only rely on complex demodulation in one case or the other. A reasonable estimate of the uncertainty of the envelope of the sample function (as an estimate of the true function) is the uncertainty of the sample function itself. If this uncertainty range is larger than the envelope of the sample function, the conclusion is not that the envelope of the true function can be negative, but that it is not significantly different from zero. Here, we evaluate the uncertainty affecting the complex demodulate of a mean autocovariance series over a 48-h window can be obtained from the standard error of the mean autocovariance, as defined in Eq. (3), and the covariance matrix of the fitted parameters. In the remainder of this article we refer to it as the total error:

$$\mathrm{TE} = \sqrt{\widetilde{\mathrm{SEM}}^2 + \mathrm{Var} A},$$

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where  $\widetilde{\text{SEM}}$  is the median of the standard error of the mean autocovariance over the 48-h window, and  $\operatorname{Var} A$  is the variance of the complex demodulate A in the same 48-h window. We computed  $\operatorname{Var} A$  from the covariance matrix of the fitted parameters C and S, taking into account potential correlations between C and S, using the Uncertainties python package . by computing the median of the standard error over that window, hereinafter denoted  $\widetilde{\text{SEM}}$ . The corresponding 95% confidence intervals are taken as  $\pm 2\,\widetilde{\text{SEM}}$ .

The result of the complex demodulation at the semidiurnal frequency applied to our two mean autocovariance series is presented in Fig. 2b. The first 48-h complex demodulate is a direct estimate of the total semidiurnal IT variance. From the Argo data plotted in Fig. 2b we obtain 23.5-23.3 m<sup>2</sup> with a 95% C.I. of 10.8, 36.2 15.0, 31.6 m<sup>2</sup> (here, 95% C.I. 20.0 Taking into account the errorbars, the two demodulate series are not significantly different, even at longer time lags Apart from the first couple of demodulates, the HYCOM demodulate series appears consistently smaller than the Argo one.

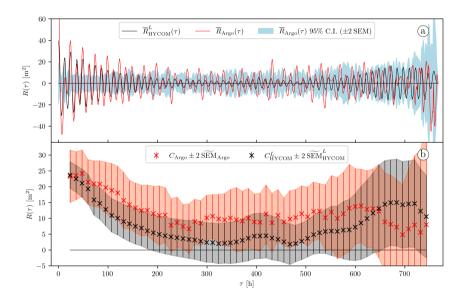


Figure 2. (a) Local mean autocovariance  $\overline{R}_{\text{HYCOM}}^L$  computed from the HYCOM particles (solid black curve), and local mean autocovariance  $\overline{R}_{\text{Argo}}$  computed from the 32-day segments of Argo data (solid red curve) and 95% confidence interval of  $\overline{R}_{\text{Argo}}$  (light blue shading). The data are from the geographical patch shown in Fig. 1. The Argo variance lies above the figure scale, here  $\overline{R}_{\text{Argo}}(0) \simeq 121 \text{ m}^2$ . (b) Complex demodulates at the semidiurnal frequency of the autocovariance series shown in (a) and their 95% C.I. The red and grey shadings highlight the 95% C.I. for the Argo and Lagrangian HYCOM data, respectively.

## 3.3 Eulerian perspectives perspective

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The decay of the demodulates with time lag observable of the demodulates represented as red crosses in Fig. 2b mirrors the decorrelation captured by the Lagrangian sampling of the Argo floats. The motion of the instruments results in additional

decorrelating effects that cannot be isolated from the loss of coherence of the IT. However, by analyzing the HYCOM data within a Eulerian framework, one can directly monitor the intrinsic decorrelation of the IT. The Eulerian HYCOM time series can then be compared with observations from moorings.

In the Eulerian framework, our methods remain practically unchanged. We now define the vertical isotherm displacement at a given location as

$$\eta_{1000}^{E}(x,y,t) = \frac{T(x,y,t) - \overline{T}(x,y)}{(dT/dP)_{1000}(x,y)} \tag{4}$$

where  $\overline{T}(x,y)$  is the time average of the temperature field T(x,y,t), and  $(\mathrm{d}T/\mathrm{d}P)_{1000}(x,y)$  is the temperature gradient at 1,000dbar, interpolated from the m, calculated from the modeled monthly-mean 3D temperature field. As for the Lagrangian time series, we apply a fourth order Butterworth high-pass filter with a cut-off frequency of 0.3 cpd on  $\eta_{1000}^E$ .

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For each HYCOM particle, we compute 32-day long time series of  $\eta_{1000}^E$  at the successive positions of the particle subsampled at a 12-h rate. We then calculate the sample autocovariance from each of these time series and average the results over the particle's trajectory. As in the Lagrangian framework, the resulting averages can be averaged further over different particles to compute local and global mean autocovariance series. By estimating the Eulerian autocovariance along the Lagrangian trajectories we account for the geographic variability of the IT. Also, our Eulerian sample autocovariance estimates contain many more degrees of freedom than their Lagrangian equivalents; As a result, the uncertainty affecting the Eulerian autocovariance estimates is much smaller.

Eq. (4) is also used to compute vertical displacement time series from the mooring temperature records. In this case, the temperature gradient at 1,000 dbar Here, the vertical temperature gradient is computed from the annual mean climatology (WOA18; Boyer et al., 2018) as an average of the temperature gradient within  $100 \frac{\text{dbar}}{\text{dbar}}$  of the instruments' depth. As for Argo data, we discarded instruments for which the temperature gradient is smaller than  $-3 \times 10^{-5}$  °C dbar<sup>-1</sup>. Each mooring time series is split into successive 32-day segments. We then compute the sample autocovariance for each high pass filtered segment and average them to obtain a mean autocovariance.

The Eulerian sampling serves two main purposes: (*i*) validating the total-variance of the IT measured by the Lagrangian particles, and (*ii*) comparing the decorrelation of the IT in the HYCOM data to mooring observations. We illustrate these two aspects for the local example introduced in section-Sect. 3.2 in Fig. 3, and 4, respectively.

Fig. 3 shows the local mean autocovariance series at 1,000 dbar computed from both the Lagrangian  $\eta^L_{1000}$  (solid black curve) and Eulerian  $\eta^E_{1000}$  (solid red curve). As expected, the two autocovariance series demonstrate a close agreement at short time lags, before the motion of the particles causes the Lagrangian  $\overline{R}^L_{HYCOM}$  to decay faster. In contrast: The first demodulate of the Eulerian  $\overline{R}^E_{HYCOM}$  (red crosses in Fig. 3b), reading 26.0 m<sup>2</sup> with a 95% C.I. of [25.3, 26.8] m<sup>2</sup>, is similar to the first demodulate of the Lagrangian  $\overline{R}^L_{HYCOM}$  (black crosses in Fig. 3b and in Fig. 2b). In contrast with  $\overline{R}^L_{HYCOM}$ ,  $\overline{R}^E_{HYCOM}$  is not affected by the apparent decorrelation, and it remains close to the mean autocovariance computed from the (Eulerian) mooring No. 2 time series  $\overline{R}_{Moor}$  at all time lags (see Fig. 4). In conclusion, for this local example, the model agrees very well with the in situ observations, both in terms of total variance and decorrelation of the semidiurnal IT at 1,000 dbar.

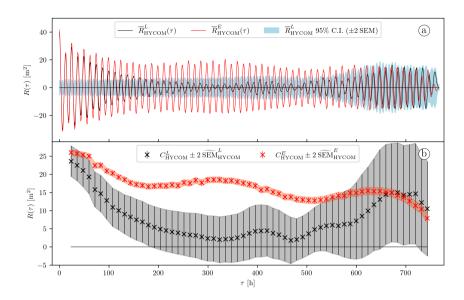


Figure 3. (a) Mean autocovariance computed from  $\eta_{1000}^L$  (solid black curve, same as the solid black curve in Fig. 2a) and 95% confidence interval of  $\overline{R}_{\rm HYCOM}^L$  (light blue shading), and mean autocovariance computed from  $\eta_{1000}^E$  (solid red curve). The data are from the geographical patch shown in Fig. 1. (b) Complex demodulates at the semidiurnal frequency of the autocovariance series shown in (a) and their 95% C.I. The red and grey shadings highlight the 95% C.I. for the Eulerian and Lagrangian HYCOM data, respectively.

## 4 Results

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## 4.1 The total semidiurnal IT variance at 1,000 dbar

We bin the global collection of HYCOM particles based on their median position, using circular geographical patches of radius 200 km centered on a regular  $2.5^{\circ} \times 2.5^{\circ}$  grid. Here, we use only particles for which (i) the mean speed is lower than 0.1 m s<sup>-1</sup> (to avoid contamination by lee waves), and (ii) the variance of  $\eta_{1000}$  is lower than  $2 \times 10^4$  m<sup>2</sup>(outliers). The latter criteria accounts for the potential instability of the calculated  $\eta_{1000}$  values whenever the vertical temperature gradient, i.e. the denominator in Eq. (1) or (4), is small. As in sections Sect. 3.2 and 3.3, we compute an autocovariance series for each HYCOM particle, in the Lagrangian and Eulerian framework, separately. Then, we average these autocovariances over the corresponding patches to obtain local mean autocovariance series  $\overline{R}_{\rm HYCOM}^L$  and  $\overline{R}_{\rm HYCOM}^E$ . For each geographical patch, we get local estimates of the total semidiurnal IT variance from the first 48-h complex demodulate of  $\overline{R}_{\rm HYCOM}^L$  and  $\overline{R}_{\rm HYCOM}^E$ .

We start by checking how the Lagrangian sampling affects the total semidiurnal IT variance. Fig. 5 shows the scatter of the first 48-h complex demodulate of  $\overline{R}_{\rm HYCOM}^E$  plotted as a function of the first demodulate of  $\overline{R}_{\rm HYCOM}^L$  for our collection of geographical patches. The agreement is close to perfect (Pearson's  $R^2 \simeq 1.0$  with a coefficient of determination  $r^2 \simeq 0.98$  in log-log domain and  $r^2 \simeq 0.74$  in linear domain). Thus, the motion of the Lagrangian particles, and therefore of the Argo floats, have no significant impact on the measured total variance of the IT.

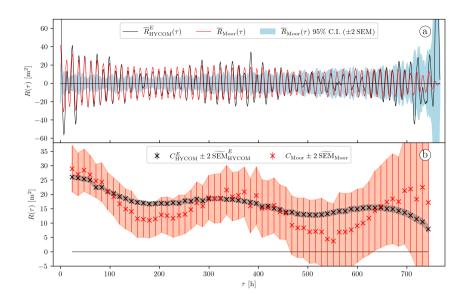
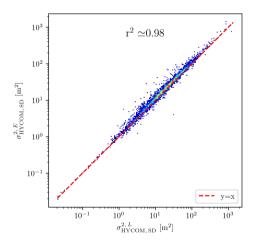


Figure 4. (a) Mean autocovariance computed from  $\eta_{1000}^E$  (solid black curve, same as the solid red curve in Fig. 3a), and mean autocovariance computed from eleven 32-day segments of the mooring No. 2 time series (solid red curve) and 95% confidence interval of  $\overline{R}_{Moor}$  (light blue shading). (b) Complex demodulates at the semidiurnal frequency of the autocovariance series shown in (a) and their 95% C.I. The red and grey shadings highlight the 95% C.I. for the mooring and Eulerian HYCOM data, respectively.



**Figure 5.** First 48-h complex demodulate of  $\overline{R}_{\text{HYCOM}}^{E}$  as a function of the first demodulate of  $\overline{R}_{\text{HYCOM}}^{L}$  for the unmasked bins in Fig. 6a. A warmer color indicates a denser scatter.

We can then map the total semidiurnal IT variance (here taken as the first 48-h complex demodulate of  $\overline{R}_{HYCOM}^L$ ) and the associated total error, as defined in Eq. (??),  $\widetilde{SEM}$  on a  $2.5^{\circ} \times 2.5^{\circ}$  grid (see Fig. 6a and 6b, respectively). In each figure we show only the bins which yield a total an IT variance larger than one total error.  $\widetilde{SEM}$ . Note that the latter criterion is less

binding than the one used for the global maps in Geoffroy and Nycander (2022) since the uncertainties are smaller here, by definition. Fig. 6a can be directly compared with the global map of the total semidiurnal IT variance computed from Argo data , (see Fig. 6c and 6d, updated from Geoffroy and Nycander, 2022). As documented in Buijsman et al. (2020), HYCOM is known to be subject to a thermobaric instability (TBI) in the north Pacific (dashed black rectangle in Fig. 6a). Since only a few patches of Argo data are available at the edge of this TBI area, we do not exclude it from the subsequent analysis.

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The main patterns visible in Fig. 6a and Fig. 6c broadly agree, with energy radiating away from low-mode IT generation hotspots, namely near Madagascar, Hawaii, and the tropical south and southwest Pacific. In Fig. 7a we show a scatter plot of the Argo derived semidiurnal IT variance plotted as a function of the simulated one. The two datasets are well correlated (Pearson's  $R^2 = 0.52$  in the  $\log r^2 = 0.52$  in log-log domain), but one can easily identify a systematic biasthe semidiurnal IT variance is systematically smaller in HYCOM than in the Argo data.

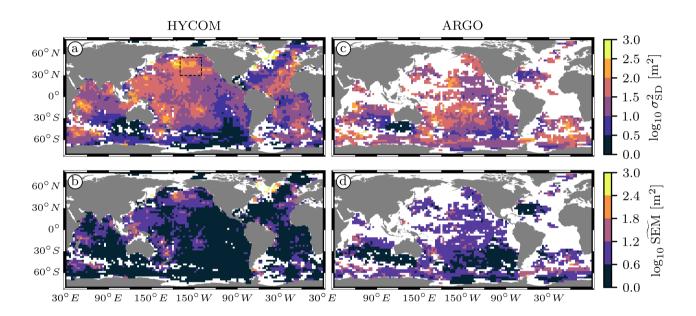


Figure 6. (a) Atlas of the total semidiurnal IT variance computed as the first 48-h complex demodulate of the local mean autocovariance series at 1,000 dbar ( $\overline{R}_{\text{HYCOM}}^L$ ), and (b) corresponding total error computed from EqSEM. (???). (c) and (d) Same as (a) and (b), respectively, but computed from the Argo data ( $\overline{R}_{\text{Argo}}$ ). (c) and (d) are updated from Geoffroy and Nycander (2022). The area where the simulation is affected by the TBI is shown by the dashed black rectangle in (a).

We investigate this bias by plotting looking at the geographical distribution of the HYCOM to Argo semidiurnal IT variance ratio (see. For presentation purposes, instead of the latter ratio we plot the proxy  $\sigma_{\rm HYCOM}^2/(\sigma_{\rm HYCOM}^2 + \sigma_{\rm Argo}^2)$  in Fig. 7b)... This statistic is robust to outliers and maps a pair of variances to the closed range [0,1]. The ratio is relatively homogeneous globally (in the range [0.5,2][0.25,1.5], corresponding to [0.2,0.6] for our proxy), except over the Southern Ocean where the Argo inferred total-IT variance is significantly larger. Furthermore, we show the zonal mean of the Argo and HYCOM derived

semidiurnal IT variance as a function of latitude in Fig. 7c. The zonal mean variance from Argo is generally larger, except around  $40^{\circ}$  N where the HYCOM inferred zonal mean variance peaks at 1.7 times the Argo one. Discarding the data in the TBI area has virtually no effect on this peak (not shown). More noteworthy than this localized feature, the ratio of the zonal mean variances increases approaching the poles (poleward of  $\pm 50^{\circ}$  of latitude, not shown). In contrast, equatorward of  $\pm 50^{\circ}$ , the this ratio remains fairly constant, oscillating around a mean value of 0.74 with a standard deviation of 0.27. The global mean ratio is 0.60 with a and standard deviation of 0.34the ratio are 0.62 and 0.33, respectively.

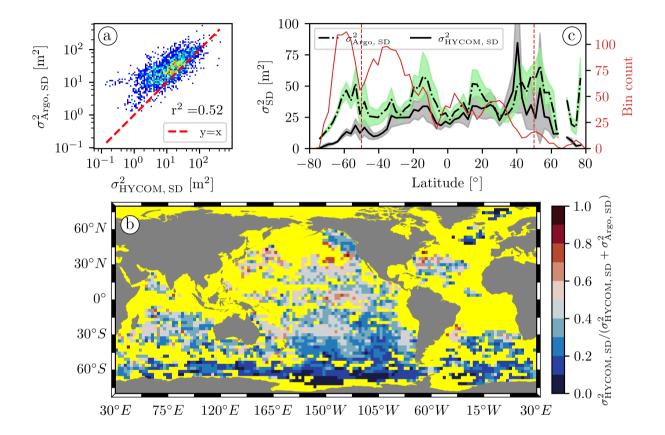
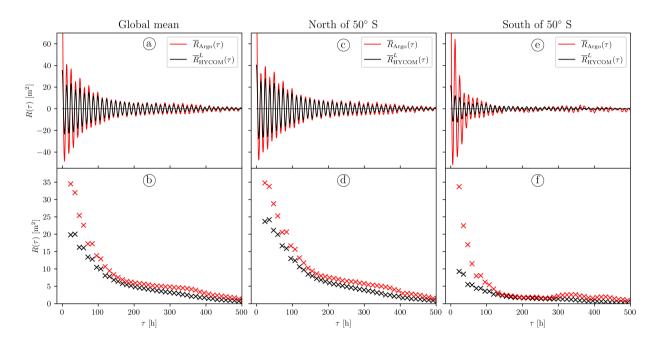


Figure 7. (a) Scatter plot of the Argo derived semidiurnal IT variance as a function of the simulated one for the collection of geographical bins shown in Fig. 7b. A warmer color indicates a denser scatter. (b) Atlas of  $\sigma_{\rm HYCOM,SD}^2/(\sigma_{\rm HYCOM,SD}^2+\sigma_{\rm Argo,SD}^2)$ , a proxy for the HYCOM to Argo semidiurnal IT variance ratio at 1,000 dbar. Note that the colorbar is in log. The value of the ratiothis proxy is close to 0 where  $\sigma_{\rm HYCOM,SD}^2 \ll \sigma_{\rm Argo,SD}^2$ , 0.5 where  $\sigma_{\rm HYCOM,SD}^2 \sim \sigma_{\rm Argo,SD}^2$ , and 1 where  $\sigma_{\rm HYCOM,SD}^2 \gg \sigma_{\rm Argo,SD}^2$ . The ocean mask was colored in yellow for reference  $\log_{10}^{-1}(0.3) = 2$  readability. (c) Zonal mean of the semidiurnal IT variance from Argo (dash-dotted black curve) and HYCOM (solid black curve) as a function of latitude and their respective 95% C.I. (gray and light green and gray shading, respectively). The red curve represents the number of geographical bins used to compute the zonal mean at a given latitude (red axis on the right). The vertical dashed red lines are placed at 50° S and 50° N.

The representativity of the zonal mean variances is smaller north of 40° N, because of the scarcity of available data (solid red curve in Fig. 7c). We therefore focus on the pronounced discrepancy affecting the Southern Ocean. We gather the data available over the unmasked bins in Fig. 7b into two groups, north and south of 50° S. For each group and the global collection of bins, we compute a mean autocovariance by averaging the corresponding Argo and HYCOM local mean autocovariance series (see Fig. 8). In Table 1 we summarize the semidurnal IT variance values computed as the first 48-h demodulate of the mean autocovariance for each group. A significant fraction of the divergence visible in the global mean autocovariance at short time lags (see Fig. 8a and 8b) can be explained by the larger discrepancy south of 50° S (see Fig. 8e and 8f). In this region, the Argo derived total semidiurnal IT variance is close to 4 times larger than the simulated one. The agreement is better north of 50° S, where the corresponding factor is 1.5 (see Fig. 8c and 8d). In a separate section we will discuss possible explanations for both the lower semidiurnal IT variance in HYCOM globally and the even lower simulated IT variance in the Southern Ocean.



**Figure 8.** (a) Global mean autocovariance at 1,000 dbar computed from Argo data (solid red curve) and Lagrangian HYCOM particles (solid black curve), and (b) corresponding complex demodulates at the semidiurnal frequency and their 95% C. IThe uncertainties are vanishingly small (not shown). (c) and (d) Same as (a) and (b), respectively, but using only data north of  $50^{\circ}$  S. (e) and (f) Same as (a) and (b), respectively, but using only data south of  $50^{\circ}$  S. In this figure we truncated  $\tau$  at 500 h, since past this limit the autocovariance series are undistinguishable from close to 0.

#### 4.2 The decorrelation of the semidiurnal IT at 1,000 dbar

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In contrast to Argo floats, the Eulerian sampling of moorings allows us to directly monitor the intrinsic decorrelation of the IT. In a procedure similar to that in Sect. 4.1, we bin the global collection of HYCOM particles based on their median

**Table 1.** Semidiurnal IT variance from the autocovariance series plotted in Fig. 8.

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		Argo	HYCOM	HYCOM/Argo
	Global	$35 \mathrm{m}^2$	$20 \mathrm{m}^2$	0.57
$\sigma_{ ext{SD}}^2$	North of 50° S	35 m <sup>2</sup>	24 m <sup>2</sup>	0.68
	South of 50° S	$34 \text{ m}^2$	$9 \mathrm{m}^2$	0.28

position, but this time using geographical patches (of radius 200 km) centered on the available moorings. We compute a sample autocovariance for each particle in the Eulerian framework for each particle, and average them within the corresponding patches to obtain local mean autocovariance series  $\overline{R}_{\text{HYCOM}}^E$ . The mooring time series in a given patch is split into successive 32-day segments. We then compute a sample autocovariance for each segment and average them to obtain a mean autocovariance  $\overline{R}_{\text{Moor}}$ . Again, we use only particles for which (i) the mean speed is lower than 0.1 m s<sup>-1</sup> (to avoid contamination by lee waves), and (ii) the variance of  $\eta_{1000}$  is less than  $2 \times 10^4$  m<sup>2</sup>(outliers). After some additional quality controls, mainly discarding bins where the either the mooring or HYCOM complex demodulates fall under one total error SEM within 15 days of time lag, we are left with 167 moored instruments and the corresponding patches of simulated data.

For all the datasets used in this study, we found the probability distribution of the global collection of local mean autocovariance (and their demodulates) at any given timelag to be right skewed (not shown). This is not an issue when computing average statistics from the Argo and or the corresponding simulated data, since the number of samples (i.e., the number of geographical bins) is very large and the sample mean is therefore expected to be normally distributed, by virtue of the central limit theorem. In contrast, geographical bins where mooring data are available are fewer. Thus, the influence of the tail of the distribution on the sample mean is larger when analyzing the relatively small collection of bins where mooring data are available than when considering the global collections of Argo and HYCOM data. This precludes the use of statistics that assume a normal distribution when describing regional or even global averages of the autocovariances computed from moorings. To limit the effects of skewness, we discard bins for which either the mooring or the simulated first 48-h demodulate is above the 95<sup>th</sup> percentile of its observed distribution (here  $P_{\text{Moor}}^{95} \sim 720 \text{ m}^2$  and  $P_{\text{HYCOM}}^{95} \sim 270 P_{\text{HYCOM}}^{95} \sim 320 \text{ m}^2$ , respectively). The latter criterion accounts for 94 additional discarded bins, all located in moderate to strong mesoscale activity areas. Note that even Even after discarding these extreme samples, the data remain highly skewed.

As a measure of the The moorings may not offer as much spatial coverage as the Argo floats do, but they still provide an opportunity to validate the geographical variability of the semidurnal IT variance in HYCOM. As in Sect. 4.1, we can get local estimates of the total semidiurnal IT variance from the first 48-h complex demodulate of  $\overline{R}_{\rm HYCOM}^E$  and  $\overline{R}_{\rm Moor}^E$  in each geographical patch centered on a mooring. Fig. 9a shows the scatter plot of the first 48-h complex demodulate of  $\overline{R}_{\rm HYCOM}^E$  as a function of the first demodulate of  $\overline{R}_{\rm Moor}^E$  for our collection of geographical bins. As with the Lagrangian data (see Fig. 7a), the correlation is good ( $r^2 = 0.53$  in log-log domain), but the semidiurnal IT variance is systematically smaller in HYCOM than in the mooring data. In Fig. 9b and 9c we show the geographical location of the patches along with a proxy for the HYCOM

to mooring semidiurnal IT variance ratio, and the histogram of this proxy, respectively. The latter histogram shows that the distribution of the proxy is centered around 0.33, corresponding to a ratio of 0.5, approximately.

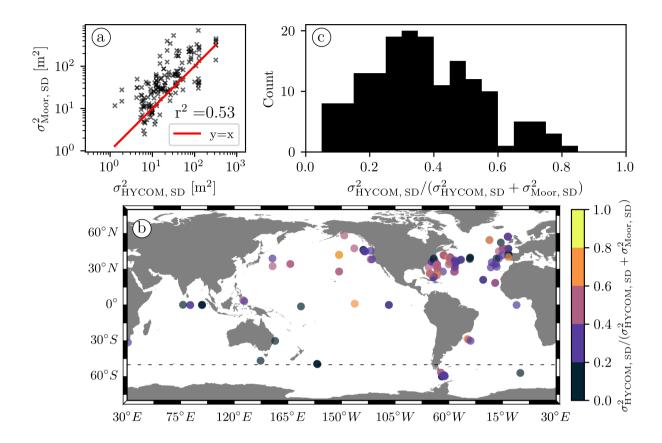


Figure 9. (a) Semidiurnal IT variance derived from mooring data as a function of the semidiurnal IT variance computed from the Eulerian HYCOM data. (b) Map of the 163 geographical bins presented in (a) along with the proxy  $\sigma_{\rm HYCOM,SD}^2/(\sigma_{\rm HYCOM,SD}^2+\sigma_{\rm Moor,SD}^2)$  for the ratio of the semidiurnal IT variance computed from the Eulerian HYCOM and mooring data, and (c) the histogram of this proxy. An equivalent proxy was used in Fig. 7b. The dashed black line in (b) indicates 50° S.

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To measure the strength of the decorrelation affecting the Eulerian mean autocovariances, we define the semidiurnal coherent variance fraction (SCVF<sub>15</sub>) as the ratio between the 48-h demodulate at  $\tau \simeq 15$  days (i.e., half the spring-neap period) and the first demodulate. Note that, due to the limiting duration of our time series, we cannot distinguish a strong but short from a weak but long decorrelating process. Fig. ??a shows the seatter plot of the The SCVF<sub>15</sub> computed from the moored instruments as a function of the SCVF<sub>15</sub> from the corresponding HYCOM local mean autocovariance series. Althought the correlation is small (Pearson's  $R^2 = 0.13$  in the log domain), the distribution is well centered on the y = x line.In Fig. ??b and ??c we show the geographical location of the patches along with the ratio of the SCVF<sub>15</sub> computed from the HYCOM and mooring data, and the histogram of this ratio, respectively being calculated from the demodulate at relatively long time lags, it is meaningfull only

when estimated from a large enough sample size (i.e., with minimal uncertainty). Therefore, we start by considering all the data available over our global collection of geographical bins.

(a) Scatter of the SCVF<sub>15</sub> computed from the moored instruments as a function of the SCVF<sub>15</sub> computed from the HYCOM local mean autocovariance series. (b) Map of the 158 geographical bins presented in (a) along with the ratio of the SCVF<sub>15</sub> computed from the HYCOM and mooring data, and (c) the histogram of this ratio. The dashed black line in (b) indicates 50° S:

Fig. 10a displays the global mean autocovariances calculated from the mooring ( $\overline{R}_{\mathrm{Moor}}$ , solid red curve) and HYCOM data ( $\overline{R}_{\mathrm{HYCOM}}$ , solid black curve), as the average of the local mean autocovariance series over the collection of geographical bins presented in Fig. ??9b. In Fig. 10b we show different statistics of the observed distribution of the demodulates of the local mean autocovariances in the form of boxplots as a function of time lag. Fig. 10b suggests that the mooring records exhibit both a larger total semidiurnal IT variance and a stronger decorrelation on a global average: the mean of the first demodulates and the mean SCVF<sub>15</sub> are 83–82 m<sup>2</sup> and 0.50, and 40–43 m<sup>2</sup> and 0.64, for the moorings and HYCOM data, respectively. Using median values instead leads to the same conclusions (not shown).

As in Sect. 4.1, we investigate this discrepancy. We investigate a potential latitudinal dependence by plotting the mean autocovariance series computed separately from the geographical bins lying north (144-150 instruments) and south (14-13 instruments) of 50° S (see Fig. 10c and 10d, and Fig. 10e and 10f, respectively). The total mean mean semidiumal IT variance and the mean SCVF<sub>15</sub> for each group are shown in Table 2. Again, the divergence between the two datasets appears enhanced south of 50° S. Moreover, contrarily to moorings, the HYCOM SCVF<sup>15</sup> is noticeably the SCVF<sub>15</sub> is larger in the Southern Ocean than elsewhere for HYCOM, but smaller for the moorings. This indicates a weaker, or slower, decorrelation of the IT in HYCOM in the Southern Ocean than in the global ocean.

The slower decorrelation of the IT in the simulation can be explained by some decorrelating processes, such as eddies or submesoscale variability, being weaker in HYCOM than in the real ocean. It could also be explained by the time variability of certain decorrelating processes. Our numerical simulation only spans May 20 to June 20, 2019. Therefore, it is potentially missing processes that would specifically occur or intensify at another time of the year (or in a different year). On the other hand, the mooring data span several decades. Thus, our single month of data from HYCOM may not be representative of the broader temporal sampling of the mooring data.

The spatial distribution of the moorings is sparse and tends to be denser in particular areas (e.g. the Gulf Stream region). This is all the more true south of  $50^{\circ}$  S, where much fewer moorings are available than in the northern region, and where they are mostly located in the Drake Passage. For both these reasons, the mean autocovariances computed from moorings north and especially south of  $50^{\circ}$  S cannot be considered truely truly representative of the IT in these vast regions. Nonetheless, they remain representative of the collection of geographical bins used to construct them.

## 4.3 Apparent decorrelation

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In Sect. 4.1 we demonstrated that the motion of the floats has no <u>significant</u> impact on the measured total variance of the IT. However, the Doppler effect and spatial decorrelation induced by the Lagrangian sampling of the floats both act as decorrelating

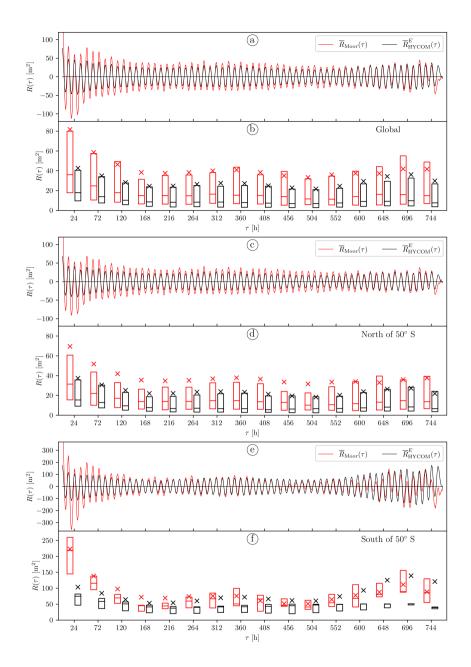


Figure 10. (a) Global mean autocovariance computed as the average of the local mean autocovariance series from the HYCOM particles over the geographical bins presented in Fig. ???9b (solid black curve), and global mean autocovariance from the moored instruments computed in the same way (solid red curve); (b) Boxplots of the observed distribution of the complex demodulates at the semidiurnal frequency of the local mean autocovariances for the collection of geographical bins presented in Fig. ???9b as a function of time lag. Each boxplot is constituted by consists of a rectangle extanding from the first quartile to the third quartile of the data, with a line at the median and a cross at the mean. For a given time lag, the red and black boxplots, offset on either side of the time lag value, represent the distribution of the demodulates computed over the 48-h window centered on that time lag value from moorings and HYCOM, respectively. (c) and (d) Same as (a) and (b), respectively, but using only the 144-150 geographical bins north of 50° S. (e) and (f) Same as (a) and (b), respectively, but using only the 144-13 geographical bins south of 50° S.

**Table 2.** Summarizing numerics of the autocovariance series plotted in Fig. 10.

		Mooring	HYCOM	HYCOM/Mooring
Global	$\sigma_{ ext{SD}}^2$	83-82 m <sup>2</sup>	40-43 m <sup>2</sup>	0.480.52
Groour	$SCVF_{15}$	0.50	0.64	<del>1.28</del> -1.27
North of 50° S	$\sigma_{ ext{SD}}^2$	$71_{-69}$ m <sup>2</sup>	$\frac{36-37}{2}$ m <sup>2</sup>	0.510.54
Troitin of 50° B	$SCVF_{15}$	0.55	0.62	<del>1.13</del> - <u>1.14</u>
South of 50° S	$\sigma_{ ext{SD}}^2$	$\frac{213}{223} m^2$	84-103 m <sup>2</sup>	0.400.46
South of 00 B	SCVF <sub>15</sub>	0.35_0.34	0.75-0.70	<del>2.16</del> <u>2.05</u>

processes causing the autocovariance to decay at longer time lags with increasing time lag (Geoffroy and Nycander, 2022). Following Caspar-Cohen et al. (2022), we call this mecanism mechanism apparent decorrelation, as it is unrelated to the intrinsic decorrelation of the propagating IT. Geoffroy and Nycander (2022) estimated the apparent decorrelation timescale to be longer than that of the observed Lagrangian autocovariance function autocovariance function observed by Argo floats, on a global average. Thus, they concluded that the decay of the global mean autocovariance observed by Argo floats is primarily due to the intrinsic decorrelation of the IT.

The HYCOM data allow to study this by directly comparing the global mean autocovariance computed in the Lagrangian and Eulerian frameworks (see Fig. 11a and 11b). As expected, the Lagrangian ( $\overline{R}_{\rm HYCOM}^L$ , solid black curve) and Eulerian ( $\overline{R}_{\rm HYCOM}^E$ , solid red curve) autocovariances are virtually identical until  $\tau \simeq 50$  h. Past this limit, the more rapid decay of  $\overline{R}_{\rm HYCOM}^L$  can only be caused by the apparent decorrelation, while  $\overline{R}_{\rm HYCOM}^E$  continues to solely reflect the intrinsic decorrelation of the IT. Our aim in the present section is to find estimates for the characteristic timescales of the apparent and intrinsic decorrelation decorrelation and decorrelation of the IT in HYCOM and compare it with observations.

Taking inspiration from Geoffroy and Nycander (2022) and Caspar-Cohen et al. (2022), we try a simple model for the complex demodulate at the semidiurnal frequency of the Eulerian autocovariance computed over a time lag window centered on  $\tau'$ :  $\tau$ :

$$C_{\rm SD}^{E}(\tau') = \sigma_{\rm SD}^{2} \left(\alpha + (1 - \alpha) \exp(-\tau'/T_{\rm int})\right) + \sigma_{\rm AM}^{2} \left(\cos(\omega_{\rm AM}\tau') - 1\right). \tag{5}$$

Here,  $\sigma_{\rm SD}^2$  is the total semidiumal internal tide variance,  $\alpha$  is the stationary fraction,  $T_{\rm int}$  is the intrinsic T is the IT decorrelation timescale, and  $\sigma_{\rm AM}^2$  and  $\omega_{\rm AM}$  are the variance and angular frequency of an amplitude modulating sinusoidal, respectively. A heuristic model for the demodulate of the corresponding Lagrangian autocovariance is then

$$C_{\rm SD}^L(\tau') = C_{\rm SD}^E(\tau') \exp(-\tau'/T_{\rm app}), \tag{6}$$

where  $T_{\rm app}$  is the apparent decorrelation timescale.

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A constrained least squares fit of the model Eq. (5) to the complex demodulate series computed from  $\overline{R}_{HYCOM}^E$  (red crosses in Fig. 11b) yields  $T_{int} = 112T = 104$  h. The fitted model as well as the exponential decay due to the intrinsic IT decorrelation

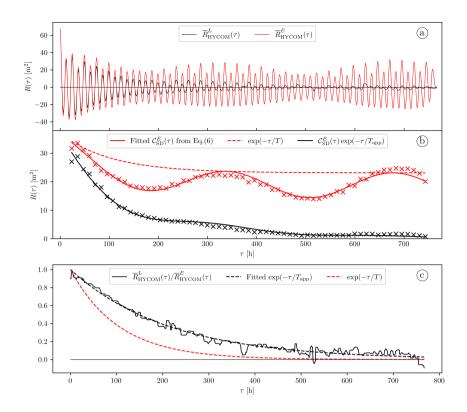


Figure 11. (a) Global mean autocovariance at 1,000 dbar computed from the global collection of HYCOM derived  $\eta^L$  (solid black curve) and  $\eta^E$  (solid red curve) time series, and (b) corresponding complex demodulates at the semidiurnal frequency and their 95% C. The uncertainties are vanishingly small (not shown). The solid and dashed red curves represent the result of fitting the model Eq. (5) to the Eulerian demodulates and the underlying exponential decay due to the intrinsic decorrelation of the IT, respectively. The solid black curve corresponds to the model Eq. (6) with the different parameters set as described in the text. (c) Median filtered ratio of  $\overline{R}_{\rm HYCOM}^L$  (solid black curve) and fitted decaying exponential with the apparent decorrelation timescale (dashed black curve). For reference, we overlay a decaying exponential with the intrinsic IT decorrelation timescale obtained by fitting the model Eq. (5) to the Eulerian demodulates (dashed red curve).

390 (i.e., only the term proportional to  $\sigma_{\rm SD}^2$ ) are represented in Fig. 11b as the solid and dashed red curves, respectively. On a global average, the complete 95% of the decorrelation of the nonstationary IT in HYCOM is therefore achieved within  $3T_{\rm int} \sim \mathcal{O}(400) \, \text{h} \, T \sim 300 \, \text{h} \, (\text{for exp}(-3) \sim 0.05)$ , i.e. well under the 32 days of data.

By dividing  $\overline{R}_{\rm HYCOM}^L$  by  $\overline{R}_{\rm HYCOM}^E$ , we can isolate the effects of the apparent decorrelation on  $\overline{R}_{\rm HYCOM}^L$ . In Fig. 11c we plot this ratio after applying a median filter with a window of 18 h (solid black curve). A least squares fit of a simple decaying exponential to the obtained curve yields an apparent decorrelation timescale  $T_{\rm app} = 213T_{\rm app} = 213$  h (dashed black curve). For verification, we compute the Lagrangian  $\mathcal{C}_{\rm SD}^L$  from Eq. (6), by multiplying the fitted model of  $\mathcal{C}_{\rm SD}^E$  (solid red curve Fig. 11b) by the fitted exponential decay due to the apparent decorrelation (dashed black curve in Fig. 11c). The result (solid black curve

in Fig. 11b) closely follows the demodulated Lagrangian autocovariance (black crosses in Fig. 11b). The different parameters obtained from the Eulerian and Lagrangian simulated data are summarized in Table 3. Note that the amplitude modulating sinusoid is close to the spring-neap cycle, here  $\omega_{\rm AM} \sim |\omega_{\rm M_2} - \omega_{\rm S_2}| = 0.0177~{\rm h}^{-1}$ .

**Table 3.** Summary of the parameters estimated from the simulated Eulerian and Lagrangian data. These values are used to compute  $C_{SD}^L$  from the model Eq. (6) (solid black curve in Fig. 10b).

$\sigma_{ m SD}^2$	α	$T_{ m int}$ $T_{ m int}$	$\sigma_{ m AM}^2$	$\omega_{ m AM}$	$T_{\rm app}$
$37 \text{ m}^2$	0.61-0.63	<del>112</del> -105 h	$4 \text{ m}^2$	$0.0186  h^{-1}$	213 h

Lastly, we fit the model Eq. (6) and (5) to the demodulates of the global mean autocovariance computed from all the Argo floats available (see Fig. 12, updated from Geoffroy and Nycander, 2022). We emphasize that the The geographical coverage of the Argo data is less than that of HYCOM, but we are simply interested in a qualitative comparison of the two. The fitted parameters are gathered in Table 4. The value values of  $T_{\rm app}$  and  $T_{\rm int}$  T obtained here, from Argo data only, are similar to that those reported by Geoffroy and Nycander (2022), where the authors estimated it from a comparable collection of Argo floats and HRET (in particular, the stationary limit was determined from HRET instead of by fitting). The fitted stationary fraction  $\alpha$ , however, is about 3 times larger than previously reported. This could be explained by a biased low stationary variance (obtained by projecting HRET at 1,000 dbar) in Geoffroy and Nycander (2022). As from the simulated data, we recover a  $T_{\rm int}$  fitting our model to the Argo global mean demodulates yield a T shorter than  $T_{\rm app}$ . While the Argo  $T_{\rm app}$  is almost identical to the HYCOM value,  $T_{\rm int}$   $T_{\rm int}$  is about twice smaller.

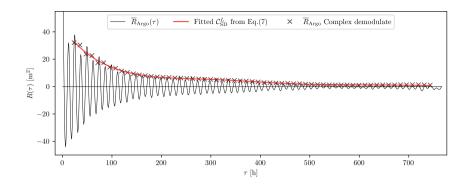
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**Table 4.** Parameters from the fitting of the model Eq. (6) to the demodulates of the global mean autocovariance computed from Argo data (see Fig. 12).

$\sigma_{ m SD}^2$	$\alpha$	$T_{ m int} T$	$\sigma_{ m AM}^2$	$\omega_{ ext{AM}}$	$T_{\rm app}$
48 $44$ $m2$	0.44 0.45	<del>54</del> 64 h	$3 \text{ m}^2$	$0.0181 - 0.0182 \text{ h}^{-1}$	<del>220</del> -230 h

Similarly to Geoffroy and Nycander (2022), we conclude that the intrinsic decorrelation decorrelation of the IT is more rapid than the apparent decorrelation on a global average. Yet, according to the simulated data, it is only more rapid by a factor of 2(a factor of , while this factor is 4 according to the Argo data). Hence, the intrinsic decorrelation some decorrelating processes appear to be weaker in the simulation than in the real ocean. As explained in Sect. 4.2, the slower decorrelation of the IT in HYCOM could also be explained by certain decorrelating processes being weaker than usual in the May 20 to June 20, 2019 period of outputs used here. Nevertheless, the decorrelation of the IT typically is at least as important as the apparent one decorrelation over the first few days of time lag. This result might not hold true everywhere, since the geographical variability of  $T_{int}$  and  $\alpha$  is expected to be large.



**Figure 12.** Global mean autocovariance at 1,000 dbar computed from all the Argo floats available (solid black curve), and corresponding complex demodulates at the semidiurnal frequency and their 95% C.I. (black crosses). The uncertainties are vanishingly small (not shown). The solid red curve represents the result of fitting the model Eq. (6) and (5) to the demodulates.

## 4.4 Potential sources of bias

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Why is the IT variance lower and IT intrinsic decorrelation weaker in HYCOM than in the observations, particularly in the Southern Ocean? At the time of writing we cannot think of a particular reason for either the Argo or the mooring derived IT variance to be biased high globally. We did investigate whether the bias in the Southern Ocean could be related to the contamination of the first 48-h demodulate at  $\omega_{\rm SD}$  by near-inertial waves as we approach the  $M_2$  critical latitude (where  $f = \omega_{\rm M_2}$ , at about 74° S). To check this, we can map the semidiurnal IT variance from the Argo data anew (as shown in Fig. 6c), this time fitting an additional  $\cos(f\tau)$ , where f is the local Coriolis frequency, to the local mean autocovariances. The result of the fit becomes unstable at 74° S, but the zonal mean of the demodulates at f does reach a maximum around 60° S while the zonal mean of the demodulates at  $\omega_{\rm SD}$  remains unaffected (not shown). We conclude that the first 48-h demodulate at the semidurnal frequency is not affected by near-inertial waves, at any latitude.

As far to for the model, equatorward of  $\pm 50^{\circ}$  latitude and for seafloor depths deeper than 1250 m, the horizontal grid spacing allows the correct resolution limits the number of vertical modes  $\pm 10^{\circ}$  correctly resolved to the first five modes (Buijsman et al., 2020). Approaching the poles, the number of model layers below the mixed layer, and hence the vertical resolution, decreases. This further limits the number of resolved modes in HYCOM south of  $50^{\circ}$  S: roughly, modes 3 and 2 are only partially resolved poleward of  $60^{\circ}$  S and  $65^{\circ}$  S, respectively. Although the bulk of the IT variance at 1,000 dbar is likely related to mode-1 waves on a global average (Geoffroy and Nycander, 2022), the contribution from higher modes can become significant locally. In principle, both Argo floats, moorings, and HYCOM data include the effect of higher modes, but these are less well resolved in HYCOM, particularly in the Southern Ocean. Together with the general idea assumption that higher-mode IT are less coherent (Egbert and Ray, 2017), this may explain the lower IT variance and weaker IT intrinsic decorrelation in HYCOM than in the observations, both on a global average and more specifically in the Southern Ocean. It is also in line with the larger mean SCVF<sub>15</sub> computed from HYCOM data in the Southern Ocean compared with the rest of the globe (indicating a weaker decorrelation there), whereas for moorings the SCVF<sub>15</sub> is smaller in this region (see Table 2).

The mode-m vertical structure of the isopycnal displacement  $\Phi_m(z)$  is obtained by solving the Sturm-Liouville problem

$$\frac{\mathrm{d}^2 \Phi_m(z)}{\mathrm{d}z^2} + \frac{N^2(z)}{c_m^2} \Phi_m(z) = 0,\tag{7}$$

with the boundary conditions  $\Phi_m(0) = \Phi_m(-H) = 0$ , where H is the ocean depth, N(z) is the buoyancy frequency profile, and  $-1/c_m^2$  is the eigenvalue corresponding to the eigenfunction  $\Phi_m(z)$  for mode-m (Gill, 1982). The modal partitioning of the IT energy at a given location is mainly determined by the conversion rate (both local and remote) and lifetime of each mode (de Lavergne et al., 2019). Additionally, depending on the local stratification and ocean depth, the parking depth at 1,000 dbar can be more or less close to the anti-node (point of maximal displacement) and node (point of no displacement) of the different vertical modes. Therefore, the normalized contribution of mode-m relative to mode-1 waves to the variance recorded at this depth is weighted by a coefficient  $\gamma_{m1}$ . In Appendix B of Geoffroy and Nycander (2022), the authors derived an expression for this coefficient:

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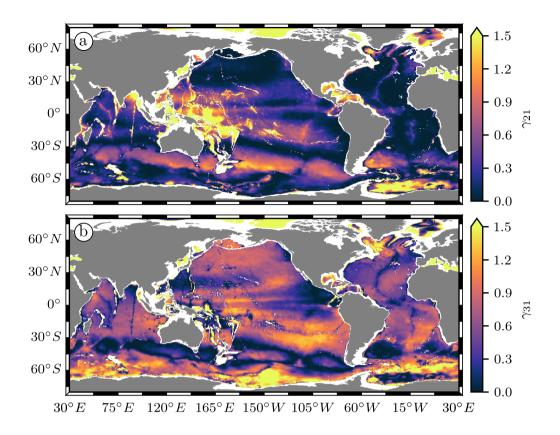
$$\gamma_{m1}(z) = \frac{\Phi_m^2(z) \int_{-H}^0 N^2 \Phi_1^2 dz}{\Phi_1^2(z) \int_{-H}^0 N^2 \Phi_m^2 dz}.$$
(8)

In Fig. 13a and 13b we plot the global maps of  $\gamma_{21}$  and  $\gamma_{31}$  at 1,000 dbar, respectively, computed from Eq. (8) after solving the eigenvalue problem Eq. (7) for the  $1/4^{\circ}$  WOA18 summer climatology. Here, we extended the climatology extrapolated the climatological data down to the reference bathymetry from the General Bathymetric Chart of the Oceans 2019 (GEBCO, 2019), wherever deeper, by appending wherever the bathymetry is deeper than the deepest valid climatological record. A visual comparison with Fig. 7b in the Southern Ocean suggests that low HYCOM to Argo IT variance ratios (darker blue pixels in Fig. 7b) spatially coincide with large  $\gamma_{31}$ , mostly, or  $\gamma_{21}$  to some extent (especially in the Weddell Sea). South of  $60^{\circ}$  S, either  $\gamma_{31}$  or  $\gamma_{21}$  is larger than unity, hence the contribution from mode-3 or mode-2 waves, respectively, to the IT variance recorded at 1,000 dbar is magnified compared to the contribution from mode-1 waves. However, modes 2 and up higher are not well resolved in HYCOM at these latitudes.

The magnification of the contributions from modes 2 and 3 to the variance at 1,000 dbar in the Southern Ocean only affects how propagating IT are perceived at the Argo parking depth. This has no connection with the generation and dissipation processes that set the underlying modal partitioning of the IT energy. Additional explanations might be found by examining whether the main parameters affecting the generation of IT (namely the bottom topography, barotropic tidal forcing, and stratification) are less accurate in this region than in the rest of the globe.

The pattern of the enhanced discrepancies between Argo and HYCOM in the Southern Ocean (darker blue in Fig. 7) could be correlated with the distribution of bathymetric features. For instance, the large values around 45° S, 105° W in the South Pacific are centered on the Chile Rise, a known IT generation area. To date, only about 19% of the global ocean seafloor has been mapped using shipborne techniques. Therefore, global bathymetric products widely rely on depth prediction from satellite gravimetry (Smith and Sandwell, 1994). Small scale features such as abyssal hills are not resolved by this technique.

In the recent SRTM15+ bathymetry (Tozer et al., 2019), gravity predicted depths were estimated to have root-mean-square (RMS) uncertainties and maximum error of the order of  $\pm 150$  m and 1800 m, respectively (based on 50 cruises distributed globally). With about 22% seafloor coverage by high quality direct measurements, the International Bathymetric Chart of



**Figure 13.** (a) Weight of the normalized contribution of mode-2 relative to mode-1 waves to the IT variance recorded at 1,000 dbar, computed from the WOA18 stratification. (b) Same as (a) but for the relative contribution of mode-3 waves.

the Southern Ocean v2 (Dorschel et al., 2022, IBCSO) is the state of the art bathymetric chart south of 50° S. A cell by cell comparison between IBSCO v2 and SRTM15+ v2.2 showed marked disparities, in particular for water depths between -4000 m and -1000 m with differences reaching up to 1700 m (Dorschel et al., 2022). Most of the bathymetric errors only affect the generation of high-mode IT which are bound to dissipate locally. Note that HYCOM may not resolve these high-mode IT anyway, as discussed in the present section. Still, the less frequent errors of the order of thousands of meters are likely to impact affect the generation of low-mode IT.

Efforts have been made to improve the accuracy of the M<sub>2</sub> barotropic tides embedded in HYCOM. The simulation used in this study incorporates the framework presented in Ngodock et al. (2016) aiming at minimizing the tidal elevation RMS errors with respect to TPXO, a state of the art data-assimilative tide model (Egbert and Erofeeva, 2002). However, the tidal elevations in TPXO itself also have errors. Both Stammer et al. (2014) and Zaron and Elipot (2021) point at the imperfection of the modeled tidal elevations near Antarctica (using data from GRACE and CryoSat-2, respectively). On the other hand, it is not so much the sea surface height that matters here, but the tidal currents. Zaron and Elipot (2021) used surface drifter

observations for evaluating TPXO predicted tidal currents throughout much of the global oceans. Unfortunately, the spatial density of observations is too poor to evaluate the model performance around much of Antarctica.

Lastly, to assess the stratification in HYCOM, we compare the phase speed of a mode-1 gravity wave in the model with the phase speed determined from climatology. The phase speed of a mode-m gravity wave  $c_m$  is directly related to the eigenvalue  $-1/c_m^2$  obtained by solving the Sturm-Liouville problem Eq. (7). Having already solved Eq. (7) for the climatology, we now solve it for our simulated monthly-mean 3D fields of temperature and salinity. As for the climatology, the HYCOM data were extended down to the reference bathymetry from GEBCO 2019, wherever deeper, by appending the stored bottom value. We then linearly interpolated the climatological phase speed of a mode-1 gravity wave  $c_1^{\text{WOA}}$  onto the coarser HYCOM grid.

In Fig. 14a we plot the climatology to HYCOM phase speed ratio. Most of the visible differences fall in the range of expected interanual variability of less than 10% (Chelton et al., 1998). However, in a few areas around Antarctica there are larger departures of the ratio from unity. Fig. 14b shows the zonal mean of the mode-1 phase speed from Argo and HYCOM as a function of latitude. Equatorward of ±60°, the two curves agree almost perfectly, and they also visually agree with the results of Chelton et al. (1998) (not shown). Poleward of 60° S and 60° N, however, differences steadily grow. In the Southern Ocean, the zonal mean climatology to HYCOM phase speed ratio peaks at 1.7 (see Fig. 14c). Typically, weaker stratification (smaller phase speed) results in smaller energy conversion.

In terms of wavelength (roughly  $\propto 1/c$ ) the latter ratio is reversed, with HYCOM being biased high in the Southern Ocean, on a zonal mean. The energy conversion from the barotropic tide to the mode-m baroclinic tide mostly occurs where the bathymetry horizontal wavelength is comparable to the mode-m wavelength. Hence, a biased mode-m wavelength in HYCOM results in a biased energy conversion from the barotropic tide to the mode-m IT.

## 5 Conclusions

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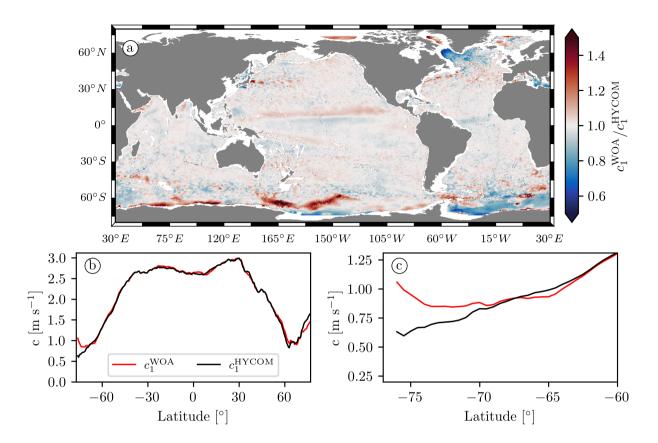
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In this work we compared a 32-day segment of a global run with of the HYCOM model, including realistic tidal and atmospheric forcing, to with in situ observations of the semidiurnal IT around 1,000 dbar. First, a Lagrangian sampling of the simulation is compared to Argo floats was compared to park phase data from Argo floats to validate the geographical variability of the total variance of the semidiurnal IT semidiurnal IT variance in HYCOM (see Sect. 4.1). Then, the Eulerian simulation outputs are were directly compared to geographically sparser mooring records, in terms of total variance and intrinsic variance and decorrelation of the IT (see Sect. 4.2).

The main spatial patterns of the simulated IT variance at 1,000 dbar broadly agree with Argo observations, with energy radiating away from low-mode IT generation hotspots (see Fig. 6). Nonetheless, on a global average, the HYCOM data exhibit a smaller total semidiurnal IT variance than observed by Argo floats, by a factor of 0.600.57 (see Table 1). This is in line with the results of Ansong et al. (2017) and Luecke et al. (2020), who found HYCOM values to be biased low by a similar factor when comparing the simulated  $M_2$  IT energy flux and temperature variance to historical mooring observations.

While the difference between the model and Argo data appears reasonably homogeneous across most of the world ocean, it steadily increases towards the poles (see Fig. 7). Because of the scarcity of Argo floats available in the northmost region, we



**Figure 14.** (a) Ratio of the phase speeds of a mode-1 gravity wave computed from the WOA18 and HYCOM stratification profiles. (b) Zonal mean of the phase speed of a mode-1 gravity wave from WOA18 (solid red curve) and HYCOM (solid black curve) as a function of latitude. (c) Same as (b) but zoomed in between 77° S and 60° S.

focus focused on the Southern Ocean. On average, south of 50° S, we find that the Argo derived total found that the simulated semidiurnal IT variance is elose to 4 times larger than the simulated onesmaller than the variance observed by Argo by a factor 0.28. North of 50° S, this factor is 1.50.68 (see Table 1).

The mooring data support the above results for the total semidiurnal IT variance. Additionally, we find found that the decorrelation affecting the semidiurnal IT in HYCOM over a 32-day window is weaker than observed in the mooring records, on average (see Fig. 10 and Table 2). In other words, over timescales shorter than 32 days, the IT in HYCOM are more coherent than in observations. We emphasize that, depending This weaker decorrelation of the IT in HYCOM can be explained by some decorrelating processes being weaker in the model than in the real ocean. It could also be explained by certain decorrelating processes being unusually weak in the May 20 to June 20, 2019 period of outputs used in this work. Depending on the location, the complete decorrelation of the nonstationary IT is not systematically observable in a 32-day duration. Longer time series are thus needed to accurately describe the intrinsic decorrelation of decorrelation of the IT. Nonetheless, we find found that

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the semidiurnal IT autocovariance in HYCOM actually become stationary within  $\mathcal{O}(400)$  reaches its stationary limit within approximately 300 h on a global average, i.e. well under our 32 days of simulated data (see Fig. 11). Put together, these results support the conclusions of Buijsman et al. (2020), who found that the stationary (i.e., the longterm coherent)  $M_2$  IT solution from HYCOM is too energetic compared with altimetry. Note that their comparison was based on one-year simulated time series corrected for the duration difference with 17-year long altimetry records.

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We also investigate investigated the effects of the Lagrangian sampling inherent to the Argo floats. When comparing autocovariances computed from the HYCOM data sampled in the Lagrangian and Eulerian frameworks, respectively, we find found the total variance to be unaffected in the mean (see Fig. 5). Moreover, the simulated apparent decorrelation (the decorrelation due to the motion of a Lagrangian particle) is seen to agree agrees very well with the apparent decorrelation experienced by Argo floats, on a global average (see Sect. 4.3). The intrinsic decorrelation of IT IT decorrelation in the simulated data, on the other hand, typically is half as rapid as observed by Argo floats. This would make the intrinsic IT decorrelation at least as important as the apparent one over the first few days of time lag. Note, however, that However, the geographical variability of the duration and strength of the intrinsic decorrelation is expected to be large.

Finally, we discussed the potential sources of bias. We cannot could not think of a particular reason for the IT variance obtained from either the Argo or the mooring data to be biased high, particularly in the Southern Ocean. However, HYCOM is subject to various limitations. First and foremost, the model can only correctly resolve vertical modes up to 5 in most of the global oceans. Approaching the poles, the reduced number of layers limits further further limits the number of resolved modes. While mode-1 IT supposedly account accounts for most of the tidal variability at 1,000 dbar on a global average (Geoffroy and Nycander, 2022), our in situ instruments also record the contribution from higher modes that can become significant locally. This may explain why the IT variance is larger in the in situ data than in HYCOM, particularly in the Southern Ocean. Additionally, we discuss Insufficient model stratification also seems to be a specific problem in that very region. We have not been able to quantitatively explain the overall smaller IT variance in HYCOM than in the in situ data over the global ocean. In principle, it could be due to limitations in the modeled bathymetry, barotropic tidal forcing, and stratification in the Southern Ocean. These could perhaps play a role for the discrepancy with observations we find south of 50° S. or the stratification.

Code and data availability. Argo data were obtained from U.S. GDAC (ftp://usgodae.org/pub/outgoing/argo). These data were collected and made freely available by the International Argo Program and the national programs that contribute to it. (https://argo.ucsd.edu, https://www.ocean-ops.org). The Argo Program is part of the Global Ocean Observing System. An Argo Iridium float list is maintained by Stephen C. Riser (http://runt.ocean.washington.edu/argo/heterographs/rollcall.html). The code used to download and process the Argo data is available at https://doi.org/10.57669/geoffroy-2022-argoit-1.0.0 (Geoffroy, 2022a). A global map of the total semidiurnal internal tide variance at 1,000 dbar produced using the latter code is available at https://doi.org/10.17043/geoffroy-2022-argoit-1 (Geoffroy, 2022b). There is no long term availability plan for the HYCOM data used in this work. Climatological data were obtained from the World Ocean Atlas 2018 (https://accession.nodc.noaa.gov/NCEI-WOA18). Bathymetric data were obtained from the GEBCO Compilation Group (https://www.gebco.net/data\_and\_products/gridded\_bathymetry\_data/). The Global Multi-Archive Current Meter Database is is not publicly available but can be obtained through request (http://stockage.univ-brest.fr/~scott/GMACMD/gmacmd.html). Netcdf versions of the baroclinic tidal

harmonic constants from the High Resolution Empirical Tide model are made available by Edward D. Zaron (https://ingria.ceoas.oregonstate.edu/~zarone/downloads.html).

Author contributions. Gaspard Geoffroy: Conceptualization, Formal analysis, Project administration, Software, Visualization, Writing - original draft, Writing - review & editing. Jonas Nycander: Conceptualization, Funding acquisition, Writing - original draft, Writing - review & editing. Maarten C. Buijsman: Project administration, Writing - review & editing. Jay F. Shriver: Formal analysis, Resources, Software. Brian K. Arbic: Writing - review & editing.

Competing interests. The authors declare that they have no conflict of interest.

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