



1    **The impact of diffuse light on gross ecosystem productivity over a winter wheat**  
2    **(*Triticum aestivum* L.) is related to the increase of incident light interception in the**  
3    **middle and lower canopy**

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10

11    **Abstract**

12    Diffuse light has potential to increase ecosystem gross primary productivity without the  
13    confounding effect of other environmental factors. However, the magnitude of the  
14    importance of diffuse light for ecosystem carbon uptake and the mechanism behind the  
15    diffuse light-related photosynthetic enhancement is unclear. Here, 2 years of gross  
16    ecosystem primary productivity (GEP), assessed by eddy covariance technology over a  
17    (winter) wheat cropland, was used to determine whether diffuse photosynthetic active  
18    radiance (PAR<sub>dif</sub>) affected wheat GEP. Additionally, the method of Artificial Neural  
19    Network combined with interference analysis and modelling were used to quantify the  
20    relative importance of diffuse light for GEP variations and to explore the underlying  
21    mechanism of diffuse light effect on GEP. Wheat GEP increased significantly with



22 increase in  $PAR_{dif}$  in the absence of effect of total PAR.  $PAR_{dif}$  was found to be the  
23 most important factor for wheat GEP, making a contribution of 41.3% in 2011 and 35.7%  
24 in 2012 to GEP variations, which were greater than the contribution of total PAR, air  
25 temperature, vapor pressure deficit and friction velocity. The results of combination of  
26 model and measured data indicated that as  $PAR_{dif}$  increasing, the within canopy,  
27 especially the middle and lower canopy, intercepted more light, leading to  
28 photosynthetic increase in entire canopy. Over all, our study provided a new evidence  
29 for the importance of diffuse light for carbon uptake in agroecosystem, which is  
30 importance for predicting the response of ecosystem carbon budget to future light  
31 climate changes.

32 **Key words:** Diffuse radiance, ecosystem carbon exchange, mechanism, Artificial  
33 Neural Network, modeling.

34

## 35 1. Introduction

36 Solar radiation provides energy for plant photosynthesis and is an important factor  
37 influencing plant carbon production (Kanniah et al., 2012; Weber et al., 2009;  
38 Williams et al., 2014; Wohlfahrt et al., 2008). Terrestrial carbon assimilation rates on a  
39 leaf level response to sunlight nonlinearly and increase with solar radiation until leaves  
40 are light saturated (Falge et al., 2001; Law et al., 2002; Mercado et al., 2009). However,  
41 at the vegetative canopy scale, the photosynthetic response to solar radiation becomes  
42 more complex than on a leaf scale because of leaf arrangement and distribution within



43 a canopy (Buckley et al., 2013; De Pury and Farquhar, 1997; Williams et al., 2014).  
44 The amount of solar radiance was often assumed to be stable because of simplicity for  
45 research and lack of better knowledge over the years. However, more and more  
46 evidences have indicated that there existing coherent periods and regions with  
47 prevailing “dimming” and “brightening” in solar radiance in the worldwide  
48 observational networks (Baldocchi, 2008; Knohl and Baldocchi, 2008; Wild, 2009;  
49 2012). Thus, investigating the dependence of ecosystem-level carbon production on  
50 sunlight has important significance for global food security and predicting terrestrial  
51 carbon cycles under the background of light climate change.

52 When sunlight penetrates the Earth’s atmosphere, it is scattered by clouds and aerosols,  
53 creating diffuse light (Durand et al., 2021; Urban et al., 2012; Zhang et al., 2011).  
54 Theory suggests that the relationships between canopy carbon exchange process and  
55 light climate differs under direct light and diffuse light or in sunny and cloudy days.  
56 Under direct light conditions, plant leaves are illuminated from a single direction,  
57 causing that the leaves of lower canopy are shaded heavily because of light interception  
58 of upper leaves. In comparison, canopy is illuminated from multi-directions under  
59 diffuse light conditions, and leaves that were previously shaded are now illuminated,  
60 leading to that the leaves of the within canopy intercept more light (Williams et al.,  
61 2014). Finally, the increase in light intercepted by canopy may lead to overall  
62 enhancement of canopy photosynthesis. According to these theory, one expects that  
63 canopy has greater photosynthetic capacity because of more light intercepted by the  
64 canopy under diffuse light condition compared with direct light condition.



65 Measurements or modeling for carbon exchange process over ecosystems have  
66 confirmed the expectations for the enhancement of ecosystem carbon uptake with  
67 increase in diffuse light (Cheng et al., 2015; Emmel et al., 2020; Mercado et al., 2009;  
68 Oliphant et al., 2011; Rap et al., 2018; Zhou et al., 2021), but studies confirming the  
69 expectations for the underlying mechanism are scarce.

70 Diffuse light effect is not a separate process. The increase in level of diffuse light is  
71 accompanied with changes in total light (Knobl and Baldocchi, 2008). In cloudy days,  
72 total light tends to reduce, but diffuse light increases. This co-varying of the two  
73 elements may result in that the carbon uptake gain because of diffuse light increase is  
74 offset by photosynthetic reduction because of total light decline under cloudy  
75 conditions (Alton, 2005, 2007, 2008). Due to the balance of the two opposite carbon  
76 exchange processes, some ecosystems showed reduced carbon uptake under elevated  
77 diffuse light condition (Alton, 2008; Kanniah, et al. 2013), which contradicted with  
78 theory-based assumptions. This means that the diffuse light effect on ecosystem carbon  
79 exchange is unclear if the confounding effect of total light is not removed or minimized  
80 (Niyogi et al., 2004).

81 There are also some issues regarding the effect of diffuse light that need further  
82 explored, e.g., to what extent the diffuse light is important for canopy photosynthesis?  
83 Such analysis is important because if diffuse light contributes very little to  
84 photosynthetic variations, the effect of diffuse light on ecosystem may not be significant,  
85 although it can promote canopy photosynthesis. To date, only a few studies have  
86 quantified its relative importance. For example, one study on a forest ecosystem



87 indicated that diffuse light is not as important as total light and vapor pressure deficit  
88 by calculating their separating contributions to net carbon uptake variations (Park et al.,  
89 2018). However, past research should be analyzed further by removing the potential  
90 effect of sun elevation angle, because solar elevation angle was found to play a  
91 significant modifying role to the effect of diffuse light on ecosystem photosynthesis  
92 (Cheng et al., 2015).

93 In this study, eddy covariance technique combined with modeling method were used to  
94 calculate the over-canopy variations in the gross ecosystem primary productivity (GEP)  
95 and simulated the within-canopy microclimate conditions and photosynthetic rate in a  
96 (winter) wheat (*Triticum aestivum* L.) crop in northern China from 2010 to 2012. Our  
97 aim was to 1) analyze the response of GEP to diffuse light without effect of total light,  
98 2) quantify the relative importance of diffuse light for GEP, and 3) explore the  
99 underlying mechanism of effect of diffuse light on GEP. We hypothesize that wheat  
100 GEP will increase significantly along with diffuse light, and the increase is attributed  
101 to more light intercepted by the canopy when diffuse light increasing.

## 102 **2. Materials and Methods**

### 103 **2.1 Study area and experimental measurements**

104 The field experiment was conducted over a (winter) wheat (*Triticum aestivum* L.)  
105 cropland at the Luancheng Agroecosystem Experimental Station (37°50'N, 114°40'E;  
106 elevation: 50.1 m above sea level) in Hebei Province, China, during 2011–2012. The  
107 climate of the region is semi-humid and semi-arid, with a long winter (from November



108 to next February) and a short spring (from March to April) (Yang et al., 2019). The  
109 long-term (from 1990 to 2008) mean annual temperature and precipitation were 12.8°C  
110 and 485 mm, respectively. Wheat was sown on October and harvested on June. The  
111 highest canopy heights were approximately 1.0 m. The maximal leaf area indexes (LAIs)  
112 for wheat were approximately 4.1 m<sup>2</sup> m<sup>-2</sup> and 3.9 m<sup>2</sup> m<sup>-2</sup> at the heading growing stage  
113 in 2011 and 2012, respectively.

114 The ecosystem CO<sub>2</sub> (carbon) and heat fluxes between the biosphere and atmosphere  
115 were measured using eddy covariance (EC) technology. The EC monitoring system  
116 consisted of a three-dimensional sonic anemometer (Model CSAT 3, Campbell  
117 Scientific Inc., USA) to monitor fluctuations in vertical wind velocity ( $w'$ ) and an open-  
118 path and fast-response infrared gas analyzer (Model LI-7500, Li-Cor Inc., USA) to  
119 monitor the fluctuations in the CO<sub>2</sub> and water vapor concentrations ( $\rho'$ ) (Bao et al.,  
120 2022). The net ecosystem carbon exchange (NEE; mg CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>), latent heat flux  
121 (LE; W m<sup>-2</sup> s<sup>-1</sup>) and sensitivity heat flux (H; W m<sup>-2</sup> s<sup>-1</sup>) were calculated on line by the  
122 covariance between  $w'$  and  $\rho'$ . Along with the flux measurements, variations in global  
123 radiation, net radiation, total photosynthetic active radiation (400–700 nm; hereafter  
124 denoted as PAR), air temperature ( $T_a$ ), surface soil temperature, relative humidity, soil  
125 water content (SWC), precipitation and soil heat flux were also measured. A data logger  
126 collected the raw data at a rate of 10 Hz and stored them as 30 min averages. Details of  
127 other instruments for measuring and data collecting can be found in our published work  
128 (Bao et al. 2022).

129 The raw flux and meteorological data were experienced procedures of correcting,



130 screening and rejecting (Falge et al., 2001; Webb et al., 1980). More information about  
131 the data processing has been described by Bao et al. (2022).

## 132 2.2 GEP estimation and light response curve

133 GEP is the difference between ecosystem respiration (ER) and NEE (GEP=ER–NEE).  
134 Nighttime ER (= nighttime NEE, because there is not photosynthesis in nighttime) gaps  
135 were interpolated by Lloyd & Taylor model (Lloyd and Taylor, 1994). Daytime ER was  
136 estimated by the method proposed by Reichstein et al. (2005). More information about  
137 ER interpolation can be found in Bao et al. (2020).

138 To obtain the entire time series for GEP during the whole growing seasons, the gaps of  
139 daytime NEE was also filled. The gaps had a time interval less than 2 hours were filled  
140 by linear interpolation method. The gaps longer than 2 hours were filled using marginal  
141 distribution sampling method, by which the “gaps” could be look up on the basis of  
142 relationships between flux and environmental factors (Reichstein et al., 2005).

143 Light is a crucial factor for ecosystem photosynthesis. There is often strong relationship  
144 between incident light and plant photosynthetic capacity, which can be described as a  
145 rectangular hyperbola light response model (Falge et al., 2001):

146

$$\text{GEP} = \frac{\alpha A_{\max} \text{PAR}}{\alpha \text{PAR} + A_{\max}}, \quad (1)$$

147

148 where  $\alpha$  represents the initial slope of the ecosystem light-response curve, i.e. the



149 apparent quantum yield or the apparent light-use efficiency ( $\text{mg CO}_2 \mu\text{mol photon}^{-1}$ ),  
 150  $A_{\text{max}}$  represents the maximum rate of ecosystem gross photosynthesis ( $\text{mgCO}_2 \text{m}^{-2} \text{s}^{-1}$ )  
 151 at the infinite PAR ( $\mu\text{mol photon m}^{-2} \text{s}^{-1}$ ).

### 152 2.3 $\text{PAR}_{\text{dif}}$ estimation

153 The Luancheng site lacked the direct measurement of the diffuse component of solar  
 154 radiance; consequently, the strength of diffuse light was estimated on the basis of the  
 155 clearness index (CI) and the diffuse component of global solar radiation ( $S_d$ ). According  
 156 to Gu et al. (1999), CI is the ratio of the global solar radiation ( $S$ ,  $\text{W m}^{-2}$ ) received by  
 157 the earth's surface to the extraterrestrial irradiance at a plane parallel to the Earth's  
 158 surface ( $S_e$ ,  $\text{W m}^{-2}$ ) as follows:

159

$$\text{CI} = \frac{S}{S_e} \quad (2)$$

$$S_e = S_{\text{sc}}[1 + 0.003\cos(360t_d/365)]\sin\beta \quad (3)$$

$$\sin\beta = \sin\varphi \cdot \sin\delta + \cos\varphi \cdot \cos\omega, \quad (4)$$

160 where  $S_{\text{sc}}$  represents the solar constant ( $1,370 \text{ W m}^{-2}$ ),  $t_d$  represents the day of the year,  
 161  $\beta$  represents the solar altitude angle,  $\varphi$  represents the degree of latitude,  $\delta$  represents  
 162 the declination of the sun and  $\omega$  represents the time angle (Gu et al. 1999).

163 The diffuse fraction of PAR ( $f\text{DPAR}$ ) and diffuse PAR ( $\text{PAR}_{\text{dif}}$ ) were calculated using  
 164 the following relationships (Reindl et al., 1990):

165





$$fDPAR = \frac{[1 + 0.3(1 - q^2)]q}{1 + (1 - q)\cos^2(90^\circ - \beta)\cos^3\beta} \quad (5)$$

$$q = \frac{S_d}{S_e \cdot CI} \quad (6)$$

because CI is the ratio of S to  $S_e$ , then,

$$q = \frac{S_d}{S} \quad (7)$$

when  $0 \leq CI \leq 0.3$ , restrain:  $q \leq 1.0$ ,

$$q = 1.02 - 0.254CI + 0.0123\sin(\beta) \quad (8)$$

when  $0.3 < CI < 0.78$ , restrain:  $0.1 \leq q \leq 0.97$ ,

$$q = 1.400 - 1.749CI + 0.177\sin(\beta) \quad (9)$$

when  $CI \geq 0.78$ , restrain:  $q \geq 0.1$ ,

$$q = 0.486CI - 0.182\sin(\beta) \quad (10)$$

$$PAR_{dif} = PAR \times fDPAR$$

166

167 PAR and  $PAR_{dif}$  typically co-vary with each other, thus, the confounding effect of PAR  
 168 should be removed to describe the response of GEP to  $PAR_{dif}$ . We first established the  
 169 model using (total) PAR and the observed GEP (calculated using observed NEE) on  
 170 the basis of Eq. 1, and  $GEP_{fitted}$  was obtained. Then, we calculated the residuals between  
 171 observed GEP and  $GEP_{fitted}$ , which did not correlate with PAR. Finally, the



relationships between these residuals and  $PAR_{dif}$  were examined because they represent the responses of GEP to  $PAR_{dif}$  that were not confounded by total light.

## 2.4 Artificial Neural Network

Before quantifying the effect of diffuse light, the factors affecting GEP using Artificial Neural Network (ANN) method were determined. ANN is a mathematical model that imitates the structure and function of a biological neural network. By learning the patterns of data samples, it explores nonlinearity and complex interactions among predictors without assumptions to simulate the internal mechanism between information (Jin et al., 2019). Many studies have indicated the effectiveness of ANN in data interpretation (Wagle et al., 2016; Zhou et al., 2021).

ANN models were established consisted of input layer, hidden layer and output layer by software package NeuroShell Easy Predictor, Version 2.0 (Ward Systems Group, Inc., Frederick, MD, USA). We considered  $PAR$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $PAR_{dif}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $T_a$  ( $^{\circ}\text{C}$ ), vapor pressure deficit (VPD, Kpa), SWC ( $\text{m}^3\text{m}^{-3}$ ) and friction velocity ( $u^*$ ,  $\text{m s}^{-1}$ ) as input variables (neurons) in ANN modeling because they are the factors most often discussed in literature. Among these factors, we selected  $PAR$ ,  $T_a$  and VPD as mandatory input variables because they are widely reported as affecting GEP. This empirical assumption was also supported by our analysis of the strong relationships between hourly GEP and  $PAR$ ,  $T_a$  and VPD (data not shown).  $PAR_{dif}$ , SWC and  $u^*$  were selected as optional input variables because their abilities to affect GEP are still debatable, according to past literature. This input variable setting resulted in eight



193 models having different combinations of input parameters (Table 1). The number of  
 194 hidden layer neurons was determined automatically by the software. The output layer  
 195 consisted of one output variable of GEP ( $\text{mg CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ). The raw GEP data (namely  
 196 calculated by raw NEE) was divided into two parts: approximately 75% of the data  
 197 samples were used to train samples and establish models, and the remaining 25% of the  
 198 data samples were used to compare the model's accuracy according to two assessing  
 199 indicators, i.e., the Nash–Sutcliffe efficiency index ( $R^2$ ) and the root–mean–square  
 200 error (RMSE). The calculation methods for these indicators were presented previously  
 201 in Hu et al. (2008).

202 To quantify the relative effect of diffuse light on GEP, interference analysis method on  
 203 the basis of ANN model was applied. Only when the model is relatively accurate can  
 204 the results of the model-based interference analysis be reliable. For a certain input  
 205 variable containing N groups of data, the corresponding output value is  $y(n)$ . When  
 206 adding 1% interference to the  $i$ th neuron ( $i = 1, 2, 3, 4, \dots$ ), the corresponding output  
 207 value is  $y_i(n)$ . If the neuron in the input layer has a large influence on GEP, then the  
 208 output's simulated values after a small interference will deviate more from those  
 209 without interference. We used  $S_i$  to indicate the influence of each neuron, as follows:

210

$$S_i = \frac{1}{N} \sum_{n=1}^N \frac{|y_i(n) - y(n)|}{|y(n)|} \quad (11)$$

211 The contribution of each variable to GEP can be estimated as follows:



212

$$Q_i = \frac{S_i}{\sum_{n=1}^i S_i} \times 100\%. \quad (12)$$

213

214 Because the magnitude order of the input variables in original data set varied greatly,  
 215 the input variables were normalized before interference analysis, and all the input data  
 216 were converted into 0–1. The normalization formula is as follows:

217

$$Y = (X - x_{\min}) / (x_{\max} - x_{\min}), \quad (13)$$

218 where Y, X,  $x_{\max}$  and  $x_{\min}$  represent normalization values of the input variables, input  
 219 variables, the maximum and minimal values of the input variables, respectively.

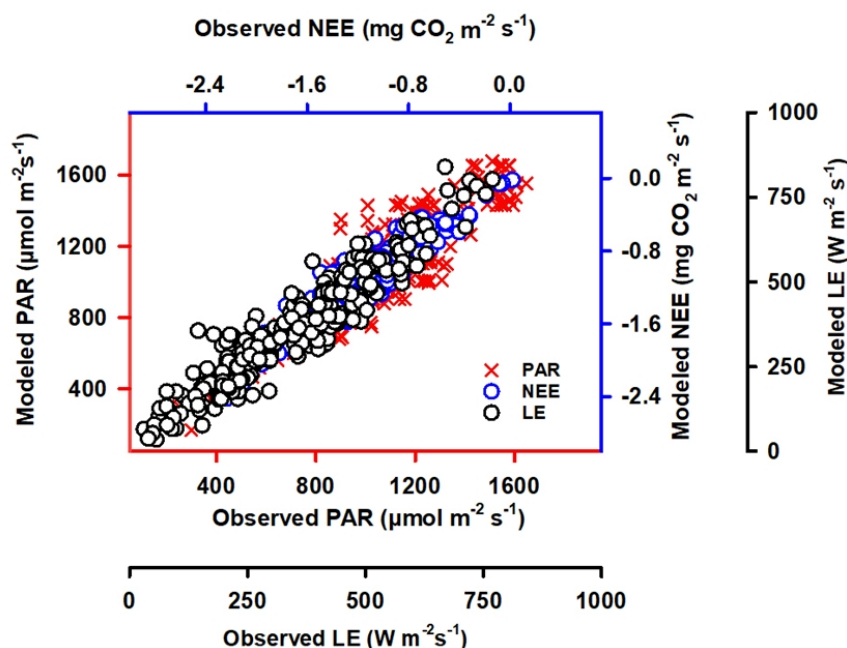
## 220 2.5 Biophysical multilayer canopy model

221 The eddy covariance technology only measures the above-canopy carbon flux. In order  
 222 to obtain the CO<sub>2</sub> exchange rate within the canopy, we used a biophysical multilayer  
 223 canopy model documented by Baldocchi and Wilson (2001). This model computes the  
 224 biosphere-atmosphere exchange of water vapor, carbon and sensible heat flux and  
 225 microclimate within and above the canopy at an hour timescale. The model consists of  
 226 micrometeorological and physiological modules. The former computes leaf and soil  
 227 energy exchange, scalar concentration profiles through the canopy. Environmental  
 228 factors that were computed with the micrometeorological module drive the



229 physiological modules that compute leaf photosynthesis. The model was driven by  
230 external variables that were measured above the canopy. The environmental inputs  
231 include incident PAR, air temperature, wind speed, relative humidity and CO<sub>2</sub>  
232 concentration. Plant structural variables include leaf area index, leaf angle orientation,  
233 a leaf clumping factor, and canopy height (Baldocchi et al., 1999). The key parameters  
234 of the model were collected by querying the site technicians and from literature. During  
235 the study period, the leaf area and plant height was relatively constant. The entire  
236 canopy was divided into ten layers on average by the model from canopy top to ground  
237 surface, and the meteorological conditions (mainly referring to the incident PAR) and  
238 photosynthetic rate of each layer was simulated. We then used mean value of  
239 photosynthetic rate and PAR in 1–3 layers, 4–7 layers and 8–10 layers to represented  
240 the upper, middle and lower of the canopy, respectively.

241 We used observed fluxes and radiation data during the study periods (introduced in next  
242 section) to validate the model. The slope of a linear relationship between model versus  
243 observed flux data ( $k$ ) and the determination coefficient of the relationship ( $R^2$ ) were  
244 used to describe the validation results. The results show that the multilayer canopy  
245 model predicted CO<sub>2</sub>, LE flux and net radiation above the canopy well with  $k \approx 1$  and  
246  $R^2 > 0.85$  (Figure. 1).



247

248 Figure 1. Validation for the multilayer canopy model. The unfilled observed data during  
 249 the study periods was used. The fitted regression equation and determination  
 250 coefficients ( $R^2$ ) were  $PAR_{\text{modeled}} = 0.99 PAR_{\text{observed}} + 26.51$ ,  $R^2=0.85$ ;  $NEE_{\text{modeled}} =$   
 251  $0.96 NEE_{\text{observed}} - 0.02$ ,  $R^2 = 0.88$ ;  $LE_{\text{modeled}} = 0.98 LE_{\text{observed}} + 23.26$ ,  $R^2=0.90$ . All the  
 252 correlations were significant at the level of 0.01.

253

254

## 255 2.6 Analyzed periods

256 During growing seasons, the leaf areas of crops typically changed markedly along with  
 257 plant growth. To minimize the impact of leaf area changes on carbon-exchange



processes, data from April to middle May for wheat was selected. The periods mainly covered the stages from late jointing to heading for wheat, during which plants grew relatively steadily and the changes in leaf area index were not dramatic. In addition, due to that different solar zenith angles affect the responses of ecosystem carbon exchanges to light or the role of  $PAR_{dif}$  (Cheng et al., 2015), daytime data from 10:00 am to 14:00 pm during the selected growing period were the focus. The GEP values estimated based on observed and unfilled NEE data were used.

### 3. Results

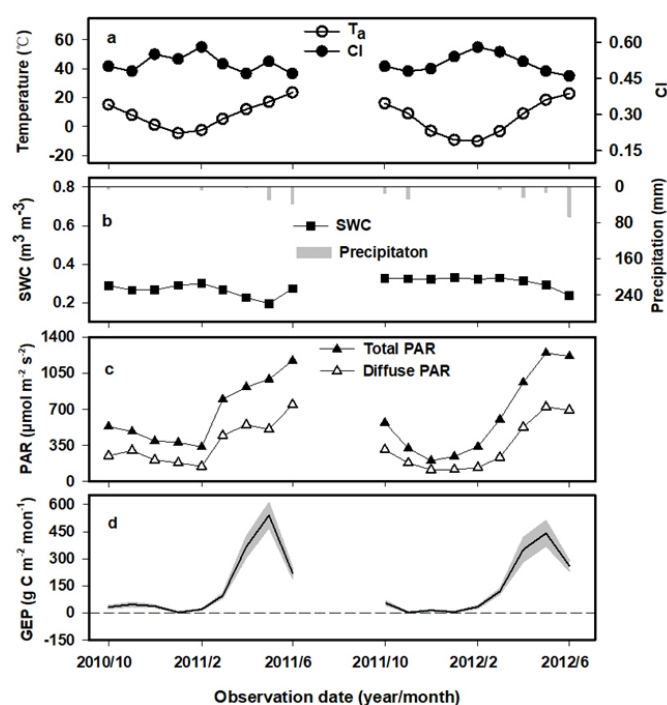
#### 3.1 Weather conditions and GEP variations

The seasonal variations in meteorological factors and GEP are shown in Figure 2. During the whole growing seasons, the minimal monthly mean  $T_a$  values usually occurred in the following January, and then increased rapidly until harvest time (Fig. 2a). Monthly mean VPDs exhibited a variation trend similar to that of  $T_a$  (data not shown). The rainfall during the wheat growing season was less. It mainly concentrated in May and July. The surface soil moisture condition showed a gently change (Fig. 2b). CIs varied obviously among months. It often reaches its maxima in February, indicating that the sky during this period was clearest (Fig. 2a). Solar radiation and its diffuse portion showed similar change trends (Fig. 2c). The PAR and  $PAR_{dif}$  values were low during winter, and then began to increase gradually from February.

Daily GEP of wheat was close to zero from sowing date in October to reviving stage in next February (Fig. 2d). In spring, daily GEP began to increase rapidly and reached its



279 maximum values in May and then decreased because of plant senescence.



280

281 Figure 2. The seasonal variations in (a) monthly mean air temperature ( $T_a$ , °C), and  
 282 clearness index (CI), (b) soil water content (SWC,  $m^3 m^{-3}$ ) and monthly summed  
 283 precipitation (mm), (c) monthly mean PAR ( $\mu mol m^{-2} s^{-1}$ ) and diffuse PAR ( $PAR_{dif}$ ),  
 284 and (d) monthly summed GEP ( $g C m^{-1} mon^{-1}$ ) for the wheat during 2010–2012. The  
 285 gray area in the panel (d) represents the uncertainty of the monthly values calculated  
 286 according to the method described by Bao et al. (2022).

### 287 3.2. Effect of diffuse light

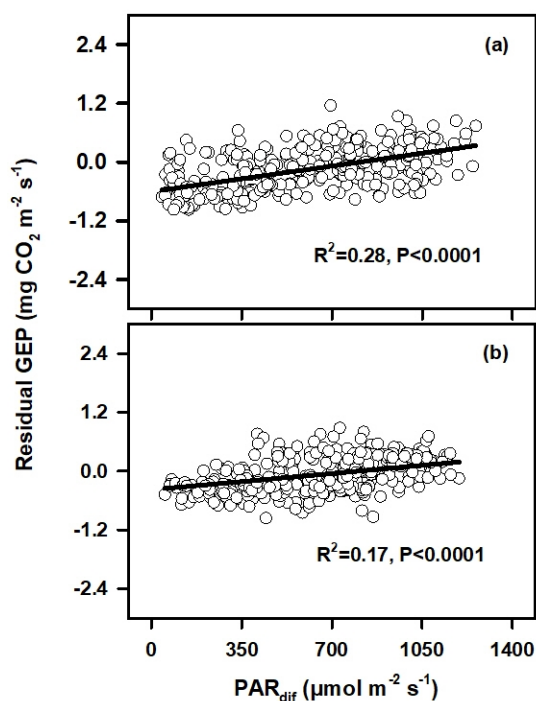
288 The responses of wheat GEP to  $PAR_{dif}$  without confounding effect of PAR are shown  
 289 in Figure 3. The residual GEP increased along with  $PAR_{dif}$  significantly in both years,





290 indicating that  $PAR_{dif}$  had a positive effect on the GEP. Results of ANN analyses shows  
291 that the most efficient simulation model for wheat GEP in 2011 was MD.2, which was  
292 without SWC but had the largest  $R^2$  and lowest RMSE (Table 1). There were also  
293 models having the same  $R^2$  and RMSE values, e.g., MD1 and MD4, and MD.5 and  
294 MD.7. Both pairs of models did not incorporate SWC, further indicating that SWC did  
295 not affect wheat GEP in 2011, so the main affecting factors were  $PAR$ ,  $T_a$ ,  $VPD$ ,  $PAR_{dif}$   
296 and  $u^*$  in 2011. Similar to wheat GEP in 2011, SWC also did not affect wheat GEP in  
297 2012 because of the highest  $R^2$  and lowest RMSE occurred in MD.2 and the same  
298 magnitudes of assessing parameters between models that differed in their incorporation  
299 of SWC (i.e., MD.3 and MD.6, for wheat GEP in 2012).

300 The interference analysis indicated that the change magnitudes in GEP when interfering  
301 independence variables compared with those when no interference occurred among  
302 variables are different among different variables (Fig. 4). The simulated GEP having  
303 interfering  $u^*$  deviated the least from the initial values in the absence of interference.  
304 Further computations (Eq. 12–13) on the basis of the changes magnitude caused by the  
305 interference indicated that  $PAR_{dif}$  was the most important factor affecting wheat GEP  
306 during the study periods, making a contribution of 41.3% in 2011 and 35.7% in 2012  
307 to GEP variations (Fig. 5).  $PAR$  was the second important factor for wheat GEP,  
308 making contributions of 28.2% and 26.9% in 2011 and 2012, respectively. The effects  
309 of  $T_a$  and  $VPD$  were nearly equal and played medium level roles in affecting GEP.  $u^*$   
310 affected GEP the least, contributing less than 10% to GEP variation.



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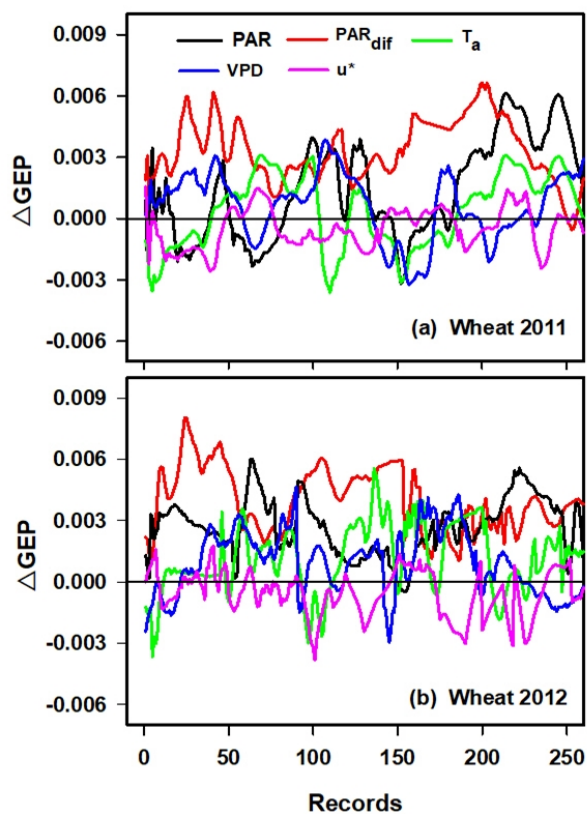
312 Figure 3. The relationships between residual GEP (mg CO<sub>2</sub> m<sup>-1</sup> s<sup>-1</sup>) (the difference

313 between observed GEP and fitted GEP by PAR using Eq. (1)) and diffuse PAR (PAR<sub>dif</sub>,

314 μmol m<sup>-2</sup> s<sup>-1</sup>) for wheat in (a) 2011 and (b) 2012. R<sup>2</sup> represents the determination

315 coefficient of the relationships.

316



317

318 Figure 4. Comparisons of changes in output GEP values with and without artificial

319 interference within recorded regime.

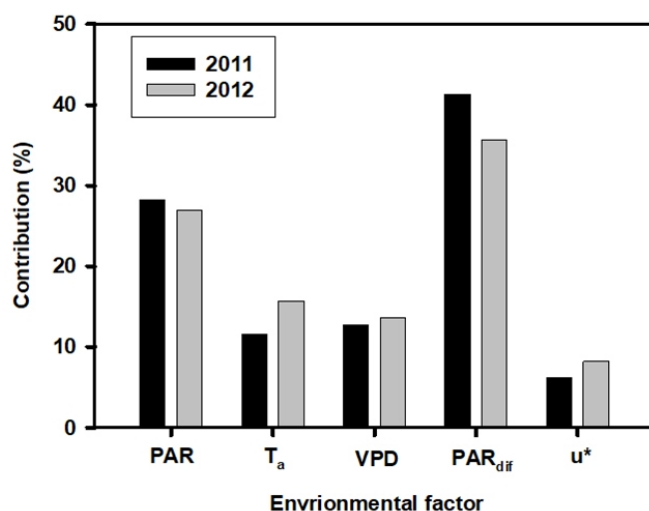
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324

325 Figure. 5 Relative contributions of environmental factors to wheat GEP during study

326 periods in 2011 and 2012.

327

328 Table 1 Comparison of ANN in predicting GEP using different input variable combinations

Crop	Model number	Optional Input variable			Evaluation Indicators			
		PAR <sub>dif</sub>	SWC	u*	2011 R <sup>2</sup>	2011 RMSE	2012 R <sup>2</sup>	2012 RMSE
Wheat	MD.1	N	Y	Y	0.754	0.1267	0.787	0.1265
	MD.2	Y	N	Y	0.875	0.1032	0.876	0.1020
	MD.3	Y	Y	N	0.824	0.1167	0.841	0.1108
	MD.4	N	N	Y	0.754	0.1267	0.835	0.1248
	MD.5	N	Y	N	0.817	0.1293	0.827	0.1237
	MD.6	Y	N	N	0.848	0.1143	0.841	0.1108
	MD.7	N	N	N	0.817	0.1293	0.806	0.1285
	MD.8	Y	Y	Y	0.826	0.1105	0.842	0.1266

329



Notes: Y or N indicates that the ANN model includes or excludes the variable, respectively. Because all the models included PAR,  $T_a$  and VPD, these variables are not shown in the table.  $R^2$  represents the Nash-Sutcliffe efficiency index. RMSE represents root-mean-square error.

333

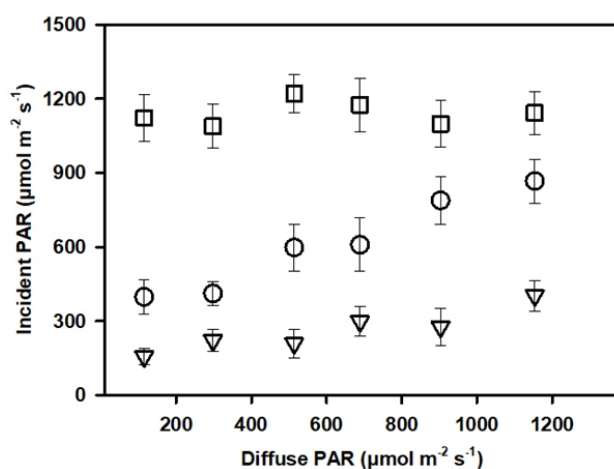
### 334 3.3 Mechanism of diffuse light effect

To illustrate the reason for the GEP enhancement with diffuse light increase, the incident light and photosynthetic rate within the canopy were simulated by the canopy model at varied diffuse light levels. Results shows that the simulated incident PAR into upper canopy was almost constant when diffuse light increasing, while the simulated incident PAR into middle and lower canopy increased significantly along with diffuse light (Fig. 6). This reflects that the incident light within canopy distributed more deeply and that the within canopy intercept more light when  $PAR_{dif}$  increasing. The light distribution caused vertical variations in photosynthetic rate within the canopy. Fig.7 shows that the simulated photosynthetic rate of upper canopy did not differ significantly under different  $PAR_{dif}$  levels, while the photosynthesis of middle and lower canopy was enhanced significantly with increase in  $PAR_{dif}$ , with the determination coefficient of 0.80 and 0.87 for middle and lower canopy, respectively. When  $PAR_{dif}$  increase from its minimal level to maximal level, the entire canopy photosynthesis (represented as the sum of photosynthetic rate for three parts of canopy) increased by ~53%, middle and lower canopy contributed ~60% and ~40% for this increase, indicating that as  $PAR_{dif}$  increasing, the within canopy, especially the middle and lower canopy, intercepted



351 more light, leading to photosynthetic increase in middle and lower canopy,  
 352 consequently, the photosynthesis of entire canopy enhanced.

353



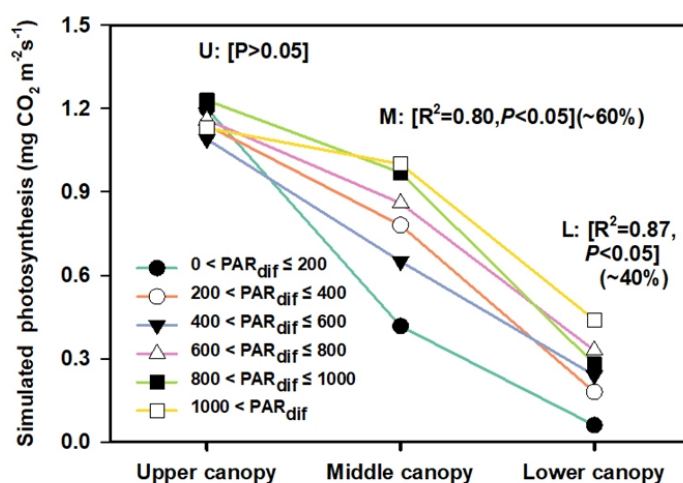
354

355 Figure. 6 Response of simulated incident PAR into upper canopy (square), middle  
 356 canopy (circle) and lower canopy (inverted triangle) to  $\text{PAR}_{\text{dif}}$  over  $\text{PAR}_{\text{dif}}$  bins of 200  
 357  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . The error bars indicate the standard deviations of incident PAR of each  
 358  $\text{PAR}_{\text{dif}}$  bin. The incident PAR into middle and lower canopy increased with diffuse  
 359 PAR in linearly pattern, with determination coefficient of 0.96 ( $P < 0.01$ ) and 0.86  
 360 ( $P < 0.05$ ), respectively.

361

362

363



364

365 **Figure 7.** Gross photosynthetic rate for upper (U), middle (M) and lower wheat canopy  
 366 layer (L) simulated by multiple canopy model. The averaged values over  $PAR_{dif}$  bins  
 367 of  $200 \mu\text{mol m}^{-2} \text{s}^{-1}$  were presented.  $R^2$  represents the determine coefficient of the linear  
 368 regression equation between the simulated photosynthetic rate and  $PAR_{dif}$  for different  
 369 canopy part, P represents the significance of the correlations. The percentage in the  
 370 parentheses is the ratio of changes in photosynthesis of the corresponding canopy part  
 371 to the total change in photosynthesis of entire canopy when  $PAR_{dif}$  increased from  
 372 minima to maxima.

#### 373 4. Discussion

##### 374 4.1 Diffuse light and other factors

375 This study found that the increase in  $PAR_{dif}$  enhanced wheat GEP significantly without  
 376 the confounding effect of total light, and thus confirming our first initial hypothesis.  
 377 This result was consistent with previous studies. Cheng et al., (2015) indicated that the



378 GEP of forest ecosystems and a rainfed soybean cropland increased along with  $PAR_{dif}$   
379 by removing the effect of direct PAR. By integrating flux data at >200 sites, Zhou et al.  
380 (2021) reported that ecosystems gross primary productivity responded positively to  
381 increase  $PAR_{dif}$  under heavy sky cloud condition, i.e., when radiation condition was  
382 dominated by diffuse light (diffuse light fraction was larger than 0.8). We did not use  
383 the method that Zhou et al. (2021) applied to ignore the effect of direct or total radiation,  
384 because the data pairs under the condition of diffuse light fraction > 0.8 was far less  
385 than that during the study period in the current study. The limited data may bring  
386 uncertainties and the analysis results may not be convincing. It is worth noting that  $T_a$   
387 and VPD also change together with diffuse light, so the effect of  $T_a$  and VPD on GEP  
388 should be removed when exploring effect of diffuse light on GEP. In this study,  $T_a$  and  
389 VPD were estimated to contribute much less (10–15%) than total light to GEP changes,  
390 so we believed that the confounding effect of the two factors for dependence of GEP to  
391  $PAR_{dif}$  can be ignored.

392 There were also studies drew inconsistent conclusion with the current study. Using a  
393 normalized method, Knohl and Baldocchi (2008) minimized the confounding effect of  
394 PAR,  $T_a$  and VPD and found a significant relationship between above-canopy carbon  
395 exchange rate and diffuse light fraction (fDIF). In reality, fDIF differs from  $PAR_{dif}$ , i.e.,  
396 a higher fDIF is not meaning a stronger  $PAR_{dif}$ . When the relationship between diffuse  
397 fraction of PAR and  $PAR_{dif}$  was analyzed, we found that  $PAR_{dif}$  initially increased and  
398 then decreased with increasing diffuse fraction of PAR (Fig. 8). High level of diffuse  
399 light fraction are commonly caused by heavy atmospheric aerosols and clouds, in which





case total radiation is much weak and its diffuse component is also weaker. Thus, the increase in net ecosystem carbon uptake along with diffuse light fraction in study of Knohl and Baldocchi (2008) indicated that the ecosystem carbon uptake was strongest when diffuse and total radiation was weakest (highest diffuse fraction), which contradicted our initial assumption.

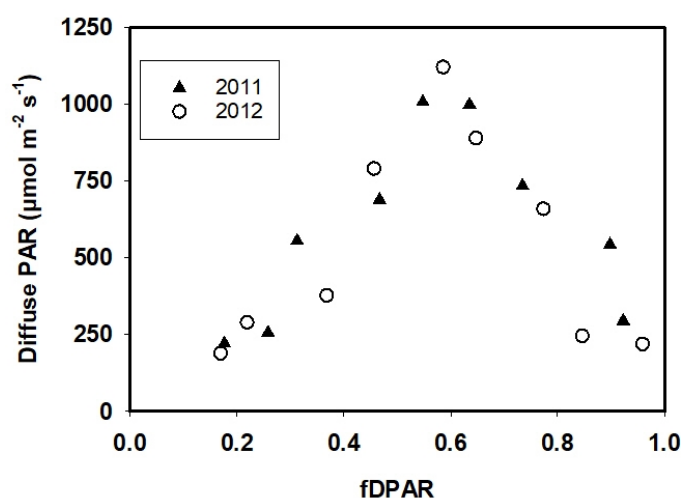


Figure. 8 Relationship between diffuse PAR and diffuse PAR fraction (fDPAR) in 2011 and 2012.

By estimating the contributions of the considered factors to GEP variations,  $PAR_{dif}$  was found to be the predominant driver among the factors this study considered. To date, studies on determining the extent of importance of diffuse light for ecosystem carbon exchange are scarce. By combining eddy covariance measurement and modelling, Park et al. (2018) explored the drivers for net ecosystem carbon uptake in a forest and



414 concluded that diffuse light fraction explained less than total light and VPD. Cheng et  
415 al. (2015) also used above-canopy measurements and reported that diffuse light  
416 explained approximately 41% of GEP variance in croplands and 17% in forests they  
417 studied. These studies indicated that the importance of diffuse light to ecosystem  
418 productivity is not constant and may depend on plant species and different analysis  
419 methods.

420  $T_a$  and VPD typically impact photosynthesis by influencing photosynthetic enzyme  
421 activity levels (Li et al., 2014; Wohlfahrt et al., 2008) and leaf stomatal behavior  
422 (Farquhar and Sharkey, 1982; Souza et al., 2004). Increase in  $T_a$  may promote  
423 photosynthesis, but sometimes inhibit photosynthesis because of the stomatal closure  
424 caused by high level of VPD that typically co-vary with temperature. The simple  
425 correlation analysis in our study indicated that GEP increased along with  $T_a$  and VPD,  
426 indicating that the weather conditions favored crop growth, with little water stress  
427 occurring. The role of the two factors were not as important as light for GEP variations  
428 (Fig. 5), which is consistent with previous studies indicating that temperature and VPD  
429 played unimportant roles in affecting plant productivity (Alton, 2008; Alton et al., 2007;  
430 Oliphant et al., 2011; Urban et al., 2007; Park et al. 2018).  $u^*$ , which is mainly related  
431 to transporting carbon dioxide into the internal canopy, was the least important driver  
432 of GEP. This is probably because an increase in  $u^*$  may reach a threshold at which the  
433 carbon dioxide concentration was saturated, leading to canopy productivity not being  
434 further impacted by wind speed. Although soil moisture was reported to impact  
435 ecosystem photosynthesis in past studies (e.g., Zhang et al., 2007), we did not find that



436 SWC affect wheat GEP variations in either year as assessed by ANN model  
437 comparisons. Tong et al. (2014) indicated that ecosystem photosynthetic parameters  
438 hardly varied at different soil moisture conditions and was related to sufficient irrigation  
439 and abundant rainfall. In this study, wheat was irrigated twice per season, and the  
440 precipitation amount was moderate during the main growing season, and thus  
441 guaranteeing adequate water for crop growth and development during the study period  
442 and leading to GEP being insensitive to SWC variations.

#### 443 4.2 About the mechanism

444 With the combination of over-canopy flux measurements and modeling method, our  
445 study indicated that as  $PAR_{dif}$  increasing, the middle and lower canopy intercepted more  
446 incident light, leading to their photosynthetic increase, consequently, the  
447 photosynthesis of entire canopy was enhanced, thus confirming our second hypothesis  
448 regarding the underlying mechanism of the effect. To our knowledge, studies  
449 illustrating the reasons for the enhancement due to diffuse light increase are scarce.  
450 Urban et al., (2012) indicated that the leaves in middle and lower spruce canopy  
451 assimilate more carbon in cloudy days compared with in sunny days because of more  
452 even vertical distribution of light among leaves across the canopy. Williams et al.,  
453 (2014) investigated the linkage between light conditions and canopy photosynthesis  
454 within a tundra canopy and found that the proportion of deep shade within canopy is  
455 significantly much greater under direct conditions than that under diffuse conditions,  
456 resulting greater photosynthesis under diffuse conditions. However, these studies  
457 explored the mechanism by measuring leaves at different canopy layers to represent



458 canopy part, i.e., upper, middle or lower canopy. Because one canopy part commonly  
459 includes several leaf layers, using photosynthesis of only one leaf layer to represent that  
460 of a certain canopy part may bring some uncertainties.

461 Our study found that the incident light into upper canopy was almost constant when  
462 diffuse light changing (Fig. 6). Because both clear sky condition and heavy cloud  
463 condition can lead to low  $PAR_{dif}$ , the values of incident PAR under low  $PAR_{dif}$   
464 conditions ( $\sim < 600 \mu mol\ m^{-2}\ s^{-1}$ ) was the balance (or the average) of strong incident  
465 PAR under clear sky conditions and weak incident PAR under heavy sky conditions.  
466 Thus, the nearly stable change trend in incident light along with diffuse light in upper  
467 wheat canopy was occurred. For the middle canopy, under low  $PAR_{dif}$  conditions, the  
468 leaves could not receive or receive less direct light when the sky was clear because they  
469 were shaded by upper leaves. This caused that the incident light into middle canopy  
470 was dominated by weak diffuse light produced by heavy cloud. The  $PAR_{dif}$  into lower  
471 canopy declined compared with middle canopy, possibly because that the diffuse light  
472 that previously illuminated lower canopy was intercepted by middle canopy. Because  
473 it is widely accepted that light availability is a major affecting factor of photosynthesis  
474 (Glenn et al., 2010; Suyker et al., 2005), we believed that the enhanced primary  
475 productivity with diffuse light increasing was mainly attributed to the increased  
476 incident light into middle and lower canopy.

477 Although this study has revealed that the enhancement of canopy photosynthesis with  
478 diffuse light increase is related to more light intercepted by canopy, there exists other  
479 hypothesis for the possible reasons. One is that diffuse light has a greater ratio of blue



480 to red light, which may stimulate photochemical reactions and stomatal opening;  
481 thereby, promoting canopy carbon exchange (Dengel and Grance, 2010; Urban et al.,  
482 2012). The other is that diffuse light tends to eliminate photoinhibition in sunlit leaves  
483 at the top of the canopy (Gu et al., 2002) and thus increases entire-plant photosynthesis.  
484 The latter hypothesis indicates that canopy photosynthesis is inhibited under strong  
485 incident light condition and promoted under diffuse light condition. It was unclear  
486 whether strong light (under weak diffuse light condition) depress GEP in upper canopy  
487 in the current study, because the GEP values corresponding to low  $PAR_{dif}$  caused by  
488 strong light and that caused by heavy clouds were averaged. Even if photosynthetic  
489 depression under strong light was found, the chemical reactions and enzymatic activity  
490 related to photosynthesis at leaf scale should be analyzed without destroying the natural  
491 state of the vegetation to test whether the photosynthetic decline is related to  
492 photoinhibition. Overall, the increase in light absorption of canopy with diffuse light  
493 increase may not be the only one mechanism for the enhancement of canopy  
494 photosynthesis under diffuse light condition. In order to fully understand the affecting  
495 mechanism, research on relationships between photosynthetic physiological and  
496 ecological processes and light climate changes at cell, leaf and canopy scales are needed  
497 in future.

#### 498 4.3 Implications

499 This study showed that diffuse light enhances canopy photosynthesis in a wheat  
500 ecosystem and played a predominant role compared with other affecting factors, thus,  
501 our study provided new supporting data for the importance of diffuse light for



ecosystem productivity and for the necessity to consider diffuse light into carbon models to predict the ecosystem carbon uptake dynamics accurately. Moreover, the ecosystem photosynthetic enhancement could be interpreted by the increased light interception by the canopy, and thus giving a first report to reveal the mechanism of diffuse light–related enhancement in agroecosystem productivity.

In order to investigate the response of photosynthesis to diffuse light, the confounding effect of total light was removed in this study. However, the real ecosystem carbon uptake is the result of the combined effect of total and diffuse light. This means that the response pattern of ecosystem photosynthesis to sky cloud cover or aerosols concentration depends on which light element the ecosystem is sensitive to. Studies have shown that canopy structure characteristics, such as canopy height, leaf inclination angle and green leaf area index, can influence the sensitivity of canopy photosynthesis to diffuse light (Cheng et al., 2015; Emmel et al., 2020; Kanniah et al., 2012). Thus, different crop species response to change in sky cloud cover or aerosols concentration differently. Future studies should be conducted in a wide range of cropland types at site scale to summarize which croplands are sensitive to diffuse light and which are sensitive to total light. Based on these information, it is valuable to analyze what canopy structure features do they have in common, and whether there are spatial distribution patterns in the sensitivity across continents or even globe. The answer to these questions are of great significance for accurately predicting the carbon budget dynamics in farmland ecosystems under the background of light climate changes.

## 5. Conclusions



524 In this study, we explored the effect of  $PAR_{dif}$  on GEP and the affecting mechanism in  
 525 a wheat cropland based on the eddy covariance measured over canopy carbon flux data.  
 526 Wheat GEP increased significantly with  $PAR_{dif}$  increase in the case of absence of  
 527 total light effect. In addition to its positive effect, diffuse light was also found to be the  
 528 most important affecting factors for GEP among the considered factors, according to  
 529 the quantified contribution of different factors to GEP variations. As  $PAR_{dif}$  increasing,  
 530 the middle and lower canopy intercepted more incident light, making the  
 531 photosynthesis in these canopy parts become greater, and thus the entire canopy  
 532 photosynthesis enhanced. This indicated that the impact of diffuse light on canopy  
 533 photosynthesis was related to the light interception of the canopy at least.

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 541 paper by Xueyan Bao.

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