The Permafrost and Organic LayEr module for Forest Models (POLE-FM) 1.0

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Abstract. Climate change and increased fire are eroding the resilience of boreal forests. This is problematic because boreal vegetation and the cold soils underneath store approximately 30% of all terrestrial carbon. Society urgently needs projections of where, when, and why boreal forests are likely to change. Permafrost (i.e., subsurface material that remains frozen for at least two consecutive years) and the thick soil-surface organic layers (SOLs) that insulate permafrost are important controls of
15   boreal forest dynamics and carbon cycling. However, both are rarely included in process-based vegetation models used to simulate future ecosystem trajectories. To address this challenge, we developed a computationally efficient permafrost and SOL module named the Permafrost and Organic LayEr module for Forest Models (POLE-FM) that operates at fine spatial (1 ha) and temporal (daily) resolutions. The module mechanistically simulates daily changes in depth to permafrost, annual SOL accumulation, and their complex effects on boreal forest structure and functions. We coupled the module to an established
20   forest landscape model, iLand, and benchmarked the model in interior Alaska at spatial scales of stands (1 ha) to landscapes (61,000 ha) and over temporal scales of days to centuries. The coupled model could generated intra- and inter-annual patterns of snow accumulation and active layer depth (portion of soil column that thaws throughout the year) generally consistent with independent observations in 17 instrumented forest stands. The model was also skilled at representeding the distribution of near-surface permafrost presence in a topographically complex landscape. We simulated 394.36% of forested area in the
25   landscape as underlain by permafrost; compared a close match to the estimated 33.4% from the benchmarking product. We further determined that the model could accurately simulate moss biomass, SOL accumulation, fire activity, tree-species composition, and stand structure at the landscape scale. Modular and flexible representations of key biophysical processes that underpin 21st-century ecological change are an essential next step in vegetation simulation to reduce uncertainty in future projections and to support innovative environmental decision making. We show that coupling a new permafrost and SOL
30   module to an existing forest landscape model increases the model's utility for projecting forest futures at high latitudes. Process-based models that represent relevant dynamics will catalyze opportunities to address previously intractable questions about boreal forest resilience, biogeochemical cycling, and feedbacks to regional and global climate.

1 Introduction

The boreal forest is warming at a rate at least twice the global average (IPCC, 2021; Chylek et al., 2022), which can
reduce fuel moisture and causeing climate-sensitive disturbances, like forest fire, to increase (Seidl et al., 2020; Walker et al.,
2020). Together, pronounced warming and larger, more severe fires are initiating abrupt changes in forest cover, structure,
functions, and tree-species composition (Johnstone et al., 2010a; Alexander and Mack, 2016; Walker et al., 2019; Mack et al.,
2021; Baltzer et al., 2021); trends that will likely continue for at least the next several decades (Mekonnen et al., 2019; Foster
et al., 2019, 2022). This is important because biophysical properties of the boreal forest underpin feedbacks to regional climate
(Foley et al., 1994; Chapin et al., 2008; Rogers et al., 2013; Potter et al., 2020), and ~30% percent of all terrestrial organic
carbon stocks are stored in the biome (Lorenz and Lal, 2010; Schurr et al., 2018). Some portion of those stocks could be
released to the atmosphere and further accelerate warming (Anderegg et al., 2022). Thus, society urgently needs projections
of where, when, and why the boreal forest will change.

Ecological legacies are the organismal adaptations (i.e., information), physical materials, and energy that persist in
ecosystems through multiple disturbances (Ogle et al., 2015). Legacies will underpin how the boreal forest responds to climate
change and fire (Turetsky et al., 2016; Johnstone et al., 2016). For example, adaptive traits, like cone serotiny (cones that stay
closed for many years until heated by fire) and asexual resprouting, are information legacies that facilitate postfire forest
recovery (Johnstone et al., 2009, 2010a). Thick moss-dominated soil-surface organic layers (SOL) form over decades of
postfire forest development, and a portion often escapes burning in the subsequent fire, leading to accumulation of SOL over
multiple fire cycles (Walker et al., 2018). This serves as a physical legacy that preserves permafrost (subsurface material that
remains frozen for at least two consecutive years) (Kasischke and Johnstone, 2005; Jorgenson et al., 2010) and shapes tree
species composition by controlling seedling germination and establishment (Johnstone et al., 2020). In conjunction with
insulative physical legacies, energy legacies of past temperature regimes also maintain permafrost underneath forests where
current air temperature would otherwise not support it (Schuur and Mack, 2018).

Physical and energy legacies underpin spatio-temporal patterns of permafrost at multiple scales. At the biome scaleIn
the boreal forest of North America, permafrost is continuous in the north, becomes discontinuous, sporadic, and is then
eventually absent from the southern boreal forest in the south (Obu et al., 2019). Within the discontinuous zone, the permafrost
distribution is heterogeneous, varying on fine spatial scales with topography, dominant forest type, and fire history (Brown et
al., 2016; Gibson et al., 2018). Permafrost dynamics are particularly important for shaping boreal forest structure and function
as well as hydrology (Turetsky et al., 2010; Baltzer et al., 2014; Dearborn and Baltzer, 2021). Within permafrost-affected soils,
a portion of the soil column termed the "active layer" undergoes an annual cycle of freezing and thawing. The annual maximum
active layer depth can vary from a few centimeters to several meters (Smith et al., 2022). This freezing and thawing determines
the seasonality, vertical distribution, and amount of plant-available soil water and influences nutrient availability (Abbott and
Jones, 2015; Young-Robertson et al., 2017).

65   In response to continued warming, annual maximum active-layer depth is predicted to increase, and the distribution of permafrost will likely contract, with large hydrologic and biogeochemical consequences (Pastick et al., 2015; Schuur and Mack, 2018). Increasing wildfire (Veraverbeke et al. 2017, Phillips et al. 2022) will also impact permafrost by combusting SOLs and altering treeforest regeneration pathways (Baltzer et al. 2021, Johnstone et al. 2010). However, permafrost and the legacies that affect its dynamics, are rarely considered in forest models. In fact, just a handful of models explicitly simulate
70 permafrost (Foster et al., 2019; Gustafson et al., 2020; Kruse et al., 2022), and those that do often operate at relatively coarse spatial (≥ 25 ha grid cells) and/or temporal (≥ monthly) resolutions (but see Kruse et al. 2022, which describes a permafrost module that runs with a five minute temporal resolution). This makes it difficult to capture the fine-scale spatial heterogeneity of permafrost distributions and the effects of daily temperature variability on plant water availability during short, but critical shoulder seasons. Further, most existing permafrost algorithms rely on computationally intensive numerical methods (Sitch et
75 al., 2003; Beer et al., 2007; Karra et al., 2014; Perreault et al., 2021; Yokohata et al., 2020; Westermann et al., 2016), limiting the spatio-temporal resolutions at which they can be applied, particularly across broad domains.

     To address this challenge, we present the Permafrost and Organic LayEr module for Forest Models (POLE-FM) that was designed to mechanistically simulate daily changes in active layer depth, annual SOL accumulation, and the associated ecological effects on boreal forests and fire at a fine spatial resolution (i.e., grain of ~1-ha) in a computationally efficient
80 manner (Fig. 1). When paired with a state-of-the-art forest model, such as iLand, the module allows for simulation of complex feedbacks among forests, fire, and permafrost dynamics in topographically complex landscapes under historical and future conditions. In this paper, we describe the module and benchmark its ability to represent permafrost and SOLs in forest stands to landscapes of interior Alaska across days to centuries.

2 Model description

85 **2.1 Permafrost and SOL module**

     The module represents daily changes in active layer depth and long-term trends (years to decades) in permafrost presence. Permafrost is represented based on physical principles of heat transport through vegetation and soil media with varying thermal resistances affected by soil moisture content. We incorporate the insulating effects of snow and deep SOLs and capture transient shifts between permafrost regimes (e.g., a transition from temporally continuous to sporadic permafrost
90 due to climate change). Moreover, we aimed for a computationally efficient approach that operates well within the runtime and memory constraints of forest models. The module tracks the energy fluxes that thaw and freeze water at the edge of the active layer (zero isoline, or the depth at which soil temperature is 0 °C), requires only a few state variables, and provides daily values of active layer depth with little computational overhead by avoiding iterative numerical approximations of differential equations.
95   To capture daily changes in active layer depth, we first estimate the thermal resistances R [m² W^{-1} K^{-1}] of snow (when present), SOL, and the mineral soil layer (Eq. 1).

$$R = \frac{Snow.Depth}{Snow.k} + \frac{SOL.Depth}{SOL.k} + \frac{M.Soil.Depth}{M.Soil.k} , \tag{1}$$

Snow depth is represented as a function of the precipitation that falls during days with mean air temperature below 0°C and the density of snow pack (set at 190 kg m^{-3}) (Bonan, 1991; Bennett et al., 2019). We set snow thermal conductivity, $Snow.k$, at 0.3 w m^{-1} K^{-1} (Cook et al., 2008). SOL depth is estimated based on the mass of live and dead mosses and litter pools in each grid cell. SOL thermal conductivity, $SOL.k$ is set at 0.09 w m^{-1} K^{-1} (Hinzman et al., 1991; O'Donnell et al., 2009).

Characteristics of the mineral soil layer that determine its conductivity are explicitly considered. We allow mineral soil thermal conductivity, $M.Soil.k$, to vary with soil texture and soil moisture. We derive mineral soil conductivity following the approach of Farouki (1981) as described in Bonan (2019) (Eq. 2).

$$M.Soil.k = M.Soil.k.dry + (M.Soil.k.sat - M.Soil.k.dry) * Ke , \tag{2}$$

Where $M.Soil.k$ is determined by linearly ramping between saturated conductivity, $M.Soil.k.sat$, and dry conductivity, $M.Soil.k.dry$, based on a factor, Ke, that varies with relative soil moisture and soil texture, represented separately for unfrozen (Eq. 3) and frozen (Eq. 4) soils.

$$Ke = \begin{cases} 1 + 0.7 * log_{10} * SE , \%Sand > 50 \\ 1 + log_{10} * SE \quad , \%Sand \le 50 \end{cases} \tag{3}$$

Where Ke is the Kersten number, and SE is the volumetric soil water content (VWC) relative to the volumetric soil water content at saturation (*VWC.sat*).

$$Ke = SE , \tag{4}$$

$M.Soil.k.dry$ is estimated from bulk density (Eq. 5).

$$M.Soil.k.dry = \frac{0.135pb + 64.7}{2700 - 0.947 * pb} , \tag{5}$$

Where $pb = 2700 * (1 - VWC.sat)$. $M.Soil.k.sat$ is estimated as a function of the conductivity of solids, water, and ice in the matrix, modeled separately for unfrozen (Eq. 6) and frozen (Eq. 7) soils.

$$M.Soil.k.sat = Ksolid^{1-VWC.sat} * Kwater^{VWC.sat}, \tag{6}$$

$$M.Soil.k.sat = Ksolid^{1-VWCsat} * Kice^{VWCsat}, \tag{7}$$

We assume $Kwater = 0.57$ and $Kice = 2.29$ W m^{-1} K^{-1}. Calculation of $Ksolid$ is calculated in Eq. 8

$$Ksolid = \frac{8.80 * (\%sand) + 2.92 * (\%clay)}{\%sand + \%clay}, \tag{8}$$

Using the total thermal resistance R from Eq. 1, we can then estimate the daily sum of energy flow ($Einput$; MJ day^{-1}) that reaches the zero isoline from the atmosphere above (Eq. 9).

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$$Einput = \frac{1}{R} * (Air.Temp - Temp.zero.isoline) * \frac{86400 \; sec \; day^{-1}}{1000000 \, J \, MJ^{-1}},$$

(9)

Where $Air.Temp$ is the daily mean air temperature , $Temp.zero.isoline$ = 0°C, and the constant converts from J s^{-1} to MJ day^{-1}. $Einput$ is then used to calculate the daily sum of water that thaws or freezes at the zero isoline based on the enthalpy (or latent heat) of fusion ($Ethaw$; 0.33 MJ liter^{-1} water). Eq. 9 is also used to estimate the daily energy flux from soil below

130   the active layer by replacing $Air.Temp$ with the temperature of the soil below. Deep soil temperatures, set at 5m, is assumed to be at equilibrium with mean annual air temperature of the previous decade (Riseborough, 2004).

We then model the daily amount of water that thaws or freezes, $delta.W.mm$ (Eq. 10).

$$delta.W.mm = \frac{Einput}{Ethaw},$$

(10)

Where $delta.W.mm$ is constrained to values between -10 and +10 mm (only 10mm of water is allowed to freeze or thaw

135   each day in order to avoid numerical instabilities close to the soil surface). Finally, the corresponding depth of soil that freezes or thaws each day in m, $delta.s.m$, is calculated (Eq. 11).

$$delta.s.m = \frac{delta.W.mm}{VWC.sat} * \frac{1}{1000},$$

(11)

Since frozen soil (and the water captured therein) is not accessible for plants, the actual water holding capacity of the soil is dynamically modified each day. If soil thaws in a given day, that freshly melted water is added to the soil water pool

140   and the capacity for soil to hold water increases. The approach described here also works for estimating seasonal thawing and freezing of soils in areas not underlain by permafrost.

The SOL component was adapted from Bonan and Korzuhin (1989) and Foster et al. (2019) and represents SOL depth as a function of annual moss net primary production, biomass accumulation, respiration, and turnover. It adds live and dead moss to the fuels for forest fires, and the depth of the SOL influences post-fire tree regeneration. Annual moss productivity is

145   simulated as a function of environmental scalars that represent effects of light attenuation through the forest canopy and moss layer and growth inhibition from fresh deciduous litter. The amount of light that reaches moss for photosynthesis attenuates with increasing forest canopy cover and with increasing moss biomass. Effects of light attenuation are represented by first calculating the amount of light available for photosynthesis in year t as $Light.avail_t$ (Eq. 12).

$$Light.avail_t = e^{-k*(LAI.forest_t + LAI.moss_t)},$$

(12)

150   Where k is the light extinction coefficient, set at 0.927 (Seidl et al., 2012a), $LAI.forest_t$ is the leaf area index (m^2 leaf area m^{-2} ground) of tree cover in year t. $LAI.moss_t$ is the leaf area index of moss in year t calculated as moss biomass multiplied by the specific leaf area of moss (1 m^2 kg^{-1}) (Foster et al., 2019) and

 The effect of light attenuation on moss productivity, $FLight.avail_t$, is then calculated (Eq. 13).

155 $$FLight.avail_t = \frac{(Light.avail_t - LR_{min})}{(LR_{max} - LR_{min})}, \qquad (13)$$

Where LR_{max} is the light saturation point, or the amount of light, relative to the light level above the canopy, above which, an increase in light does not increase moss GPP; set at 0.05. LR_{min} is the light compensation point, or the amount of light, relative to light level above the forest canopy, beyond which moss begins to photosynthesize; set at 0.01.

Field experiments show that fresh leaf litter from deciduous broadleaf tree species strongly inhibits moss productivity
160 (Jean et al., 2020). Such inhibitory effects, $FDecid_t$, are modeled as (Eq. 14).

$$FDecid_t = e^{-0.45*Decid.b_{t-1}}, \qquad (14)$$

When $Decid.b_t > 0$ or 1 when $Decid.b_t = 0$. Where $Decid.b_{t-1}$ is the fresh (previous year's) forest floor deciduous litter biomass in Mg ha^{-1}. A_t, annual assimilation by moss in year t (kg biomass m^{-2} leaf area) is then computed (Eq. 15).

$$A_t = A_{Max} * FLight.avail_t * FDecid_t, \qquad (15)$$

165 Where A_{Max}, the maximum moss productivity per unit leaf area, is 0.3 kg m^{-2} year^{-1} (Foster et al., 2019). We estimate effective assimilation in year t, $A.eff_t$ in kg kg^{-1} biomass. (Eq. 16).

$$A.eff_t = SLA * A_t, \qquad (16)$$

Moss productivity in year t, P_t, in kg m^{-2} biomass then depends on turnover, T_t, and respiration, R_t, in year t (Eq. 17-19).

170 $$P_t = A.eff_t * Moss.b_{t-1} - T_t - R_t, \qquad (17)$$

$$T_t = Moss.b_{t-1} * b, \qquad (18)$$

$$P_t = R_t = Moss.b_{t-1} * q, \qquad (19)$$

Where $Moss.b_{t-1}$ is the previous year's moss biomass in kg m^{-2} and b and q are empirical parameters set at 0.136 and 0.12, respectively (Foster et al., 2019). The moss biomass pool is updated (Eq. 20).

175 $$Moss.b_t = Moss.b_{t-1} + P_t, \qquad (20)$$

Note that the biomass pool can shrink if Pt becomes negative, e.g., due to a closing canopy.

Thickness of the live moss layer is calculated as biomass divided by a bulk density of 31 kg m^{-3} calculated from field observations described in Walker et al. (2020). Dead moss and forest floor litter layer thickness is calculated as biomass divided by bulk density, set at 91 kg m^{-3} (Walker et al., 2020).

180   The permafrost and SOL module is implemented in C++ for computational efficiency and is relatively compact (< 1,000 lines of code). It is compatible with PC, Linux, or Mac and full ~~full~~ source code and documentation is available under a GNU General Public License (GNU GPL www.gnu.org/licenses/gpl-3.0.html) (See code availability section).While the design is modular, we note that the complex feedbacks between vegetation, permafrost dynamics, and SOL accumulation may require some adaptations and code modifications when integrating our work in different forest models. Below, we detail the integration

185 into the individual-based forest landscape and disturbance model iLand (Seidl et al., 2012a).

2.2 Coupling the permafrost and SOL with iLand

        iLand simulates the growth and mortality of individual trees in spatially explicit landscapes as a function of canopy light interception, climate, nutrient availability, and disturbance (Seidl et al., 2012a, b). The model was originally designed to study effects of natural disturbances, like forest fire, on forest landscapes in the context of climate change (Seidl et al., 2012a).

190 Thus, iLand emphasizes representation of disturbances and the processes that underpin forest responses to disturbance, including tree-seed production and dispersal, abiotic filters of tree-seedling establishment, and multiple pathways of tree mortality (Seidl et al., 2012a, b; Hansen et al., 2018, 2020). For an exhaustive technical description of iLand, including carbon cycling and simulation of forest fire, see Appendix A and https://iland-model.org/, which includes full model source code.

        The proportion of moss biomass that turns over (dies) each year in the new module is fed into the litter layer of iLand's

195 decomposition module. iLand simulates decomposition as a function of climate and pool-specific carbon to nitrogen ratios (Seidl et al., 2012b). The C:N ratio of moss litter is set at 30 (Melvin et al., 2015). Together, live moss, dead moss and forest floor litter layers comprise the SOL in iLand. Wildfire ignition, spread, and severity are partially contingent on downed fuel availability in iLand (Seidl et al., 2014a), and we now include live and dead moss as available fuel in the fire module. When a grid cell burns, the combusted forest floor litter, dead moss, and live moss pools are subtracted from SOL depth.

200   The tree species that establish in years following fire shape multi-decadal successional trajectories (Seidl and Turner, 2022). The depth of burning in the SOL is an important determinant of seedling establishment success because the SOL is often dry and seedlings must expand their roots into mineral soil to access water (Johnstone and Chapin, 2006; Brown and Johnstone, 2012). We therefore included the effect of deep SOL as an additional limiting factor when calculating tree-seedling establishment in iLand. For each 1-ha iLand cell, the probability of establishment is scaled with a negative exponential function

205 following Trugman et al. (2016) (Eq. 21).

$$estab.p_t = e^{-c*SOL.depth_t}, \tag{21}$$

Where $estab.p_t$ is a multiplicative factor reducing the abiotic establishment probability in year t, $SOL.depth_t$ is the depth of the SOL (cm) in year t, and c is a species-specific shape parameter, set at 0.50 for trembling aspen (*Populus tremuloides* Michx.) and Alaskan birch (*Betula neoalaskana* Sarg.), 0.25 for white spruce (*Picea glauca* (Moench) Vass), and 0.15 for

210 black spruce (*Picea mariana* (P. Mill.) B.S.P.).

3 Model benchmarking

We used a pattern-oriented modeling framework (Grimm et al., 2005) to evaluate the new module by simulating forests of interior Alaska at stand and landscape scales over days to centuries. Pattern-oriented modeling is an approach to benchmarking where patterns of many variables operating at multiple temporal and spatial scales are compared to observational datasets. We chose interior Alaska because it is located in the discontinuous permafrost zone where permafrost presence, moss production, and SOL accumulation vary with dominant forest type, disturbance history, and topography. For example, areas dominated by mature black spruce in lowland valley bottoms and north facing slopes are generally underlain by permafrost and support a relatively productive forest-floor moss layer and thick SOLs. Upland and south-facing slopes are dominated by deciduous trembling aspen and Alaskan birch, which are often not underlain by permafrost, and moss is far less prevalent. White spruce also inhabits upland positions, on its own, or mixed with black spruce, and contains SOLs of intermediate thickness (Van Cleve and Viereck, 1981). The multiple interacting biotic and abiotic drivers of permafrost and moss productivity create complex landscape mosaics (Johnstone et al., 2010a) that we wanted to ensure the module could produce.

We first evaluated whether the module could generate reasonably realistic daily patterns of snow accumulation/melting and active layer thawing/freezing at the stand level. We then simulated a ~61,000 ha forested landscape to test whether the approach could realistically generate complex mosaics of near-surface permafrost presence, moss productivity, and SOL accumulation consistent with observations. To ensure robust simulations, we updated an existing iLand tree-species parameter set for interior Alaska (Hansen et al., 2021) (Table B1) and parameterized the iLand carbon cycle (Table B2) using values derived from the literature.

3.1 Temporal patterns of snow and active layer depth

To evaluate whether the module could generate realistic intra- and inter-annual patterns of snow accumulation and active layer depth, we selected 17 forested sites in interior Alaska that span approximately 700 km. the southernmost site sits along the Alaskan highway at the border between Canada and Alaska. The northernmost site is just south of the Brooks mountain range along the Dalton highway. that wereEach site was instrumented with temperature probes to measure daily soil temperature at depths of zero to six m between 2014 and 2018 (https://permafrost.gi.alaska.edu/sites_list). Seven of the sites were recorded as having an annual maximum active layer depth of less than 2 m (permafrost present). Ten of the sites had an annual maximum active layer deeper than 2 m (permafrost absent). We used the 2 m depth cutoff because it is the maximum effective soil depth assumed in iLand. The sites were initialized from field inventories covering the same domain selected to match the species composition recorded in the soil temperature database (Walker and Johnstone, 2014; Johnstone et al., 2020). Soil information used to initialize iLand were was extracted from the global SoilGrids250m V. 1.0 (for effective soil depth) and 2.0 (for % sand, silt, and clay) (Hengl et al., 2017). Relative soil fertility, expressed as plant available nitrogen, was set to 45 kg ha^{-1} yr^{-1} (Hansen et al., 2021). Depth of the SOL was not recorded in the soil temperature database for the 17 sites. Thus, we used photos from the instrumented sites and information on the dominant forest type to assign initial SOL depths to the

iLand stands. Sites where researchers recorded dominance of deciduous trees, or where SOLs appeared absent or shallow in photographs were assigned a depth of 0 or 0.07 m to match independent field estimates of SOL depths in deciduous forests located in the Tanana Valley near Fairbanks (Melvin et al., 2015). Sites dominated by black spruce, or where photographs suggested a deep SOL, were assigned a depth of 0.25 m based on field surveys of black spruce stands (Johnstone et al., 2010a). Stands dominated by white spruce were assigned an intermediate depth of 0.16 m.

Stands were simulated in iLand with 2001-2018 daily climate (minimum and maximum daily temperature, precipitation, shortwave solar radiation, and vapor pressure deficit) from the 1-km Daymet product (Thornton et al., 2021). We benchmarked simulated maximum annual snow depth and timing of snow melt for the period 2001-2017 (the period when snow observations were available) using a gridded snow product (Yi et al., 2020). This product was developed by integrating downscaled reanalysis data with satellite imagery to provide a continuous estimate of snow depth at 1-km spatial grain. When compared with a meteorological station network (SNOTEL), the gridded observational product had a RMSE of 0.32 m with a bias of -0.09 m in mid-elevations (400-800m) where 70% many of our forested sites were located, and a bias of 0.01m at low elevations (< 400m) where the rest of our sites were located (Yi et al., 2020).

We compared simulated and observed maximum annual daily changes in active layer depth for 2014-2018, the period where soil temperature observations were available, at the seven permafrost sites and maximum annual freezing depth for the 10 non-permafrost sites. with root mean squared error (RMSE). We converted observed daily soil temperatures at depths of 0.03, 0.5, 1, 1.5, 2, 4, and 6 m to active layer depth by identifying the zero isoline with linear interpolation. We also compared the day of year when maximum active layer depth and freezing depth were reached in simulations and observations.

3.2 Landscape heterogeneity in near-surface permafrost presence, moss productivity, and SOL accumulation

We evaluated whether the module, coupled with iLand, could simulate landscape-scale mosaics of near-surface permafrost ($\leq$ 1 m deep), moss production, and SOL accumulation in a large forested area (~61,000 ha of land area). We initialized the model with a tree-species composition map based on a remotely sensed plant functional type (PFT) product for Alaska and western Canada that classified vegetation as spruce, deciduous, mixed forest, or non-forest (Wang et al., 2020) and reflected fire history. We further decomposed PFTs into black spruce, white spruce, trembling aspen, Alaskan birch, mixed forest, potential forest (i.e., areas currently unforested that could support forest in the future), and nonforest using rules based on aspect, elevation, and a permafrost map (Table B3). While this approach allowed us to disaggregate PFTs to the species level, we lack robust datasets to evaluate the accuracy of the species composition map. This is a challenge as dominant tree species determines SOL accumulation and permafrost distribution. In the future, well validated remotely sensed tree-species composition maps would markedly reduce initial condition uncertainty of forest simulations in interior Alaska (Hermosilla et al., 2022).

Initial stand densities, tree sizes, and forest-floor carbon pools (litter, coarse wood, live and dead moss; Table B4) for the appropriate tree species were initialized in the model as early postfire (11 years old) forest based on field inventories described earlier (Walker and Johnstone, 2014; Johnstone et al., 2020). Because the forest landscape was initialized as entirely

early postfire, it did not reflect variation in forest stand age. Thus, we ran a 200-year spin up as a function of historical climate (climate years 1950-2005 recycled randomly with replacement) and simulated fire dynamically to generate spatial heterogeneity consistent with internal model logic, following protocols established in previous iLand studies (Hansen et al., 2020; Turner et al., 2022). We then simulated forests for another 100 years and used this period in all analyses.

280   We want to eventually conduct simulations with future 21st century climate. Thus, we used daily meteorological data from the historical period of the CMIP5 generation CCSM4 General Circulation Model (GCM) (Gent et al., 2011) to force landscape-level simulations instead of DAYMET (as was used in the stand-level experiment). This GCM corresponds closely with observed historical climate in Alaska (Walsh et al., 2018), and we statistically downscaled it to a 1-km spatial resolution using quantile matching with Daymet as the observational grid (Hansen et al., 2021).We extracted soils data from the same

285   sources as the stand-level experiment that geographically corresponded to the 1-ha grid-cells in our simulated landscape. Because fire is stochastic in iLand, and an important determinant of permafrost dynamics, SOL depth, tree-species composition, and stand structure, we ran ten replicates and analyzed output from the run with the smallest difference between modeled and observed mean annual burned patch size and annual probability of a fire event.

We compared fire from simulation years 201-300 to observations in the Alaska Large Fire Database from the period

290   1980 - 2021. This database contains perimeters for larger fires (size threshold for inclusion has varied over time, ranging from 10-1,000 ha) and point locations for smaller fires in Alaska. We chose years 201 -300 for evaluation because a century aligns with the historical mean fire return interval in Alaska (Johnstone et al., 2010b). We combined these datasets to ensure comprehensive coverage and assumed a circular shape for the smaller fires when perimeters were unavailable. Fire is a stochastic process in iLand, so, we did not expect perfect correspondence between modeled and observed individual fire sizes

295   and locations. Instead, we aimed for the model to generate fire characteristics (i.e., frequency, patch size, annual area burned, and severity) that were generally consistent with the observational record. We took two approaches for benchmarking. First, we compared simulated and observed annual probability of fire occurrence and mean annual burned patch size, as well as the proportion of stems and basal area killed by fire. Second, we compared simulated and observed fire characteristics from the landscape with observed fire characteristics in all of forests of interior Alaska broken into 625 ~ 61,000ha landscapes. This

300   allowed us to determine how the dynamic fire module in iLand performed for our landscape, specifically, and how the model performed relative to the spatial variation in fire regimes across interior Alaska.

We compared the proportion of the landscape underlain by near-surface permafrost in the last 40 years of simulation (years 261-300) to a remotely sensed product of near-surface permafrost presence (Pastick et al., 2015). Fourty years was chosen because we wanted to evaluate permafrost over a multi decadal period and because it aligned with the period used to

305   evaluate postfire SOL combustion and tree seedling density (see below). This product was created by integrating satellite records and other geospatial datasets to predict the probability of near-surface permafrost presence at a 30m spatial resolution with machine learning. Because iLand operates at 1-ha spatial resolution for permafrost, we aggregated the remotely sensed data from 30-m to 1-ha grid cells by calculating the mean probability of near-surface permafrost presence in each 1-ha grid cell. We then used a $\geq$ 50% probability of permafrost presence, the same cutoff used in the original analysis (Pastick et al.,

310 2015), to map the permafrost distribution. In iLand, near-surface permafrost was considered present in any grid cell where the annual maximum active layer depth was ≤ 1 m in 15 (38~~50~~%) of ~~years in~~ the last 4~~3~~0 years of simulation. This cutoff ensured we only included areas that were underlain by consistently frozen ground ~~most years~~. We compared the total proportion of the landscape underlain by near-surface permafrost and how permafrost presence varied as a function of aspect in simulations and the benchmarking product. We also evaluated how permafrost presence varied as a function of simulated dominant tree species,

315 but did not compare to the benchmarking product because we lack tree species composition maps in interior Alaska.

We compared SOL carbon in simulation year 300 separated by forest type to field inventories (Alexander and Mack, 2016; Walker et al., 2020). While benchmarking data was unavailable, we also evaluated landscape variability in total SOL and live moss depth. We assessed SOL combustion by fire in different forest types for model years 266~~10~~-300, and ~~as~~ compared model output to the two extensive sets of postfire field plots (Walker and Johnstone, 2014; Johnstone et al., 2020; Walker et

320 al., 2020) also used for initialization. The period of analysis was selected to ensure a sufficient number of fires while balancing the computational intensity of these calculations.

Because near-surface permafrost presence and moss productivity are affected by and feedback to influence forest dynamics, we determined whether the model could realistically represent landscape-level patterns of tree-species composition and stand structure. We explored how landscape patterns of dominant forest type shifted through 300 years of simulation and

325 compared simulated stand density and basal area of each forest type from the end of the simulation with two field inventories. The first was a regional network of permanent plots in interior Alaska collected by the Bonanza Creek Long Term Ecological Research Network ~~site~~ (Ruess et al., 2021). The second inventory was the Cooperative Alaska Forest Inventory, which is a set of permanent plots covering interior Alaska, south-central Alaska, and the Kenai Peninsula (Malone et al., 2009). We reran the 300-year simulation with the SOL and permafrost module turned off to evaluate how the module shaped landscape

330 distributions of tree species composition.

We also compared simulated aboveground live tree biomass from the end of the simulation with remotely-sensed estimates of aboveground live woody biomass for interior Alaska and western Canada ~~the same landscape~~ (Wang et al., 2021). This dataset is a 30-m product that characterizes annual live woody biomass for the years 1984-2014. We aggregated 2014 biomass estimates to the 1-ha spatial resolution of iLand using bilinear interpolation. We further benchmarked snag and coarse

335 wood carbon pools in model year 300 with published field observations (Alexander and Mack 2015, Melvin et al. 2015).

To quantify the underpinning drivers of landscape variability in tree-species composition and aboveground live and dead biomass, we compared simulated variation in postfire tree-seedling density by species and SOL depth from years 26~~1-300,~~ with field observations (Walker and Johnstone, 2014; Johnstone et al., 2020) using the same fires that were analysed for postfire SOL combustion. ~~from years 260-300 by species and SOL depth with field observations (Walker and Johnstone, 2014;~~

340 ~~Johnstone et al., 2020).~~ Finally, we analyzed the computational efficiency of the module by simulating the landscape with and without the permafrost module turned on to quantify its memory requirement and run time.

Dominant forest type was determined using species importance values (IV), a measure of stand dominance based on the relative proportions of species density and basal area. It ranges from zero to two (Hansen et al., 2020). We considered

stands dominated by a particular species if their IV was greater than one. Stands were considered mixed-spruce or mixed-

345 deciduous forest if black sprue and white spruce or aspen and birch IVs summed to greater than one, respectively. Averages

in the text are presented as medians and inter-quartile ranges (IQRs) (25th-75th percentiles). When comparing simulated and observed datasets, parametric statistics were not used because sample sizes can be increased with simulations to artificially inflate statistical significance. Benchmarking analyses were conducted in R statistical software V. 4.0.4 (R Core Team, 2021) using the packages tidyverse (Wickham et al., 2019) and terra (Hijmans, 2021).

350 **4 Results**

4.1 Snow depth, timing of snow melt, and active layer depth

When forced with 2001-2017 climate, median s~~S~~imulated maximum annual snow depth was 0.68 (0.52 -0.84) m compared with median observed maximum annual snow depth of 0.49 (0.39 – 0.59) m. ~~corresponded with observations when the module was forced with 2001-2017 climate,~~ The model~~but~~ overestimated snow depth for sites and years where snow fall

355 was above average ~~(RMSE of 0.33m)~~ (Fig. 2A), likely because snow compaction is not considered in the model. Simulated median Julien day of snowmelt was 122 (116 - 130) compared to the observed median Julien day of 117 (117-125) ~~The model closely captured timing of spring snow melt (RMSE of 8.5 days)~~ (Fig. 2B).

When forced with 2014-2018 climate~~, simulated daily patterns of active layer depth (Fig. 3) and,~~ simulated median annual maximum ~~annual~~ active -layer depth was 1.6 (1.3 -1.8) m, and observed median annual maximum active layer depth

360 was 1.4 (1.0 – 1.5) m ~~s closely matched~~ observations ~~from~~in seven forest stands underlain by permafrost ~~(RMSE of 0.37 m)~~ (Fig. 2C).~~–~~ Simulated daily patterns of active layer depth also corresponded well with observations (Fig. 3). On average, maximum annual active -layer depth occurred 20 days later ~~15 days later~~ in iLand than in observations with a IQR of 10 days earlier to 39 days later. ~~The model also reasonably recreated maximum annual freezing depths at 10 forest stands not underlain by permafrost~~ Simulated and observed median annual ~~(~~maximum freezing depths were 2.0 (1.9-2.0) m and 1.9 (1.9-2.0) m,

365 respectively ~~RMSE of 0.44 m)~~ (Fig. 2D). On average, the maximum annual freeze depth was reached 10 ~~1.3~~ days earlier in simulations than in observations with an IQR of 28 days earlier to 7 days later than observations.

4.2 Landscape-level fire characteristics

Mean annual burned patch size was 3,628 ha and annual probability of a fire event was 11% in the best of the ten replicate landscape simulations and differed from observed values by ~~only~~ 5% and 8%, respectively (Fig. 4A, 4B). However,

370 among all ten replicates, burned patch size and probability of a fire event differed by as much as 44% and 42%, highlighting the stochastic nature of fire. Both observed and simulated fire metrics for the landscape were also representative of observed fire characteristics in all 625 sampled 61,000 ha landscapes across the boreal domain of Alaska (Fig. 4C). On average, 73 (70 – 76) % of stems and 52 (46 – 60) % of basal area was killed by fire in the model (Fig. B1).

4.3 Landscape near-surface permafrost, moss, and SOL depth

375   The model simulated 39.3% of forested area in the landscape as underlain by permafrost between years 261-300; compared to the estimated of 33.4% of forested area from the benchmarking product (Fig. 5A). Aspect was an important determinant of permafrost presence in the model and in observations (Fig. 5B). Simulated permafrost was over represented on north-facing slopes, as compared to the benchmarking product, but corresponded well on all other aspects. Near-surface permafrost presence also varied with dominant tree species in iLand. Seventy-onethree percent of simulated black spruce forest

380   area was underlain by near surface permafrost, followed by 51% of white spruce forest, 14% of aspen dominated stands-, 11% of mixed spruce, 9% of white spruce forest, 2% of aspen dominated stands, 16% of mixed deciduous forest, and 0.21% of birch dominated forest.

Soil-surface organic layer C in simulation year 300 averaged 4,801 (2,965 – 6,575) g m^{-2}. When broken out by dominant forest type, simulated SOL C closely corresponded to observations for all forest types where comparison was

385   possible (Fig. 6A). Dead moss and litter depth across the landscape averaged 11.6 (7.4 - 15.5) cm in simulation year 300, and live moss depth averaged 5.4 (2.7 – 8.7) cm, with pronounced spatial heterogeneity (Fig. 6B). Tree species composition was an important determinant of total SOL depth (Fig. B2): The SOL was thickest in black spruce-dominated stands, averaging 25 (21 – 27) cm, followed by white spruce; 17 (15 – 19) cm, mixed spruce; 14 (7 – 17) cm, aspen; 9 (4 – 11) cm, mixed deciduous; 5 (4 – 10) cm, and birch dominated forest; 4 (3.7 – 4.1) cm. Fire occurrence also strongly influenced SOL depth. In black and

390   white spruce stands, fire combusted 9 (6 – 12) cm on average. In contrast, almost no SOL was combusted in deciduous stands. The memory footprint of the permafrost and SOL module was approximately 15 MB (~ 0.1% of total memory footprint), and it increased overall run time by 1%.

4.4 Landscape-level tree species composition and forest structure

Between simulation year 0 and 300, forest cover increased from 48,811 ha to 60,629 ha, as trees colonized areas

395   initialized as potential forest. The model was initialized with black spruce forest comprising 41% of the land area, followed by white spruce (22%), aspen (7%), birch (6%), and mixed forest (5%) (Fig. 7A). By year 300, the land area dominated by black spruce remained high at 40% (Fig 7B). However, white spruce-dominated forest area declined markedly to 2% because black spruce trees colonized white-spruce stands, as is commonly found in interior Alaska (Van Cleve and Viereck, 1981; Burns and Honkala, 1990). At the end of the simulation, mixed spruce stands comprised 42 % of land area. Aspen and birch

400   also intermixed by year 300, with mixed-deciduous forest covering 11% of the landscape. The land area dominated by aspen in year 300 declined to 2%, and birch-dominated forest declined to 3% of the landscape. Rerunning simulations with the permafrost and SOL module turned off led to markedly different tree species composition compared to initial conditions and after 300 years of simulation where permafrost and SOL were dynamically represented (Fig. 8).

Stand density and basal area in the model corresponded well with multiple field observation datasets in year 300 (Fig. 98). Aspen and birch stands were most dense, followed by black spruce and white spruce dominated stands. Deciduous dominated stands also had the greatest basal area, followed by white spruce and black spruce stands (Fig. 98B). Simulated aboveground live woody biomass across the landscape was within 28% of the observed average. Aboveground live woody biomass in iLand was 51,931 (20,456 – 68,200) kg ha^{-1}, on average, and observed biomass was 39,277 (9,219 – 56,246) kg ha^{-1}. Average simulated standing snag carbon differed from the observed average by 41% (Fig. B3A). Simulated downed coarse wood C varied markedly by dominant forest type and corresponded closely to field observations (Fig. B3B).

Simulated tree-seedling density two years after fires closely matched field observations and varied with depth of postfire SOL (Fig. 109). Birch and aspen seedlings were most abundant where SOLs were shallow (0-5cm), with 8.9 (6.1 – 13.1) and 6.2 (4.9 – 8.0) seedlings m^{-2} establishing. Black spruce seedlings were the next most abundant at 3.6 (0.3 – 4.8) seedlings m^{-2}, followed by white spruce with 0.3 (0.2 – 0.4) seedlings m^{-2}. Where SOLs were thicker (15-20 cm), black spruce density averaged 2.1 (1.3 – 3.1) seedlings m^{-2}, and aspen, white spruce, and birch rarely established.

4.5 Computation efficiency

The memory footprint of the permafrost and SOL module was approximately 15 MB (~ 0.1% of total memory footprint), and it increased overall run time by 1%.

5 DiscussionConclusions

Ecological legacies will determine how forests are affected by climate change and increasingly prevalent disturbances, like fire (Turetsky et al., 2016; Kannenberg et al., 2020; Hansen et al., 2022). However, some legacies uniquely important to the structure and functioning of boreal forests (e.g., permafrost and SOLs) are rarely considered in models used to project 21st-century ecological change. Here, we present a new permafrost and SOL module that operates at fine temporal (daily) and spatial (1-ha) scales and is computationally efficient. The module simulates daily changes in active layer depth, moss production, and annual SOL accumulation (Fig. 1). When coupled to a forest model, it also represents the complex ecological effects of permafrost and SOLs on boreal forests and fire. With some exceptions discussed below, bBenchmarking results demonstrate the model recreates temporal and spatial patterns consistent with observations at stand to landscape scales over days to centuries. Our model will contribute to improving 21st-century projections of boreal forest change.

Process-based simulation models are powerful tools for assessing how forests will change (Seidl, 2017; Albrich et al., 2020; Fisher and Koven, 2020). Forests often respond slowly to stressors relative to other ecological systems (Hughes et al., 2013; Turner et al., 2022). As a result, models must capture dynamic feedbacks among variables and represent the key legacies that accumulate over decades to centuries in order to project future trajectories of forests (Johnstone et al., 2016). Our objective was to mechanistically represent permafrost and SOLs and capture effects of daily variability in weather as well as the feedbacks that arise among forest dynamics, fires, and permafrost in topographically complex landscapes. The model was skilled at capturing daily patterns of freezing and thawing as well as the inter-annual variability in maximum thaw depth but

and the timing of maximum thatit generally occurred later in simulations than in observations. There are a number of potential reasons for this. First, the model does not track the moisture content of the SOL separately from the mineral soil layer. In reality, the low bulk density of SOL relative to mineral soils leads to more variable moisture content, and thus, a greater range of thermal conductivities, which could lead to slower thawing in simulations if simulated SOL moisture was lower during the spring thaw (Fisher et al., 2016). Another potential explanation is that forest structure (density and leaf area index) and tree species composition have been shown to strongly modulate microclimate and permafrost thaw in complex ways (Stuenzi et al., 2021). Effects of forests on microclimate are also not yet included in the model. Finally, snow depth and melt plays a critical role in active layer dynamics. OurThe model also reasonably recreated snow accumulation patterns for most years, but overestimated depth in years where snow pack was unusually deep. This is likely because iLand takes a relatively simple approach to simulating snow derived from Running and Coughlan (1988), which is not particularly mechanistic. For example, we used a single snow-density parameter value, which ignores compaction. In reality, snow density varies tremendously across landscapes and over time. iLand takes a relatively simple approach to simulating snow derived from Running and Coughlan (1988). In the future, our approach would benefit from separately tracking moisture content of the SOL, a representation of forest structure and composition effects on microclimate, and a more advanced snow model could be added that includes key processes affecting snow depth and conductive properties, including the representation of variation in snow density, freeze thaw cycles, and sublimation (Bormann et al., 2013; Jafarov et al., 2014).

At landscape scales, the model generally captured mosaics of near-surface permafrost, moss production, and SOL accumulation in a large forested area (~61,000 ha of land area), but it did overestimate the area underlain by permafrost on north facing slopes. This may have occurred for two reasons. First, the climate data used to force iLand was statistically downscaled to a 1-km resolution from a global general circulation model, and thus, does not perfectly capture variability in climate as a function of fine scale variation in aspect and topography. Further downscaling using lapse rates might help improve simulations. Further, dominant forest type varies strongly with aspect in interior Alaska, and in turn, shapes SOL thickness and permafrost distributions. However, we lack landscape level maps of individual tree species distributions to initialize the model. In the future, well validated remotely sensed tree-species composition maps would markedly reduce initial condition uncertainty of forest simulations in interior Alaska (Hermosilla et al., 2022) and could improve landscape level simulations of permafrost distribution.

The module was designed to represent permafrost and SOL effects on forest dynamics and fire. In particular, it determines the water available to plants and accumulation of forest floor biomass, which serves as fuels for fire and influences postfire tree regeneration. When coupled with iLand, the model reproduced common secondary successional trajectories found in interior Alaska, including self-replacement and disturbance-induced abrupt transitions in forest types (Johnstone et al., 2010a, 2016). For example, when thick SOLs remained after fire in black-spruce stands, self-replacement was common, leading to recovery of forests functionally and structurally similar to the prefire stands (Anderson et al., 2003; Johnstone and Kasischke, 2005). In contrast, when fires combusted most of the SOL in black spruce stands, abrupt transitions from spruce-

to deciduous-dominated forest (mixtures of aspen and birch) occurred, consistent with regional trends documented in the last

470 few decades (Johnstone et al., 2010a, 2020).

6. Conclusions

The boreal forest biome is warming at least two times faster than the global average (IPCC, 2021), causing climate sensitive disturbances, like fire, to increase in frequency and severity (Seidl et al., 2020; Walker et al., 2020). Our permafrost and SOL module will help process-based modelers produce more accurate projections of how forests in the biome are likely

475 to change over the next century. Better projections will resolve a number of important uncertainties, including 1) where increased burning due to climate change may reduce boreal fuel loads such that fire-self limitation emerges (Héon et al., 2014; Buma et al., 2022); 2) when shifts in postfire successional trajectories will initiate biophysical feedbacks that further alter regional climate; and 3) how climate change, fire, and permafrost thaw will interact to reshape boreal carbon cycling (Schurr et al., 2018; Schuur and Mack, 2018; Mack et al., 2021). Because boreal forests have disproportionate impacts on the climate

480 system through biogeochemical and biophysical pathways, such information is essential to inform innovative and effective global climate mitigation and adaptation strategies.

Appendix A

485 *Carbon cycling in iLand*

iLand dynamically models carbon in live foliage, branch, stem, and root compartments, and in standing snag, forest-floor litter, downed coarse wood, and mineral soil organic material pools (Seidl et al., 2012b). Primary production is simulated with a radiation use efficiency approach. Carbon fixed by trees is then allocated to different tree compartments based on allometric equations, representing functional balance. Influxes of carbon from live compartments to dead organic matter pools

490 are calculated based on leaf turnover rates, tree mortality, and snag dynamics. Snag fall occurs over time based on a species-specific half-life. When snags fall, they are added to the downed coarse wood pool. Decomposition of dead organic matter pools is represented with a pool- and species-specific optimal decomposition rate (10°C, no water limitation) that is then modified by prevailing temperature and precipitation.

Forest fire in iLand

495 The model also includes robust representations of several natural disturbances, including forest fire (Seidl et al., 2014a, b; Hansen et al., 2020). Fire occurrence and spread are dynamically simulated at a 20-m resolution as a function of 20th-century fire probability and size distributions, landscape topography, model-generated wind speed and direction, and the proportion of total downed litter and coarse wood pools that are burnable, which is determined by fuel moisture (as quantified by the Keetch Byram Drought Index; KBDI). For every 20-m grid cell that burns, the available fuels are assumed combusted.

500 Percent crown kill of live trees is estimated as a function of tree size, available fuel loads and aridity. For the portion of live

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tree canopies that are killed, we assumed 90% of foliage, 50% of branch, and 30% of the burned stem biomass is combusted. Tree mortality from fire is simulated probabilistically based on tree size, percent crown kill, and bark thickness; a model parameter that varies by tree species. If a tree dies, the non-combusted foliage and branches are added to the downed litter and coarse wood pools. Portions of killed tree stems that were not combusted enter the standing snag pool.

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Appendix B

515 **Table B1 Species parameters for interior Alaskan boreal forest. Pima=** *Picea mariana* **(black spruce), Pigl=***Picea glauca* **(white spruce), Potr=** *Populus tremuloides* **(trembling aspen), Bene=** *Betula neoalaskana* **(Alaskan birch). dim = dimensionless, exp = expression, sdlings = seedlings. See Hansen et al. 2021 for sources.**

Parameter	Unit	Pima	Pigl	Potr	Bene
Tree growth					
Specific leaf area	$m^2\,kg^{-1}$	2.77	3.97	17	18.5
Leaf turnover	yr^{-1}	0.05	0.2	1	1
Root turnover	yr^{-1}	0.33	0.33	0.33	0.33
Height to diameter low a	dim	35.1	55.05	48.58	55.37
Height to diameter low b	dim	-0.13	-0.2	-0.13	-0.2
Height to diameter high a	dim	330.94	357.5	402.66	577.5
Height to diameter high b	dim	-0.39	-0.37	-0.36	-0.5
Wood density	$kg\,m^{-3}$	380	330	350	480
Form factor	dim[0,1]	0.36	0.4	0.41	0.4
Biomass allocation					
Stem wood biomass a	*	0.1179	0.04844	0.06401	0.14796
Stem wood biomass b	*	1.99	2.51	2.51	2.25
Stem foliage biomass a	*	0.0554	0.02522	0.012	0.012
Stem foliage biomass b	*	1.45	2.04	1.45	1.45
Root biomass a	*	0.027774	0.02774	0.052813	0.02533
Root biomass b	*	2.289	2.289	2.204	2.417
Branch biomass a	*	0.0738	0.001194	0.00008	0.01187

Branch biomass b	*	1.3827	3.04738	4.13	2.4
Mortality					
Probability of survival to max age (intrinsic mortality)	dim[0,1]	0.1	0.1	0.1	0.01
Stress-related mortality	dim	1	1	1	1
Aging					
Max age	years	250	550	250	225
Max height	m	15	55	35	30
Aging a	dim	0.5	0.5	0.5	0.5
Aging b	dim	2.5	2.5	2.5	2.5
Environmental responses					
Vapor pressure deficit response	dim	-0.65	-0.65	-0.65	-0.5
Min temperature	°C	-8	-4	-5	-6
Optimum temperature	°C	13	20	17	15
Nitrogen class	dim[1,3]	2	1	1	1
Phenology	int[0,2]	0	0	1	1
Max canopy conductance	m s^{-1}	0.0212	0.0212	0.0207	0.0207
Min soil water potential	MPa	-1.5	-3	-3.5	-2
Light response	dim[1,5]	4.5	3	1	1
Fine root to foliage ratio	dim[0,1]	0.75	0.75	0.75	0.75
Seed production and dispersal					
Cone bearing age	years	15	30	50	90
Seed year interval	years	1	2	4.5	4
Non-seed year fraction	dim[0,1]	0	0.003	0.02	0.02
Seed mass	mg	0.89	2.2	0.17	0.34
Germination rate	dim[0,1]	0.4725	0.029	0.0475*	0.038*
Fecundity	sdlings m^{-2}	500	15	185*	85*
Seed kernel a	m	7	110	170	170
Seed kernel b	m	200	600	400	400
Seed kernel c	dim[0,1]	0.05	0.5	0.62	0.62
Establishment					
Min temperature	°C	-69	-70	-80	-80
Chill requirement	days	20	42	40	44

Min growing degree days	degree days	100	130	227	227
Max growing degree days	degree days	3,060	3,459	4,414	4,122
Growing degree days base temperature	°C	3.0	2.7	3.5	3.7
Growing degree days before bud burst	degree days	123	147	189	231
Frost free days	days	60	60	81	80
Frost tolerance	dim[0,1]	0.9	0.9	0.9	0.9
Sapling growth					
Sapling growth a	dim	0.03	0.035	0.12	0.12
Sapling growth b	m	20	35	25	25
Max stress years	years	5	2	2	5
Stress threshold	dim[0,1]	0.05	0.22	0.2*	0.25*
Height to diameter ratio	dim	88	85	170	119
Reineke's R	saplings ha^{-1}	400	75	250	650
Reference ratio	dim[0,1]	0.5	0.637	0.8	0.55
Serotiny					
Serotiny formula	exp	30,0.9 9,80,0. 99	NA	NA	NA
Serotiny fecundity	dim	30	NA	NA	NA
Crown parameters for light influence patterns					
Crown shape coefficient	dim	0.2593	0.28357	0.32326	0.33303
Max crown radius a	m	1.0302	1.23219	1.56269	1.64401
Max crown radius b	m	2.4095	3.141	4.338	4.6325
Relative crown height	dim[0,1]	0.5645	0.605	0.3815	0.5555

* Adjusted from Hansen et al. 2021 with addition of permafrost module

Table B2. iLand carbon cycle parameters. Pima= *Picea mariana* **(black spruce), Pigl=***Picea glauca* **(white spruce), Potr=** *Populus tremuloides* **(trembling aspen), Bene=** *Betula neoalaskana* **(Alaskan birch)**

Parameter	Pima	Pigl	Bepa	Potr
Litter C:N ratio*	73	73	17.9	21
Fine root C:N ratio*	45	45	45	45
Wood C:N ratio*	425.6	425.6	336.6	405.5
Standing snag decomposition under optimal climate[#]	0.2	0.2	0.2	0.2
Snag half life[#]	25	15	15	15
Litter decomposition under optimal climate[#]	0.23	0.33	0.39	0.56
Coarse wood decomposition under optimal climate[#]	0.06	0.02	0.15	0.15

*Alexander and Mack 2015; [#]This study

Table B3. Rules for converting plant functional type maps from Wang et al. (2020) to species level maps for initializing iLand.

Species	Rule
Black spruce	- PFT is spruce and aspect is north - PFT is spruce, aspect is flat, and permafrost is present - PFT is woodland
White spruce	- PFT is spruce and aspect is *not* north - PFT is spruce, aspect is flat, and permafrost is *not* present
Trembling aspen	PFT is deciduous and aspect is south
Alaskan birch	PFT is deciduous and aspect is not south
Mixed forest	PFT is mixed forest
Potential forest	PFT is low shrub, tall shrub, open shrub, herbaceous, or tussok tundra

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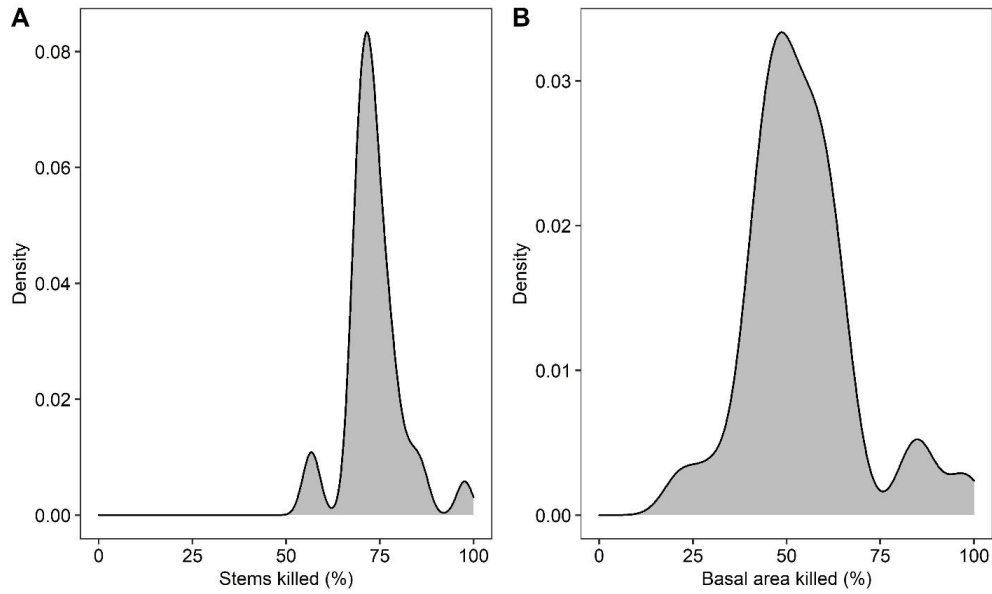
Table B4. Initial conditions for iLand carbon cycle. Pima= *Picea mariana* (black spruce), Pigl=*Picea glauca* (white spruce), Potr= *Populus tremuloides* (trembling aspen), Bene= *Betula neoalaskana* (Alaskan birch).

State variable	Unit	Pima	Pigl	Bepa & Potr	Mixed forest	Sources
Forest floor moss	Kg biomass ha^{-1}	25,000-45,000	5,000-15,000	10	10,000 - 25,000	Johnstone et al. 2020 Walker et al. 2014
Forest floor leaf litter, dead moss, and fine roots	Kg C ha^{-1}	48,682-96,901	48,682-96,901	17,371-31,765	33,026.5-64,336	Alexander and Mack 2015
Coarse downed wood coarse root C	Kg C ha^{-1}	17,000	17,000	20,020	18,500	Alexander and Mack 2015
Organic C in mineral soil	Kg C ha^{-1}	35,000	35,000	35,000	35,000	Melvin et al. 2015

530 **Figure B1. Simulated percent of A. stems killed and B. basal area killed by fire in a 61,000 ha forested landscape in interior Alaska. Model output is from simulation years 201- 300.**

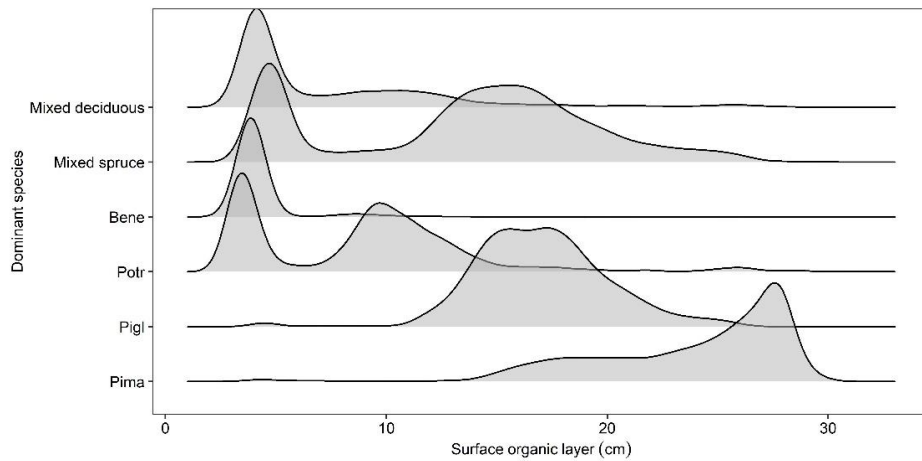



Figure B2. Simulated surface organic layer depth as a function of dominant forest type in a 61,000 ha forested landscape in interior Alaska. Model output is from simulation year 300.

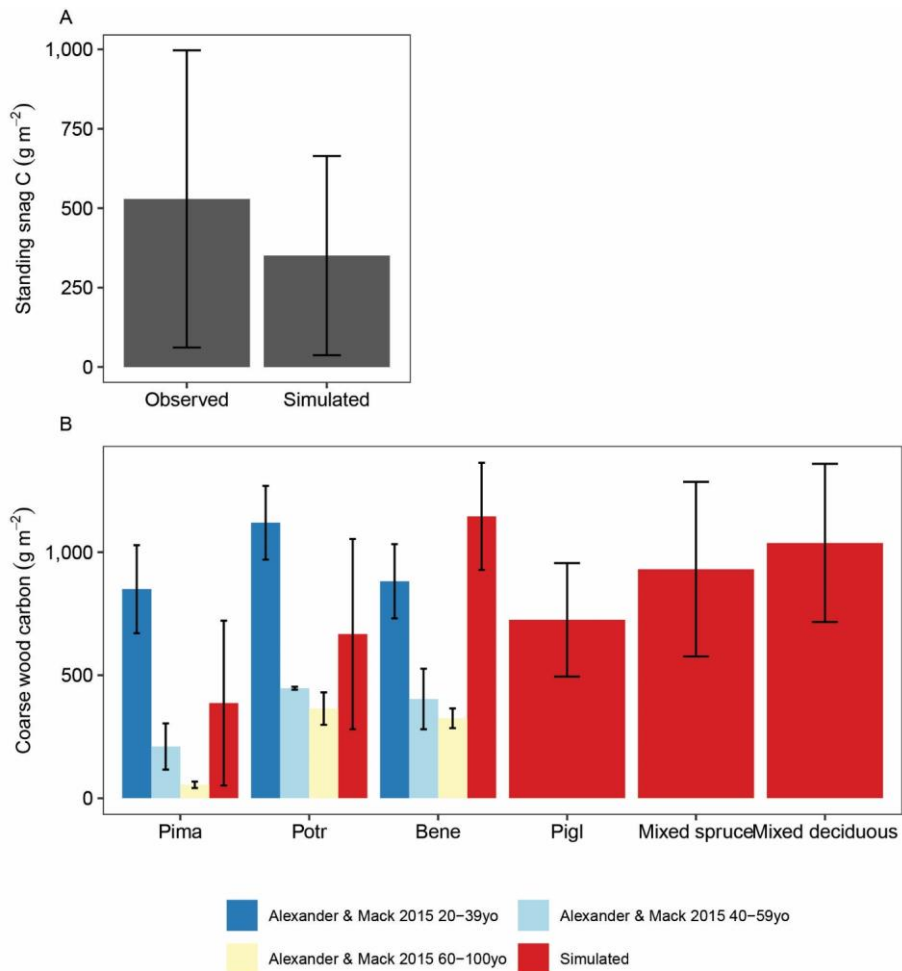



Figure B3. Observed and simulated A. standing snag carbon and B. downed coarse wood carbon as a function of dominant forest type. Bars and whiskers show means ± 1 standard deviation in plot A and means ± 1 standard error due to the limited availability of the raw field observations. Modeled carbon stocks are from simulation year 300 in a 61,000 ha forested landscape in interior Alaska. Observations are from field sampling in other boreal forest stands.

Code and data availability

545 The source code is available as a supplement to this paper. The model executable and source code, project directories, and analysis R scripts used in this project are also available at the Cary Institute of Ecosystem Studies data repository (DOI: https://doi.org/10.25390/caryinstitute.21339090). A technical description of the permafrost and SOL module is available at https://iland-model.org/permafrost.

Author contributions

550 WR and WDH developed the permafrost and SOL module, WDH conducted benchmarking simulations, analyzed outputs, and wrote the paper. All co-authors contributed to the paper.

Competing interests

The authors declare that they have no conflict of interest.

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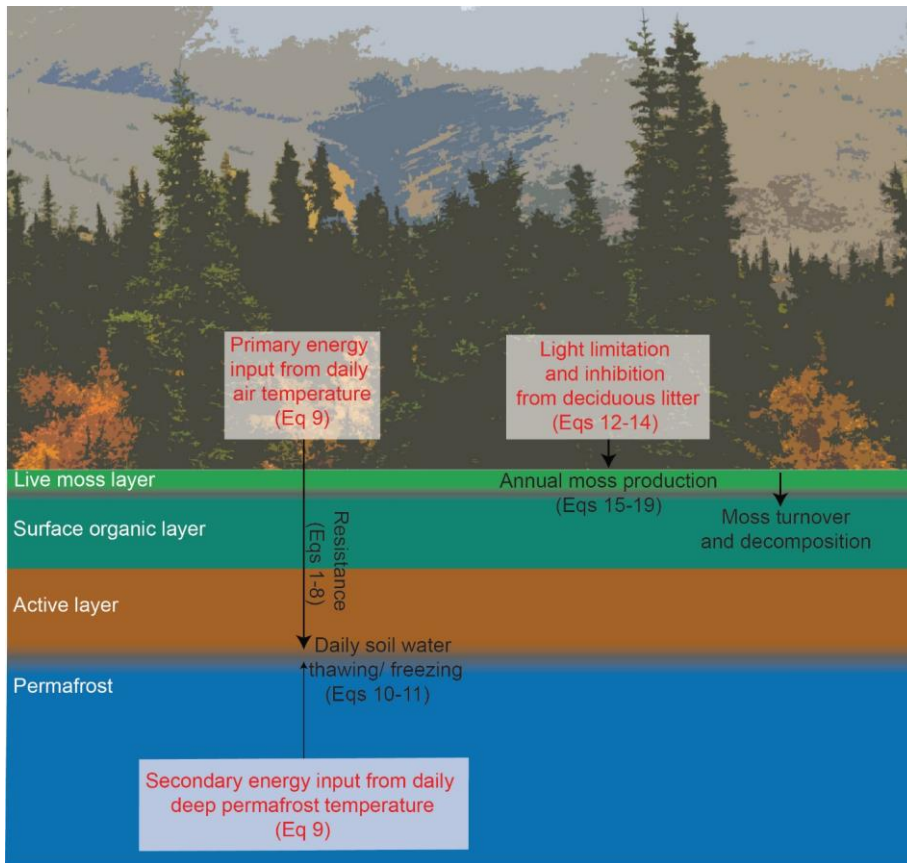
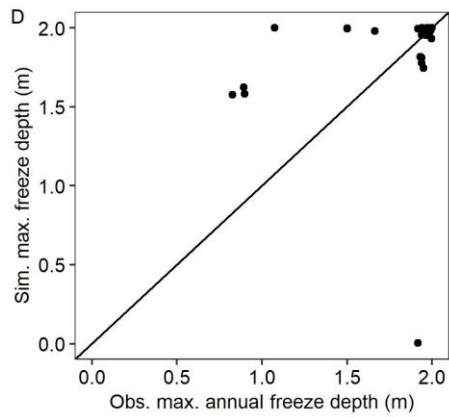
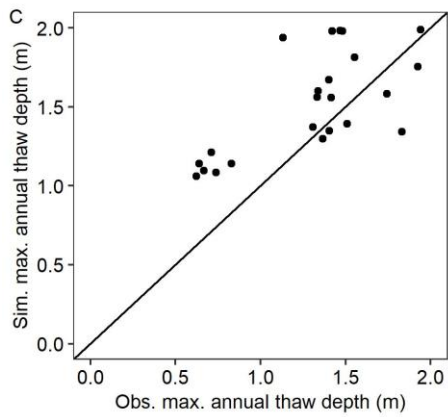
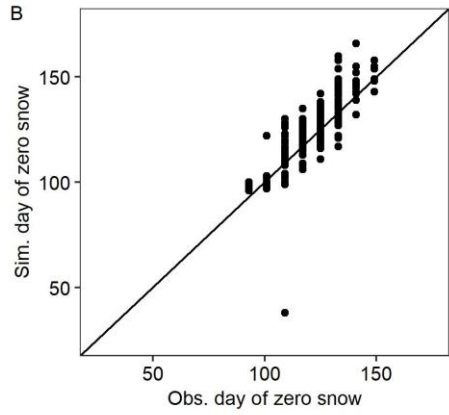
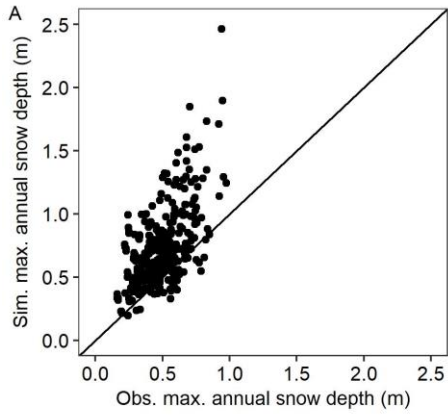
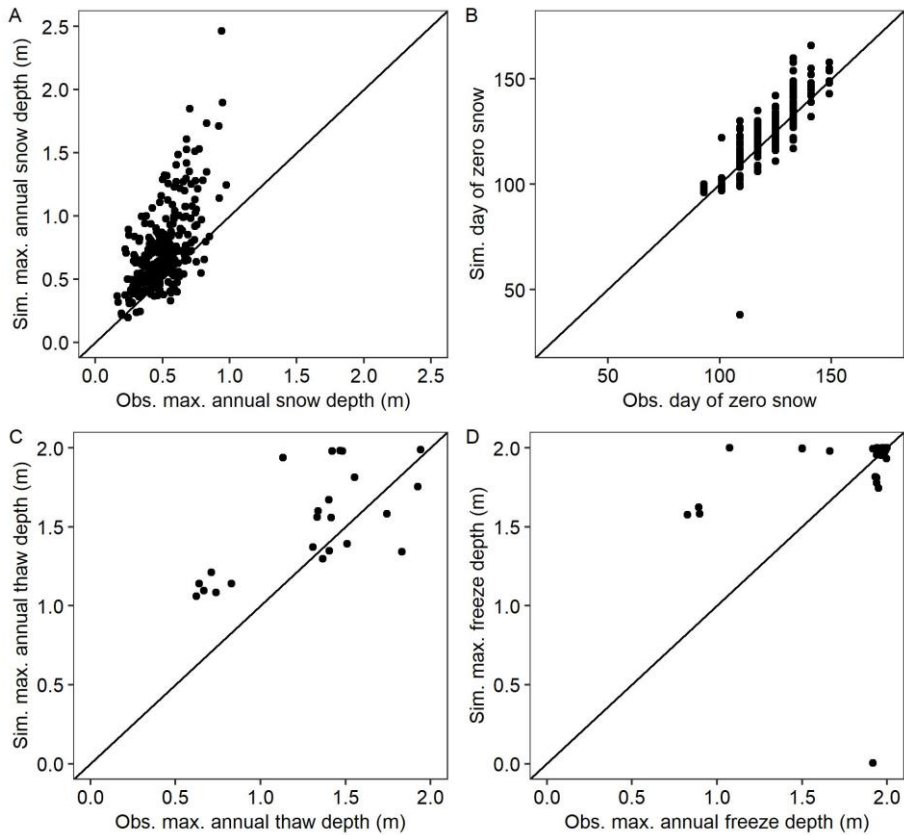

835   **Figure 1. Conceptual diagram of the permafrost and soil-surface organic layer module. State variables are in white, processes are described in black, and forcing variables are in red.**

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Figure 2. A. ~~Relationship between~~ ~~O~~observed ~~vs~~~~and~~ simulated maximum annual snow depth at 17~~20~~ sites between 2001-2017 **B.** ~~Relationship between~~ ~~O~~observed ~~vs~~ ~~and~~ simulated day of spring snow melt at 17~~20~~ sites between 2001-2017. **C.** ~~Relationship between~~ ~~O~~observed ~~vs simulated~~ maximum annual thaw depth ~~and simulated maximum annual thaw depth~~ at 7 sites underlain by permafrost between 2014-2018 (only site-years with complete observational records are included). **D.** ~~Relationships between~~ ~~O~~observed ~~vs~~~~and~~ simulated maximum annual freeze depth at 10 sites not underlain by permafrost between 2014-2018 (only site-years with complete observational records are included). Black lines show one to one relationships in all panels
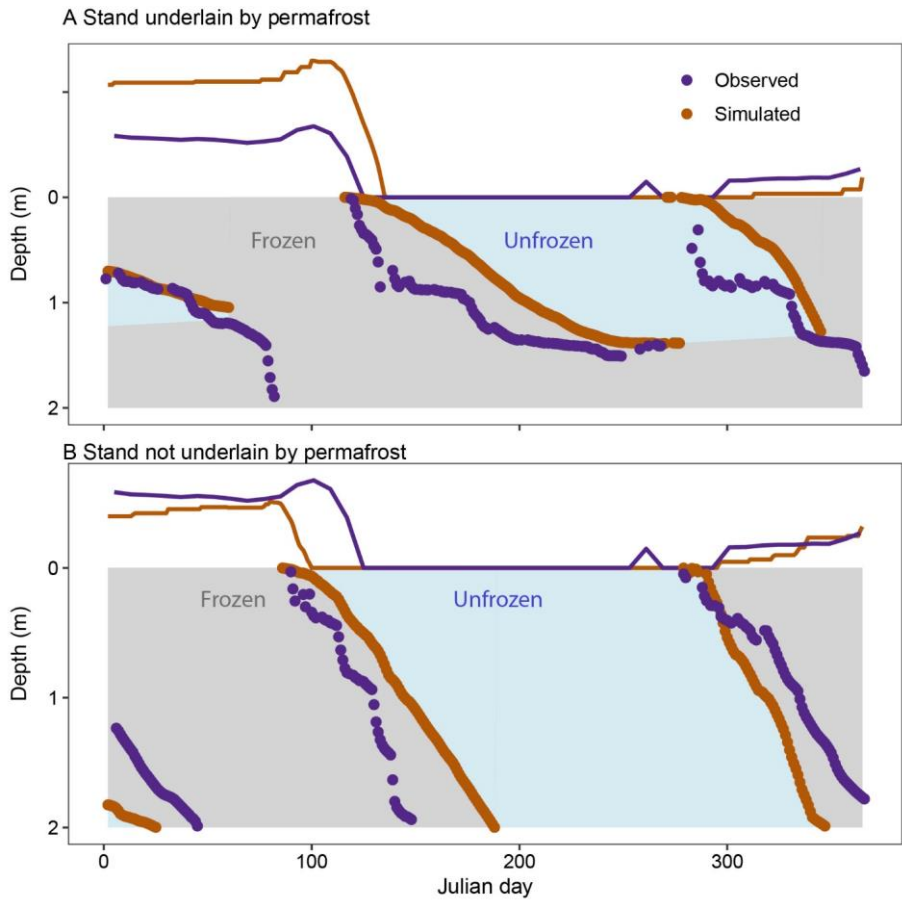
Figure 3. A. ~~Example of d~~Daily active layer freezing and thawing. Data from ~~in~~ 2016 at one of seven forest stands underlain by permafrost. B ~~Example of d~~Daily thawing and freezing. Data from ~~in~~ 2016 at one of ten forest stands not underlain by permafrost. Solid lines represent snow depth. Dots represent active layer depth or depth of freezing. Grey fill represents simulated frozen soils. Blue fill represents simulated unfrozen soils
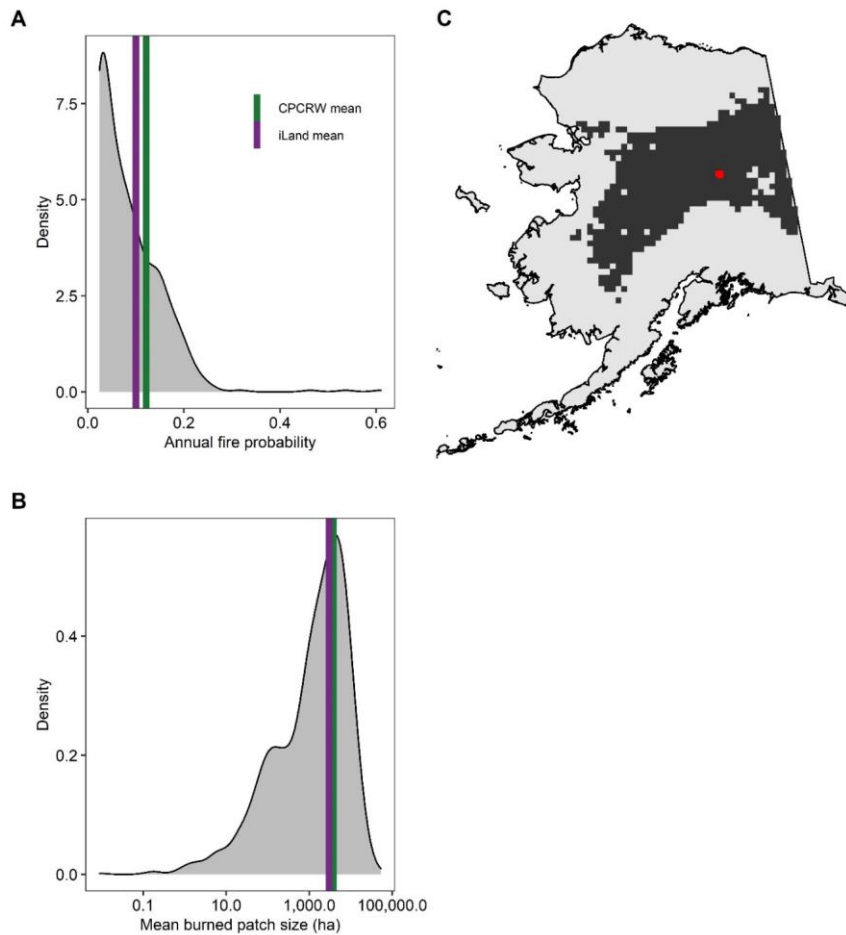
Figure 4. Simulated and observed A. annual fire probability and B. mean burned patch size in a 61,000 ha landscape in interior Alaska. Model output is for years 201-300. Observations are from years 1980-2020. The grey density distribution shows observed values for all sampled 625 61,000 ha landscapes across the boreal domain of interior Alaska. C. Map showing of all 625 sampled landscapes as dark grey squares. Red square dot shows the landscape simulated in iLand.
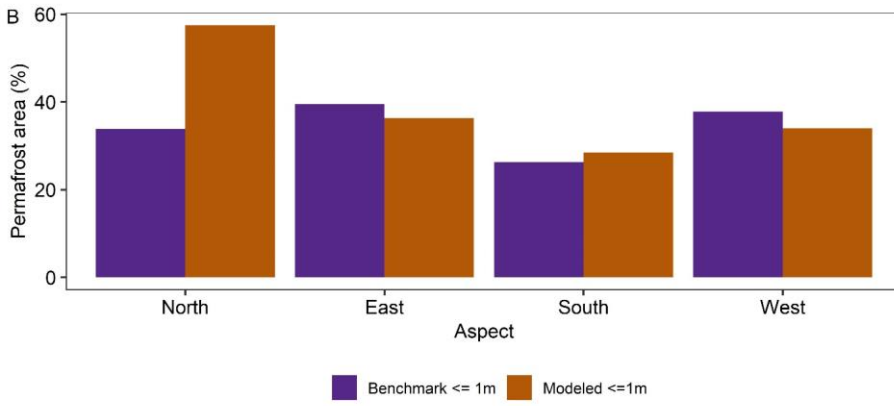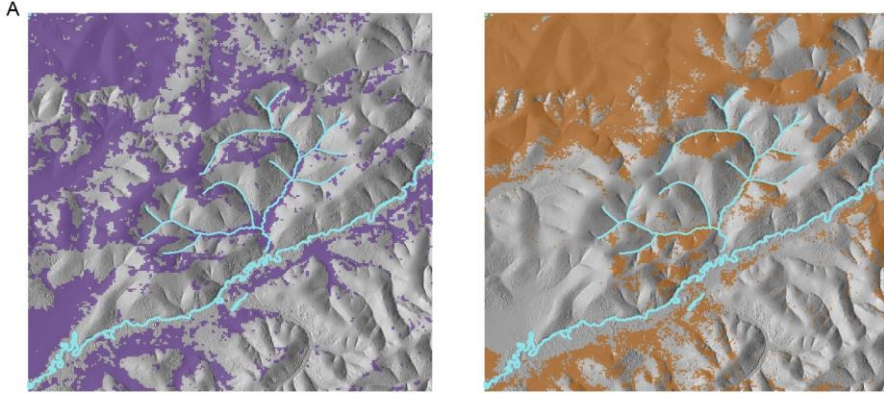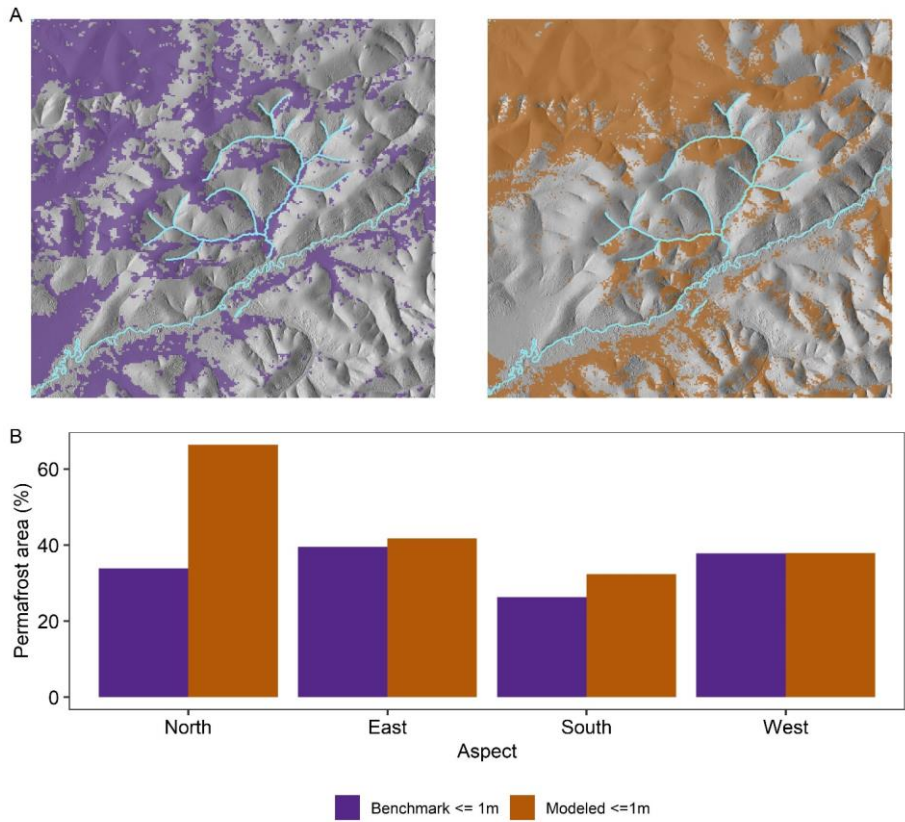
Figure 5. A. Observed and simulated near-surface (≤ 1m deep) permafrost in a 61,000 ha forested landscape in interior Alaska. B. Observed and simulated percent of forested area underlain by near-surface permafrost in the same landscape as a function of aspect. Simulated permafrost presence is for years 2~~67~~1-300 of the simulation. Benchmarking product is derived from years 1990-2013.

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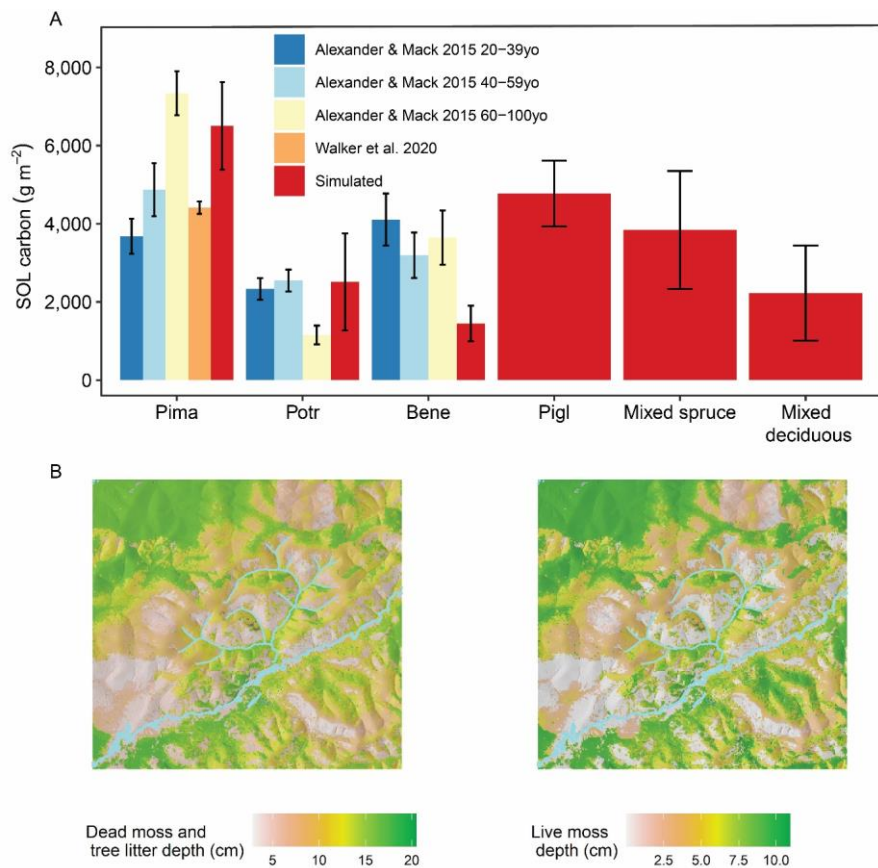


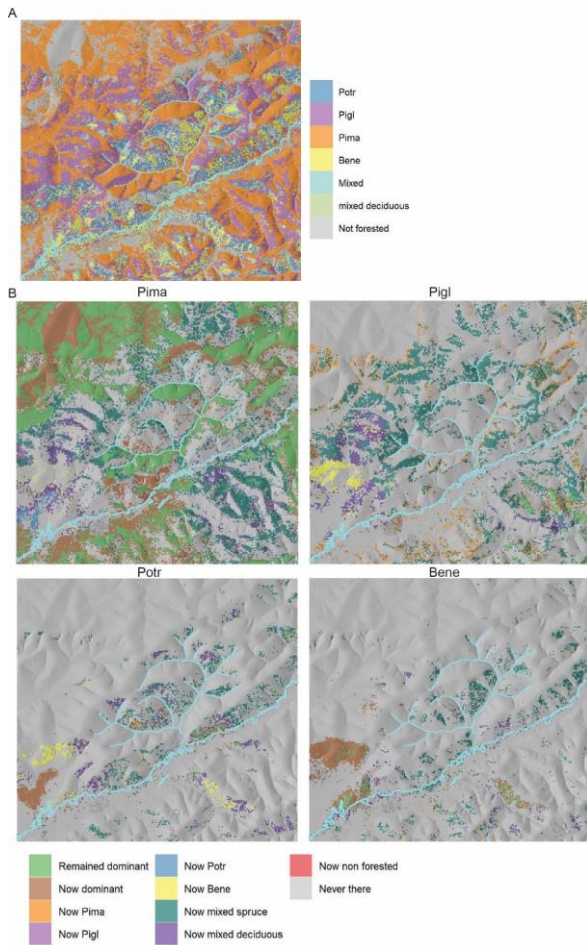
Figure 6. A. Observed and simulated surface organic layer carbon as a function of dominant forest type. Bars and whiskers show mean SOL carbon ± 1 standard error due to limited availability of raw observational data. Simulated SOL carbon is from simulation year 300 in a 61,000 ha forested landscape in interior Alaska. Observations are from field sampling in other boreal forest stands. **B.** Simulated dead moss and tree litter depth as well as live moss depth are from simulation year 300 in a 61,000 ha forested landscape in interior Alaska. Together, these two variables comprise the total surface organic layer in iLand.

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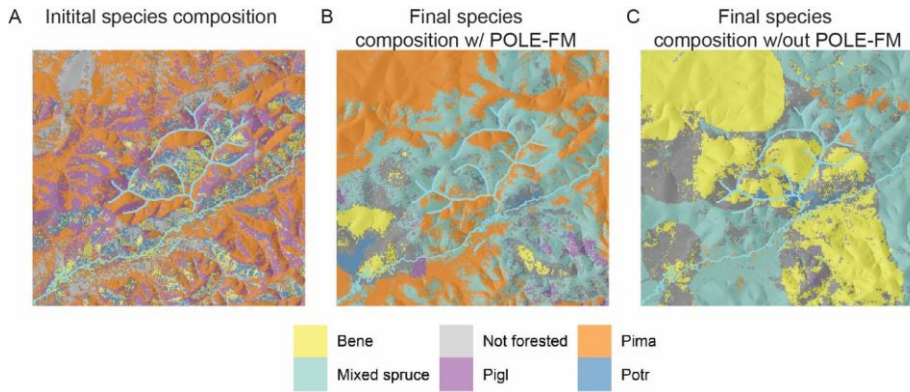
Figure 7. A. Tree-species composition in a 61,000 ha forested landscape of interior Alaska used to initialize iLand. B. Changes Maps showing how forested area initially dominated by each in **tree species** dominance changed **over 300 years of simulation.** Pima (***Picea mariana***) = black spruce, Pigl (***Picea glauca***) = white spruce, Potr (***Populus tremuloides***) = trembling aspen, Bene (***Betula neoalaskana***) = Alaskan birch.

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Figure 8. A. Initial tree species composition, B. tree species composition after 300 years when permafrost and SOL were simulated, and C. tree species composition after 300 years when peramafrost and SOL were not simulated in a 61,000 ha forested landscape of interior Alaska. Pima (*Picea mariana*) = black spruce, Pigl (*Picea glauca*) = white spruce, Potr (*Populus tremuloides*) = trembling aspen, Bene (*Betula neoalaskana*) = Alaskan birch. POLE-FM stands
885 **for Permafrost and Organic LayEr module for Forest Models.**
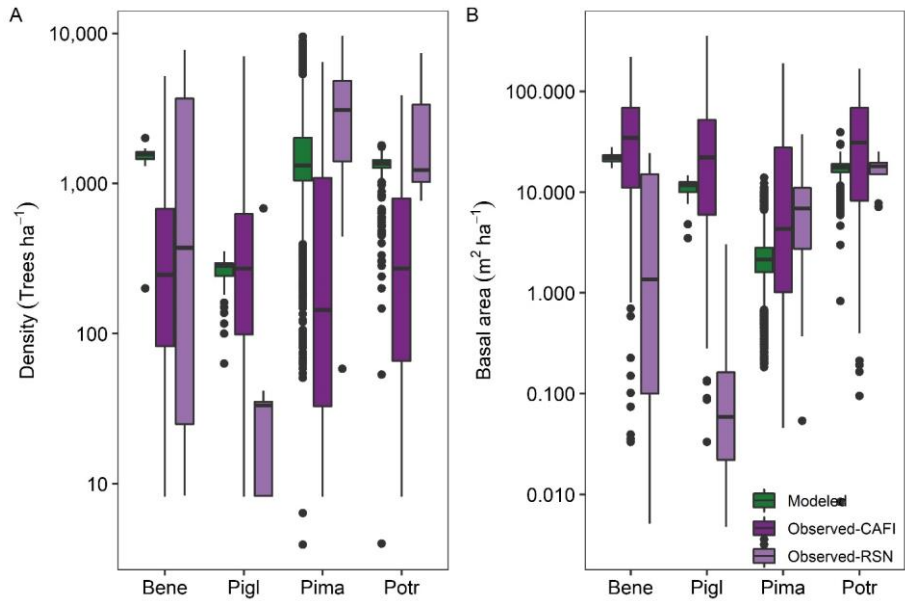
Figure 98. Simulated and observed stand density and basal area broken out by dominant forest type in a 61,000 ha forested landscape of interior Alaska. Model output is from simulation year 300. Observations are from field sampling in other boreal forest stands (see main text for sources). Pima (*Picea mariana*) = black spruce, Pigl (*Picea glauca*) = white spruce, Potr (*Populus tremuloides*) = trembling aspen, Bene (*Betula neoalaskana*) = Alaskan birch.

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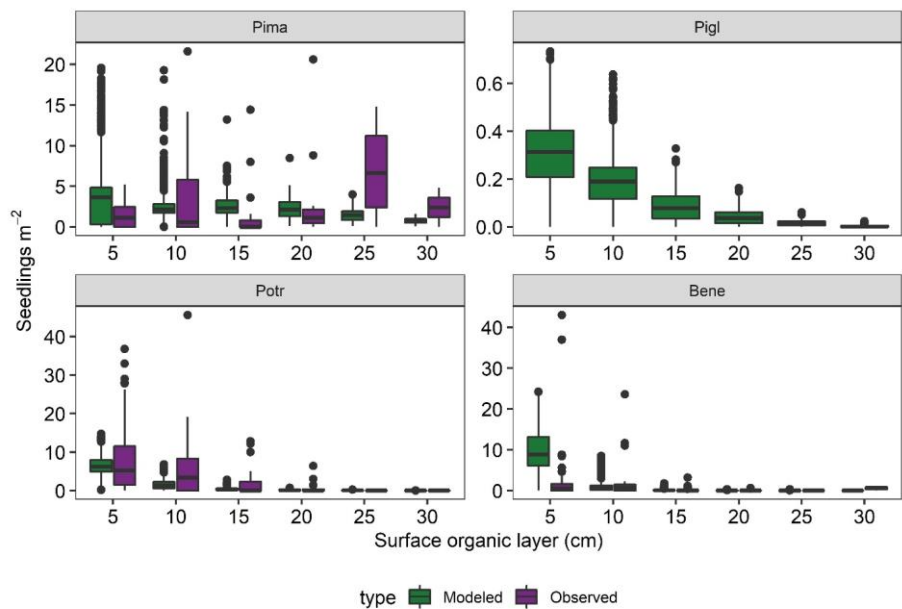
895   **Figure 10~~9~~. Simulated and observed tree-seedling density two years postfire as a function of surface organic layer depth.**
Model output is from recently burned areas in simulation years 26~~10~~-300 in a 61,000 ha forested landscape in interior
Alaska. Observations are from field sampling in other boreal forest stands (see main text for sources). Pima (*Picea*
mariana*) = black spruce, Pigl (*Picea glauca*) = white spruce, Potr (*Populus tremuloides*) = trembling aspen, Bene (*Betula
***neoalaskana*) = Alaskan birch.**

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